

SPECIAL DISTRICTS FINANCIAL TRANSACTIONS REPORT COVER PAGE

Special District of California Alternative Energy and Advanced Transportation Financing Authority

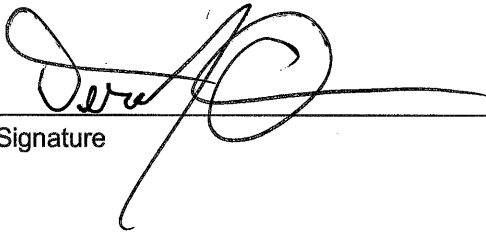
Reporting Year: 2020

ID Number: 12503403000

Certification:

I hereby certify that, to the best of my knowledge and belief, the report forms fairly reflect the financial transactions of the special district in accordance with the requirements as prescribed by the California State Controller.

Special District Fiscal Officer



Signature

Derek Chernow

Name (Please Print)

Executive Director

Title

1/29/21

Date

SPECIAL DISTRICTS FINANCIAL TRANSACTIONS REPORT COVER PAGE

Special District of California Alternative Energy and Advanced Transportation Financing Authority

Reporting Year: **2020**

ID Number: **12503403000**

Certification:

I hereby certify that, to the best of my knowledge and belief, the report forms fairly reflect the financial transactions of the special district in accordance with the requirements as prescribed by the California State Controller.

Special District Fiscal Officer

Derek Chernow
VubMRF3gRnRW...

Executive Director

Signature

Title

Derek Chernow

Name (Please Print)

Date

Per Government Code section 53891(a), this report is due within seven months after the close of the fiscal year. The report shall contain underlying data from audited financial statements prepared in accordance with generally accepted accounting principles, if this data is available.

If submitted manually, please complete, sign, and mail this cover page to either address below:

Mailing Address:
State Controller's Office
Local Government Programs and Services Division
Local Government Reporting Section
P.O. Box 942850
Sacramento, CA 94250

Express Mailing Address:
State Controller's Office
Local Government Programs and Services Division
Local Government Reporting Section
3301 C Street, Suite 700
Sacramento, CA 95816

The Financial Transactions Report was successfully submitted to the State Controller's Office on 1/28/2021 5:17:45 PM

The Required Forms must be completed. Optional Forms must be completed if applicable.

SPD Summary | Entity Listing | Cover Page | Status: In Queue

Type: Special District		Fiscal Year: 2020	Entity: California Alternative Energy and Advanced Transportation Financing Auth	Go			
Form #	Forms	Form Description	Type	Data Entered	Completed	Date Modified	
01	General Information	General Information	Required	Yes	Yes	1/28/2021 5:17:45 PM	
02	Report Comments	Enter Comments for Special District Report	Optional	No	No	1/28/2021 5:17:45 PM	
03	Internal Service Fund	Statement of Revenues, Expenses and Change in Fund Net Position	Optional	No	No	1/28/2021 5:17:45 PM	
	Enterprise Fund +						
14	Conduit Financing	Conduit Financing	Required	Yes	Yes	1/28/2021 5:17:45 PM	
15	Transportation	Transportation	Optional	No	No	1/28/2021 5:17:45 PM	
16	Governmental Funds	Revenues, Expenditures, and Changes in Fund Balances	Optional	No	No	1/28/2021 5:17:45 PM	
16.5	Changes in Fiduciary Net Position	Fiduciary Funds	Optional	No	No	1/28/2021 5:17:45 PM	
17	Long-Term Debt	Bonds and COPs	Optional	No	No	1/28/2021 5:17:45 PM	
18	Other Long-Term Debt	Loans, Notes, and Other	Optional	No	No	1/28/2021 5:17:45 PM	
19	Construction Financing	Federal and State	Optional	No	No	1/28/2021 5:17:45 PM	
20	Lease Obligations	Lease Obligations	Optional	No	No	1/28/2021 5:17:45 PM	
21	Debt Service Reconciliation	Debt Service Reconciliation Report	Optional	No	No	1/28/2021 5:17:45 PM	
22	Balance Sheet	Governmental Funds	Optional	No	No	1/28/2021 5:17:45 PM	
23	Statement of Net Position	Proprietary Funds	Required	Yes	Yes	1/28/2021 5:17:45 PM	
24	Statement of Net Position	Fiduciary Funds	Optional	No	No	1/28/2021 5:17:45 PM	
25	Noncurrent Assets and Liabilities	Noncurrent Assets and Liabilities, Deferred Out/Inflows Governmental Funds	Optional	No	No	1/28/2021 5:17:45 PM	
26	Appropriations Limit Information	Appropriations Limit Information	Optional	No	No	1/28/2021 5:17:45 PM	
27	Parcel Tax	Parcel Tax - Statistical Data	Optional	No	No	1/28/2021 5:17:45 PM	

Submit Data View Footnotes Print All Exit

Special District of California Alternative Energy and Advanced Transportation Financing Authority
 Special Districts Financial Transactions Report
 General Information

Fiscal Year: 2020

District Mailing Address

Street 1 Has Address Changed?
 Street 2
 City State Zip
 Email

Members of the Governing Body

	First Name	M. I.	Last Name	Title
Member 1	<input type="text" value="Fiona"/>	<input type="text"/>	<input type="text" value="Ma"/>	<input type="text" value="State Treasurer"/>
Member 2	<input type="text" value="Betty"/>	<input type="text" value="T"/>	<input type="text" value="Yee"/>	<input type="text" value="State Controller"/>
Member 3	<input type="text" value="Keely"/>	<input type="text" value="M"/>	<input type="text" value="Bosler"/>	<input type="text" value="Director of Finance"/>
Member 4	<input type="text" value="David"/>	<input type="text"/>	<input type="text" value="Hochschild"/>	<input type="text" value="Chair of the Energy Commission"/>
Member 5	<input type="text" value="Marybel"/>	<input type="text"/>	<input type="text" value="Batjer"/>	<input type="text" value="President of the Public Utilities Comm"/>
Member	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

District Fiscal Officers

	First Name	M. I.	Last Name	Title	Email
Official 1	<input type="text" value="Derek"/>	<input type="text"/>	<input type="text" value="Chernow"/>	<input type="text" value="Executive Director"/>	<input type="text" value="derek.chernow@treasurer.ca.gov"/>
Official 2	<input type="text" value="Jennifer"/>	<input type="text"/>	<input type="text" value="Gill"/>	<input type="text" value="Senior Manager, Core Programs"/>	<input type="text" value="jennifer.gill@treasurer.ca.gov"/>
Official 3	<input type="text" value="Kristalyn"/>	<input type="text"/>	<input type="text" value="Fong"/>	<input type="text" value="Fiscal Officer"/>	<input type="text" value="kristalyn.fong@treasurer.ca.gov"/>
Officials	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Report Prepared By

First Name M. I. Last Name

Telephone Email

Independent Auditor

Firm Name
First Name M. I. Last Name
Telephone

1. Is this district a component unit of a City, County, or Special District (Choose one)? If "Yes", answer question 2. Yes No

2. Is this district a blended component unit (BCU) or a discretely presented component unit (DPCU) of a City, County, or Special District (Choose one)? Refer to the Financial Transactions Report (FTR) instructions for definitions of these terms. If the district is a BCU, answer questions 3 - 5.
 BCU DPCU

3. Is financial data of this BCU included in the financial statements or Comprehensive Annual Financial Report (CAFR) of a City, County, or Special District (Choose one)?
 City County Special District

4. In which City, County, or Special District financial statements or CAFR is the financial data of this BCU included?

City name:
County name:
Special District name:

5. Is financial data of this BCU included in the City, County, or Special District FTR (Choose one)? Yes No

Special District of California Alternative Energy and Advanced Transportation Financing Authority
 Special Districts Financial Transactions Report
 Conduit Financing
 Statement of Revenues, Expenses, and Change in Fund Net Position
 Statement of Change in Fiduciary Net Position
 Conduit Debt Issued and Outstanding

Fiscal Year: 2020

	Enterprise Fund	Fiduciary Fund
Operating Revenues/Additions		
R01. Financial Service Fees		
R02. Application Fees		
R03. Issuance Fees		
R04. Closing Fees		
R05. Annual Administration Fees	2,168	
R06. Project Monitoring Fees		
R07. Lease Program Fees		
R08. Other Fees and Charges		
R09. Lease Rental Payments		
R10. Investment Earnings		
R11. Other Operating Revenues/Additions		
R12. Total Operating Revenues/Additions	\$2,168	\$0
Operating Expenses/Deductions		
R13. Legal Fees		
R14. Trustee Fees		
R15. Underwriter's Fees		
R16. Other Agent Fees		
R17. Insurance		
R18. Project Costs		
R19. Debt Issuance Costs		

R20. Distributions to Outside Agencies		
R21. Deposits Returned		
R22. Personnel Services	20,280	
R23. Contractual Services	13,950	
R24. Materials and Supplies	199	
R25. General and Administrative Expenses		
R26. Depreciation and Amortization Expenses		
R27. Other Operating Expenses/Deductions	3,334	
R28. Total Operating Expenses/Deductions	\$37,763	
R29. Operating Income (Loss)	\$-35,595	
Nonoperating Revenues		
R30. Investment Earnings	14	
R31. Intergovernmental — Federal		
R32. Intergovernmental — State		
R33. Intergovernmental — Other		
R34. Gain on Disposal of Capital Assets		
R35. Other Nonoperating Revenues		
R36. Total Nonoperating Revenues	\$14	
Nonoperating Expenses		
R37. Interest Expense		
R38. Loss on Disposal of Capital Assets		
R39. Other Nonoperating Expenses		
R40. Total Nonoperating Expenses	\$0	
R41. Income (Loss) Before Capital Contributions, Transfers, and Special and Extraordinary Items	\$-35,581	
Capital Contributions		
R42. Federal		

R43. State		
R44. County		
R45. Other Government		
R46. Other Capital Contributions		
R47. Total Capital Contributions	\$0	
R48. Transfers In		
R49. Transfers Out		

Special and Extraordinary Items

R49.5 Special Item		
R49.6 Extraordinary Item		
R49.7 Total Special and Extraordinary Items	\$0	
R50. Change in Net Position	-\$35,581	
R51. Net Position (Deficit), Beginning of Fiscal Year	-\$429,935	
R52. Adjustment		
R53. Reason for Adjustment		
R54. Net Position (Deficit), End of Fiscal Year	-\$465,516	

Net Position (Deficit)

R55. Net Investment in Capital Assets		
R56. Restricted	-465,516	
R57. Unrestricted		
R58. Total Net Position (Deficit)	-\$465,516	

Conduit Debt Issued and Outstanding

Debt Issued During Current Fiscal Year	Total Debt Outstanding as of Current Fiscal Year End
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Types of Qualified Private Activity Debt and Public Agency Debt

Qualified Private Activity Debt

R59. Industrial Development Bonds		
R60. Enterprise Zone Bonds		

R61. Empowerment Zone Bonds		
R62. Qualified 501(c)(3) Nonprofit — Educational Facility Bonds		
R63. Qualified Public Educational Facilities Bonds		
R64. Qualified Student Loan Bonds		
R65. Qualified 501(c)(3) Nonprofit — Hospital and Health Care Bonds		
R66. Qualified 501(c)(3) — Other Bonds		
R67. Qualified Residential Rental Projects Bonds		
R68. Qualified Single-Family Mortgage Revenue Bonds		
R69. Solid Waste Disposal Facilities Bonds		
R70. Other Exempt Facilities Bonds		
R71. Other Qualified Private Activity Debt		4,844,925
R72. Total Qualified Private Activity Debt Issued and Outstanding	\$0	\$4,844,925
Public Agency Debt		
R73. Revenue Bonds		
R74. Tax Allocation Redevelopment Bonds		
R75. Certificates of Participation		
R76. 1911 Act Assessment Bonds		
R77. 1913 Act Assessment Bonds		
R78. 1915 Act Assessment Bonds		
R79. Mello-Roos Bonds		
R80. Marks-Roos Bonds		
R81. Sales Tax Bonds		
R82. Financial Leases		
R83. Pension Obligation Bonds		
R84. Tax and Revenue Anticipation Notes		
R85. Commercial Paper		
R86. Other Public Agency Debt		
R87. Total Public Agency Debt Issued and Outstanding	\$0	\$0

R88. **Total Conduit Debt Issued and Outstanding**

\$0

\$4,844,925

Special District of California Alternative Energy and Advanced Transportation Financing Authority
 Special Districts Financial Transactions Report
 Statement of Net Position
 Proprietary Funds

Fiscal Year: 2020

		Enterprise	Internal Service
Assets			
Current Assets			
Cash and Investments			
R01.	Unrestricted	<input type="text"/>	<input type="text"/>
R02.	Restricted	2,394	<input type="text"/>
R03.	Accounts Receivable (net)	<input type="text"/>	<input type="text"/>
R04.	Taxes Receivable	<input type="text"/>	<input type="text"/>
R05.	Interest Receivable (net)	<input type="text"/>	<input type="text"/>
R06.	Due from Other Funds	7	<input type="text"/>
R07.	Due from Other Governments	<input type="text"/>	<input type="text"/>
R08.	Inventories	<input type="text"/>	<input type="text"/>
R09.	Prepaid Items	<input type="text"/>	<input type="text"/>
R10.	Other Current Assets 1	<input type="text"/>	<input type="text"/>
R11.	Other Current Assets 2	<input type="text"/>	<input type="text"/>
R12.	Total Current Assets	\$2,401	\$0
Noncurrent Assets			
R13.	Cash and Investments, Restricted	<input type="text"/>	<input type="text"/>
R14.	Investments	<input type="text"/>	<input type="text"/>
R15.	Loans, Notes, and Contracts Receivable	<input type="text"/>	<input type="text"/>
Capital Assets			
R16.	Land	<input type="text"/>	<input type="text"/>
R17.	Buildings and Improvements	<input type="text"/>	<input type="text"/>
R18.	Equipment	<input type="text"/>	<input type="text"/>

R18.5	Infrastructure		
R19.	Intangible Assets – Amortizable		
R20.	Construction in Progress		
R21.	Intangible Assets – Nonamortizable		
R22.	Other Capital Assets		
R23.	Less: Accumulated Depreciation/Amortization		
R23.5	Net Pension Asset		
R23.6	Net OPEB Asset		
R24.	Other Noncurrent Assets 1		
R25.	Other Noncurrent Assets 2		
R26.	Total Noncurrent Assets	\$0	\$0
R27.	Total Assets	\$2,401	\$0

Deferred Outflows of Resources

R28.	Related to Pensions		
R28.5	Related to OPEB		
R28.6	Related to Debt Refunding		
R29.	Other Deferred Outflows of Resources		
R30.	Total Deferred Outflows of Resources	\$0	\$0
R31.	Total Assets and Deferred Outflows of Resources	\$2,401	\$0

Liabilities

Current Liabilities

R32.	Accounts Payable	1,267	
R33.	Contracts and Retainage Payable		
R34.	Interest Payable		
R35.	Due to Other Funds	241,775	
R36.	Due to Other Governments	224,875	
R37.	Deposits and Advances		
R38.	Accrued Compensated Absences		

R39.	Long-Term Debt, Due Within One Year	0	0
R40.	Other Long-Term Liabilities, Due Within One Year		
R41.	Other Current Liabilities 1		
R42.	Other Current Liabilities 2		
R43.	Total Current Liabilities	\$467,917	\$0
Noncurrent Liabilities			
R44.	Deposits and Advances, Net of Current Portion		
R45.	Compensated Absences, Net of Current Portion		
R46.	General Obligation Bonds		
R47.	Revenue Bonds		
R48.	Certificates of Participation		
R49.	Other Bonds		
R50.	Loans (Other Long-Term Debt)		
R51.	Notes (Other Long-Term Debt)		
R52.	Other (Other Long-Term Debt)		
R53.	Construction Financing – Federal		
R54.	Construction Financing – State		
R55.	Lease Principal		
R56.	Net Pension Liability		
R57.	Net OPEB Liability		
R58.	Other Noncurrent Liabilities 1		
R59.	Other Noncurrent Liabilities 2		
R60.	Total Noncurrent Liabilities	\$0	\$0
R61.	Total Liabilities	\$467,917	\$0
Deferred Inflows of Resources			
R62.	Related to Pensions		
R62.5	Related to OPEB		

R62.6	Related to Debt Refunding	
R63.	Other Deferred Inflows of Resources	
R64.	Total Deferred Inflows of Resources	\$0
R65.	Total Liabilities and Deferred Inflows of Resources	\$467,917
R66.	Total Net Position (Deficit)	\$-465,516
	Net Position (Deficit)	
R67.	Net Investment in Capital Assets	
R68.	Restricted	-465,516
R69.	Unrestricted	
R70.	Total Net Position (Deficit)	\$-465,516
R71.	Total Liabilities, Deferred Inflows of Resources, and Net Position (Deficit)	\$2,401

Special District of California Alternative Energy and Advanced Transportation Financing Authority
Special Districts Financial Transactions Report
Summary

Fiscal Year: 2020

	Governmental Funds	Internal Service Fund	Enterprise Fund	Total
Governmental Revenues				
R01. General				
R02. Special Revenue				
R03. Debt Service				
R04. Capital Projects				
R05. Permanent				
R06. Transportation				
R07. Total Governmental Revenues	\$0			
Internal Service Revenues				
R08. Total Operating Revenues		\$0		
R09. Total Non-Operating Revenues		\$0		
R10. Total Internal Service Revenues		\$0		
Enterprise Revenues				
Operating Revenues				
R11. Airport				
R12. Electric				
R13. Gas				
R14. Harbor and Port				
R15. Hospital				
R16. Sewer				
R17. Solid Waste				
R18. Transit				
R19. Water				

R20.	Other Enterprise				
R21.	Conduit			2,168	
R22.	Transportation				
R23.	Total Operating Revenues			\$2,168	
Non-Operating Revenues					
R24.	Airport				
R25.	Electric				
R26.	Gas				
R27.	Harbor and Port				
R28.	Hospital				
R29.	Sewer				
R30.	Solid Waste				
R31.	Transit				
R32.	Water				
R33.	Other Enterprise				
R34.	Conduit			14	
R35.	Transportation				
R36.	Total Non-Operating Revenues			\$14	
R36.5	Total Revenues	\$0	\$0	\$2,182	\$2,182

Governmental Expenditures

R37.	General				
R38.	Special Revenue				
R39.	Debt Service				
R40.	Capital Projects				
R41.	Permanent				
R42.	Transportation				
R43.	Total Governmental Expenditures	\$0			

Internal Service Expenses

R44.	Total Operating Expenses		\$0		
R45.	Total Non-Operating Expenses		\$0		
R46.	Total Internal Service Expenses		\$0		

Enterprise Expenses

Operating Expenses

R47.	Airport				
R48.	Electric				
R49.	Gas				
R50.	Harbor and Port				
R51.	Hospital				
R52.	Sewer				
R53.	Solid Waste				
R54.	Transit				
R55.	Water				
R56.	Other Enterprise				
R57.	Conduit			37,763	
R58.	Transportation				
R59.	Total Operating Expenses			\$37,763	

Non-Operating Expenses

R60.	Airport				
R61.	Electric				
R62.	Gas				
R63.	Harbor and Port				
R64.	Hospital				
R65.	Sewer				
R66.	Solid Waste				
R67.	Transit				

R68.	Water				
R69.	Other Enterprise				
R70.	Conduit				
R71.	Transportation				
R72.	Total Non-Operating Expenses			\$0	
R72.5	Total Expenditures/Expenses	\$0	\$0	\$37,763	\$37,763
R73.	Transfer In				
R74.	Transfer Out				
R75.	Change in Fund Balance/Net Position	\$0	\$0	\$-35,581	\$-35,581
R76.	Fund Balance/Net Position (Deficit), Beginning of Fiscal Year	\$0	\$0	\$-429,935	\$-429,935
R77.	Adjustments				
R78.	Fund Balance/Net Position (Deficit), End of Fiscal Year	\$0	\$0	\$-465,516	\$-465,516
Assets					
R79.	Total Current Assets			2,401	2,401
R80.	Total Noncurrent Assets				
R81.	Total Assets	\$0	\$0	\$2,401	\$2,401
Liabilities					
R82.	Total Current Liabilities			467,917	467,917
R83.	Total Noncurrent Liabilities				
R84.	Total Liabilities	\$0	\$0	\$467,917	\$467,917
R85.	Total Fund Balance/Net Position (Deficit)	\$0	\$0	\$-465,516	\$-465,516

Special District of California Alternative Energy and Advanced Transportation Financing Authority
 Special District Financial Transactions Report
 Footnotes

Fiscal Year: 2020		
FORM DESC	FIELD NAME	FOOTNOTES
ConduitFinancing	(R27)Ent-OtherOperatingExpensesDeductions	Rent, office supplies, travel, rentals and furniture
ConduitFinancing	(R54)Ent-NetPosition(Deficit)EndofFiscalYear	The Authority has received funds loaned or provided by other state agencies and such financial support from other programs or state agencies will be necessary for the Authority's Bond Program to continue to accomplish its mission.
ConduitFinancing	(R71)TotDebt-OtherQualifiedPrivateActivityDebt	Other Qualified Bonds Outstanding as of Fiscal Year 2020: - Clean Renewable Energy Conservation Bonds: \$1,704,533 - Qualified Energy Conservation Bonds: \$3,140,392
ProprietaryFunds	(R66)Entpr-TotalNetPosition(Deficit)	As of June 30, 2020 the Authority's Bond Program generated a net loss of \$35,581 and had a deficit in fund balance of \$465,516. CAEATFA's total operating expenses have increased, including costs attributable to the Bond Program. The Authority has received funds loaned or provided by other state agencies and such financial support from other programs or state agencies will be necessary for the Authority's Bond Program to continue to accomplish its mission. Outstanding loans used for the Bond Program amounting to \$224,875 are included in current liabilities on the balance sheet.

Total Footnote: 4
