

TO BE COMPLETED BY PFI or PFL

PFI/PFL Name: _____

PFI/PFL Program Participation ID#: _____

Program ID #: 1220

PFI/PFL Internal Loan ID#: _____

CHEEF Loan ID#: (for projects that have received Pre-Approval):

CERTIFICATE OF COMPLETION

Sections 1-5 to be completed by the Qualified Contractor

Section 6 to be completed by the Borrower

Section 1. Contractor Information

(a) Qualified Contractor Business Name: _____
(Please list your name as it was submitted on the Qualified Contractor Application to participate in the Program)

(b) CSLB License #: _____

Section 2. Project Information

(c) Project Start Date: _____

(d) Permit Number(s): _____ Not Applicable

(e) Project Address: _____

(f) City: _____ (g) Zip Code: _____

(h) Did the improvements installed include three or more EEEMs including at least one of measures described in (1) through (3) below?

- (1) Whole building air sealing
- (2) Duct sealing and/or duct replacement
- (3) Attic insulation and air sealing

Yes No *If you've answered, "Yes," please also complete Section 4.*

Section 3. Utility and Rebate/Incentive Information

Utilities providing service to the property:

(i) Gas Utility: PG&E SCE SDG&E SoCalGas Other _____

(j) Electric Utility: PG&E SCE SDG&E Other _____

(k) Has the project received, or will the project receive, a rebate or incentive from an Investor-Owned Utility, CCA, or Regional Energy Network program? Yes No

If “Yes,”

(l) Program Name: _____ (m) Rebate/Incentive: ID# _____
(include initials of utility) *(if available)*

(n) Rebate or Incentive Amount: \$ _____ (o) Anticipated Actual

(p) Will the rebate and/or incentive be directly applied towards the cost of the project? Yes No

Section 4. Combustion Appliance Safety/Combustion Appliance Zone Test Information
(If you answered “Yes” to Section 2. (h), please complete this section.)

The Combustion Appliance Safety test or Combustion Appliance Zone test was completed and passed prior to the enrollment of the Eligible Loan.

(q) CAS/CAZ Contractor Name: _____

(r) CAS/CAZ Contractor CSLB #: _____ (s) CSLB License Type(s): _____

(t) The CAS/CAZ Contractor is BPI-certified to perform CAS/CAZ testing. *(If applicable)*

BPI Certification Type: Building Analyst Envelope Professional Heating Professional
 Air Conditioning and Heat Pump Professional GoldStar Contractor

BPI Certification Number: _____

OR

(u) The CAS/CAZ contractor is approved to participate in the following programs in the following Investor-Owned Utility territories *(if applicable)*.

Programs: Home Upgrade Advanced Home Upgrade
Investor-Owned Utilities: PG&E SCE SDG&E SoCalGas

Section 5. Certifications of the Qualified Contractor

Pursuant to Section 10091.8 of the Program regulations, by submitting this Certificate of Completion,

(v) I, _____ certify that:
Authorized Signatory Name

1. The contractor(s) who performed the work and completed the project is(are) licensed to perform the work related to installation of Eligible Improvements.
2. The Eligible Improvements listed on the Itemized Invoice were installed and comply with Program guidelines.
3. The installation complies with all applicable California building standards (all sections of Title 24 of the California Code of Regulations) and any additional laws, ordinances, regulations and standards applicable in the jurisdiction where the installation occurred.
4. All permits and approvals required to install the Eligible Improvements have been secured.
5. The contractor(s) provided the Borrower with a Bill Impact Estimate.

6. A Combustion Appliance Safety or Combustion Appliance Zone test was completed and passed if required by the project. (w) Yes Not required

I, the undersigned, hereby certify that all of the information provided in this application is true and accurate to the best of my knowledge.

(x) **Qualified Contractor Signature:** _____ (y) **Date:** _____

Section 6. To be completed by the Borrower

Certifications of the Borrower (must be signed by the individual named in the loan documents):

Pursuant to Section 10091.8 of the Program regulations, by submitting this Certificate of Completion, I certify that:

1. The Eligible Improvements have been completed to my satisfaction.
2. I understand that the California Alternative Energy and Advanced Transportation Financing Authority (“Authority”) and its directors, officers, and agents do not guarantee the performance, quality, or workmanship of the Eligible Improvements.
3. I authorize the Qualified Contractor and the Participating Financial Institution or Participating Finance Lender to share information with the Authority, except as required by the Program regulations, including contact information, data on work performed and Eligible Improvements installed, information regarding the Eligible Loan, and other information relating to or arising from participation in the Program.
4. The Eligible Loan proceeds were used to pay for the Eligible Improvements.
5. All permits and approvals required to install the Eligible Improvements have been secured.
6. The Qualified Contractor provided me with a Bill Impact Estimate.
7. The information above regarding rebates and/or incentives provided is accurate to the best of my knowledge.
8. I acknowledge and agree to allow CAEATFA, or its representatives, access to verify the installed measures. I understand that this inspection is for the purpose of determining that the installed measures meet all Program requirements.

(z) How did you, the Borrower, become aware of the financing for this project?

Contractor Lender Utility Energy Upgrade California Other: _____

I, the undersigned, hereby certify that all of the information provided in this application is true and accurate to the best of my knowledge.

(aa) **Borrower’s Signature:** _____

(ab) **Printed Name:** _____ (ac) **Date:** _____