

California Debt and Investment Advisory Commission

**2017 -2018 Marks-Roos  
Yearly Fiscal Status Report**



# CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

The California Debt and Investment Advisory Commission (CDIAC) was created by the California Legislature to assist state and local agencies with the issuance, monitoring and management of public debt and the investment of public funds through its research and technical assistance programs. CDIAC also acts as the State's clearinghouse for public debt issuance information.

The CDIAC members for fiscal year 2017-2018 were:

John Chiang  
State Treasurer and Chairman

Edmund G. Brown Jr.  
Governor

Betty T. Yee  
State Controller

Sabrina Cervantes  
Assembly member, 60<sup>th</sup> District

José Cisneros  
Treasurer  
City and County of San Francisco

David Baum  
Finance Director  
City of San Leandro

Additional information concerning this report or the programs of CDIAC may be obtained by contacting:

California Debt and Investment Advisory Commission  
915 Capitol Mall, Room 400  
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**YEARLY FISCAL STATUS REPORT**

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**APPENDIX A**

**A. List of Reportable Financings by County**

County	Authority/ Local Obligor	Sale Date	Amount of Issue	Issuer	Project/Series	Page
<b>Alameda</b>						
	<b>Authority</b>	<b>2/8/18</b>	<b>10,000,000</b>	<b>Alameda County Joint Powers Authority</b>	<b>Series A</b>	<b>A - 001</b>
	Local Obligor	2/8/18	10,000,000	Alameda County	Series A	A - 002
	<b>Authority</b>	<b>3/22/18</b>	<b>73,495,000</b>	<b>Alameda County Joint Powers Authority</b>	<b>Santa Rita Jail - Western Parcel</b>	<b>A - 003</b>
	Local Obligor	3/22/18	73,495,000	Alameda County	Santa Rita Jail - Western Parcel	A - 004
	<b>Authority</b>	<b>6/30/10</b>	<b>18,695,000</b>	<b>Alameda Public Financing Authority</b>	<b>Harbor Bay CFD No 1 &amp; Marina Village AD No 10-1 Series A &amp;</b>	<b>A - 005</b>
	Local Obligor	7/22/10	10,675,000	Alameda CFD No 1	Harbor Bay	A - 006
	Local Obligor	6/30/10	8,020,000	Alameda - Retired	Marina Village ReAD No 10-1	
	<b>Authority</b>	<b>6/25/99</b>	<b>14,420,000</b>	<b>Emeryville Public Financing Authority</b>	<b>Bay-Shellmound, East &amp; West Assessment Districts</b>	<b>A - 007</b>
	Local Obligor	6/25/99	1,790,000	Emeryville - Retired	W Emeryville AD	
	Local Obligor	6/25/99	6,000,000	Emeryville	E Baybridge Ctr AD No 1993-1	A - 008
	Local Obligor	6/25/99	5,505,000	Emeryville	Bay St-Shellmound St Ext AD	A - 009
	<b>Authority</b>	<b>4/1/17</b>	<b>85,205,000</b>	<b>Fremont Public Financing Authority</b>	<b>Series A</b>	<b>A - 010</b>
	Local Obligor	4/1/17	85,205,000	Fremont	Series A	A - 011
	<b>Authority</b>	<b>8/8/17</b>	<b>19,725,000</b>	<b>Fremont Public Financing Authority</b>	<b>Fire Station Nos 1, 4, 10 &amp; 11 Series B</b>	<b>A - 012</b>
	Local Obligor	8/8/17	19,725,000	Fremont	Fire Station Nos 1, 4, 10 & 11 Series B	A - 013
	<b>Authority</b>	<b>3/28/18</b>	<b>64,010,000</b>	<b>Livermore Valley Water Financing Authority</b>	<b>Zone 7 Series A</b>	<b>A - 014</b>
	Local Obligor	3/28/18	64,010,000	Alameda County Flood Control and Water Conservation District	Zone 7 Series A	A - 015
	<b>Authority</b>	<b>8/12/96</b>	<b>465,000</b>	<b>Oakland Joint Powers Financing Authority - Final Report</b>		<b>A - 016</b>
	Local Obligor	8/12/96	23,238	Oakland - Retired	Lakeshore Phase II AD	
	Local Obligor	8/12/96	335,000	Oakland - Final Report	Skyline Sewer AD	A - 017
	Local Obligor	8/12/96	55,572	Oakland - Retired	Lakeshore Phase I AD	
	Local Obligor	8/12/96	6,832	Oakland - Retired	Oceanview Dr AD	
	Local Obligor	8/12/96	44,358	Oakland - Retired	Lower Hubert Rd Utility Underground AD	
	<b>Authority</b>	<b>5/8/18</b>	<b>60,025,000</b>	<b>Oakland Joint Powers Financing Authority</b>	<b>Oakland Admin Bldgs</b>	<b>A - 018</b>
	Local Obligor	5/8/18	60,025,000	Oakland	Oakland Admin Bldgs	A - 019
<b>Calaveras</b>						
	<b>Authority</b>	<b>1/12/06</b>	<b>9,200,000</b>	<b>Angels Public Financing Authority</b>	<b>Greenhorn Creek ReAD No 2006-1 Series A &amp; Sub Series B</b>	<b>A - 020</b>
	Local Obligor	1/12/06	8,781,144	Angels	Greenhorn Creek ReAD No 2006-1	A - 021

County	Authority/ Local Obligor	Sale Date	Amount of Issue	Issuer	Project/Series	Page
Contra Costa	<b>Authority</b>	<b>10/16/09</b>	<b>43,945,000</b>	<b>Brentwood Infrastructure Financing Authority</b>	<b>Sr Activity, Civic, Community Ctrs, Library Imp &amp; CFD Nos 3, 4 &amp; 5 Series B Build America Bonds</b>	<b>A - 022</b>
	<b>Authority</b>	<b>10/16/09</b>	<b>4,055,000</b>	<b>Brentwood Infrastructure Financing Authority - Retired</b>	<b>Sr Activity, Civic, Community Ctrs, Library Imp &amp; CFD Nos 3, 4 &amp; 5 Series A</b>	<b>A - 023</b>
	Local Obligor	10/16/09	15,388,544	Brentwood CFD No 3		<b>A - 023</b>
	Local Obligor	10/16/09	3,691,998	Brentwood CFD No 4		<b>A - 024</b>
	Local Obligor	10/16/09	1,129,985	Brentwood CFD No 5		<b>A - 025</b>
	<b>Authority</b>	<b>6/7/12</b>	<b>22,395,000</b>	<b>Brentwood Infrastructure Financing Authority</b>	<b>Series A</b>	<b>A - 026</b>
	Local Obligor	12/20/96	19,620,000	Brentwood - Final Report	ReAD No 96-1	<b>A - 027</b>
	Local Obligor	7/23/98	9,995,000	Brentwood	AD No 98-2	<b>A - 028</b>
	Local Obligor	6/24/99	8,865,000	Brentwood	AD No 99-1	<b>A - 029</b>
	<b>Authority</b>	<b>9/24/14</b>	<b>74,400,000</b>	<b>Brentwood Infrastructure Financing Authority</b>	<b>AD Nos 93-2, 93-3, 94-2, 94-3, 2000-1, 2005-1 &amp; 2006-1 Series A</b>	<b>A - 030</b>
	Local Obligor	12/18/95	484,336	Brentwood	AD No 94-2	<b>A - 032</b>
	Local Obligor	12/18/95	3,424,994	Brentwood	AD No 93-3	<b>A - 033</b>
	Local Obligor	12/18/95	5,164,122	Brentwood	AD No 94-3	<b>A - 034</b>
	Local Obligor	12/18/95	2,445,004	Brentwood	AD No 93-2	<b>A - 035</b>
	Local Obligor	10/18/96	4,422,371	Brentwood	AD No 93-3	<b>A - 036</b>
	Local Obligor	10/18/96	559,682	Brentwood	AD No 94-3	<b>A - 037</b>
	Local Obligor	10/18/96	3,327,947	Brentwood	AD No 93-2	<b>A - 038</b>
	Local Obligor	11/20/97	4,346,359	Brentwood	AD No 94-3	<b>A - 039</b>
	Local Obligor	11/20/97	1,164,036	Brentwood	AD No 93-3	<b>A - 040</b>
	Local Obligor	11/20/97	239,605	Brentwood	AD No 93-2	<b>A - 041</b>
	Local Obligor	1/29/99	2,203,438	Brentwood	AD No 93-2	<b>A - 042</b>
	Local Obligor	1/29/99	9,800,657	Brentwood	AD No 93-3	<b>A - 043</b>
	Local Obligor	1/29/99	2,295,085	Brentwood	AD No 94-2	<b>A - 044</b>
	Local Obligor	1/29/99	1,970,820	Brentwood	AD No 94-3	<b>A - 045</b>
	Local Obligor	11/30/00	12,740,000	Brentwood	AD No 2000-1	<b>A - 046</b>
	Local Obligor	8/3/05	40,145,000	Brentwood	AD No 2005-1	<b>A - 047</b>
	Local Obligor	7/26/06	17,195,000	Brentwood	AD No 2006-1	<b>A - 048</b>
	<b>Authority</b>	<b>2/6/18</b>	<b>38,120,000</b>	<b>Brentwood Infrastructure Financing Authority</b>	<b>AD Nos 2002-1, 2003-1 &amp; 2004-1 Series A</b>	<b>A - 049</b>
	<b>Authority</b>	<b>6/16/05</b>	<b>16,565,000</b>	<b>Brentwood Infrastructure Financing Authority - Final Report</b>	<b>AD No 2002-1 Series A &amp; Sub Series B</b>	<b>A - 050</b>
	<b>Authority</b>	<b>12/19/06</b>	<b>28,800,000</b>	<b>Brentwood Infrastructure Financing Authority - Final Report</b>	<b>AD Nos 2003-1 &amp; 2004-1 Series A</b>	<b>A - 051</b>
	<b>Authority</b>	<b>12/19/06</b>	<b>6,950,000</b>	<b>Brentwood Infrastructure Financing Authority - Final Report</b>	<b>AD Nos 2003-1 &amp; 2004-1 Sub Series B</b>	<b>A - 052</b>
	Local Obligor	8/22/02	16,585,000	Brentwood	AD No 2002-1	<b>A - 055</b>
	Local Obligor	8/1/03	17,335,000	Brentwood	AD No 2003-1	<b>A - 053</b>
	Local Obligor	8/5/04	21,915,000	Brentwood	AD No 2004-1	<b>A - 054</b>
	<b>Authority</b>	<b>4/20/99</b>	<b>44,615,000</b>	<b>Contra Costa County Public Financing Authority - Final Report</b>	<b>Pleasant Hill BART, N Richmond, Bay Pt, Oakley &amp; Rodeo</b>	<b>A - 056</b>
	LOAN	4/20/99	3,970,000	Contra Costa County Redevelopment Agency - Retired	N Richmond	
	LOAN	4/20/99	8,030,000	Contra Costa County Redevelopment Agency - Final Report	Bay Point	<b>A - 057</b>
	LOAN	4/20/99	3,295,000	Contra Costa County Redevelopment Agency - Retired	Rodeo	
	LOAN	4/20/99	21,675,000	Contra Costa County Redevelopment Agency - Final Report	Pleasant Hill BART	<b>A - 058</b>
	LOAN	4/20/99	7,645,000	Contra Costa County Redevelopment Agency - Retired	Oakley	
	<b>Authority</b>	<b>8/22/03</b>	<b>43,345,000</b>	<b>Contra Costa County Public Financing Authority - Final Report</b>	<b>N Richmond, Rodeo, Pleasant Hill BART &amp; Bay Point Series A</b>	<b>A - 059</b>
	LOAN	8/22/03	32,485,000	Contra Costa County Redevelopment Agency - Final Report	Pleasant Hill BART Series A	<b>A - 060</b>
	LOAN	8/22/03	3,395,000	Contra Costa County Redevelopment Agency - Retired	Rodeo Series A	
	LOAN	8/22/03	3,255,000	Contra Costa County Redevelopment Agency - Retired	N Richmond Series A	
	LOAN	8/22/03	4,210,000	Contra Costa County Redevelopment Agency - Retired	Bay Point Series A	

County	Authority/ Local Obligor	Sale Date	Amount of Issue	Issuer	Project/Series	Page
<b>Contra Costa</b>						
<b>cont.</b>						
	<b>Authority</b>	<b>5/30/07</b>	<b>25,500,000</b>	<b>Contra Costa County Public Financing Authority - Final Report</b>	<b>N Richmond, Bay Pt, Rodeo &amp; Montalvin Manor Series A-T</b>	<b>A - 061</b>
	LOAN	5/30/07	2,830,000	Contra Costa County Redevelopment Agency - Final Report	North Richmond	A - 062
	LOAN	5/30/07	18,885,000	Contra Costa County Redevelopment Agency - Final Report	Bay Point	A - 063
	LOAN	5/30/07	2,995,000	Contra Costa County Redevelopment Agency - Final Report	Rodeo	A - 064
	LOAN	5/30/07	790,000	Contra Costa County Redevelopment Agency - Final Report	Montalvin Manor	A - 065
	<b>Authority</b>	<b>5/30/07</b>	<b>78,870,000</b>	<b>Contra Costa County Public Financing Authority - Final Report</b>	<b>Contra Costa Ctr, N Richmond, Bay Pt, Rodeo &amp; Montalvin Manor Series A &amp; Sub Series B</b>	<b>A - 066</b>
	LOAN	5/30/07	10,710,000	Contra Costa County Redevelopment Agency - Final Report	Rodeo Series A & Sub Series B	A - 067
	LOAN	5/30/07	2,195,000	Contra Costa County Redevelopment Agency - Final Report	Montalvin Manor Series A & Sub Series B	A - 068
	LOAN	5/30/07	37,775,000	Contra Costa County Redevelopment Agency - Final Report	Contra Costa Center Series A & Sub Series B	A - 069
	LOAN	5/30/07	17,865,000	Contra Costa County Redevelopment Agency - Final Report	North Richmond Series A & Sub Series B	A - 070
	LOAN	5/30/07	10,325,000	Contra Costa County Redevelopment Agency - Final Report	Bay Point Series A & Sub Series B	A - 071
	<b>Authority</b>	<b>12/12/01</b>	<b>3,570,000</b>	<b>Danville Financing Authority</b>	<b>Downtown Redevelopment Series A</b>	<b>A - 072</b>
	LOAN	12/12/01	3,570,000	Danville Redevelopment Agency	Downtown	A - 073
	<b>Authority</b>	<b>4/6/17</b>	<b>8,825,000</b>	<b>Discovery Bay Public Financing Authority</b>	<b>Water and Wastewater</b>	<b>A - 074</b>
	Local Obligor	4/6/17	1,335,000	Discovery Bay	Water	A - 075
	Local Obligor	4/6/17	7,490,000	Discovery Bay	Wastewater	A - 076
	<b>Authority</b>	<b>3/20/12</b>	<b>14,775,000</b>	<b>Oakley Public Financing Authority</b>	<b>AD No 2004-1</b>	<b>A - 077</b>
	Local Obligor	8/3/04	17,150,000	Oakley	AD No 2004-1	A - 078
	<b>Authority</b>	<b>10/15/14</b>	<b>9,070,000</b>	<b>Oakley Public Financing Authority</b>	<b>AD No 2006-1</b>	<b>A - 079</b>
	Local Obligor	7/19/06	11,460,000	Oakley	Pulte & Centex AD No 2006-1	A - 080
	<b>Authority</b>	<b>8/16/11</b>	<b>17,840,000</b>	<b>Pittsburg Infrastructure Financing Authority</b>	<b>Senior Series A &amp; Sub Series B</b>	<b>A - 081</b>
	Local Obligor	8/16/11	18,580,000	Pittsburg	Reassessment Dist No 2011-1	A - 082
	<b>Authority</b>	<b>6/29/11</b>	<b>59,999,952</b>	<b>Pittsburg Unified School District Financing Authority</b>		<b>A - 083</b>
	Local Obligor	6/29/11	24,999,952	Pittsburg Unified School District	Series A	A - 084
	Local Obligor	6/29/11	35,000,000	Pittsburg Unified School District	Series C	A - 085
	<b>Authority</b>	<b>8/14/03</b>	<b>12,500,000</b>	<b>Richmond Joint Powers Financing Authority</b>	<b>Series B</b>	<b>A - 086</b>
	LOAN	8/14/03	12,500,000	Richmond Community Redevelopment Agency		A - 087
	<b>Authority</b>	<b>10/15/04</b>	<b>15,000,000</b>	<b>Richmond Joint Powers Financing Authority</b>	<b>Series A</b>	<b>A - 088</b>
	<b>Authority</b>	<b>10/15/04</b>	<b>2,000,000</b>	<b>Richmond Joint Powers Financing Authority</b>	<b>Hsg Set-Aside Series B</b>	<b>A - 089</b>
	LOAN	10/15/04	10,000,000	Richmond Community Redevelopment Agency	Housing Set-Aside	A - 090
	LOAN	10/15/04	5,000,000	Richmond Community Redevelopment Agency	Non-Housing	A - 091
	LOAN	10/15/04	2,000,000	Richmond Community Redevelopment Agency	Hsg Set-Aside	A - 092
	<b>Authority</b>	<b>12/16/11</b>	<b>5,640,000</b>	<b>Richmond Joint Powers Financing Authority</b>	<b>Series A</b>	<b>A - 093</b>
	Local Obligor	7/1/03	9,740,000	Richmond	ReAD No 2003-01	A - 094
	<b>Authority</b>	<b>8/2/16</b>	<b>7,895,000</b>	<b>Richmond Joint Powers Financing Authority</b>		<b>A - 095</b>
	Local Obligor	2/14/06	12,310,000	Richmond	Country Club Vista ReAD No 1999-01	A - 096
	<b>Authority</b>	<b>3/3/04</b>	<b>37,755,000</b>	<b>San Pablo Joint Powers Financing Authority</b>	<b>Tenth Township &amp; Legacy</b>	<b>A - 097</b>
	Local Obligor	3/3/04	5,795,000	San Pablo Redevelopment Agency	Legacy	A - 098
	Local Obligor	3/3/04	31,960,000	San Pablo Redevelopment Agency	Tenth Township	A - 099
	<b>Authority</b>	<b>1/31/18</b>	<b>15,325,000</b>	<b>San Pablo Joint Powers Financing Authority</b>	<b>City Hall</b>	<b>A - 100</b>
	Local Obligor	1/31/18	15,325,000	San Pablo	City Hall	A - 101

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<b>Contra Costa</b>						
cont.						
	Authority	10/11/06	31,866,570	San Ramon Public Financing Authority	Crow Canyon & Alcosta Areas Series A	A - 102
	Authority	10/11/06	22,665,000	San Ramon Public Financing Authority - Retired	Crow Canyon & Alcosta Areas Series B	
	LOAN	10/11/06	31,866,570	San Ramon Redevelopment Agency	Crow Canyon & Alcosta Areas	A - 103
	LOAN	10/11/06	9,205,000	San Ramon Redevelopment Agency - Retired	Non-Housing	
	LOAN	10/11/06	13,460,000	San Ramon Redevelopment Agency - Retired	Housing	
<b>El Dorado</b>						
	Authority	8/2/07	20,360,000	South Tahoe Joint Powers Financing Authority - Final Report	TA & TOT Area No 1 Series A	A - 104
	LOAN	8/2/07	10,180,000	South Tahoe Redevelopment Agency - Final Report	TOT Area No 1	A - 105
	LOAN	8/2/07	10,180,000	South Tahoe Redevelopment Agency - Final Report	TA Area No 1	A - 106
	Authority	11/20/14	29,230,000	South Tahoe Joint Powers Financing Authority	TA & TOT Area No 1 Series A	A - 107
	LOAN	11/20/14	14,615,000	Successor Agency to the South Tahoe Redevelopment Agency	Master Tax Allocation (TA) Area No 1	A - 108
	LOAN	11/20/14	14,615,000	Successor Agency to the South Tahoe Redevelopment Agency	Master Transient Occupancy Tax (TOT) Area No 1	A - 109
	Authority	8/27/15	27,525,000	South Tahoe Joint Powers Financing Authority	Area No 1 Series A	A - 110
	LOAN	8/27/15	13,762,500	Successor Agency to the South Tahoe Redevelopment Agency	Master Tax Allocation Loan Agreement (TA)	A - 111
	LOAN	8/27/15	13,762,500	Successor Agency to the South Tahoe Redevelopment Agency	Master Transient Occupancy Tax (TOT)	A - 112
	Authority	9/7/17	17,640,000	South Tahoe Joint Powers Financing Authority	S Tahoe RDA No 1 Series A	A - 113
	LOAN	9/7/17	8,820,000	Successor Agency to the South Tahoe Redevelopment Agency	Master Transient Occupancy Tax (TOT)	A - 114
	LOAN	9/7/17	8,820,000	Successor Agency to the South Tahoe Redevelopment Agency	Master Tax Allocation (TA) Series A	A - 115
<b>Fresno</b>						
	Authority	7/31/97	186,225,944	Central Valley School Districts Financing Authority - Final Report	Central USD & Clovis USD	A - 116
	Local Obligor	7/31/97	164,931,874	Clovis Unified School District - Retired		
	Local Obligor	7/31/97	21,294,069	Clovis Unified School District - Final Report		A - 117
	Authority	9/15/11	39,770,000	Central Valley Support Services Joint Powers Agency	Fresno USD Qualified School Construction Bonds	A - 118
	Local Obligor	9/15/11	29,561,373	Fresno Unified School District	Series A, Qualified School Construction Bonds	A - 119
	Authority	4/16/08	19,100,000	Clovis Public Financing Authority	Clovis, Herndon & Hsg	A - 120
	LOAN	4/16/08	9,450,871	Clovis	Clovis Loan	A - 121
	LOAN	4/16/08	4,436,242	Clovis	Housing Loan	A - 122
	LOAN	4/16/08	5,212,887	Clovis	Herndon Loan	A - 123
	Authority	8/19/10	4,435,000	Fowler Public Financing Authority		A - 124
	Local Obligor	3/23/00	318,606	Fowler	Consolidated ReAD No 1993-1	A - 125
	Local Obligor	3/23/00	1,948,209	Fowler	Consolidated ReAD No 1994-1	A - 126
	LOAN	8/18/10	105,000	Fowler Redevelopment Agency - Retired	1994 Fowler Pub Imp Sublease, City Hall Annex, Wtr Well No 6	
	LOAN	8/18/10	692,000	Fowler Redevelopment Agency		A - 127
	LOAN	8/18/10	145,000	Fowler Redevelopment Agency	1993 Edwin Blayley Sr Center	A - 128
	LOAN	8/18/10	845,000	Fowler Redevelopment Agency	1993 Merced Street Imp Oblig	A - 129
	LOAN	8/18/10	325,000	Fowler Redevelopment Agency	1994 Water Obligation	A - 130
	Authority	3/2/01	10,000,000	Fresno Joint Powers Financing Authority - Final Report	Merger No 2	A - 131
	LOAN	3/2/01	10,000,000	Fresno Redevelopment Agency - Final Report	Merger No 2	A - 132

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<b>Fresno cont.</b>						
	<b>Authority</b>	<b>6/24/14</b>	<b>955,000</b>	<b>Selma Public Financing Authority</b>	<b>AD 1991-2, 2; 92-1, 93-1, 94-1 &amp; 97-1</b>	<b>A - 133</b>
	Local Obligor	7/27/99	296,000	Selma	AD 91-2S1/R Water Main	A - 135
	Local Obligor	7/27/99	460,000	Selma	92-1/R Dancer Meadow II	A - 136
	Local Obligor	7/27/99	465,000	Selma	AD 92-1S/R Dancer Meadows III, McCall Estates	A - 137
	Local Obligor	7/27/99	1,400,000	Selma	AD 91-2/R Floral-Highland Interchange	A - 138
	Local Obligor	7/27/99	747,000	Selma	AD 93-1S/R Briarwood Vineyards	A - 139
	Local Obligor	7/27/99	315,000	Selma - Retired	AD 97-1/R Theater	
	Local Obligor	7/27/99	346,000	Selma - Retired	AD 91-2S2/R Stillman Street	
	Local Obligor	7/27/99	3,030,000	Selma - Retired	AD 91-2S3/R Pea Soup Andersen's	
	Local Obligor	7/27/99	1,100,000	Selma - Retired	AD 94-1/R Up-Right	
<b>Humboldt</b>						
	<b>Authority</b>	<b>9/29/03</b>	<b>15,250,000</b>	<b>Eureka Public Financing Authority - Failed to Report</b>	<b>Phase I &amp; II, &amp; Tomorrow</b>	
	LOAN	9/29/03	15,250,000	Eureka Redevelopment Agency - Failed to Report	Eureka Merged Area	
	<b>Authority</b>	<b>10/16/07</b>	<b>17,500,000</b>	<b>Fortuna Public Financing Authority - Final Report</b>	City's City Hall & River Lodge Conf Ctr	<b>A - 140</b>
	LOAN	10/16/07	13,280,000	Fortuna Redevelopment Agency - Final Report		<b>A - 141</b>
<b>Imperial</b>						
	<b>Authority</b>	<b>6/20/07</b>	<b>9,580,000</b>	<b>Calexico School Financing Authority - Final Report</b>		<b>A - 142</b>
	Local Obligor	6/20/07	9,069,266	Calexico Unified School District		<b>A - 143</b>
	<b>Authority</b>	<b>4/27/11</b>	<b>11,095,000</b>	<b>EI Centro Financing Authority</b>		<b>A - 144</b>
	Local Obligor	4/6/11	11,095,000	EI Centro Redevelopment Agency	Series C	<b>A - 145</b>
	<b>Authority</b>	<b>4/4/18</b>	<b>125,000,000</b>	<b>EI Centro Financing Authority</b>	<b>EI Centro Regional Medical Center</b>	<b>A - 146</b>
	Local Obligor	4/4/18	125,000,000	EI Centro	EI Centro Reg Med Ctr	<b>A - 147</b>
<b>Kern</b>						
	<b>Authority</b>	<b>4/17/18</b>	<b>99,665,000</b>	<b>Golden Empire Schools Financing Authority</b>	<b>Kern High School District</b>	<b>A - 148</b>
	Local Obligor	4/17/18	99,665,000	Kern High School District		<b>A - 149</b>
	<b>Authority</b>	<b>10/1/17</b>	<b>3,087,684</b>	<b>Inyo-Kern Schools Financing Authority</b>		<b>A - 150</b>
	Local Obligor	10/1/17	3,087,684	Sierra Sands Unified School District	Refunding Inyo-Kern Schools FA Lease RBs	<b>A - 151</b>
	<b>Authority</b>	<b>12/6/17</b>	<b>4,450,000</b>	<b>McFarland Improvement Authority</b>		<b>A - 152</b>
	Local Obligor	12/6/17	4,450,000	McFarland - Failed to Report		
	<b>Authority</b>	<b>5/17/18</b>	<b>9,995,000</b>	<b>Rosedale Financing Authority</b>		<b>A - 153</b>
	Local Obligor	5/17/18	9,995,000	Rosedale Union School District		<b>A - 154</b>
<b>Lake</b>						
	<b>Authority</b>	<b>10/5/06</b>	<b>14,120,000</b>	<b>Clearlake Public Financing Authority - Failed to Report</b>	<b>Highlands Park Community Dev Series A</b>	
	<b>Authority</b>	<b>10/5/06</b>	<b>3,735,000</b>	<b>Clearlake Public Financing Authority - Failed to Report</b>	<b>Highlands Park Community Dev Series B</b>	
	LOAN	10/5/06	12,835,000	Clearlake Redevelopment Agency - Failed to Report	Highlands Park Community Dev Series A	
	LOAN	10/5/06	1,285,000	Clearlake Redevelopment Agency - Failed to Report	Highlands Park Community Dev Series A	
	LOAN	10/5/06	3,735,000	Clearlake Redevelopment Agency - Failed to Report	Hsg Loan	
<b>Los Angeles</b>						
	<b>Authority</b>	<b>6/22/05</b>	<b>4,775,000</b>	<b>Bell Gardens Financing Authority</b>	<b>No 1 &amp; Central City</b>	<b>A - 155</b>
	LOAN	6/22/05	3,025,000	Bell Gardens Community Development Commission	Central City Series A	<b>A - 156</b>
	LOAN	6/22/05	1,750,000	Bell Gardens Community Development Commission	No 1 Series A	<b>A - 157</b>
	<b>Authority</b>	<b>1/1/18</b>	<b>10,000,000</b>	<b>Bellflower Financing Authority</b>	<b>Regional Transit Ctr &amp; Parking Structure</b>	<b>A - 158</b>
	Local Obligor	1/1/18	10,000,000	Bellflower	Regional Transit Ctr & Parking Structure	<b>A - 159</b>

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Los Angeles cont.	Authority	10/25/06	32,955,000	Carson Public Financing Authority	Dominguez Technology Ctr W Ser A & Sub Series B	A - 160
	Local Obligor	10/25/06	29,645,000	Carson	Dominguez Technology Ctr W ReAD No 2001-1	A - 161
	Authority	9/25/02	96,260,000	Cerritos Public Financing Authority	Series A	A - 162
	LOAN	9/25/02	64,710,000	Cerritos Redevelopment Agency	Los Coyotes Area	A - 163
	LOAN	9/25/02	31,550,000	Cerritos Redevelopment Agency	Los Cerritos Area	A - 164
	Authority	9/25/02	19,775,000	Cerritos Public Financing Authority	Cerritos RDA Series B	A - 165
	LOAN	9/25/02	12,225,000	Cerritos Redevelopment Agency	Los Coyotes Area	A - 166
	LOAN	9/25/02	7,550,000	Cerritos Redevelopment Agency	Los Cerritos Area	A - 167
	Authority	10/3/07	64,805,000	Commerce Joint Powers Financing Authority - Final Report	No 1 Series A	A - 168
	Authority	10/3/07	7,500,000	Commerce Joint Powers Financing Authority - Retired	No 1 Series B	A - 169
	Local Obligor	10/3/07	58,885,000	Commerce Community Development Commission - Final Report	No 1 Series A	
	Local Obligor	10/3/07	7,500,000	Commerce Community Development Commission - Retired	No 1 Series B	
	Authority	11/4/05	38,230,000	Culver City School Facilities Financing Authority		A - 170
	Local Obligor	11/4/05	37,795,000	Culver City Unified School District		A - 171
	Authority	12/5/17	45,415,000	Downey Public Finance Authority		A - 172
	Local Obligor	12/5/17	45,415,000	Downey		A - 173
	Authority	4/4/07	21,030,000	Downey School Facilities Financing Authority - Failed to Report	Downey USD	
	Local Obligor	4/4/07	21,030,000	Downey Unified School District		A - 174
	Authority	5/9/07	16,905,000	East Whittier City School Facilities Financing Authority - Fina GO Bond Program		A - 175
	Local Obligor	5/9/07	16,960,000	East Whittier City School District - Final Report		A - 176
	Authority	9/24/03	11,255,000	Glendora Public Financing Authority	No One Series A	A - 177
	LOAN	9/24/03	11,255,000	Glendora Community Redevelopment Agency	No One Series A	A - 178
	Authority	9/24/03	4,815,000	Glendora Public Financing Authority	No One Series B	A - 179
LOAN	9/24/03	4,815,000	Glendora Community Redevelopment Agency	No One Series B	A - 180	
Authority	9/19/07	55,485,000	Hacienda La Puente Unified School District Facilities Financing Authority		A - 181	
Local Obligor	9/19/07	55,428,805	Hacienda La Puente Unified School District		A - 182	
Authority	4/29/04	34,530,000	Hawaiian Gardens Public Financing Authority - Failed to Report	No 1 Series A		
Local Obligor	4/29/04	31,170,000	Hawaiian Gardens Redevelopment Agency - Failed to Report	No 1 Series A	A - 183	
Local Obligor	4/29/04	3,360,000	Hawaiian Gardens Redevelopment Agency - Failed to Report	No 1 Series B		
Authority	6/25/15	239,525,000	Industry Public Facilities Authority	Civic Recreational-Industrial RDA No 1 Series A	A - 183	
Local Obligor	6/25/15	239,525,000	Successor Agency to the Industry Urban-Development Agency	Civic Rec-Industrial Redevelopment Agency No 1 Series A	A - 184	
Authority	6/25/15	7,140,000	Industry Public Facilities Authority	Trans-Distrib-Industrial Redevelopment Agency No 2 Series A	A - 185	
Local Obligor	6/25/15	7,140,000	Successor Agency to the Industry Urban-Development Agency	Trans-Distrib-Industrial Redevelopment Agency No 2 Series A	A - 186	
Authority	6/25/15	33,815,000	Industry Public Facilities Authority	Trans-Distrib-Industrial Redevelopment Agency No 2 Series A	A - 187	
Local Obligor	6/25/15	33,815,000	Successor Agency to the Industry Urban-Development Agency	Trans-Distrib-Industrial Redevelopment Agency No 2 Series A	A - 188	
Authority	6/25/15	249,770,000	Industry Public Facilities Authority	Trans-Distrib-Industrial Redevelopment Agency No 2 Series B	A - 189	
Local Obligor	6/25/15	249,770,000	Successor Agency to the Industry Urban-Development Agency	Trans-Distrib-Industrial Redevelopment Agency No 2 Series B	A - 190	

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	<b>Authority</b>	<b>6/25/15</b>	<b>37,425,000</b>	<b>Industry Public Facilities Authority</b>	<b>Trans-Distrib-Industrial No 3 Series B</b>	<b>A - 191</b>
	Local Obligor	6/25/15	37,425,000	Successor Agency to the Industry Urban-Development Agency	Trans-Distrib-Industrial RDA No 3 Series B	<b>A - 192</b>
	<b>Authority</b>	<b>6/25/15</b>	<b>7,230,000</b>	<b>Industry Public Facilities Authority</b>	<b>Trans-Distrib-Industrial No 3 Series A</b>	<b>A - 193</b>
	Local Obligor	6/25/15	7,230,000	Successor Agency to the Industry Urban-Development Agency	Trans-Distrib-Industrial RDA No 3 Series A	<b>A - 194</b>
	<b>Authority</b>	<b>1/26/07</b>	<b>57,645,000</b>	<b>Inglewood Unified School District School Facilities Financing Authority</b>		<b>A - 195</b>
	Local Obligor	1/26/07	57,645,000	Inglewood Unified School District	Measure K	<b>A - 196</b>
	<b>Authority</b>	<b>12/30/97</b>	<b>3,740,000</b>	<b>Lancaster Financing Authority</b>	<b>Sr Lien Series A</b>	<b>A - 197</b>
	<b>Authority</b>	<b>12/30/97</b>	<b>2,643,890</b>	<b>Lancaster Financing Authority</b>	<b>Jr Lien Series B</b>	<b>A - 198</b>
	Local Obligor	4/17/90	4,895,000	Lancaster CFD No 89-1	East Lancaster Water Storage Tank & Supply Fac	<b>A - 199</b>
	Local Obligor	12/30/97	360,000	Lancaster CFD No 89-1	E Lancaster Water Storage Tank	<b>A - 200</b>
	<b>Authority</b>	<b>6/19/02</b>	<b>77,715,000</b>	<b>Long Beach Bond Finance Authority</b>	<b>Downtown, N Long Beach, Poly High &amp; W Beach Areas</b>	<b>A - 201</b>
	<b>Authority</b>	<b>1/10/06</b>	<b>35,045,000</b>	<b>Long Beach Bond Finance Authority</b>	<b>Downtown &amp; N Long Beach Series C</b>	<b>A - 202</b>
	Local Obligor	6/19/02	26,820,000	Long Beach Redevelopment Agency	Downtown	<b>A - 203</b>
	Local Obligor	6/19/02	40,290,000	Long Beach Redevelopment Agency	N Long Beach	<b>A - 204</b>
	Local Obligor	6/19/02	1,710,000	Long Beach Redevelopment Agency - Retired	Poly High	
	Local Obligor	6/19/02	8,895,000	Long Beach Redevelopment Agency - Retired	W Beach	
	<b>Authority</b>	<b>11/13/02</b>	<b>47,780,000</b>	<b>Long Beach Bond Finance Authority</b>	<b>Downtown &amp; W Long Beach Industrial Series B</b>	<b>A - 205</b>
	Local Obligor	11/1/02	21,860,000	Long Beach Redevelopment Agency	W Long Beach Industrial Series A	<b>A - 206</b>
	Local Obligor	11/1/02	25,920,000	Long Beach Redevelopment Agency	Downtown Series B	<b>A - 207</b>
	<b>Authority</b>	<b>2/9/05</b>	<b>77,447,306</b>	<b>Long Beach Bond Finance Authority</b>	<b>Hsg, Gas Utility Series A-1 &amp; A-2</b>	<b>A - 208</b>
	<b>Authority</b>	<b>2/9/05</b>	<b>114,985,000</b>	<b>Long Beach Bond Finance Authority - Retired</b>	<b>Hsg, Gas Utility Series B CIB</b>	
	Local Obligor	2/9/05	7,675,000	Long Beach - Retired	Gas Utility	
	Local Obligor	2/9/05	22,690,000	Long Beach Redevelopment Agency - Retired	Central CIBs	
	Local Obligor	2/9/05	39,000,000	Long Beach Redevelopment Agency - Retired	North	
	Local Obligor	2/9/05	2,557,753	Long Beach Redevelopment Agency	Poly High	<b>A - 209</b>
	Local Obligor	2/9/05	839,553	Long Beach Redevelopment Agency	W Beach	<b>A - 210</b>
	Local Obligor	2/9/05	4,685,000	Long Beach Redevelopment Agency - Retired	Los Altos	
	Local Obligor	2/9/05	55,665,000	Long Beach Redevelopment Agency - Retired	Series B	
	Local Obligor	2/9/05	34,240,000	Long Beach Redevelopment Agency - Retired	Central	
	Local Obligor	2/9/05	25,080,000	Long Beach Redevelopment Agency - Retired	North	
	<b>Authority</b>	<b>6/20/08</b>	<b>14,250,000</b>	<b>Los Angeles Community RDA Community RDA FA</b>	<b>Mid-City Recovery, Pico Union No 2 &amp; Vermont/Manchester R</b>	<b>A - 211</b>
	LOAN	6/20/08	2,250,000	Los Angeles Community Redevelopment Agency	Vermont/Manchester	<b>A - 212</b>
	LOAN	6/20/08	5,500,000	Los Angeles Community Redevelopment Agency - Retired	Pico Union 2	
	LOAN	6/20/08	6,500,000	Los Angeles Community Redevelopment Agency	Mid-City Recovery	<b>A - 213</b>
	<b>Authority</b>	<b>11/27/96</b>	<b>21,095,000</b>	<b>Los Angeles County Public Works Financing Authority</b>	<b>Series A</b>	<b>A - 214</b>
	<b>Authority</b>	<b>11/27/96</b>	<b>3,795,000</b>	<b>Los Angeles County Public Works Financing Authority</b>	<b>Series B</b>	<b>A - 215</b>
	Local Obligor	11/27/96	10,265,000	Los Angeles County	Co Imp No 2656M Harbor Blvd-Rowland Hght	<b>A - 216</b>
	Local Obligor	11/27/96	14,180,000	Los Angeles County CFD No 2	Rowland Heights	<b>A - 217</b>
	<b>Authority</b>	<b>12/12/13</b>	<b>31,650,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>CRA/LA North Hollywood &amp; Hollywood Series A</b>	<b>A - 218</b>
	Local Obligor	12/12/13	14,975,000	Successor Agency to the Los Angeles Community Redevelopment Agency	Hollywood Series G	<b>A - 219</b>
	Local Obligor	12/12/13	16,675,000	Successor Agency to the Los Angeles Community Redevelopment Agency	North Hollywood Series I	<b>A - 220</b>
	<b>Authority</b>	<b>12/12/13</b>	<b>11,875,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>CRA/LA Hollywood Series B</b>	<b>A - 221</b>
	Local Obligor	12/12/13	11,875,000	Successor Agency to the Los Angeles Community Redevelopment Agency	Hollywood Series H	<b>A - 222</b>

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Los Angeles cont.	<b>Authority</b>	<b>12/12/13</b>	<b>10,120,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>CRA/LA Adelante Eastside &amp; Mid-City Series C</b>	<b>A - 223</b>
	Local Obligor	12/12/13	5,515,000	Successor Agency to the Los Angeles Community Redevelopment Agency	Mid-City Recovery Series D	A - 224
	Local Obligor	12/12/13	4,605,000	Successor Agency to the Los Angeles Community Redevelopment Agency	Adelante Eastside Series E	A - 225
	<b>Authority</b>	<b>12/18/13</b>	<b>78,405,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>Claremont, Lynwood, Monterey Park, CRA/LA Little Tokyo, W Hollywood &amp; Alhambra, Series D</b>	<b>A - 226</b>
	Local Obligor	12/18/13	7,965,000	Successor Agency to the Los Angeles Community Redevelopment Agency	Little Tokyo Series F	A - 227
	Local Obligor	12/18/13	5,200,000	Successor Agency to the Claremont Redevelopment Agency	Consolidated Series A	A - 228
	Local Obligor	12/18/13	13,825,000	Successor Agency to the Monterey Park Redevelopment Agency	Atlantic-Garvey No 1 Series A	A - 229
	Local Obligor	12/18/13	7,080,000	Successor Agency to the Monterey Park Redevelopment Agency	Merged Area Series B	A - 230
	Local Obligor	12/18/13	9,370,000	Successor Agency to the W Hollywood Cmnty Development Comm	Eastside Area Series A	A - 231
	Local Obligor	12/18/13	9,785,000	Successor Agency to the Lynwood Redevelopment Agency	Area A Series A	A - 232
	Local Obligor	12/18/13	25,180,000	Successor Agency to the Alhambra Redevelopment Agency	Industrial Series A	A - 233
	<b>Authority</b>	<b>12/18/13</b>	<b>810,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>Lynwood Series F</b>	<b>A - 234</b>
	Local Obligor	12/18/13	810,000	Successor Agency to the Lynwood Redevelopment Agency	Alameda Series A	A - 235
	<b>Authority</b>	<b>12/18/13</b>	<b>12,151,600</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>Covina Series E</b>	<b>A - 236</b>
	Local Obligor	12/18/13	12,151,600	Successor Agency to the Covina Redevelopment Agency	Covina Revitalization No One Series A	A - 237
	<b>Authority</b>	<b>7/17/14</b>	<b>3,920,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>South Gate No 1 Series B</b>	<b>A - 238</b>
	Local Obligor	7/17/14	3,920,000	Successor Agency to the South Gate Community Development Cc	No 1 Series B	A - 239
	<b>Authority</b>	<b>7/17/14</b>	<b>33,215,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>South Gate Redev No 1 &amp; Claremont Series A</b>	<b>A - 240</b>
	Local Obligor	7/17/14	3,380,000	Successor Agency to the Claremont Redevelopment Agency	Series A	A - 241
	Local Obligor	7/10/14	29,835,000	Successor Agency to the South Gate Community Development Cc	No 1 Series A	A - 242
	<b>Authority</b>	<b>10/22/14</b>	<b>22,440,000</b>	<b>Los Angeles County Redevelopment Refunding Authority - Final Report</b>	<b>Bunker Hill Series D</b>	<b>A - 243</b>
	Local Obligor	10/22/14	22,440,000	Successor Agency to the Los Angeles Community Redevelopment Agency - Final Report	Bunker Hill Series N	A - 244
	<b>Authority</b>	<b>10/22/14</b>	<b>148,640,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>Bunker Hill Series C</b>	<b>A - 245</b>
	Local Obligor	10/22/14	148,640,000	Successor Agency to the Los Angeles Community Redevelopment Agency	Bunker Hill Series M	A - 246
	<b>Authority</b>	<b>12/17/14</b>	<b>6,985,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>Covina Series E</b>	<b>A - 247</b>
	Local Obligor	12/17/14	6,985,000	Successor Agency to the Covina Redevelopment Agency	Revitalization Nos 1 & 2 Series A	A - 248
	<b>Authority</b>	<b>6/23/15</b>	<b>74,375,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>Long Beach Series A</b>	<b>A - 249</b>
	Local Obligor	6/23/15	74,375,000	Successor Agency to the Long Beach Redevelopment Agency	Series A	A - 250
	<b>Authority</b>	<b>6/23/15</b>	<b>81,445,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>Long Beach Agency Series B</b>	<b>A - 251</b>
	Local Obligor	6/23/15	81,445,000	Successor Agency to the Long Beach Redevelopment Agency	Series B	A - 252
	<b>Authority</b>	<b>8/4/16</b>	<b>251,285,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>CRA/LA Series A</b>	<b>A - 253</b>
	Local Obligor	8/4/16	251,285,000	Successor Agency to the Los Angeles Community Redevelopment Agency	Series A	A - 254
	<b>Authority</b>	<b>1/24/17</b>	<b>4,725,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>SA West Covina Series A</b>	<b>A - 255</b>
	Local Obligor	1/24/17	4,725,000	Successor Agency to the West Covina Redevelopment Agency	Series A	A - 256



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	<b>Authority</b>	<b>1/24/17</b>	<b>10,655,000</b>	<b>Los Angeles County Redevelopment Refunding Authority</b>	<b>SA West Covina Series B</b>	<b>A - 257</b>
	Local Obligor	1/24/17	10,655,000	Successor Agency to the West Covina Redevelopment Agency	Series B	A - 258
	<b>Authority</b>	<b>11/7/08</b>	<b>19,661,583</b>	<b>Los Angeles County Sanitation Districts Financing Authority</b>	<b>Series A</b>	<b>A - 259</b>
	Local Obligor	11/7/08	2,715,321	Los Angeles County Sanitation District No 2	Calabasas Landfill Gas-to-Energy	A - 261
	Local Obligor	11/7/08	1,962,456	Los Angeles County Sanitation District No 3	Calabasas Landfill Gas-to-Energy	A - 262
	Local Obligor	11/7/08	1,555,783	Los Angeles County Sanitation District No 1	Calabasas Landfill Gas-to-Energy	A - 263
	Local Obligor	11/7/08	1,858,181	Los Angeles County Sanitation District No 5	Calabasas Landfill Gas-to-Energy	A - 264
	Local Obligor	11/7/08	558,914	Los Angeles County Sanitation District No 8	Calabasas Landfill Gas-to-Energy	A - 265
	Local Obligor	11/7/08	2,500,000	Los Angeles County Sanitation District No 15	Calabasas Landfill Gas-to-Energy	A - 266
	Local Obligor	11/7/08	3,044,830	Los Angeles County Sanitation District No 16	Calabasas Landfill Gas-to-Energy	A - 267
	Local Obligor	11/7/08	237,747	Los Angeles County Sanitation District No 17	Calabasas Landfill Gas-to-Energy	A - 268
	Local Obligor	11/7/08	2,467,147	Los Angeles County Sanitation District No 18	Calabasas Landfill Gas-to-Energy	A - 269
	Local Obligor	11/7/08	114,703	Los Angeles County Sanitation District No 19	Calabasas Landfill Gas-to-Energy	A - 270
	Local Obligor	11/7/08	681,959	Los Angeles County Sanitation District No 21	Calabasas Landfill Gas-to-Energy	A - 271
	Local Obligor	11/7/08	1,003,126	Los Angeles County Sanitation District No 22	Calabasas Landfill Gas-to-Energy	A - 272
	Local Obligor	11/7/08	362,877	Los Angeles County Sanitation District No 23	Calabasas Landfill Gas-to-Energy	A - 273
	Local Obligor	11/7/08	154,327	Los Angeles County Sanitation District No 29	Calabasas Landfill Gas-to-Energy	A - 274
	Local Obligor	11/7/08	444,212	Los Angeles County South Bay Cities Sanitation District	Calabasas Landfill Gas-to-Energy	A - 275
	<b>Authority</b>	<b>6/29/11</b>	<b>130,615,000</b>	<b>Los Angeles County Sanitation Districts Financing Authority</b>	<b>Sr Ad Valorem Obligation Bonds Series A</b>	<b>A - 276</b>
	Local Obligor	6/29/11	13,273,096	Los Angeles County Sanitation District No 2	Sr Ad Valorem Obligation Bonds Series A	A - 278
	Local Obligor	6/29/11	8,597,079	Los Angeles County Sanitation District No 1	Sr Ad Valorem Obligation Bonds Series A	A - 279
	Local Obligor	6/29/11	10,082,172	Los Angeles County Sanitation District No 3	Sr Ad Valorem Obligation Bonds Series A	A - 280
	Local Obligor	6/29/11	1,282,639	Los Angeles County Sanitation District No 4	Sr Ad Valorem Obligation Bonds Series A	A - 281
	Local Obligor	6/29/11	21,696,458	Los Angeles County Sanitation District No 5	Sr Ad Valorem Obligation Bonds Series A	A - 282
	Local Obligor	6/29/11	5,242,886	Los Angeles County Sanitation District No 8	Sr Ad Valorem Obligation Bonds Series A	A - 283
	Local Obligor	6/29/11	180,249	Los Angeles County Sanitation District No 9	Sr Ad Valorem Obligation Bonds Series A	A - 284
	Local Obligor	6/29/11	1,819,467	Los Angeles County Sanitation District No 14	Sr Ad Valorem Obligation Bonds Series A	A - 285
	Local Obligor	6/29/11	13,577,429	Los Angeles County Sanitation District No 15	Sr Ad Valorem Obligation Bonds Series A	A - 286
	Local Obligor	6/29/11	8,461,240	Los Angeles County Sanitation District No 16	Sr Ad Valorem Obligation Bonds Series A	A - 287
	Local Obligor	6/29/11	1,082,798	Los Angeles County Sanitation District No 17	Sr Ad Valorem Obligation Bonds Series A	A - 288
	Local Obligor	6/29/11	7,421,544	Los Angeles County Sanitation District No 18	Sr Ad Valorem Obligation Bonds	A - 289
	Local Obligor	6/29/11	2,392,867	Los Angeles County Sanitation District No 19	Sr Ad Valorem Obligation Bonds	A - 290
	Local Obligor	6/29/11	1,516,440	Los Angeles County Sanitation District No 20	Sr Ad Valorem Obligation Bonds	A - 291
	Local Obligor	6/29/11	8,004,087	Los Angeles County Sanitation District No 21	Sr Ad Valorem Obligation Bonds	A - 292
	Local Obligor	6/29/11	6,926,513	Los Angeles County Sanitation District No 22	Sr Ad Valorem Obligation Bonds	A - 293
	Local Obligor	6/29/11	1,462,888	Los Angeles County Sanitation District No 23	Sr Ad Valorem Obligation Bonds	A - 294
	Local Obligor	6/29/11	252,087	Los Angeles County Sanitation District No 27	Sr Ad Valorem Obligation Bonds	A - 295
	Local Obligor	6/29/11	382,702	Los Angeles County Sanitation District No 28	Sr Ad Valorem Obligation Bonds	A - 296
	Local Obligor	6/29/11	120,166	Los Angeles County Sanitation District No 29	Sr Ad Valorem Obligation Bonds	A - 297
	Local Obligor	6/29/11	3,700,323	Los Angeles County South Bay Cities Sanitation District	Sr Ad Valorem Obligation Bonds	A - 298
	Local Obligor	6/29/11	13,139,869	Los Angeles County Santa Clarita Valley Sanitation District	Sr Ad Valorem Obligation Bonds	A - 299

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Los Angeles cont.	<b>Authority</b>	<b>6/6/13</b>	<b>107,620,000</b>	<b>Los Angeles County Sanitation Districts Financing Authority</b>	<b>S Ad Valorem Obligation Bonds Series A</b>	<b>A - 300</b>
	Local Obligor	6/6/13	10,936,344	Los Angeles County Sanitation District No 2	S Ad Valorem Obligation Bonds Series A	A - 302
	Local Obligor	6/6/13	7,083,548	Los Angeles County Sanitation District No 1	Sr Ad Valorem Obligation Bonds Series A	A - 303
	Local Obligor	6/6/13	8,307,188	Los Angeles County Sanitation District No 3	Sr Ad Valorem Obligation Bonds Series A	A - 304
	Local Obligor	6/6/13	1,056,828	Los Angeles County Sanitation District No 4	Sr Ad Valorem Obligation Bonds Series A	A - 305
	Local Obligor	6/6/13	17,876,758	Los Angeles County Sanitation District No 5	Sr Ad Valorem Obligation Bonds Series A	A - 306
	Local Obligor	6/6/13	4,319,867	Los Angeles County Sanitation District No 8	Sr Ad Valorem Obligation Bonds Series A	A - 307
	Local Obligor	6/6/13	148,516	Los Angeles County Sanitation District No 9	Sr Ad Valorem Obligation Bonds Series A	A - 308
	Local Obligor	6/6/13	1,499,147	Los Angeles County Sanitation District No 14	Sr Ad Valorem Obligation Bonds Series A	A - 309
	Local Obligor	6/6/13	11,187,099	Los Angeles County Sanitation District No 15	Sr Ad Valorem Obligation Bonds Series A	A - 310
	Local Obligor	6/6/13	6,971,624	Los Angeles County Sanitation District No 16	Sr Ad Valorem Obligation Bonds Series A	A - 311
	Local Obligor	6/6/13	892,170	Los Angeles County Sanitation District No 17	Sr Ad Valorem Obligation Bonds Series A	A - 312
	Local Obligor	6/6/13	6,114,968	Los Angeles County Sanitation District No 18	Sr Ad Valorem Obligation Bonds Series A	A - 313
	Local Obligor	6/6/13	1,971,598	Los Angeles County Sanitation District No 19	Sr Ad Valorem Obligation Bonds Series A	A - 314
	Local Obligor	6/6/13	1,249,468	Los Angeles County Sanitation District No 20	Sr Ad Valorem Obligation Bonds Series A	A - 315
	Local Obligor	6/6/13	6,594,954	Los Angeles County Sanitation District No 21	Sr Ad Valorem Obligation Bonds Series A	A - 316
	Local Obligor	6/6/13	5,707,089	Los Angeles County Sanitation District No 22	Sr Ad Valorem Obligation Bonds Series A	A - 317
	Local Obligor	6/6/13	1,205,344	Los Angeles County Sanitation District No 23	Sr Ad Valorem Obligation Bonds Series A	A - 318
	Local Obligor	6/6/13	207,707	Los Angeles County Sanitation District No 27	Sr Ad Valorem Obligation Bonds Series A	A - 319
	Local Obligor	6/6/13	315,327	Los Angeles County Sanitation District No 28	Sr Ad Valorem Obligation Bonds Series A	A - 320
	Local Obligor	6/6/13	99,010	Los Angeles County Sanitation District No 29	Sr Ad Valorem Obligation Bonds Series A	A - 321
	Local Obligor	6/6/13	3,048,875	Los Angeles County South Bay Cities Sanitation District	Sr Ad Valorem Obligation Bonds Series A	A - 322
	Local Obligor	6/6/13	10,826,572	Los Angeles County Santa Clarita Valley Sanitation District	Sr Ad Valorem Obligation Bonds Series A	A - 323
	<b>Authority</b>	<b>4/1/15</b>	<b>149,940,000</b>	<b>Los Angeles County Sanitation Districts Financing Authority</b>	<b>District No 14 Series A</b>	<b>A - 324</b>
	Local Obligor	4/1/15	149,940,000	Los Angeles County Sanitation District No 14	Series A	A - 325
	<b>Authority</b>	<b>10/12/16</b>	<b>170,265,000</b>	<b>Los Angeles County Sanitation Districts Financing Authority</b>	<b>District No 20 Series A</b>	<b>A - 326</b>
	Local Obligor	10/12/16	170,265,000	Los Angeles County Sanitation District No 20	Series A	A - 327
	<b>Authority</b>	<b>10/20/03</b>	<b>9,135,000</b>	<b>Maywood Public Financing Authority - Failed to Report</b>	<b>Merged</b>	
	LOAN	10/20/03	9,135,000	Maywood Redevelopment Agency - Failed to Report	Merged	
	<b>Authority</b>	<b>9/7/17</b>	<b>17,875,000</b>	<b>Lynwood Utility Authority</b>	<b>Water Wells Series B</b>	<b>A - 328</b>
	Local Obligor	9/7/17	17,875,000	Lynwood	Water Wells Series B	A - 329
	<b>Authority</b>	<b>8/1/17</b>	<b>5,750,000</b>	<b>Lynwood Utility Authority</b>	<b>Series A</b>	<b>A - 330</b>
	Local Obligor	8/1/17	5,750,000	Lynwood Utility Authority	Enterprise Lease Agreement w/Lynwood Util Auth	A - 331
	<b>Authority</b>	<b>3/21/17</b>	<b>17,475,000</b>	<b>Palmdale Financing Authority</b>		<b>A - 332</b>
	Local Obligor	3/21/17	17,475,000	Palmdale		A - 333
	<b>Authority</b>	<b>6/19/18</b>	<b>12,805,000</b>	<b>Palmdale Water District Public Financing Authority</b>	<b>Series A</b>	<b>A - 334</b>
	Local Obligor	6/19/18	12,805,000	Palmdale Water District		A - 335
	<b>Authority</b>	<b>5/17/06</b>	<b>3,985,000</b>	<b>Pasadena Public Financing Authority</b>	<b>Fair Oaks, Villa-Parke, Lake/Washington</b>	<b>A - 336</b>
	Local Obligor	5/17/06	805,000	Pasadena Community Development Commission - Final Report	Lake/Washington	A - 337
	Local Obligor	5/17/06	710,000	Pasadena Community Development Commission - Retired	Villa-Parke	
	Local Obligor	5/17/06	2,470,000	Pasadena Community Development Commission	Fair Oaks	A - 338
	<b>Authority</b>	<b>1/24/01</b>	<b>40,710,000</b>	<b>Pico Rivera Water Authority</b>	<b>No 1 Series A</b>	<b>A - 339</b>
	Local Obligor	1/30/01	40,710,000	Pico Rivera Redevelopment Agency	No 1	A - 340
	<b>Authority</b>	<b>12/4/03</b>	<b>46,650,000</b>	<b>Pomoma Public Financing Authority</b>	<b>Merged Series AH</b>	<b>A - 341</b>
	LOAN	12/4/03	46,650,000	Pomona Redevelopment Agency	Merged Series AH	A - 342

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Los Angeles cont.	Authority	3/17/05	11,370,000	Pomoma Public Financing Authority	AD No 294 Rio Rancho Rd/Old Pomona Rds Sers AL	A - 343
	Local Obligor	3/17/05	9,524,000	Pomona Redevelopment Agency	AD No 294 Rio Rancho Rd/Old Pomona Rds Sers AM	A - 344
	Authority	12/20/05	10,065,000	Pomoma Public Financing Authority	Merged Series AQ	A - 345
	LOAN	12/20/05	10,065,000	Pomona Redevelopment Agency	Merged	A - 346
	Authority	6/28/07	8,375,000	Pomoma Public Financing Authority	Merged Series AW	A - 347
	LOAN	6/28/07	8,375,000	Pomona Redevelopment Agency	Merged	A - 348
	Authority	6/20/17	50,475,000	Pomoma Public Financing Authority	Series BG	A - 349
	Local Obligor	6/20/17	50,475,000	Pomona		A - 350
	Authority	1/29/08	7,645,000	Redondo Beach Public Financing Authority	South Bay Center	A - 351
	LOAN	7/1/96	8,660,000	Redondo Beach Redevelopment Agency	South Bay Center	A - 352
	Authority	5/22/18	11,295,000	Santa Clarita Public Financing Authority	Streetlights Acquisition & Retrofit Series A	A - 353
	Local Obligor	5/22/18	11,295,000	Santa Clarita	Streetlights Acquisition & Retrofit Series A	A - 354
	Authority	5/22/18	4,005,000	Santa Clarita Public Financing Authority	Streetlights Acquisition & Retrofit Series B	A - 355
	Local Obligor	5/22/18	4,005,000	Santa Clarita	Streetlights Acquisition & Retrofit Series B	A - 356
	Authority	1/18/18	1,800,000	Santa Fe Springs Water Utility Authority		A - 357
	Local Obligor	1/18/18	1,800,000	Santa Fe Springs		A - 358
	Authority	8/22/17	68,565,000	Santa Monica Public Financing Authority	City Services Building Green Bonds	A - 359
	Local Obligor	8/22/17	68,565,000	Santa Monica	City Services Building Green Bonds	A - 360
	Authority	5/22/18	34,220,000	Santa Monica Public Financing Authority	Downtown Fire Station & Pub Safety Fac	A - 361
	Local Obligor	5/22/18	34,220,000	Santa Monica	Downtown Fire Station & Public Safety Facility	A - 362
Authority	1/28/16	45,645,000	Saugus/Hart School Facilities Financing Authority	CFD No 2006-1 IAs 1, 2 & 3	A - 363	
Local Obligor	1/28/16	20,040,000	Saugus Union School District CFD No 2006-1	IA No 1	A - 364	
Local Obligor	1/28/16	21,815,000	Saugus Union School District CFD No 2006-1	IA No 2 West Creek ES (West Creek Village)	A - 365	
Local Obligor	1/28/16	3,790,000	Saugus Union School District CFD No 2006-1	IA No 3 West Creek ES (West Creek Village)	A - 366	
Authority	1/10/18	8,640,000	Signal Hill Municipal Financing Authority	Library	A - 367	
Local Obligor	1/10/18	8,640,000	Signal Hill	Library	A - 368	
Authority	8/26/11	52,290,000	Upper Santa Clara Valley Joint Powers Authority - Final Report	Santa Clarita Wtr Division Series A	A - 369	
Local Obligor	8/26/11	52,290,000	Castaic Lake Water Agency - Final Report		A - 370	
Authority	9/11/17	50,745,000	Upper Santa Clara Valley Joint Powers Authority	Santa Clarita Water Division Series A	A - 371	
Local Obligor	9/11/17	50,745,000	Castaic Lake Water Agency	Santa Clarita Water Division	A - 372	
Authority	1/23/18	26,735,000	Upper Santa Clara Valley Joint Powers Authority	XYZ Retail System Series A	A - 373	
Local Obligor	1/23/18	26,735,000	Santa Clara Valley Water Agency	XYZ Retail System Series A	A - 374	
Authority	6/27/18	22,845,000	Valley County Water District Financing Authority	Series A	A - 375	
Local Obligor	6/27/18	22,845,000	Valley County Water District		A - 376	
Authority	3/17/05	15,020,000	William S Hart Joint School Financing Authority	CFD Nos 87-1, 88-4 & 89-2	A - 377	
Local Obligor	2/21/95	4,705,000	William S Hart Union High School District CFD No 87-1		A - 378	
Local Obligor	2/21/95	1,790,000	William S Hart Union High School District CFD No 89-2 - Retired			
Local Obligor	2/21/95	14,200,000	William S Hart Union High School District CFD No 88-4 - Retired			

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Los Angeles cont.	Authority	1/21/13	7,840,000	William S Hart Joint School Financing Authority	CFD Nos 2000-1, 99-1, 90-1	A - 379
	Local Obligor	1/29/13	5,825,000	Saugus/Hart School Facilities Financing Authority CFD No 2000-1		A - 380
	Local Obligor	1/29/13	1,460,000	William S Hart Union High School District CFD No 99-1		A - 381
	Local Obligor	1/21/13	555,000	William S Hart Union High School District CFD No 90-1		A - 382
Madera	Authority	5/23/17	2,910,000	Chowchilla Public Financing Authority	New Clean Renewable Energy Bonds Series A	A - 383
	Local Obligor	5/23/17	2,910,000	Chowchilla	Installment Sale Agreement w/Chowchilla PFA (Series A NCREBs)	A - 384
	Authority	5/23/17	165,000	Chowchilla Public Financing Authority	Series B	A - 385
	Local Obligor	5/23/17	165,000	Chowchilla	Installment Sale Agmt w/Chowchilla PFA (2017 Series B Rev Bonc	A - 386
	Authority	5/23/17	235,000	Chowchilla Public Financing Authority	Series C	A - 387
	Local Obligor	5/23/17	235,000	Chowchilla	Installment Sale Agmt w/Chowchilla PFA (2017 Series C Rev Bonc	A - 388
	Authority	6/15/17	2,515,000	Chowchilla Public Financing Authority	New Clean Renewable Energy Bonds Series A	A - 389
	Local Obligor	6/15/17	2,515,000	Chowchilla	Installment Sale Agreement w/Chowchilla PFA (Series A Rev Bonc	A - 390
	Authority	6/15/17	100,000	Chowchilla Public Financing Authority	Series B	A - 391
	Local Obligor	6/15/17	100,000	Chowchilla	Installment Sale Agreement w/Chowchilla PFA (Series B Rev Bonc	A - 392
	Authority	6/15/17	5,545,000	Chowchilla Public Financing Authority	Series C	A - 393
	Local Obligor	6/15/17	5,545,000	Chowchilla	Installment Sale Agreement w/Chowchilla PFA (Series C Rev Bonc	A - 394
	Authority	7/19/17	1,970,000	Chowchilla Public Financing Authority	New Clean Renewable Energy Bonds Series A	A - 395
Local Obligor	7/19/17	1,970,000	Chowchilla	CREBs Series A	A - 396	
Authority	7/19/17	105,000	Chowchilla Public Financing Authority	Series B	A - 397	
Local Obligor	7/19/17	105,000	Chowchilla	Series B	A - 398	
Authority	7/19/17	1,555,000	Chowchilla Public Financing Authority	Series C	A - 399	
Local Obligor	7/19/17	1,555,000	Chowchilla	Series C	A - 400	
Authority	1/5/18	895,900	Madera Public Financing Authority	Police Station Lease	A - 401	
Local Obligor	1/5/18	895,900	Madera	Police Station	A - 402	
Authority	1/5/18	1,657,100	Madera Public Financing Authority	1993 VRD Bonds Madera Municipal Golf Course	A - 403	
Local Obligor	1/5/18	1,657,100	Madera	Madera Municipal Golf Course	A - 404	
Marin	Authority	7/15/16	9,475,000	Belvedere Public Financing Authority	Consolidated Util Undergrounding Reassessment	A - 405
	Local Obligor	7/15/16	9,011,209	Belvedere	Consolidated Utility Undergrounding ReAD	A - 406
	Authority	8/21/08	2,231,000	Fairfax Financing Authority		A - 407
	Local Obligor	8/21/08	1,977,600	Fairfax		A - 408
Authority	2/15/12	2,880,000	Fairfax Financing Authority		A - 409	
Local Obligor	3/1/12	2,772,800	Fairfax		A - 410	
Authority	6/26/18	25,160,000	Larkspur Public Financing Authority		A - 411	
Local Obligor	6/26/18	25,160,000	Larkspur		A - 412	

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Marin cont.	<b>Authority</b>	<b>8/16/13</b>	<b>6,163,324</b>	<b>Marin County Open Space Financing Authority</b>		<b>A - 413</b>
	Local Obligor	5/24/02	598,000	Marin County Open Space District	Consolidated ReAD	<b>A - 414</b>
	Local Obligor	5/24/02	3,975,000	Marin County Open Space District CFD No 1993-1	Old St Hilary's Open Space	<b>A - 415</b>
	Local Obligor	5/24/02	3,916,000	Marin County Open Space District CFD No 1997-1	Old St Hilary's Open Space	<b>A - 416</b>
	<b>Authority</b>	<b>7/20/17</b>	<b>36,120,000</b>	<b>Marin Municipal Water District Financing Authority</b>		<b>A - 417</b>
	Local Obligor	7/20/17	36,120,000	Marin Municipal Water District		<b>A - 418</b>
	<b>Authority</b>	<b>4/11/17</b>	<b>38,365,000</b>	<b>Marin Public Financing Authority</b>		<b>A - 419</b>
	Local Obligor	4/11/17	38,365,000	Las Gallinas Valley Sanitary District	<b>Las Gallinas Valley Sanitary District</b>	<b>A - 420</b>
	<b>Authority</b>	<b>12/22/15</b>	<b>2,885,000</b>	<b>Mill Valley Public Financing Authority</b>		<b>A - 421</b>
	Local Obligor	1/22/97	4,600,000	Mill Valley CFD No 1996-1	<b>CFD No 1996-1 Public Library Series A</b> Library Renovation/Expansion	<b>A - 422</b>
	<b>Authority</b>	<b>12/14/17</b>	<b>21,870,000</b>	<b>Ross Valley Public Financing Authority</b>		<b>A - 423</b>
	Local Obligor	12/14/17	21,870,000	Ross Valley Sanitary District	<b>Ross Valley SD (San Dist No 1 of Marin Co)</b> San Dist No 1 of Marin Co	<b>A - 424</b>
	<b>Authority</b>	<b>3/14/18</b>	<b>45,485,000</b>	<b>San Rafael Joint Powers Financing Authority</b>		<b>A - 425</b>
Local Obligor	3/22/18	45,485,000	San Rafael	<b>Public Safety Facilities-Green Bonds</b> Public Safety Facilities-Green Bonds	<b>A - 426</b>	
<b>Authority</b>	<b>8/2/16</b>	<b>10,505,000</b>	<b>Tiburon Public Facilities Financing Authority</b>		<b>A - 427</b>	
Local Obligor	8/2/16	9,889,321	Tiburon	<b>Consolidated ReAD</b> Consolidated ReAD	<b>A - 428</b>	
Merced	<b>Authority</b>	<b>7/26/17</b>	<b>19,820,000</b>	<b>Merced Irrigation District Financing Authority</b>		<b>A - 429</b>
	Local Obligor	7/26/17	19,820,000	Merced Irrigation District	<b>Electric System Imp Series A</b> Installment Purchase Agreement w/Merced Irrigation Dist FA	<b>A - 430</b>
Mono	<b>Authority</b>	<b>7/15/03</b>	<b>1,550,000</b>	<b>June Lake Public Financing Authority</b>		<b>A - 431</b>
	Local Obligor	6/12/01	927,925	June Lake Public Utility District	<b>June Lake PUD</b> The West Village	<b>A - 432</b>
	Local Obligor	7/15/03	559,000	June Lake Public Utility District	Williams Tract Assessment Distnct No 1	<b>A - 433</b>
Monterey	<b>Authority</b>	<b>6/6/18</b>	<b>22,890,000</b>	<b>Monterey County Financing Authority</b>		<b>A - 434</b>
	Local Obligor	6/6/18	22,890,000	Monterey County Water Resources Agency	<b>Salinas Valley Water</b> Salinas Valley Water	<b>A - 435</b>
	<b>Authority</b>	<b>7/25/13</b>	<b>3,780,000</b>	<b>Soledad Public Financing Authority</b>		<b>A - 436</b>
Local Obligor	7/25/13	3,540,000	Soledad	<b>Diamond Ridge AD No 2002-01</b> Diamond Ridge AD No 2002-01	<b>A - 437</b>	
Napa	<b>Authority</b>	<b>9/1/13</b>	<b>5,795,200</b>	<b>American Canyon Financing Authority</b>		<b>A - 438</b>
	Local Obligor	12/17/04	6,595,000	American Canyon	ReAD No 2004-01 La Vigne Subdivision	<b>A - 439</b>
	<b>Authority</b>	<b>9/26/17</b>	<b>8,500,000</b>	<b>Yountville Financing Authority</b>		<b>A - 440</b>
Local Obligor	9/26/17	8,500,000	Yountville	<b>Town Center</b> Lease Agreement w/Yountville FA (Town Center)	<b>A - 441</b>	
Nevada	<b>Authority</b>	<b>7/26/01</b>	<b>5,085,000</b>	<b>Grass Valley Capital Improvement Authority - Failed to Report</b>		
	<b>Authority</b>	<b>8/7/01</b>	<b>319,000</b>	<b>Grass Valley Capital Improvement Authority - Retired</b>		
	Local Obligor	8/7/01	4,052,000	Grass Valley - Failed to Report	<b>Whispering Pines/Morgan Ranch ReAD Series A</b> Whispering Pines/Morgan Ranch ReAD Series A	
	Local Obligor	8/7/01	319,000	Grass Valley - Retired	Whispering Pines/Morgan Ranch ReAD Series B	
	<b>Authority</b>	<b>1/27/10</b>	<b>9,385,000</b>	<b>Truckee Public Financing Authority</b>		<b>A - 442</b>
LOAN	1/27/10	9,385,000	Truckee Redevelopment Agency	<b>Series A</b>	<b>A - 443</b>	

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	LOAN	1/27/10	3,355,000	Truckee Redevelopment Agency	Recovery Zone	A - 445
	Authority	9/7/17	4,265,000	Truckee Public Financing Authority	Service Center	A - 446
	Local Obligor	9/7/17	4,265,000	Truckee	Service Center	A - 447
Orange	Authority	1/11/18	45,705,000	Anaheim Housing and Public Improvements Authority		A - 448
	Local Obligor	1/11/18	45,705,000	Anaheim		A - 449
	Authority	6/2/05	15,405,000	Brea Public Financing Authority	Brea Olinda USD CFD No 95-1 & Brea CFD No 1997-1 Series A	A - 450
	Local Obligor	6/2/05	2,300,000	Brea Olinda Unified School District CFD No 95-1	Olinda Heights Series B	A - 451
	Local Obligor	6/2/05	6,440,000	Brea Olinda Unified School District CFD No 95-1	Olinda Heights Series A	A - 452
	Local Obligor	6/2/05	6,665,000	Brea CFD No 1997-1	Olinda Heights	A - 453
	Authority	10/22/08	2,025,000	Brea Public Financing Authority	Area C Series B	A - 454
	LOAN	10/22/08	2,025,000	Brea Redevelopment Agency	Housing	A - 455
	Authority	3/27/13	7,155,000	Buena Park Public Financing Authority	Buena Park	A - 456
	Local Obligor	8/28/03	7,655,000	Buena Park CFD No 2001-1	Mall	A - 457
	Authority	10/4/17	29,735,000	Costa Mesa Financing Authority		A - 458
	Local Obligor	10/4/17	29,735,000	Costa Mesa		A - 459
	Authority	12/7/05	74,600,000	Fullerton Public Financing Authority	Orangefair, Central & East	A - 460
	LOAN	12/7/05	30,485,000	Fullerton Redevelopment Agency	Central Fullerton	A - 461
	LOAN	12/7/05	18,090,000	Fullerton Redevelopment Agency	Fullerton RDA Orangefair Business District	A - 462
	LOAN	12/7/05	26,025,000	Fullerton Redevelopment Agency	East Fullerton	A - 463
	Authority	7/10/13	15,400,000	Fullerton School District Financing Authority	Sr Lien Bonds Series A	A - 464
	Authority	7/10/13	1,075,000	Fullerton School District Financing Authority	Jr Lien Bonds Series B	A - 465
	Local Obligor	7/10/13	960,000	Fullerton School District CFD No 2000-1		A - 466
	Local Obligor	7/10/13	15,515,000	Fullerton School District CFD No 2001-1		A - 467
	Authority	4/20/06	82,860,000	Irvine Unified School District Financing Authority	Group II Northwood & Woodbury	A - 468
	Local Obligor	4/20/06	9,000,000	Irvine Unified School District CFD No 04-1 - Retired	Northwood Master Planned Community	
	Local Obligor	4/20/06	38,000,000	Irvine Unified School District CFD No 04-2	IA A Woodbury	A - 469
	Local Obligor	4/20/06	23,935,000	Irvine Unified School District CFD No 04-2- Retired	Woodbury IA B Master Planned Community	
	Authority	11/30/16	61,660,000	Irvine Unified School District Financing Authority	CFD Nos 04-1 & 04-2	A - 470
	Local Obligor	11/30/16	16,300,000	Irvine Unified School District CFD No 04-2	IA B Woodbury Series A	A - 471
	Local Obligor	11/30/16	38,885,000	Irvine Unified School District CFD No 04-2	IA A Woodbury Series A	A - 472
	Local Obligor	11/30/16	6,475,000	Irvine Unified School District CFD No 04-1	Northwood Series A	A - 473
	Authority	1/8/97	29,484,918	Saddleback Valley Unified School District Public Financing Authority	CFD Nos 88-1, 89-2 & 89-3 Sr Lien Series A	A - 474
	Local Obligor	1/8/97	15,686,602	Saddleback Valley Unified School District CFD No 89-2	Rancho Trabuco South	A - 475
	Local Obligor	1/8/97	12,213,718	Saddleback Valley Unified School District CFD No 89-3	Rancho Trabuco North	A - 476
	Local Obligor	1/8/97	2,365,000	Saddleback Valley Unified School District CFD No 88-1	Town Center	A - 477
	Authority	12/2/98	13,705,000	Saddleback Valley Unified School District Public Financing Authority	CFD Nos 88-1, 89-2, 89-3 & 89-4	A - 478
	Local Obligor	12/2/98	8,635,000	Saddleback Valley Unified School District CFD No 88-1	Town Center	A - 479
	Local Obligor	12/2/98	3,208,398	Saddleback Valley Unified School District CFD No 89-2	Rancho Trabuco South	A - 480
	Local Obligor	12/2/98	891,282	Saddleback Valley Unified School District CFD No 89-3	Rancho Trabuco North	A - 481
	Local Obligor	12/2/98	970,000	Saddleback Valley Unified School District CFD No 89-4 - Retired	Dove Canyon	

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Orange cont.	<b>Authority</b>	<b>1/22/09</b>	<b>38,490,000</b>	<b>Santa Margarita/Dana Point Authority</b>	<b>ID Nos 2, 3 &amp; 4</b>	<b>A - 482</b>
	Local Obligor	1/22/09	6,365,000	Santa Margarita Water District	ID No 2 Reliability Enhancement	A - 483
	Local Obligor	1/22/09	4,240,000	Santa Margarita Water District	ID No 3 Reliability Enhancement	A - 484
	Local Obligor	1/22/09	27,885,000	Santa Margarita Water District	ID No 4 Reliability Enhancement	A - 485
	<b>Authority</b>	<b>11/10/09</b>	<b>36,205,000</b>	<b>Santa Margarita/Dana Point Authority</b>	<b>ID Nos 2, 2A, 3 &amp; 4 Series B</b>	<b>A - 486</b>
	Local Obligor	11/10/09	555,000	Santa Margarita Water District - Retired	ID No 2	
	Local Obligor	11/10/09	2,105,000	Santa Margarita Water District - Retired	ID No 2A	
	Local Obligor	11/10/09	3,680,000	Santa Margarita Water District	ID No 3	A - 487
	Local Obligor	11/10/09	29,865,000	Santa Margarita Water District	ID No 4	A - 488
	<b>Authority</b>	<b>6/3/14</b>	<b>65,340,000</b>	<b>Santa Margarita/Dana Point Authority</b>	<b>ID Nos 2,2A,3,3A,4,4A &amp; 4B Series A</b>	<b>A - 489</b>
	Local Obligor	6/3/14	385,000	Santa Margarita Water District - Final Report	Imp Dist No 2 Series A	A - 490
	Local Obligor	6/3/14	445,000	Santa Margarita Water District - Final Report	Imp Dist No 2A Series A	A - 491
	Local Obligor	6/3/14	1,690,000	Santa Margarita Water District	Imp Dist No 3 Series A	A - 492
	Local Obligor	6/3/14	6,810,000	Santa Margarita Water District	Imp Dist No 3A Series A	A - 493
	Local Obligor	6/3/14	32,280,000	Santa Margarita Water District	Imp Dist No 4 Series A	A - 494
	Local Obligor	6/3/14	14,375,000	Santa Margarita Water District	Imp Dist No 4A Series A	A - 495
	Local Obligor	6/3/14	9,355,000	Santa Margarita Water District	Imp Dist No 4B Series A	A - 496
	<b>Authority</b>	<b>4/6/17</b>	<b>29,375,000</b>	<b>Santa Margarita/Dana Point Authority</b>	<b>ID Nos 2, 3 &amp; 4 Series A</b>	<b>A - 497</b>
	Local Obligor	4/6/17	4,860,000	Santa Margarita Water District	ID No 2 Series A	A - 498
	Local Obligor	4/6/17	3,230,000	Santa Margarita Water District	ID No 3 Series A	A - 499
	Local Obligor	4/6/17	21,285,000	Santa Margarita Water District	ID No 4 Series A	A - 500
	<b>Authority</b>	<b>7/9/99</b>	<b>75,920,000</b>	<b>South Orange County Public Financing Authority</b>	<b>CFD Nos 86-1, 87-5E, 87-6 87-7 &amp; 87-9 Series A</b>	<b>A - 501</b>
	Local Obligor	7/7/99	4,050,000	Orange County CFD No 87-9 - Retired	Santa Teresita	
	Local Obligor	7/7/99	32,335,000	Orange County CFD No 86-1 - Final Report	Rancho Santa Margarita	A - 502
	Local Obligor	7/7/99	12,780,000	Orange County CFD No 87-5E	Rancho Santa Margarita	A - 503
	Local Obligor	7/7/99	9,330,000	Orange County CFD No 87-6 - Retired	Baker Ranch	
	Local Obligor	7/7/99	17,425,000	Orange County CFD No 87-7 - Retired	Los Alisos	
	<b>Authority</b>	<b>10/15/99</b>	<b>23,835,000</b>	<b>South Orange County Public Financing Authority</b>	<b>Newport Coast ReAD 99-1R</b>	<b>A - 504</b>
	Local Obligor	10/15/99	23,835,000	Orange County	Newport Coast ReAD No 99-1R	A - 505
	<b>Authority</b>	<b>8/8/01</b>	<b>10,785,000</b>	<b>South Orange County Public Financing Authority</b>	<b>Newport Coast ReAD No 01-1R</b>	<b>A - 506</b>
	Local Obligor	8/8/01	10,785,000	Orange County	Newport Coast ReAD No 01-1R	A - 507
	<b>Authority</b>	<b>6/17/04</b>	<b>92,370,000</b>	<b>South Orange County Public Financing Authority</b>	<b>CFD Nos 87-1, 87-3, 87-4, 87-5A,B,C,D &amp; 87-8 Fthill Area Ser A</b>	<b>A - 508</b>
	Local Obligor	8/5/94	30,412,976	Orange County CFD No 87-8	Coto de Caza	A - 510
	Local Obligor	8/5/94	12,042,509	Orange County CFD No 87-5D	Rancho Santa Margarita	A - 511
	Local Obligor	8/5/94	15,221,979	Orange County CFD No 87-5C	Rancho Santa Margarita	A - 512
	Local Obligor	8/5/94	27,396,720	Orange County CFD No 87-5B	Rancho Santa Margarita	A - 513
	Local Obligor	8/5/94	8,863,770	Orange County CFD No 87-5A	Rancho Santa Margarita	A - 514
	Local Obligor	8/5/94	71,435,333	Orange County CFD No 87-4	Foothill Ranch	A - 515
	Local Obligor	8/5/94	49,697,035	Orange County CFD No 87-3 - Retired	Mission Viejo	
	Local Obligor	8/5/94	7,632,808	Orange County CFD No 87-1 - Retired	Dimensions Bus Pk	
	<b>Authority</b>	<b>11/16/05</b>	<b>84,015,000</b>	<b>South Orange County Public Financing Authority - Final Report</b>	<b>Ladera Ranch CFD Nos 99-1, 2000-1 &amp; 2001-1</b>	<b>A - 516</b>
	Local Obligor	11/16/05	22,560,000	Orange County CFD No 99-1 - Final Report	Ladera Ranch	A - 517
	Local Obligor	11/16/05	28,890,000	Orange County CFD No 2000-1 - Final Report	Ladera Ranch	A - 518
	Local Obligor	11/16/05	32,565,000	Orange County CFD No 2001-1 - Final Report	Ladera Ranch	A - 519

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Orange cont.	<b>Authority</b>	<b>1/15/14</b>	<b>64,545,000</b>	<b>South Orange County Public Financing Authority</b>	<b>CFD Nos 2002-1 &amp; 2003-1 Ladera Ranch Ser A</b>	<b>A - 520</b>	
	Local Obligor	1/15/14	57,585,000	Orange County CFD No 2002-1	Ladera Ranch	A - 521	
	<b>Authority</b>	<b>1/15/14</b>	<b>40,000,000</b>	<b>South Orange County Public Financing Authority</b>	<b>CFD Nos 2002-1 &amp; 2003-1 Ladera Ranch Ser B</b>	<b>A - 522</b>	
	Local Obligor	1/15/14	46,960,000	Orange County CFD No 2003-1	Ladera Ranch	A - 523	
	<b>Authority</b>	<b>1/24/18</b>	<b>51,940,000</b>	<b>South Orange County Public Financing Authority</b>	<b>CFD Nos 99-1, 2000-1 &amp; 2001-1 Ladera Ranch Series A</b>	<b>A - 524</b>	
	Local Obligor	1/24/18	13,235,000	Orange County CFD No 99-1	Ladera Ranch Series A	A - 525	
	Local Obligor	1/24/18	17,225,000	Orange County CFD No 2000-1	Ladera Ranch Series A	A - 526	
	Local Obligor	1/24/18	21,480,000	Orange County CFD No 2001-1	Ladera Ranch	A - 527	
	<b>Authority</b>	<b>3/28/14</b>	<b>100,800,000</b>	<b>Tustin Unified School District Financing Authority</b>	<b>CFD Nos 07-1 &amp; 14-1</b>	<b>A - 528</b>	
	Local Obligor	3/28/14	15,745,000	Tustin Unified School District CFD No 07-1		A - 529	
	Local Obligor	3/28/14	85,055,000	Tustin Unified School District CFD No 14-1		A - 530	
	<b>Authority</b>	<b>4/26/17</b>	<b>29,335,000</b>	<b>Yorba Linda Water District Financing Authority</b>	<b>Series A</b>	<b>A - 531</b>	
	Local Obligor	4/26/17	29,335,000	Yorba Linda Water District	Series A	A - 532	
	Placer	<b>Authority</b>	<b>7/9/03</b>	<b>3,985,000</b>	<b>Auburn Valley Public Financing Authority</b>	<b>Auburn Valley Community Services District</b>	<b>A - 533</b>
		Local Obligor	7/9/03	1,495,000	Auburn Valley Community Services District	Spyglass Circle ReAD	A - 534
LOAN		7/22/03	2,490,000	Auburn Valley Community Services District - Failed to Report	Loan Obligation		
<b>Authority</b>		<b>12/5/00</b>	<b>12,275,000</b>	<b>Lincoln Public Finance Authority - Final Report</b>	<b>ReAD 1994-2 Sewer &amp; Wtr System &amp; Airport Sublease &amp; 89 Lo</b>	<b>A - 535</b>	
Local Obligor		12/5/00	425,000	Lincoln - Retired	Airport and Master		
LOAN		12/5/00	2,470,000	Lincoln - Retired	89 Loan Sub Agreement		
Local Obligor		12/5/00	2,890,000	Lincoln - Retired	Sewer System Sub Master		
Local Obligor		12/5/00	660,000	Lincoln - Retired	1994-2 Limited Obligation		
Local Obligor		12/5/00	1,035,000	Lincoln - Retired	Water System Sub Master		
LOAN		12/5/00	1,640,000	Lincoln - Final Report	LPFA 2000	A - 537	
LOAN		12/5/00	3,155,000	Lincoln - Final Report	1994 Loan Sub Agreement	A - 538	
<b>Authority</b>		<b>5/8/07</b>	<b>102,870,000</b>	<b>Lincoln Public Financing Authority</b>	<b>CFD No 2003-1, Series A &amp; Sub Series B</b>	<b>A - 539</b>	
Local Obligor		5/8/07	102,870,000	Lincoln CFD No 2003-1	Lincoln Crossing	A - 540	
<b>Authority</b>		<b>10/24/11</b>	<b>41,800,000</b>	<b>Lincoln Public Financing Authority</b>	<b>Twelve Bridges Series A &amp; Sub Series B</b>	<b>A - 541</b>	
Local Obligor		6/23/99	47,800,000	Lincoln	Twelve Bridges AD No 95-1	A - 542	
Local Obligor	7/19/01	15,550,000	Lincoln	Twelve Bridges AD No 95-1	A - 543		
<b>Authority</b>	<b>1/26/17</b>	<b>23,400</b>	<b>Pioneer Community Energy</b>	<b>Series R 2016-17 No 1</b>	<b>A - 544</b>		
LOAN	1/26/17	23,400	Pioneer Community Energy	Series R 2016-17 No 1	A - 545		
<b>Authority</b>	<b>9/28/17</b>	<b>62,713</b>	<b>Pioneer Community Energy</b>	<b>Series NR 2017-18 No 1</b>	<b>A - 546</b>		
LOAN	9/28/17	62,713	Pioneer Community Energy	Series NR 2017-18 No 1	A - 547		
<b>Authority</b>	<b>6/1/15</b>	<b>10,000,000</b>	<b>Placer County Public Financing Authority</b>	<b>mPower Series R-A</b>	<b>A - 548</b>		
LOAN	6/1/15	10,000,000	Placer County	mPower Series R-A	A - 549		
<b>Authority</b>	<b>6/1/15</b>	<b>2,495,839</b>	<b>Placer County Public Financing Authority</b>	<b>mPower Series NR-A</b>	<b>A - 550</b>		
LOAN	6/1/15	2,495,839	Placer County	mPower Series NR-A	A - 551		
<b>Authority</b>	<b>6/1/15</b>	<b>13,097,310</b>	<b>Placer County Public Financing Authority - Final Report</b>	<b>mPower Series R-C</b>	<b>A - 552</b>		
LOAN	6/1/15	13,097,310	Placer County - Final Report	mPower Series R-C	A - 553		
<b>Authority</b>	<b>6/1/15</b>	<b>750,000</b>	<b>Placer County Public Financing Authority</b>	<b>mPower Series R-B</b>	<b>A - 554</b>		
LOAN	6/1/15	750,000	Placer County	mPower Series R-B	A - 555		



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	LOAN	6/4/15	654,673	Placer County - Final Report	mPower Series 14-15 No 2	A - 557
	Authority	7/2/15	360,535	Placer County Public Financing Authority - Final Report	mPower Series R-2015-16 No 1	A - 558
	LOAN	6/1/15	360,535	Placer County - Final Report	mPower Series R-2015-16 No 1	A - 559
	Authority	9/17/15	65,270	Placer County Public Financing Authority	mPower Series NR-2015-16 No 1	A - 560
	LOAN	9/17/15	65,270	Placer County	mPower Series NR-2015-16 No 1	A - 561
	Authority	7/7/16	28,403	Placer County Public Financing Authority - Final Report	mPOWER Series R-2016-17A No 1	A - 562
	LOAN	7/7/16	28,403	Placer County - Final Report	mPower PACE Ser R 2016-17 A	A - 563
	Authority	8/4/16	32,471	Placer County Public Financing Authority	NR-2016-17 A mPOWER	A - 564
	LOAN	8/4/16	32,471	Placer County	mPower PACE Ser NR 2016-17 A	A - 565
	Authority	7/6/17	164,267	Placer County Public Financing Authority - Final Report	NR-2017-18 A mPOWER	A - 566
	LOAN	7/6/17	164,267	Placer County - Final Report	mPower PACE Ser NR 2017-18 A	A - 567
	Authority	7/6/17	277,857	Placer County Public Financing Authority	R-2017-18 A mPOWER	A - 568
	LOAN	7/6/17	277,857	Placer County	mPower PACE Ser NR 2017-18 A	A - 569
	Authority	6/14/18	35,085,000	Placer County Public Financing Authority	mPOWER Green Bonds Ser A	A - 570
	LOAN	6/14/18	35,085,000	Placer County	mPOWER Green Bonds Ser A	A - 571
	Authority	6/14/18	4,772,997	Placer County Public Financing Authority	mPOWER Green Bonds Ser B	A - 572
	LOAN	6/14/18	4,772,997	Placer County	mPOWER Green Bonds Series B	A - 573
	Authority	1/15/04	12,575,000	Rocklin Public Financing Authority - Final Report	Sr Series CFD Nos 6, 8 & 9	A - 574
	Authority	1/15/04	1,455,000	Rocklin Public Financing Authority - Final Report	Sub Series CFD Nos 6, 8 & 9	A - 575
	Local Obligor	7/29/99	5,780,000	Rocklin CFD No 8 - Final Report	Sunset West-Park Dr	A - 576
	Local Obligor	7/29/99	6,890,000	Rocklin CFD No 9 - Final Report	Sunset West-West/Blue Oaks	A - 577
	Local Obligor	7/29/99	2,240,000	Rocklin CFD No 6 - Final Report	Sunset West-Drainage	A - 578
	Authority	7/1/17	5,000,077	Rocklin Public Financing Authority	Sr Series CFD Nos 6, 8 & 9	A - 579
	Local Obligor	7/1/17	749,690	Rocklin CFD No 6	Sunset West-Drainage	A - 580
	Local Obligor	7/1/17	1,940,184	Rocklin CFD No 8	Sunset West-Park Drive	A - 581
	Local Obligor	7/1/17	2,310,203	Rocklin CFD No 9	Sunset West-West/Blue Oaks	A - 582
	Authority	7/25/12	21,000,000	Roseville Finance Authority	CFD Nos 1 & 2	A - 583
	Local Obligor	7/25/12	15,730,000	Roseville Northwest CFD No 1	Works	A - 584
	Local Obligor	7/25/12	6,460,000	Roseville Northeast CFD No 2	Works	A - 585
	Authority	6/15/16	18,555,000	Roseville Finance Authority	CFD No 1	A - 586
	Local Obligor	3/23/06	6,245,000	Roseville CFD No 1	Woodcreek East	A - 587
	Local Obligor	3/23/06	33,120,000	Roseville CFD No 1	Highland Reserve North	A - 588
	Authority	7/13/17	10,420,000	Roseville Finance Authority	Series B	A - 589
	Local Obligor	7/13/17	5,660,000	Roseville CFD No 1	Stone Point Series B	A - 590
	Local Obligor	7/13/17	4,760,000	Roseville CFD No 5	Stone Point Series B	A - 591
	Authority	5/3/07	44,075,000	Roseville Finance Authority - Final Report	Sr Series A, CFD No 1	A - 592
	Authority	5/3/07	10,350,000	Roseville Finance Authority - Final Report	Jr Series B, CFD No 1	A - 593
	Authority	6/22/17	30,820,000	Roseville Finance Authority	Series A CFD No 1	A - 594
	Local Obligor	5/3/07	2,025,000	Roseville CFD No 1	Stoneridge Parcel 1	A - 595
	Local Obligor	5/3/07	13,530,000	Roseville CFD No 1	Stoneridge West	A - 596
	Local Obligor	5/3/07	17,285,000	Roseville CFD No 1	Stoneridge East	A - 597
	Local Obligor	5/3/07	20,435,000	Roseville CFD No 1	Crocker Ranch	A - 598

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Placer cont.	<b>Authority</b>	<b>9/6/17</b>	<b>74,780,000</b>	<b>South Placer Wastewater Authority</b>	<b>Roseville, Placer County &amp; South Placer Municipal Utility Dist</b>	<b>A - 599</b>
	Local Obligor	9/6/17	46,109,348	Roseville	Dry Creek and Pleasant Grove Plants	A - 600
	Local Obligor	9/6/17	16,773,154	South Placer Municipal Utility District	Sewer Collection Service	A - 601
	Local Obligor	9/6/17	11,897,498	Placer County	SMD Nos 2 & 3 and CSA Nos 2A & 173	A - 602
Riverside	<b>Authority</b>	<b>1/27/05</b>	<b>8,685,000</b>	<b>Beaumont Financing Authority - Final Report</b>	<b>CFD No 93-1</b>	<b>A - 603</b>
	Local Obligor	1/27/05	8,685,000	Beaumont CFD No 93-1 - Final Report	IA No 8A	A - 604
	<b>Authority</b>	<b>12/1/05</b>	<b>19,155,000</b>	<b>Beaumont Financing Authority - Final Report</b>	<b>CFD No 93-1, IA No 6A1</b>	<b>A - 605</b>
	Local Obligor	12/1/05	19,155,000	Beaumont CFD No 93-1 - Final Report	IA No 6A1	A - 606
	<b>Authority</b>	<b>5/23/06</b>	<b>14,220,000</b>	<b>Beaumont Financing Authority - Final Report</b>	<b>CFD No 93-1, IA No 19C</b>	<b>A - 607</b>
	Local Obligor	5/23/06	14,220,000	Beaumont CFD No 93-1 - Final Report	IA No 19C	A - 608
	<b>Authority</b>	<b>11/16/06</b>	<b>7,110,000</b>	<b>Beaumont Financing Authority - Final Report</b>	<b>CFD No 93-1, IA No 8B Sundance</b>	<b>A - 609</b>
	Local Obligor	11/16/06	7,110,000	Beaumont CFD No 93-1 - Final Report	IA No 8B Sundance	A - 610
	<b>Authority</b>	<b>1/18/07</b>	<b>21,175,000</b>	<b>Beaumont Financing Authority</b>	<b>CFD No 93-1, IA Nos 3, 11, 14 &amp; 14B</b>	<b>A - 611</b>
	Local Obligor	1/18/07	4,640,000	Beaumont CFD No 93-1	IA No 3	A - 612
	Local Obligor	1/18/07	1,845,000	Beaumont CFD No 93-1	IA No 11	A - 613
	Local Obligor	1/18/07	12,835,000	Beaumont CFD No 93-1 - Final Report	IA No 14	A - 614
	Local Obligor	1/18/07	5,000,000	Beaumont CFD No 93-1 - Final Report	IA No 14B	A - 615
	<b>Authority</b>	<b>3/15/07</b>	<b>11,615,000</b>	<b>Beaumont Financing Authority - Final Report</b>	<b>CFD No 93-1, IA No 8</b>	<b>A - 616</b>
	Local Obligor	3/15/07	12,765,000	Beaumont CFD No 93-1 - Final Report	IA No 8 (Sundance)	A - 617
	<b>Authority</b>	<b>4/26/07</b>	<b>22,490,000</b>	<b>Beaumont Financing Authority</b>	<b>CFD No 93-1, IA Nos 9, 10A, 12A &amp; 14A Series C</b>	<b>A - 618</b>
	<b>Authority</b>	<b>4/26/07</b>	<b>1,105,000</b>	<b>Beaumont Financing Authority - Retired</b>	<b>CFD No 93-1, IA Nos 9, 10A, 12A &amp; 14A Series D</b>	
	Local Obligor	4/26/07	20,958,000	Beaumont CFD No 93-1 - Final Report	IA No 14 A	A - 619
	Local Obligor	4/26/07	1,644,000	Beaumont CFD No 93-1	IA No 12 A	A - 620
	Local Obligor	4/26/07	2,660,000	Beaumont CFD No 93-1	IA No 10 A	A - 621
Local Obligor	4/26/07	757,000	Beaumont CFD No 93-1	IA No 9	A - 622	
<b>Authority</b>	<b>11/29/07</b>	<b>6,520,000</b>	<b>Beaumont Financing Authority - Final Report</b>	<b>CFD No 93-1 IA No 8C Series E</b>	<b>A - 623</b>	
Local Obligor	11/29/07	6,520,000	Beaumont CFD No 93-1 - Final Report	IA No 8C Series E	A - 624	
<b>Authority</b>	<b>7/9/08</b>	<b>4,090,000</b>	<b>Beaumont Financing Authority - Final Report</b>	<b>CFD No 93-1, IA No 19C</b>	<b>A - 625</b>	
Local Obligor	7/9/08	4,090,000	Beaumont CFD No 93-1 - Final Report	IA No 19C	A - 626	
<b>Authority</b>	<b>6/10/09</b>	<b>2,640,000</b>	<b>Beaumont Financing Authority - Final Report</b>	<b>CFD No 93-1</b>	<b>A - 627</b>	
Local Obligor	6/10/09	725,000	Beaumont CFD No 93-1 - Final Report	IA No 8D Pardee Estrella	A - 628	
Local Obligor	6/10/09	1,915,000	Beaumont CFD No 93-1 - Retired	Pardee Tournament Hills IA No 17B		
<b>Authority</b>	<b>12/15/11</b>	<b>12,145,000</b>	<b>Beaumont Financing Authority</b>	<b>Series A</b>	<b>A - 629</b>	
Local Obligor	12/15/11	2,235,000	Beaumont CFD No 93-1	IA No 17B Series A	A - 630	
Local Obligor	12/15/11	9,910,000	Beaumont CFD No 93-1	IA No 17B Series B	A - 631	
<b>Authority</b>	<b>3/14/12</b>	<b>5,650,000</b>	<b>Beaumont Financing Authority</b>	<b>IA No 8C Series A</b>	<b>A - 632</b>	
Local Obligor	3/14/12	5,650,000	Beaumont CFD No 93-1	IA No 8C Series A	A - 633	
<b>Authority</b>	<b>4/10/12</b>	<b>3,265,000</b>	<b>Beaumont Financing Authority</b>	<b>CFD No 93-1 IA No 20 Series B</b>	<b>A - 634</b>	
Local Obligor	4/10/12	3,265,000	Beaumont CFD No 93-1	IA 20 Series B	A - 635	

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Riverside cont.	<b>Authority</b>	<b>5/24/12</b>	<b>3,655,000</b>	<b>Beaumont Financing Authority</b>	<b>Series C (IA 7B &amp; 7C)</b>	<b>A - 636</b>
	Local Obligor	5/23/12	1,325,000	Beaumont CFD No 93-1	IA 7B Series C	A - 637
	Local Obligor	5/23/12	590,000	Beaumont CFD No 93-1	IA 7B Series D	A - 638
	Local Obligor	5/23/12	605,000	Beaumont CFD No 93-1	IA 7C Series E	A - 639
	Local Obligor	5/23/12	1,135,000	Beaumont CFD No 93-1	IA 7C Series F	A - 640
	<b>Authority</b>	<b>1/17/13</b>	<b>8,810,000</b>	<b>Beaumont Financing Authority</b>	<b>IA No 19C</b>	<b>A - 641</b>
	Local Obligor	1/17/13	8,810,000	Beaumont CFD No 93-1	IA No 19C Series A	A - 642
	<b>Authority</b>	<b>4/2/13</b>	<b>10,875,000</b>	<b>Beaumont Financing Authority</b>	<b>IA 17A Series B</b>	<b>A - 643</b>
	Local Obligor	4/2/13	10,875,000	Beaumont CFD No 93-1	IA 17A Series B	A - 644
	<b>Authority</b>	<b>3/17/15</b>	<b>11,110,000</b>	<b>Beaumont Financing Authority</b>	<b>CFD No 93-1 IA No 7A1 Series A</b>	<b>A - 645</b>
	Local Obligor	3/17/15	11,110,000	Beaumont CFD No 93-1	IA No 7A1 Series A	A - 646
	<b>Authority</b>	<b>4/2/15</b>	<b>20,095,000</b>	<b>Beaumont Financing Authority</b>	<b>CFD No 93-1 IA No 19A Series B</b>	<b>A - 647</b>
	Local Obligor	4/2/15	20,095,000	Beaumont CFD No 93-1	IA No 19A Series A	A - 648
	<b>Authority</b>	<b>5/14/15</b>	<b>5,335,000</b>	<b>Beaumont Financing Authority</b>	<b>CFD No 93-1 IA No 18 Series C</b>	<b>A - 649</b>
	Local Obligor	5/14/15	5,335,000	Beaumont CFD No 93-1	IA No 18 Series A	A - 650
	<b>Authority</b>	<b>5/27/15</b>	<b>7,820,000</b>	<b>Beaumont Financing Authority</b>	<b>IA No 16 Series D</b>	<b>A - 651</b>
	Local Obligor	5/27/15	7,820,000	Beaumont CFD No 93-1	IA No 16 Series A	A - 652
	<b>Authority</b>	<b>5/20/14</b>	<b>2,925,000</b>	<b>Calimesa Financing Authority</b>	<b>Area Nos 1 &amp; 5</b>	<b>A - 653</b>
	LOAN	5/20/14	1,849,770	Successor Agency to the Calimesa Redevelopment Agency	Area No 5	A - 654
	LOAN	5/20/14	1,075,230	Successor Agency to the Calimesa Redevelopment Agency	Area No 1	A - 655
	<b>Authority</b>	<b>3/30/00</b>	<b>12,311,000</b>	<b>Cathedral City Public Financing Authority</b>	<b>Theater &amp; Parking Structure Merged Series A</b>	<b>A - 656</b>
	LOAN	3/30/00	12,311,000	Cathedral City Redevelopment Agency	Theater & Parking Structure Merged	A - 657
	<b>Authority</b>	<b>12/2/04</b>	<b>8,630,000</b>	<b>Cathedral City Public Financing Authority</b>	<b>Series B</b>	<b>A - 658</b>
	Local Obligor	12/16/04	8,630,000	Cathedral City Redevelopment Agency	Area No 3 Series B	A - 659
	<b>Authority</b>	<b>3/9/07</b>	<b>29,740,000</b>	<b>Cathedral City Public Financing Authority</b>	<b>Merged Area Series A</b>	<b>A - 660</b>
	Local Obligor	3/9/07	29,740,000	Cathedral City Redevelopment Agency	Merged Area Series A	A - 661
	<b>Authority</b>	<b>3/9/07</b>	<b>53,400,000</b>	<b>Cathedral City Public Financing Authority</b>	<b>Merged Area Series B</b>	<b>A - 662</b>
	Local Obligor	3/9/07	53,400,000	Cathedral City Redevelopment Agency	Merged Area Series B	A - 663
	<b>Authority</b>	<b>3/9/07</b>	<b>31,860,000</b>	<b>Cathedral City Public Financing Authority</b>	<b>Merged Area Sub Series C</b>	<b>A - 664</b>
	Local Obligor	3/9/07	31,860,000	Cathedral City Redevelopment Agency	Merged Area Sub Series C	A - 665
	<b>Authority</b>	<b>9/2/15</b>	<b>39,100,000</b>	<b>Cathedral City Public Financing Authority</b>	<b>Series A</b>	<b>A - 666</b>
	Local Obligor	5/23/01	3,085,000	Cathedral City	AD No 2001-01	A - 668
	Local Obligor	7/15/04	6,671,934	Cathedral City	35th Ave AD No 2003-01	A - 669
	Local Obligor	7/23/04	5,978,326	Cathedral City	Dream Homes AD No 2004-01	A - 670
	Local Obligor	12/21/04	4,795,000	Cathedral City	Rio Vista ReAD No 96-1	A - 671
	Local Obligor	7/19/05	33,847,337	Cathedral City	Cove Sewer ID No 2004-02	A - 672

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Riverside cont.	<b>Authority</b>	<b>1/29/13</b>	<b>34,700,000</b>	<b>Corona-Norco Unified School District Public Financing Authority</b>	<b>Sr Lien Series A</b>	<b>A - 673</b>
	<b>Authority</b>	<b>1/29/13</b>	<b>12,915,000</b>	<b>Corona-Norco Unified School District Public Financing Authority</b>	<b>Jr Lien Series B</b>	<b>A - 675</b>
	Local Obligor	1/29/13	3,085,000	Corona-Norco Unified School District CFD No 01-1	IA A Series A	A - 676
	Local Obligor	1/29/13	5,590,000	Corona-Norco Unified School District CFD No 01-1	IA B Series B	A - 677
	Local Obligor	1/29/13	3,085,000	Corona-Norco Unified School District CFD No 01-2	IA B Series A	A - 678
	Local Obligor	1/29/13	7,220,000	Corona-Norco Unified School District CFD No 01-2	Series A Imp Area C	A - 679
	Local Obligor	1/29/13	11,535,000	Corona-Norco Unified School District CFD No 03-1	Series A	A - 680
	Local Obligor	1/29/13	2,275,000	Corona-Norco Unified School District CFD No 03-2	Series A	A - 681
	Local Obligor	1/29/13	2,205,000	Corona-Norco Unified School District CFD No 03-5	Series A	A - 682
	Local Obligor	1/29/13	2,185,000	Corona-Norco Unified School District CFD No 00-1		A - 683
	Local Obligor	1/29/13	3,480,000	Corona-Norco Unified School District CFD No 01-2	IA A	A - 684
	Local Obligor	1/29/13	2,325,000	Corona-Norco Unified School District CFD No 03-3	IA A	A - 685
	Local Obligor	1/29/13	2,560,000	Corona-Norco Unified School District CFD No 03-3	IA B	A - 686
	Local Obligor	1/29/13	2,070,000	Corona-Norco Unified School District CFD No 03-4		A - 687
	<b>Authority</b>	<b>12/23/14</b>	<b>5,315,000</b>	<b>Corona-Norco Unified School District Public Financing Authority</b>	<b>CFD No 04-2</b>	<b>A - 688</b>
	Local Obligor	6/28/06	2,700,000	Corona-Norco Unified School District CFD No 04-2	IA 1	A - 689
	Local Obligor	6/28/06	3,300,000	Corona-Norco Unified School District CFD No 04-2	IA 2	A - 690
	<b>Authority</b>	<b>1/28/16</b>	<b>18,605,000</b>	<b>Corona-Norco Unified School District Public Financing Authority</b>	<b>Series A</b>	<b>A - 691</b>
	Local Obligor	7/14/05	4,875,000	Corona-Norco Unified School District CFD No 02-2	IA B	A - 693
	Local Obligor	7/14/05	3,855,000	Corona-Norco Unified School District CFD No 02-2	IA A	A - 694
	Local Obligor	7/14/05	3,795,000	Corona-Norco Unified School District CFD No 99-1		A - 695
	Local Obligor	7/14/05	1,575,000	Corona-Norco Unified School District CFD No 97-1		A - 696
	Local Obligor	7/14/05	2,230,000	Corona-Norco Unified School District CFD No 99-2	IA B	A - 697
	Local Obligor	7/14/05	2,945,000	Corona-Norco Unified School District CFD No 99-2	IA A	A - 698
	Local Obligor	7/14/05	2,640,000	Corona-Norco Unified School District CFD No 99-2	IA C	A - 699
	Local Obligor	7/14/05	4,230,000	Corona-Norco Unified School District CFD No 02-1		A - 700
	<b>Authority</b>	<b>5/4/17</b>	<b>102,500,000</b>	<b>Eastern Municipal Water District Financing Authority</b>	<b>Series D</b>	<b>A - 701</b>
	Local Obligor	5/4/17	102,500,000	Eastern Municipal Water District	Installment Purchase Agreement w/E Municipal WD FA	A - 702
	<b>Authority</b>	<b>2/20/14</b>	<b>6,792,000</b>	<b>Elsinore Valley Municipal Water District Financing Authority</b>	<b>CFD Nos 2002-1, 2003-1 &amp; 2003-2 Series A</b>	<b>A - 703</b>
	Local Obligor	2/20/14	1,818,000	Elsinore Valley Municipal Water District CFD No 2002-1	Oakmont II	A - 704
	Local Obligor	2/20/14	3,634,000	Elsinore Valley Municipal Water District CFD No 2003-1	Watermark	A - 705
	Local Obligor	2/20/14	1,340,000	Elsinore Valley Municipal Water District CFD No 2003-2	Greer Ranch	A - 706
	<b>Authority</b>	<b>1/21/15</b>	<b>26,955,000</b>	<b>Hemet Unified School District Financing Authority</b>	<b>CFD Nos. 2004-1, 2005-1, 2, 3 &amp; 4, IA No 1</b>	<b>A - 707</b>
	Local Obligor	1/21/15	3,060,000	Hemet Unified School District CFD No 2004-1		A - 708
	Local Obligor	1/21/15	3,405,000	Hemet Unified School District CFD No 2005-1		A - 709
	Local Obligor	1/21/15	9,225,000	Hemet Unified School District CFD No 2005-2		A - 710
	Local Obligor	1/21/15	3,140,000	Hemet Unified School District CFD No 2005-4		A - 711
	Local Obligor	1/21/15	8,125,000	Hemet Unified School District CFD No 2005-3	IA No 1	A - 712
	<b>Authority</b>	<b>7/30/14</b>	<b>10,162,000</b>	<b>Indio Public Financing Authority</b>	<b>AD Nos 2003-1, 2003-2, 2003-3, 2003-5, &amp; 2003-6</b>	<b>A - 713</b>
	Local Obligor	7/30/14	1,752,000	Indio	AD No 2003-1 Shadow Hills	A - 714
	Local Obligor	7/30/14	1,402,000	Indio	AD No 2003-2 AD The Encantos at Villa Montego & Monticello III	A - 715
	Local Obligor	7/30/14	4,038,000	Indio	AD No 2003-3 Indian Palms	A - 716
	Local Obligor	7/30/14	1,343,000	Indio	AD No 2003-5 Sunburst	A - 717
	Local Obligor	7/30/14	1,627,000	Indio	AD No 2003-6 Shadow Hills	A - 718

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	Local Obligor	7/19/17	1,465,000	Indio	AD No 2004-1 Palazzo	A - 720
	Local Obligor	7/19/17	2,320,000	Indio	AD No 2004-2 Shadow Hills Master Plan Phase 3 & Las Brisas North	A - 721
	Local Obligor	7/19/17	3,910,000	Indio	AD No 2004-3 Desert Trace	A - 722
	<b>Authority</b>	<b>7/19/12</b>	<b>14,855,000</b>	<b>Indio Public Financing Authority</b>	<b>AD Nos 99-1, 2001-1, 2002-1, 2002-2, 2002-3</b>	<b>A - 723</b>
	Local Obligor	7/19/12	3,295,000	Indio	AD No 2001-1	A - 724
	Local Obligor	7/19/12	1,520,000	Indio	AD No 99-1	A - 725
	Local Obligor	7/19/12	3,410,000	Indio	AD No 2002-1	A - 726
	Local Obligor	7/19/12	4,119,800	Indio	AD No 2002-3	A - 727
	Local Obligor	7/19/12	2,162,900	Indio	AD No 2002-2	A - 728
	<b>Authority</b>	<b>7/22/14</b>	<b>24,390,000</b>	<b>Indio Public Financing Authority</b>	<b>CFD 2005-1, IA Nos 1 &amp; 2, CFD 2006-1</b>	<b>A - 729</b>
	Local Obligor	7/22/14	7,554,000	Indio CFD No 2005-1	IA No 2 Talavera	A - 730
	Local Obligor	7/22/14	8,499,000	Indio CFD No 2006-1	Sonora Wells	A - 731
	Local Obligor	7/22/14	8,337,000	Indio CFD No 2005-1	IA No 1 Talavera	A - 732
	<b>Authority</b>	<b>7/29/10</b>	<b>66,300,000</b>	<b>Jurupa Public Financing Authority</b>	<b>CFD Nos 1, 3, 5, 6, 10, 14, 24 &amp; 29 Series A</b>	<b>A - 733</b>
	Local Obligor	7/29/10	22,215,000	Jurupa Community Services District CFD No 1	Mira Loma Area Series A	A - 734
	Local Obligor	7/29/10	7,380,000	Jurupa Community Services District CFD No 3	Eastvale Area Series A	A - 735
	Local Obligor	7/29/10	2,505,000	Jurupa Community Services District CFD No 5	Eastvale Area Series A	A - 736
	Local Obligor	7/29/10	2,845,000	Jurupa Community Services District CFD No 6	Eastvale Area Series A	A - 737
	Local Obligor	7/29/10	5,345,000	Jurupa Community Services District CFD No 10	Eastvale Area Series A	A - 738
	Local Obligor	8/19/10	10,485,000	Jurupa Community Services District CFD No 14	IA Nos 1 & 2 Eastvale Area Series A	A - 739
	Local Obligor	7/29/10	7,755,000	Jurupa Community Services District CFD No 24	Eastvale Area Series A	A - 740
	Local Obligor	7/29/10	7,770,000	Jurupa Community Services District CFD No 29	Eastvale Area Series A	A - 741
	<b>Authority</b>	<b>7/23/13</b>	<b>33,140,000</b>	<b>Jurupa Public Financing Authority</b>	<b>CFD Nos 4, 15, 34 &amp; 38-2 Series A</b>	<b>A - 742</b>
	Local Obligor	7/23/13	7,150,000	Jurupa Community Services District CFD No 34	Eastvale Area Series A	A - 743
	Local Obligor	7/23/13	12,650,000	Jurupa Community Services District CFD No 4	Eastvale Area Series A	A - 744
	Local Obligor	7/23/13	4,935,000	Jurupa Community Services District CFD No 38-2	Eastvale Area Series A	A - 745
	Local Obligor	7/23/13	8,405,000	Jurupa Community Services District CFD No 15	Eastvale Area Series A	A - 746
	<b>Authority</b>	<b>7/30/14</b>	<b>97,030,000</b>	<b>Jurupa Public Financing Authority</b>	<b>Series A</b>	<b>A - 747</b>
	Local Obligor	7/30/14	13,805,000	Jurupa Community Services District CFD No 2	Eastvale Area Series A	A - 749
	Local Obligor	7/30/14	7,925,000	Jurupa Community Services District CFD No 7	Eastvale Area Series A	A - 750
	Local Obligor	7/30/14	9,110,000	Jurupa Community Services District CFD No 11	Eastvale Area Series A	A - 751
	Local Obligor	7/30/14	10,895,000	Jurupa Community Services District CFD No 12	Eastvale Area Series A	A - 752
	Local Obligor	7/30/14	6,570,000	Jurupa Community Services District CFD No 16	Eastvale Area Series A	A - 753
	Local Obligor	7/30/14	13,985,000	Jurupa Community Services District CFD No 17	Eastvale Area Series A	A - 754
	Local Obligor	7/30/14	7,775,000	Jurupa Community Services District CFD No 21	Eastvale Area Series A	A - 755
	Local Obligor	7/30/14	11,945,000	Jurupa Community Services District CFD No 25	Eastvale Area Series A	A - 756
	Local Obligor	7/30/14	6,015,000	Jurupa Community Services District CFD No 30	Eastvale Area Series A	A - 757
	Local Obligor	7/30/14	2,980,000	Jurupa Community Services District CFD No 32	Eastvale Area Series A	A - 758
	Local Obligor	7/30/14	6,025,000	Jurupa Community Services District CFD No 38-1	Eastvale Area IA No 1 Series A	A - 759

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Riverside cont.	<b>Authority</b>	<b>6/4/15</b>	<b>19,090,000</b>	<b>Jurupa Public Financing Authority</b>	<b>CFD Nos 1, 3, 5, 6, 10, 14, 24 &amp; 29 Series B</b>	<b>A - 760</b>
	Local Obligor	6/4/15	1,980,000	Jurupa Community Services District CFD No 1	Mira Loma Series B	A - 762
	Local Obligor	6/4/15	1,760,000	Jurupa Community Services District CFD No 3	Eastvale Area Series B	A - 763
	Local Obligor	6/4/15	590,000	Jurupa Community Services District CFD No 5	Eastvale Area Series B	A - 764
	Local Obligor	6/4/15	670,000	Jurupa Community Services District CFD No 6	Eastvale Area Series B	A - 765
	Local Obligor	6/4/15	1,275,000	Jurupa Community Services District CFD No 10	Eastvale Area Series B	A - 766
	Local Obligor	6/4/15	2,540,000	Jurupa Community Services District CFD No 14	IAs Nos 1 & 2 Eastvale Area Series B	A - 767
	Local Obligor	6/4/15	4,135,000	Jurupa Community Services District CFD No 24	Eastvale Area Series B	A - 768
	Local Obligor	6/4/15	6,140,000	Jurupa Community Services District CFD No 29	Eastvale Area Series B	A - 769
	<b>Authority</b>	<b>6/3/15</b>	<b>45,685,000</b>	<b>Jurupa Public Financing Authority</b>	<b>CFD Nos 18, 19, 22 &amp; 39 Series A</b>	<b>A - 770</b>
	Local Obligor	6/3/15	12,825,000	Jurupa Community Services District CFD No 18	Eastvale Series A	A - 771
	Local Obligor	6/3/15	10,740,000	Jurupa Community Services District CFD No 39	Eastvale Series A	A - 772
	Local Obligor	6/3/15	18,915,000	Jurupa Community Services District CFD No 19	Eastvale Series A	A - 773
	Local Obligor	6/3/15	3,205,000	Jurupa Community Services District CFD No 22	Eastvale Series A	A - 774
	<b>Authority</b>	<b>6/9/11</b>	<b>28,850,000</b>	<b>La Quinta Financing Authority</b>	<b>Area No 1 &amp; 2 Series A</b>	<b>A - 775</b>
	LOAN	6/9/11	28,850,000	La Quinta Redevelopment Agency	Area Nos 1 & 2	A - 776
	<b>Authority</b>	<b>12/4/17</b>	<b>7,975,000</b>	<b>Lake Elsinore Facilities Financing Authority</b>	<b>CFD No 2003-2 IA B</b>	<b>A - 777</b>
	Local Obligor	12/4/17	7,975,000	Lake Elsinore CFD No 2003-2	IA B Canyon Hills	A - 778
	<b>Authority</b>	<b>1/26/10</b>	<b>15,435,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>Rancho Laguna Nos I, II &amp; III Series A</b>	<b>A - 779</b>
	LOAN	1/26/10	4,800,000	Lake Elsinore Redevelopment Agency	Rancho Laguna Housing	A - 780
	LOAN	1/26/10	2,075,000	Lake Elsinore Redevelopment Agency	Rancho Laguna No III	A - 781
	LOAN	1/26/10	3,055,000	Lake Elsinore Redevelopment Agency	Rancho Laguna Nos I	A - 782
	LOAN	1/26/10	5,505,000	Lake Elsinore Redevelopment Agency	Rancho Laguna Nos II	A - 783
	<b>Authority</b>	<b>2/23/10</b>	<b>10,855,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>Series B</b>	<b>A - 784</b>
	LOAN	2/23/10	10,855,000	Lake Elsinore Redevelopment Agency	Rancho Laguna I, II & III Hsg Set-Aside	A - 785
	<b>Authority</b>	<b>10/12/10</b>	<b>29,435,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>Rancho Laguna RDA Nos I &amp; II Series C</b>	<b>A - 786</b>
	LOAN	10/12/10	13,215,000	Lake Elsinore Redevelopment Agency	Rancho Laguna No II	A - 787
	LOAN	10/12/10	16,220,000	Lake Elsinore Redevelopment Agency	Rancho Laguna No I	A - 788
	<b>Authority</b>	<b>7/17/12</b>	<b>3,450,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>Villages at Wasson Canyon Series A</b>	<b>A - 789</b>
	Local Obligor	7/17/12	3,450,000	Lake Elsinore CFD No 2005-5	Villages at Wasson Canyon Series A	A - 790
	<b>Authority</b>	<b>12/6/12</b>	<b>5,345,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>Canyon Hills IA C Series C</b>	<b>A - 791</b>
	Local Obligor	12/6/12	5,345,000	Lake Elsinore CFD No 2003-2	IA C Canyon Hills	A - 792
	<b>Authority</b>	<b>11/14/12</b>	<b>15,345,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>AD 93-1 Series B</b>	<b>A - 793</b>
	Local Obligor	11/14/12	15,345,000	Lake Elsinore	AD 93-1 Series A	A - 794
	<b>Authority</b>	<b>5/23/13</b>	<b>3,620,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>Summerly IA A Series A</b>	<b>A - 795</b>
	Local Obligor	5/23/13	3,620,000	Lake Elsinore CFD No 2006-1	IA A Summerly Series A	A - 796
	<b>Authority</b>	<b>6/6/13</b>	<b>4,215,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>West Lake Elsinore CFD No 88-3 Series B</b>	<b>A - 797</b>
	Local Obligor	6/6/13	4,215,000	Lake Elsinore CFD No 88-3	West Lake Elsinore Series B	A - 798
	<b>Authority</b>	<b>6/18/13</b>	<b>13,615,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>Summerhill CFD No 98-1 Series C</b>	<b>A - 799</b>
	Local Obligor	6/18/13	13,615,000	Lake Elsinore CFD No 98-1	Summerhill	A - 800

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Riverside cont.	<b>Authority</b>	<b>1/14/14</b>	<b>7,505,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>CFD 2003-2 Canyon Hills IA D Series A</b>	<b>A - 801</b>
	Local Obligor	1/14/14	7,505,000	Lake Elsinore CFD No 2003-2	IA D Canyon Hills	A - 802
	<b>Authority</b>	<b>7/23/14</b>	<b>18,210,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>Canyon Hills IA A &amp; C Series B</b>	<b>A - 803</b>
	Local Obligor	7/23/14	10,895,000	Lake Elsinore CFD No 2003-2	IA A Canyon Hills	A - 804
	Local Obligor	7/23/14	7,315,000	Lake Elsinore CFD No 2003-2	IA C Canyon Hills	A - 805
	<b>Authority</b>	<b>2/4/15</b>	<b>3,200,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>CFD No 2006-1 Summerly IA B Series A</b>	<b>A - 806</b>
	Local Obligor	2/4/15	3,200,000	Lake Elsinore CFD No 2006-1	IA B Summerly	A - 807
	<b>Authority</b>	<b>2/25/15</b>	<b>108,845,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>CFD Nos 95-1,2003-2,2004-3,2005-1,2005-2,2005-6 &amp; 2006-2</b>	<b>A - 808</b>
	Local Obligor	2/25/15	21,005,000	Lake Elsinore CFD No 2004-3	IA No 1 Rosetta Canyon	A - 809
	Local Obligor	2/25/15	23,115,000	Lake Elsinore CFD No 2004-3	IA No 2 Rosetta Canyon	A - 810
	Local Obligor	2/25/15	8,165,000	Lake Elsinore CFD No 2005-1	Serenity	A - 811
	Local Obligor	2/25/15	21,095,000	Lake Elsinore CFD No 2005-2	IA A Alberhill Ranch	A - 812
	Local Obligor	2/25/15	2,815,000	Lake Elsinore CFD No 2005-6	City Center Townhomes	A - 813
	Local Obligor	2/25/15	5,825,000	Lake Elsinore CFD No 2006-2	Viscaya	A - 814
	Local Obligor	2/25/15	1,030,000	Lake Elsinore CFD No 95-1	City Center	A - 815
	Local Obligor	2/25/15	25,795,000	Lake Elsinore CFD No 2003-2	IA B Canyon Hills	A - 816
	<b>Authority</b>	<b>5/12/15</b>	<b>7,590,000</b>	<b>Lake Elsinore Public Finance Authority</b>	<b>CFD No 88-3 Series B</b>	<b>A - 817</b>
	Local Obligor	5/12/15	7,590,000	Lake Elsinore CFD No 88-3	West Lake Elsinore Series B	A - 818
	<b>Authority</b>	<b>2/8/07</b>	<b>39,995,000</b>	<b>Lake Elsinore School Financing Authority</b>	<b>CFD Nos 99-1, 2000-1, 2001-01, 2001-2, 2001-3, 2002-1 &amp; 2003-</b>	<b>A - 819</b>
	Local Obligor	2/8/07	5,149,000	Lake Elsinore Unified School District CFD No 99-1		A - 820
	Local Obligor	2/8/07	3,847,939	Lake Elsinore Unified School District CFD No 2000-1		A - 821
	Local Obligor	2/8/07	9,390,445	Lake Elsinore Unified School District CFD No 2001-01		A - 822
	Local Obligor	2/8/07	3,750,463	Lake Elsinore Unified School District CFD No 2001-2		A - 823
	Local Obligor	2/8/07	2,567,618	Lake Elsinore Unified School District CFD No 2001-3		A - 824
	Local Obligor	2/8/07	4,608,000	Lake Elsinore Unified School District CFD No 2002-1		A - 825
	Local Obligor	2/8/07	4,602,113	Lake Elsinore Unified School District CFD No 2003-1	IA A	A - 826
	Local Obligor	2/8/07	1,726,264	Lake Elsinore Unified School District CFD No 2003-1	IA B	A - 827
	<b>Authority</b>	<b>8/2/12</b>	<b>11,190,000</b>	<b>Lake Elsinore School Financing Authority</b>	<b>CFD Nos 88-1, 89-1 &amp; 90-1</b>	<b>A - 828</b>
	Local Obligor	7/18/12	955,000	Lake Elsinore Unified School District CFD No 90-1 - Retired		
	Local Obligor	7/12/12	7,950,000	Lake Elsinore Unified School District CFD No 88-1 - Retired		
	Local Obligor	7/12/12	1,110,000	Lake Elsinore Unified School District CFD No 89-1		A - 829
	Local Obligor	7/12/12	1,175,000	Lake Elsinore Unified School District		A - 830
	<b>Authority</b>	<b>11/29/17</b>	<b>30,465,000</b>	<b>Lake Elsinore School Financing Authority</b>	<b>CFD Nos 2004-4, 2005-5, 2005-6, 2005-7, 2006-3, 2006-4, 2006-6 Series A</b>	<b>A - 831</b>
	Local Obligor	11/29/17	7,480,000	Lake Elsinore Unified School District CFD No 2004-4		A - 832
	Local Obligor	11/29/17	1,770,000	Lake Elsinore Unified School District CFD No 2005-5	Tract No 31479	A - 833
	Local Obligor	11/29/17	3,930,000	Lake Elsinore Unified School District CFD No 2005-6	IA No A Wasson Canyon Rosetta Hills	A - 834
	Local Obligor	11/29/17	4,365,000	Lake Elsinore Unified School District CFD No 2005-7	Zone 1 School Facs, Elsinore Vly MWD Wtr, Sewer Facs	A - 835
	Local Obligor	11/29/17	6,495,000	Lake Elsinore Unified School District CFD No 2006-3	IA No A	A - 836
	Local Obligor	11/29/17	4,480,000	Lake Elsinore Unified School District CFD No 2006-4		A - 837
	Local Obligor	11/29/17	1,945,000	Lake Elsinore Unified School District CFD No 2006-6	Brookview Terrace	A - 838
	<b>Authority</b>	<b>7/18/13</b>	<b>51,695,000</b>	<b>Lee Lake Public Finance Authority</b>	<b>Lee Lake WD CFD Nos 1, 2 &amp; 3 Sr Lien Series A</b>	<b>A - 839</b>
	<b>Authority</b>	<b>7/18/13</b>	<b>18,045,000</b>	<b>Lee Lake Public Finance Authority</b>	<b>Lee Lake WD CFD Nos 1, 2 &amp; 3 Jr Lien Series B</b>	<b>A - 840</b>
	Local Obligor	7/18/13	39,120,000	Lee Lake Water District CFD No 1	Sycamore Creek Series A	A - 841
	Local Obligor	7/18/13	4,775,000	Lee Lake Water District CFD No 2	Montecito Ranch Series A	A - 842
	Local Obligor	7/18/13	25,845,000	Lee Lake Water District CFD No 3	The Retreat Series A	A - 843

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Riverside cont.						
	<b>Authority</b>	<b>1/27/16</b>	<b>40,675,000</b>	<b>Menifee Union School District Public Financing Authority</b>	<b>CFD Nos 2002-4, 2002-5, 2003-1, 2003-2, 2003-4, 2004-2, 2004-3, 2004-5, 2004-4, 2005-2 Series A</b>	<b>A - 844</b>
	Local Obligor	1/27/16	2,220,000	Menifee Union School District CFD No 2002-4	Pulte Home & Barrington Heights	A - 846
	Local Obligor	1/27/16	5,990,000	Menifee Union School District CFD No 2002-5	Continental Residential	A - 847
	Local Obligor	1/27/16	9,500,000	Menifee Union School District CFD No 2003-2	IA A The Lakes	A - 848
	Local Obligor	1/27/16	2,750,000	Menifee Union School District CFD No 2003-4	Lennar Homes of CA	A - 849
	Local Obligor	1/27/16	4,215,000	Menifee Union School District CFD No 2004-2	Meritage Homes-School-Water-Sewer	A - 850
	Local Obligor	1/27/16	4,175,000	Menifee Union School District CFD No 2004-3	Zone 1 & 2 Menifee 95 & Menifee Wynd	A - 851
	Local Obligor	1/27/16	2,275,000	Menifee Union School District CFD No 2003-1	Van Daele Comm-School-Water-Sewer-Park	A - 852
	Local Obligor	1/27/16	4,190,000	Menifee Union School District CFD No 2004-5	Beazer Homes-School-Water-Sewer-Park	A - 853
	Local Obligor	1/27/16	2,270,000	Menifee Union School District CFD No 2004-4	Shadow Ridge II School-Water-Sewer-Park	A - 854
	Local Obligor	1/27/16	4,255,000	Menifee Union School District CFD No 2005-2		A - 855
	<b>Authority</b>	<b>12/14/16</b>	<b>25,985,000</b>	<b>Menifee Union School District Public Financing Authority</b>	<b>CFD Nos 94-1, 99-1, 2002-1 &amp; 2003-3 Series A</b>	<b>A - 856</b>
	Local Obligor	12/14/16	3,745,000	Menifee Union School District CFD No 2002-3		A - 857
	Local Obligor	12/14/16	4,700,000	Menifee Union School District CFD No 2002-1		A - 858
	Local Obligor	12/14/16	4,935,000	Menifee Union School District CFD No 99-1	Zone 2	A - 859
	Local Obligor	12/14/16	4,255,000	Menifee Union School District CFD No 99-1	Zone 1	A - 860
	Local Obligor	12/14/16	2,365,000	Menifee Union School District CFD No 2003-3		A - 861
	Local Obligor	12/14/16	825,000	Menifee Union School District CFD No 99-1	IA A	A - 862
	Local Obligor	12/14/16	9,930,000	Menifee Union School District CFD No 94-1		A - 863
	<b>Authority</b>	<b>5/23/18</b>	<b>21,430,000</b>	<b>Moreno Valley Unified School District Financing Authority</b>	<b>Series A &amp; CFD Nos 2004-1, 2004-3, 2004-5, 2005-2 &amp; 2005-3</b>	<b>A - 864</b>
	Local Obligor	5/23/18	2,370,000	Moreno Valley Unified School District CFD No 2004-1	School Facs & EMWD Water/Sewer Facs	A - 865
	Local Obligor	5/23/18	3,200,000	Moreno Valley Unified School District CFD No 2004-3	School & Eastern MWD Facs	A - 866
	Local Obligor	5/23/18	3,900,000	Moreno Valley Unified School District CFD No 2004-5	School Fac & EMWD Water/Sewer Fac	A - 867
	Local Obligor	5/23/18	3,320,000	Moreno Valley Unified School District CFD No 2005-2	School Fac & EMWD	A - 868
	Local Obligor	5/23/18	8,940,000	Moreno Valley Unified School District CFD No 2005-3	School & Eastern MWD Facs (Tracts 27593, 31591 & 33256 )	A - 869
	<b>Authority</b>	<b>7/11/12</b>	<b>53,605,000</b>	<b>Murrieta Public Financing Authority</b>	<b>CFD Nos 2000-1, 2001-1, 2003-1 &amp; 2003-2</b>	<b>A - 870</b>
	Local Obligor	7/11/12	12,210,000	Murrieta CFD No 2000-1	Greer Ranch	A - 871
	Local Obligor	7/11/12	8,345,000	Murrieta CFD No 2001-1	IA A	A - 872
	Local Obligor	7/11/12	6,500,000	Murrieta CFD No 2001-1	IA B	A - 873
	Local Obligor	7/11/12	21,035,000	Murrieta CFD No 2003-1	Murrieta Springs	A - 874
	Local Obligor	7/11/12	5,515,000	Murrieta CFD No 2003-2	Blackmore Ranch	A - 875
	<b>Authority</b>	<b>2/9/16</b>	<b>49,790,000</b>	<b>Murrieta Public Financing Authority</b>	<b>CFD Nos 2000-2, 2003-3, 2003-4, 2005-1 Series A</b>	<b>A - 876</b>
	Local Obligor	2/9/16	3,650,000	Murrieta CFD No 2005-1	Springbrook	A - 877
	Local Obligor	2/9/16	20,100,000	Murrieta CFD No 2000-2	IA A The Oaks	A - 878
	Local Obligor	2/9/16	1,980,000	Murrieta CFD No 2003-4	Bluestone/Mapleton	A - 879
	Local Obligor	2/9/16	9,195,000	Murrieta CFD No 2000-2	IA B The Oaks	A - 880
	Local Obligor	2/9/16	14,865,000	Murrieta CFD No 2003-3	IA No 1 Creekside Village	A - 881
	<b>Authority</b>	<b>6/2/16</b>	<b>8,850,000</b>	<b>Murrieta Public Financing Authority</b>	<b>CFD Nos 2000-2, 2003-4 &amp; 2005-1 Series B</b>	<b>A - 882</b>
	Local Obligor	6/2/16	3,845,000	Murrieta CFD No 2004-3	Meadowlane/Amberwalk I & II	A - 883
	Local Obligor	6/2/16	1,810,000	Murrieta CFD No 2004-2	Murrieta Fields	A - 884
	Local Obligor	6/2/16	3,195,000	Murrieta CFD No 2004-1	Bremerton	A - 885
	<b>Authority</b>	<b>2/7/13</b>	<b>11,170,000</b>	<b>Murrieta Valley Unified School District Public Financing Authority</b>	<b>CFD Nos 2002-4, 2003-3 &amp; 2004-1</b>	<b>A - 886</b>
	Local Obligor	2/7/13	6,125,000	Murrieta Valley Unified School District CFD No 2002-4	IA B	A - 887
	Local Obligor	2/7/13	2,310,000	Murrieta Valley Unified School District CFD No 2003-3		A - 888
	Local Obligor	2/7/13	2,735,000	Murrieta Valley Unified School District CFD No 2004-1		A - 889



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Riverside cont.	<b>Authority</b>	<b>11/21/13</b>	<b>7,370,000</b>	<b>Murrieta Valley Unified School District Public Financing Authority</b>	<b>CFD Nos 2002-4 IA A &amp; 2003-4 Series B</b>	<b>A - 890</b>
	Local Obligor	11/21/13	2,500,000	Murrieta Valley Unified School District CFD No 2002-4	IA A	A - 891
	Local Obligor	11/21/13	4,870,000	Murrieta Valley Unified School District CFD No 2003-4	Series B	A - 892
	<b>Authority</b>	<b>7/15/14</b>	<b>20,235,000</b>	<b>Murrieta Valley Unified School District Public Financing Authority</b>	<b>CFD Nos 90-1, 98-1, 98-2, 98-3, 2001-2 &amp; 2002-5 Series A</b>	<b>A - 893</b>
	Local Obligor	8/12/04	7,165,950	Murrieta Valley Unified School District CFD No 2002-5		A - 894
	Local Obligor	8/12/04	2,705,341	Murrieta Valley Unified School District CFD No 98-3		A - 895
	Local Obligor	8/12/04	3,779,074	Murrieta Valley Unified School District CFD No 98-2		A - 896
	Local Obligor	8/12/04	4,696,236	Murrieta Valley Unified School District CFD No 90-1		A - 897
	Local Obligor	8/12/04	5,836,557	Murrieta Valley Unified School District CFD No 98-1		A - 898
	Local Obligor	8/12/04	2,930,928	Murrieta Valley Unified School District CFD No 2001-2		A - 899
	<b>Authority</b>	<b>6/22/16</b>	<b>60,040,000</b>	<b>Murrieta Valley Unified School District Public Financing Authority</b>	<b>CFD Nos 99-1, 2000-1, 2000-2, 2001-1, 2001-4, 2002-1, 2002-2, 2002-3, 2002-5, 2003-1 &amp; 2003-2 Series A</b>	<b>A - 900</b>
	Local Obligor	6/22/16	5,175,000	Murrieta Valley Unified School District CFD No 99-1	IA A	A - 902
	Local Obligor	6/22/16	3,655,000	Murrieta Valley Unified School District CFD No 99-1	IA B	A - 903
	Local Obligor	6/22/16	17,350,000	Murrieta Valley Unified School District CFD No 2000-1		A - 904
	Local Obligor	6/22/16	10,495,000	Murrieta Valley Unified School District CFD No 2000-2		A - 905
	Local Obligor	6/22/16	7,900,000	Murrieta Valley Unified School District CFD No 2001-4		A - 906
	Local Obligor	6/22/16	5,120,000	Murrieta Valley Unified School District CFD No 2002-3		A - 907
	Local Obligor	6/22/16	7,175,000	Murrieta Valley Unified School District CFD No 2002-5	IA B	A - 908
	Local Obligor	6/22/16	3,305,000	Murrieta Valley Unified School District CFD No 2001-1		A - 909
	Local Obligor	6/22/16	3,450,000	Murrieta Valley Unified School District CFD No 2002-1		A - 910
	Local Obligor	6/22/16	2,715,000	Murrieta Valley Unified School District CFD No 2002-2		A - 911
	Local Obligor	6/22/16	3,980,000	Murrieta Valley Unified School District CFD No 2003-1		A - 912
	Local Obligor	6/22/16	2,105,000	Murrieta Valley Unified School District CFD No 2003-2		A - 913
	<b>Authority</b>	<b>3/12/03</b>	<b>15,745,000</b>	<b>Palm Desert Financing Authority</b>	<b>Area No 2</b>	<b>A - 914</b>
	LOAN	3/12/03	15,745,000	Palm Desert Redevelopment Agency	Area No 2	A - 915
	<b>Authority</b>	<b>6/11/03</b>	<b>4,423,000</b>	<b>Palm Desert Financing Authority</b>	<b>AD Nos 94-2, 94-3 &amp; Utility Undergrounding AD No 01-01</b>	<b>A - 916</b>
	Local Obligor	6/11/03	930,000	Palm Desert - Retired	Sunterrace Ad No 94-2	
	Local Obligor	6/11/03	1,153,000	Palm Desert	Merano AD No 94-3	A - 917
	Local Obligor	6/11/03	2,340,000	Palm Desert	Silver Spur Ranch Utility Undergrounding AD No 01-01	A - 918
	<b>Authority</b>	<b>12/13/06</b>	<b>32,600,000</b>	<b>Palm Desert Financing Authority - Final Report</b>	<b>Area No 1 as Amended Series A</b>	<b>A - 919</b>
	LOAN	12/13/06	32,600,000	Palm Desert Redevelopment Agency - Final Report	Area No 1	A - 920
	<b>Authority</b>	<b>1/25/07</b>	<b>86,155,000</b>	<b>Palm Desert Financing Authority - Final Report</b>	<b>Hsg Sdet-Aside Areas Nos 1 thru 4</b>	<b>A - 921</b>
	LOAN	1/25/07	86,155,000	Palm Desert Redevelopment Agency - Final Report	Hsg Set-Aside Areas Nos 1 thru 4	A - 922
	<b>Authority</b>	<b>5/8/13</b>	<b>2,755,000</b>	<b>Perris Joint Powers Authority - Final Report</b>	<b>Series A</b>	<b>A - 923</b>
	Local Obligor	5/8/13	2,755,000	Perris CFD No 93-2 - Final Report	Perris Plaza	A - 924
	<b>Authority</b>	<b>6/12/13</b>	<b>5,750,000</b>	<b>Perris Joint Powers Authority</b>	<b>CFD No 2002-1 Willowbrook Series B</b>	<b>A - 925</b>
	Local Obligor	6/12/13	5,750,000	Perris CFD No 2002-1	Willowbrook	A - 926
	<b>Authority</b>	<b>1/16/14</b>	<b>12,165,000</b>	<b>Perris Joint Powers Authority</b>	<b>CFD No 2001-1 May Farms IA Nos 1, 2 &amp; 3 Series A</b>	<b>A - 927</b>
	Local Obligor	1/16/14	1,505,000	Perris CFD No 2001-1	IA No 1 May Farms Series A	A - 928
	Local Obligor	1/16/14	6,390,000	Perris CFD No 2001-1	IA No 3 May Farms Series C	A - 929
	Local Obligor	1/16/14	4,270,000	Perris CFD No 2001-1	IA No 2 May Farms Series B	A - 930
	<b>Authority</b>	<b>6/4/14</b>	<b>2,825,000</b>	<b>Perris Joint Powers Authority</b>	<b>CFD No 2006-1 Meritage Series B</b>	<b>A - 931</b>
	Local Obligor	6/4/14	2,825,000	Perris CFD No 2006-1	Meritage	A - 932

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Riverside cont.	Authority	7/17/14	8,615,000	Perris Joint Powers Authority	Monument Ranch CFD No 2004-3 IA 1 Series C	A - 933
	Local Obligor	7/24/14	8,615,000	Perris CFD No 2004-3	IA No 1 Monument Ranch	A - 934
	Authority	7/17/14	4,695,000	Perris Joint Powers Authority	Corman Leigh Communities CFD No 2004-2 Series D	A - 935
	Local Obligor	7/17/14	4,695,000	Perris CFD No 2004-2	Corman Leigh Communities Series D	A - 936
	Authority	9/18/14	13,925,000	Perris Joint Powers Authority	CFD No 2001-1 May Farms IA Nos 6 & 7 Series E	A - 937
	Local Obligor	9/18/14	6,015,000	Perris CFD No 2001-1	IA No 6 May Farms Series D	A - 938
	Local Obligor	9/18/14	7,910,000	Perris CFD No 2001-1	IA No 7 May Farms Series E	A - 939
	Authority	10/9/14	2,465,000	Perris Joint Powers Authority	CFD No 2004-1 Series F	A - 940
	Local Obligor	10/9/14	2,465,000	Perris CFD No 2004-1	Amber Oaks	A - 941
	Authority	11/13/14	3,435,000	Perris Joint Powers Authority	CFD No 2003-1 Series G	A - 942
	Local Obligor	11/13/14	3,435,000	Perris CFD No 2003-1	Chaparral Ridge	A - 943
	Authority	3/26/15	13,570,000	Perris Joint Powers Authority	CFD 2005-2 Series A	A - 944
	Local Obligor	3/26/15	13,570,000	Perris CFD No 2005-2	Harmony Grove	A - 945
	Authority	5/18/15	9,020,000	Perris Joint Powers Authority	Monument Park Estates Series B	A - 946
	Local Obligor	5/18/15	9,020,000	Perris CFD No 2006-2	Monument Park Estates	A - 947
	Authority	6/18/15	8,285,000	Perris Joint Powers Authority	CFD 2004-3 IA 2 Series C	A - 948
	Local Obligor	6/18/15	8,285,000	Perris CFD No 2004-3	IA 2	A - 949
	Authority	8/6/15	1,540,000	Perris Joint Powers Authority	CFD 2014-2 Perris Vly Spectrum Series D	A - 950
	Local Obligor	8/6/15	1,540,000	Perris CFD No 2014-2	Valley Spectrum Series D	A - 951
	Authority	9/15/15	4,560,000	Perris Joint Powers Authority	CFD No 2005-1, IA 3 Series E	A - 952
	Local Obligor	9/15/15	4,560,000	Perris CFD No 2005-1	IA No 3 Perris Valley Series E	A - 953
	Authority	10/14/15	5,415,000	Perris Joint Powers Authority	CFD No. 2005-4 Stratford Ranch Series F	A - 954
	Local Obligor	10/14/15	5,415,000	Perris CFD No 2005-4	Stratford Ranch Series F	A - 955
	Authority	12/8/15	3,190,000	Perris Joint Powers Authority	CFD No 2005-4 Stratford Ranch Steeplechase Series G	A - 956
	Local Obligor	12/5/15	3,190,000	Perris CFD No 2005-4	Stratford Ranch Steeplechase Series G	A - 957
	Authority	6/7/17	4,580,000	Perris Joint Powers Authority	Perris CFD No 2014-1 IA 1 (Avelina) Series A	A - 958
	Local Obligor	6/7/17	4,580,000	Perris CFD No 2014-1	IA No 1 Avelina Series A	A - 959
	Authority	9/12/17	22,175,000	Perris Joint Powers Authority	CFD No 2001-1 Nos 4 & 5 & 2005-1 IA 4 Series B	A - 960
	Local Obligor	9/12/17	12,240,000	Perris CFD No 2001-1	IA No 4 May Farms Series A	A - 961
	Local Obligor	9/12/17	7,405,000	Perris CFD No 2001-1	IA No 5 May Farms Series B	A - 962
	Local Obligor	9/12/17	2,530,000	Perris CFD No 2005-1	IA No 4 Perris Valley Vistas Series A	A - 963
	Authority	11/30/17	4,060,000	Perris Joint Powers Authority	CFD 93-1 & 2004-5 Series C	A - 964
	Local Obligor	11/30/17	2,640,000	Perris CFD No 93-1	May Ranch Series A	A - 965
	Local Obligor	11/30/17	1,420,000	Perris CFD No 2004-5	Amber Oaks II Series C	A - 966
	Authority	3/27/18	4,410,000	Perris Joint Powers Authority	CFD No 2014-1 Avelina IA No 2 Series A	A - 967
	Local Obligor	3/27/18	4,410,000	Perris CFD No 2014-1	IA No 2 Avelina Series A	A - 968

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Riverside cont.						
	Authority	8/7/07	11,895,000	Perris Public Financing Authority	CFD Nos 88-1, 88-3 & 90-1 Series A	A - 969
	Authority	8/7/07	1,865,000	Perris Public Financing Authority - Retired	Sub Series B CFD Nos 88-1, 88-3 & 90-1	
	Local Obligor	8/7/07	5,005,000	Perris CFD No 88-3		A - 970
	Local Obligor	8/7/07	7,690,000	Perris CFD No 88-1		A - 971
	Local Obligor	8/7/07	3,140,000	Perris CFD No 90-1		A - 972
	Authority	2/3/09	4,055,000	Perris Public Financing Authority	1987 Project Series A	A - 973
	Authority	2/25/09	7,605,000	Perris Public Financing Authority	1994 Project Series B	A - 974
	LOAN	2/3/09	4,055,000	Perris Redevelopment Agency	1987 Project	A - 975
	LOAN	2/25/09	7,605,000	Perris Redevelopment Agency	1994 Project	A - 976
	Authority	6/19/09	5,490,000	Perris Public Financing Authority	Central North Series C	A - 977
	LOAN	6/19/09	5,490,000	Perris Redevelopment Agency	Central North	A - 978
	Authority	4/13/10	7,180,000	Perris Public Financing Authority	Downtown Hsg Area Series A	A - 979
	LOAN	4/13/10	7,180,000	Perris Redevelopment Agency	Downtown Hsg Area	A - 980
	Authority	7/9/15	23,120,000	Perris Public Financing Authority	Series B	A - 981
	LOAN	7/9/15	5,455,000	Successor Agency to the Perris Redevelopment Agency	Central North Series B	A - 982
	LOAN	7/9/15	12,810,000	Successor Agency to the Perris Redevelopment Agency	Series B	A - 983
	LOAN	7/9/15	4,855,000	Successor Agency to the Perris Redevelopment Agency	Series B	A - 984
	Authority	7/9/15	21,590,000	Perris Public Financing Authority	Loans & Hsg Loan Series A	A - 985
	LOAN	7/9/15	6,715,000	Successor Agency to the Perris Redevelopment Agency	Central North Series A	A - 986
	LOAN	7/9/15	10,875,000	Successor Agency to the Perris Redevelopment Agency	Hsg Fund Loan	A - 987
	LOAN	7/9/15	1,080,000	Successor Agency to the Perris Redevelopment Agency	Series A	A - 988
	LOAN	7/9/15	2,920,000	Successor Agency to the Perris Redevelopment Agency	Series A	A - 989
	Authority	2/4/15	46,150,000	Perris Union High School District Financing Authority	CFD Nos 91-1 & 92-1	A - 990
	Local Obligor	2/4/15	9,835,000	Perris Union High School District CFD No 91-1		A - 991
	Local Obligor	2/4/15	36,315,000	Perris Union High School District CFD No 92-1		A - 992
	Authority	7/30/15	8,145,000	Rancho California Water District Financing Authority	Series A	A - 993
	Local Obligor	7/30/15	495,000	Rancho California Water District CFD No 88-3 - Final Report		A - 994
	Local Obligor	7/30/15	3,080,000	Rancho California Water District CFD No 99-1	IA A	A - 995
	Local Obligor	7/30/15	2,645,000	Rancho California Water District CFD No 99-1	IA B	A - 996
	Local Obligor	7/30/15	1,925,000	Rancho California Water District CFD No 99-2		A - 997
	Authority	11/16/17	38,725,000	Rancho California Water District Financing Authority	Series A	A - 998
	Local Obligor	11/16/17	38,725,000	Rancho California Water District	Series A	A - 999
	Authority	11/16/17	2,445,000	Rancho California Water District Financing Authority	Series B	A - 1000
	Local Obligor	11/16/17	2,445,000	Rancho California Water District	Series B	A - 1001
	Authority	11/21/17	46,970,000	Riverside County Infrastructure Financing Authority	Riverside Co Indio Law Bldg Series A	A - 1002
	Local Obligor	11/21/17	46,970,000	Riverside County	Riverside Co Indio Law Bldg Series A	A - 1003
	Authority	12/14/17	11,595,000	Riverside County Infrastructure Financing Authority	AFV Site and AFV Facilities Series B	A - 1004
	Authority	12/14/17	10,610,000	Riverside County Infrastructure Financing Authority	The County Health Lab Expansion Series C	A - 1005
	Local Obligor	12/14/17	11,595,000	Riverside County	AFV Site and AFV Facilities	A - 1006
	Local Obligor	12/14/17	10,610,000	Riverside County	The County Health Lab Expansion	A - 1007
	Authority	5/8/14	27,480,000	Riverside County Public Financing Authority	Indian Wells Series A-T	A - 1008
	Local Obligor	5/8/14	27,480,000	Successor Agency to the Indian Wells Redevelopment Agency	Series A-T	A - 1009
	Authority	5/8/14	6,505,000	Riverside County Public Financing Authority	Indian Wells Series A	A - 1010
	Local Obligor	5/8/14	6,505,000	Successor Agency to the Indian Wells Redevelopment Agency	Series A	A - 1011

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Riverside cont.	<b>Authority</b>	<b>12/9/14</b>	<b>11,110,000</b>	<b>Riverside County Public Financing Authority</b>	<b>Hemet</b>	<b>A - 1012</b>
	Local Obligor	12/9/14	11,110,000	Successor Agency to the Hemet Redevelopment Agency		<b>A - 1012</b>
	<b>Authority</b>	<b>3/11/15</b>	<b>16,895,000</b>	<b>Riverside County Public Financing Authority</b>	<b>Corona Series A</b>	<b>A - 1014</b>
	Local Obligor	3/11/15	16,895,000	Successor Agency to the Corona Redevelopment Agency		<b>A - 1015</b>
	<b>Authority</b>	<b>3/11/15</b>	<b>3,140,000</b>	<b>Riverside County Public Financing Authority - Final Report</b>	<b>Corona Series A-T</b>	<b>A - 1016</b>
	Local Obligor	3/11/15	3,140,000	Successor Agency to the Corona Redevelopment Agency - Final Report		<b>A - 1017</b>
	<b>Authority</b>	<b>9/9/15</b>	<b>54,955,000</b>	<b>Riverside County Public Financing Authority</b>	<b>Area No 1, Desert Comm &amp; I-215 Corridor Series A</b>	<b>A - 1018</b>
	Local Obligor	9/9/15	22,460,000	Successor Agency to the Riverside County Redevelopment Agenc Area No 1 Series A		<b>A - 1019</b>
	Local Obligor	9/9/15	13,620,000	Successor Agency to the Riverside County Redevelopment Agenc Desert Communities, Series D		<b>A - 1020</b>
	Local Obligor	9/9/15	18,875,000	Successor Agency to the Riverside County Redevelopment Agenc Interstate 215 Corridor, Series E		<b>A - 1021</b>
	<b>Authority</b>	<b>4/14/16</b>	<b>88,895,000</b>	<b>Riverside County Public Financing Authority</b>	<b>Area No 1 Desert Comm &amp; I-215</b>	<b>A - 1022</b>
	Local Obligor	4/14/16	21,730,000	Successor Agency to the Riverside County Redevelopment Agenc Area No 1 Desert Comm & I-215 Series A		<b>A - 1023</b>
	Local Obligor	4/14/16	50,800,000	Successor Agency to the Riverside County Redevelopment Agenc Area No 1 Desert Comm & I-215 Series D		<b>A - 1024</b>
	Local Obligor	4/14/16	16,365,000	Successor Agency to the Riverside County Redevelopment Agenc Area No 1 Desert Comm & I-215 Series E		<b>A - 1025</b>
	<b>Authority</b>	<b>4/18/17</b>	<b>80,640,000</b>	<b>Riverside County Public Financing Authority</b>	<b>Desert Communities &amp; I-215 Corridor Series A</b>	<b>A - 1026</b>
	Local Obligor	4/18/17	30,385,000	Successor Agency to the Riverside County Redevelopment Agenc Desert Communities Area Series D		<b>A - 1027</b>
	Local Obligor	4/18/17	50,255,000	Successor Agency to the Riverside County Redevelopment Agenc I-215 Corridor Area Series E		<b>A - 1028</b>
	<b>Authority</b>	<b>3/22/07</b>	<b>14,850,000</b>	<b>Riverside Public Financing Authority</b>	<b>Downtown/Aprt Merged &amp; Casa Blanca Series B</b>	<b>A - 1029</b>
	<b>Authority</b>	<b>3/22/07</b>	<b>8,340,000</b>	<b>Riverside Public Financing Authority</b>	<b>Downtown/Aprt Merged &amp; Casa Blanca Series A</b>	<b>A - 1030</b>
	Local Obligor	3/22/07	1,030,000	Riverside Redevelopment Agency	Downtown/Airport Merged Area Series B-1	<b>A - 1031</b>
	Local Obligor	3/22/07	9,110,000	Riverside Redevelopment Agency	Downtown/Airport Merged Area Series B-2	<b>A - 1032</b>
	Local Obligor	3/22/07	7,310,000	Riverside Redevelopment Agency	Casa Blanca Area Series C-1	<b>A - 1033</b>
	Local Obligor	3/22/07	5,740,000	Riverside Redevelopment Agency	Casa Blanca Area Series C-2	<b>A - 1034</b>
	<b>Authority</b>	<b>3/22/07</b>	<b>89,205,000</b>	<b>Riverside Public Financing Authority</b>	<b>Univ Corr/Sycamore Cnyn, Arlington, Hunter Pk/Northside, Magnolia Ctr &amp; La Sierra/Arlanza Series C</b>	<b>A - 1035</b>
	<b>Authority</b>	<b>3/22/07</b>	<b>43,875,000</b>	<b>Riverside Public Financing Authority</b>	<b>Univ Corr/Sycamore Cnyn, Arlington, Hunter Pk/Northside, Magnolia Ctr &amp; La Sierra/Arlanza Series D</b>	<b>A - 1037</b>
	Local Obligor	4/26/07	9,620,000	Riverside Redevelopment Agency	Univ Corridor/Sycamore Canyon Merged Area Series A-1	<b>A - 1038</b>
	Local Obligor	4/26/07	15,380,000	Riverside Redevelopment Agency	Univ Corridor/Sycamore Canyon Merged Area Series A-2	<b>A - 1039</b>
	Local Obligor	4/26/07	11,910,000	Riverside Redevelopment Agency	Arlington Area Series D-1	<b>A - 1040</b>
	Local Obligor	4/26/07	7,140,000	Riverside Redevelopment Agency	Arlington Area Series D-2	<b>A - 1041</b>
	Local Obligor	4/26/07	23,500,000	Riverside Redevelopment Agency	Hunter Pk/Northside Area Series E-1	<b>A - 1042</b>
	Local Obligor	4/26/07	845,000	Riverside Redevelopment Agency - Retired	Hunter Pk/Northside Area Series E-2	
	Local Obligor	4/26/07	5,070,000	Riverside Redevelopment Agency	Magnolia Ctr Area Series F-1	<b>A - 1043</b>
	Local Obligor	4/26/07	12,375,000	Riverside Redevelopment Agency	Magnolia Ctr Area Series F-2	<b>A - 1044</b>
	Local Obligor	4/26/07	39,105,000	Riverside Redevelopment Agency	La Sierra/Arlanza Area Series G-1	<b>A - 1045</b>
	Local Obligor	4/26/07	8,135,000	Riverside Redevelopment Agency - Final Report	La Sierra/Arlanza Area Series G-2	<b>A - 1046</b>

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Riverside cont.	<b>Authority</b>	<b>7/26/12</b>	<b>47,060,000</b>	<b>Riverside Unified School District Financing Authority</b>	<b>Superior Series A &amp; Sub Series B</b>	<b>A - 1047</b>
	Local Obligor	7/26/12	3,325,000	Riverside Unified School District CFD No 2	Series A	A - 1049
	Local Obligor	7/26/12	250,000	Riverside Unified School District CFD No 3	Series A	A - 1050
	Local Obligor	7/26/12	335,000	Riverside Unified School District CFD No 4	Series A	A - 1051
	Local Obligor	7/26/12	1,525,000	Riverside Unified School District CFD No 6	IA No 1 Series A	A - 1052
	Local Obligor	7/26/12	1,760,000	Riverside Unified School District CFD No 6	IA No 2 Series A	A - 1053
	Local Obligor	7/26/12	600,000	Riverside Unified School District CFD No 8	Series A	A - 1054
	Local Obligor	7/26/12	3,265,000	Riverside Unified School District CFD No 9	IA Nos 1, 3 & 5 Series A	A - 1055
	Local Obligor	7/26/12	2,005,000	Riverside Unified School District CFD No 9	IA No 4 Series A	A - 1056
	Local Obligor	7/26/12	1,860,000	Riverside Unified School District CFD No 9	IA No 2 Series A	A - 1057
	Local Obligor	7/26/12	1,585,000	Riverside Unified School District CFD No 11	Series A	A - 1058
	Local Obligor	7/26/12	5,895,000	Riverside Unified School District CFD No 12	Series A	A - 1059
	Local Obligor	7/26/12	14,530,000	Riverside Unified School District CFD No 15	IA No 1 Series A	A - 1060
	Local Obligor	7/26/12	4,440,000	Riverside Unified School District CFD No 15	IA No 3 Series A	A - 1061
	Local Obligor	7/26/12	4,195,000	Riverside Unified School District CFD No 16	Series A	A - 1062
	Local Obligor	7/26/12	1,530,000	Riverside Unified School District CFD No 27	Series A	A - 1063
	<b>Authority</b>	<b>1/15/15</b>	<b>40,980,000</b>	<b>Riverside Unified School District Financing Authority</b>	<b>CFD Nos 10,13,14,15,17,18,21,22 &amp; 24</b>	<b>A - 1064</b>
	Local Obligor	1/15/15	4,805,000	Riverside Unified School District CFD No 24		A - 1065
	Local Obligor	1/15/15	3,835,000	Riverside Unified School District CFD No 22		A - 1066
	Local Obligor	1/15/15	2,785,000	Riverside Unified School District CFD No 21	IA No 2	A - 1067
	Local Obligor	1/15/15	2,080,000	Riverside Unified School District CFD No 18		A - 1068
	Local Obligor	1/15/15	2,240,000	Riverside Unified School District CFD No 17		A - 1069
	Local Obligor	1/15/15	14,155,000	Riverside Unified School District CFD No 15	IA No 2	A - 1070
	Local Obligor	1/15/15	4,540,000	Riverside Unified School District CFD No 14		A - 1071
	Local Obligor	1/15/15	5,440,000	Riverside Unified School District CFD No 13	IA No 1	A - 1072
	Local Obligor	1/15/15	1,100,000	Riverside Unified School District CFD No 10		A - 1073
	<b>Authority</b>	<b>11/5/15</b>	<b>11,395,000</b>	<b>Romoland School District Public Financing Authority</b>	<b>CFD Nos 2001-1, 2002-1 &amp; 2002-2 Series A</b>	<b>A - 1074</b>
	Local Obligor	11/5/15	3,490,000	Romoland School District CFD No 2001-1		A - 1075
	Local Obligor	11/5/15	2,145,000	Romoland School District CFD No 2002-1	IA A	A - 1076
	Local Obligor	11/5/15	5,760,000	Romoland School District CFD No 2002-2	McCall Canyon, Perris UHSD, Vly-Wide Rec & Pk Dist & Eastern MWD	A - 1077
	<b>Authority</b>	<b>8/15/17</b>	<b>15,225,000</b>	<b>San Jacinto Unified School District Financing Authority</b>	<b>CFD Nos. 2003-2, 2004-3, 2004-4, 2004-5, 2005-1, 2005-2, 2005-4, 2006-1, 2006-2</b>	<b>A - 1078</b>
	Local Obligor	8/15/17	1,830,000	San Jacinto Unified School District CFD No 2003-2	Infrastructure Series A	A - 1080
	Local Obligor	8/15/17	2,615,000	San Jacinto Unified School District CFD No 2004-3	Eastern Municipal WD Series A	A - 1081
	Local Obligor	8/15/17	940,000	San Jacinto Unified School District CFD No 2004-4	Infrastructure Series A	A - 1082
	Local Obligor	8/15/17	1,325,000	San Jacinto Unified School District CFD No 2004-5	Infrastructure Series A	A - 1083
	Local Obligor	8/15/17	2,350,000	San Jacinto Unified School District CFD No 2005-1	Park Meadows - Eastern MWD Facs Series A	A - 1084
	Local Obligor	8/15/17	905,000	San Jacinto Unified School District CFD No 2005-2	Special Tax A Infrastructure Series A	A - 1085
	Local Obligor	8/15/17	2,040,000	San Jacinto Unified School District CFD No 2005-4	Flowerwood & Monte Carlo EMWD Facs Series A	A - 1086
	Local Obligor	8/15/17	1,945,000	San Jacinto Unified School District CFD No 2006-1	Eastern Municipal WD Series A	A - 1087
	Local Obligor	8/15/17	1,275,000	San Jacinto Unified School District CFD No 2006-2	Infrastructure Series A	A - 1088
	<b>Authority</b>	<b>7/27/17</b>	<b>24,040,000</b>	<b>Santa Rosa Regional Resources Authority</b>	<b>Series A</b>	<b>A - 1089</b>
	Local Obligor	7/27/17	9,139,739	Rancho California Water District		A - 1090
	Local Obligor	7/27/17	9,189,392	Elsinore Valley Municipal Water District	Wastewtr Funding Agmt w/Sta Rosa Reg Resrc Auth	A - 1091
	Local Obligor	7/27/17	4,195,469	Western Municipal Water District	Wastewtr Funding Agmt w/SRRRA	A - 1092
	<b>Authority</b>	<b>7/27/17</b>	<b>31,190,000</b>	<b>Santa Rosa Regional Resources Authority</b>	<b>Series B</b>	<b>A - 1093</b>
	Local Obligor	7/27/17	12,502,142	Rancho California Water District	Wastewtr Funding Agmt w/Sta Rosa Reg Resrc Auth	A - 1094
	Local Obligor	7/27/17	12,910,868	Elsinore Valley Municipal Water District	Wastewtr Funding Agmt w/Sta Rosa Reg Resrc Auth	A - 1095
	Local Obligor	7/27/17	5,752,289	Western Municipal Water District	Wastewtr Funding Agmt w/SRRRA	A - 1096

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Riverside cont.	<b>Authority</b>	<b>1/29/15</b>	<b>55,340,000</b>	<b>Temecula Valley Unified School District Financing Authority</b>	<b>CFD Nos 2000-1, 2002-1, 2002-2, 2003-2, 2004-1 &amp; 2005-1</b>	<b>A - 1097</b>
	Local Obligor	1/29/15	9,025,000	Temecula Valley Unified School District CFD No 2005-1	Valdemosa, Brindisi & Casabell	<b>A - 1098</b>
	Local Obligor	1/29/15	11,865,000	Temecula Valley Unified School District CFD No 2004-1	IA A Wolf Creek	<b>A - 1099</b>
	Local Obligor	1/29/15	6,925,000	Temecula Valley Unified School District CFD No 2003-2	Sheffield-French Valley	<b>A - 1100</b>
	Local Obligor	1/29/15	15,270,000	Temecula Valley Unified School District CFD No 2002-2	Redhawk	<b>A - 1101</b>
	Local Obligor	1/29/15	7,255,000	Temecula Valley Unified School District CFD No 2002-1	IA No 2 Rancho Bella Vista	<b>A - 1102</b>
	Local Obligor	1/29/15	5,000,000	Temecula Valley Unified School District CFD No 2000-1		<b>A - 1103</b>
	<b>Authority</b>	<b>3/6/14</b>	<b>19,600,000</b>	<b>Val Verde Unified School District Financing Authority</b>	<b>CFD No 98-1</b>	<b>A - 1104</b>
	Local Obligor	7/24/98	3,465,787	Val Verde Unified School District CFD No 98-1		<b>A - 1105</b>
	<b>Authority</b>	<b>2/12/15</b>	<b>22,850,000</b>	<b>Val Verde Unified School District Financing Authority</b>	<b>CFD Nos 2002-1, 2003-1, 2003-2</b>	<b>A - 1106</b>
	Local Obligor	2/12/15	1,995,000	Val Verde Unified School District CFD No 2003-1	IA No 1 Citation Homes	<b>A - 1107</b>
	Local Obligor	2/12/15	2,420,000	Val Verde Unified School District CFD No 2003-1	IA No 2 Meritage Homes	<b>A - 1108</b>
	Local Obligor	2/12/15	15,525,000	Val Verde Unified School District CFD No 2002-1	IA A Boulder Springs	<b>A - 1109</b>
	Local Obligor	2/12/15	2,910,000	Val Verde Unified School District CFD No 2003-2	John Laing Homes	<b>A - 1110</b>
	<b>Authority</b>	<b>5/20/05</b>	<b>18,255,000</b>	<b>Western Riverside Water and Wastewater Financing Authority</b>	<b>Eastern Municipal WD IDs</b>	<b>A - 1111</b>
	Local Obligor	5/20/05	160,000	Eastern Municipal Water District	ID No 15 Series 3	<b>A - 1113</b>
	Local Obligor	5/20/05	250,000	Eastern Municipal Water District - Retired	ID No 16 Series 6	
	Local Obligor	5/20/05	290,000	Eastern Municipal Water District	ID No 18 Series 5	<b>A - 1114</b>
	Local Obligor	5/20/05	290,000	Eastern Municipal Water District - Retired	ID No 20 Series 4	
	Local Obligor	5/20/05	2,350,000	Eastern Municipal Water District	ID No 22 Series 4	<b>A - 1115</b>
	Local Obligor	5/20/05	700,000	Eastern Municipal Water District	ID No 24 Series 4	<b>A - 1116</b>
	Local Obligor	5/20/05	1,025,000	Eastern Municipal Water District	ID No U-2 Series 7	<b>A - 1117</b>
	Local Obligor	5/20/05	400,000	Eastern Municipal Water District	ID No U-4 Series 3	<b>A - 1118</b>
	Local Obligor	5/20/05	115,000	Eastern Municipal Water District	ID No U-5 Series 3	<b>A - 1119</b>
	Local Obligor	5/20/05	140,000	Eastern Municipal Water District	ID No U-7 Series 5	<b>A - 1120</b>
	Local Obligor	5/20/05	5,200,000	Eastern Municipal Water District	ID No U-8 Series 4	<b>A - 1121</b>
	Local Obligor	5/20/05	550,000	Eastern Municipal Water District	ID No U-10 Series 2	<b>A - 1122</b>
	Local Obligor	5/20/05	400,000	Eastern Municipal Water District	ID No U-12 Series 1	<b>A - 1123</b>
	Local Obligor	5/20/05	500,000	Eastern Municipal Water District - Retired	ID No U-13 Series 4	
	Local Obligor	5/20/05	125,000	Eastern Municipal Water District - Retired	ID No U-16 Series 3	
	Local Obligor	5/20/05	1,600,000	Eastern Municipal Water District	ID No U-18 Series 1	<b>A - 1124</b>
	Local Obligor	5/20/05	650,000	Eastern Municipal Water District - Retired	ID No U-19 Series 2	
	Local Obligor	5/20/05	200,000	Eastern Municipal Water District	ID No U-21 Series 1	<b>A - 1125</b>
	Local Obligor	5/20/05	3,200,000	Eastern Municipal Water District	ID No U-22 Series 1	<b>A - 1126</b>
	Local Obligor	5/20/05	110,000	Eastern Municipal Water District	ID No U-14 Series 2	<b>A - 1127</b>
	<b>Authority</b>	<b>7/29/09</b>	<b>31,625,000</b>	<b>Western Riverside Water and Wastewater Financing Authority</b>	<b>Eastern Municipal WD IDs</b>	<b>A - 1128</b>
	Local Obligor	7/29/09	3,093,000	Eastern Municipal Water District	ID No U-9	<b>A - 1130</b>
	Local Obligor	7/29/09	9,000,000	Eastern Municipal Water District	ID No U-35	<b>A - 1131</b>
	Local Obligor	7/29/09	9,012,000	Eastern Municipal Water District	ID No U-36	<b>A - 1132</b>
	Local Obligor	7/29/09	400,000	Eastern Municipal Water District - Retired	ID No U-15	
	Local Obligor	7/29/09		Eastern Municipal Water District - Retired	ID No U-22	
	Local Obligor	7/29/09	320,000	Eastern Municipal Water District - Retired	ID No U-20	
	Local Obligor	7/29/09	2,700,000	Eastern Municipal Water District	ID No U-6	<b>A - 1133</b>
	Local Obligor	7/29/09	1,150,000	Eastern Municipal Water District - Retired	ID No U-17	
	Local Obligor	7/29/09	2,350,000	Eastern Municipal Water District - Retired	ID No 23	
	Local Obligor	7/29/09	900,000	Eastern Municipal Water District	ID No U-4	<b>A - 1134</b>
	Local Obligor	7/29/09	700,000	Eastern Municipal Water District - Retired	ID No 21	

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	<b>Authority</b>	<b>6/6/13</b>	<b>54,175,000</b>	<b>Western Riverside Water and Wastewater Financing Authority</b>	<b>2002-04, 2002-09, 2003-12, 14, 15-A, 16, 19, 25, 2004-26, 28, 30, 35, 36 &amp; 36 Series A-1 &amp; A-2</b>	<b>A - 1135</b>
	Local Obligor	6/6/13	850,000	Eastern Municipal Water District CFD No 2004-35	IA C Mountain Gate	A - 1137
	Local Obligor	6/6/13	4,845,000	Eastern Municipal Water District CFD No 2004-36	Adeline's Farm	A - 1138
	Local Obligor	6/6/13	2,650,000	Eastern Municipal Water District CFD No 2004-38	IA A Autumn Ridge/Valdemosa	A - 1139
	Local Obligor	6/6/13	2,220,000	Eastern Municipal Water District CFD No 2004-30	Barrington Heights	A - 1140
	Local Obligor	6/6/13	645,000	Eastern Municipal Water District CFD No 2002-04	IA 3 Sheffield/Rancho Bella Vista	A - 1141
	Local Obligor	6/6/13	490,000	Eastern Municipal Water District CFD No 2002-04	IA 4 Sheffield/Rancho Bella Vista	A - 1142
	Local Obligor	6/6/13	2,510,000	Eastern Municipal Water District CFD No 2003-12	IA B Temecula Creek	A - 1143
	Local Obligor	6/6/13	1,405,000	Eastern Municipal Water District CFD No 2002-09	Woodside Homes	A - 1144
	Local Obligor	6/6/13	1,865,000	Eastern Municipal Water District CFD No 2003-12	IA A Temecula Creek	A - 1145
	Local Obligor	6/6/13	1,435,000	Eastern Municipal Water District CFD No 2003-14	IA A Clinton Keith/Meadowlark	A - 1146
	Local Obligor	6/6/13	1,635,000	Eastern Municipal Water District CFD No 2003-14	IA C Clinton Keith/Meadowlark Village	A - 1147
	Local Obligor	6/6/13	3,330,000	Eastern Municipal Water District CFD No 2003-14	IA B Clinton Keith/Meadowlark Village	A - 1148
	Local Obligor	6/6/13	5,835,000	Eastern Municipal Water District CFD No 2003-15-A	Morgan Valley Series A	A - 1149
	Local Obligor	6/6/13	3,055,000	Eastern Municipal Water District CFD No 2003-16	Promontory Park	A - 1150
	Local Obligor	6/6/13	2,035,000	Eastern Municipal Water District CFD No 2003-19	IA A Eastridge/Westridge	A - 1151
	Local Obligor	6/6/13	1,405,000	Eastern Municipal Water District CFD No 2003-20	IA A Corman Leigh Communties	A - 1152
	Local Obligor	6/6/13	3,705,000	Eastern Municipal Water District CFD No 2003-19	IA B Eastridge/Westridge	A - 1153
	Local Obligor	6/6/13	3,070,000	Eastern Municipal Water District CFD No 2003-25	IA D Pacific Communities	A - 1154
	Local Obligor	6/6/13	3,735,000	Eastern Municipal Water District CFD No 2004-28	Quinta Do Lago	A - 1155
	Local Obligor	6/6/13	2,780,000	Eastern Municipal Water District CFD No 2004-26	IA 2 Lennar San Jacinto	A - 1156
	Local Obligor	6/6/13	4,675,000	Eastern Municipal Water District CFD No 2004-26	IA 1 Lennar San Jacinto	A - 1157
	<b>Authority</b>	<b>6/7/13</b>	<b>24,750,000</b>	<b>Western Riverside Water and Wastewater Financing Authority</b>	<b>CFD Nos 2001-01, 2002-05, 2004-34 &amp; 2006-52 Series B</b>	<b>A - 1158</b>
	Local Obligor	6/7/13	7,250,000	Eastern Municipal Water District CFD No 2001-01	IA A French Valley Series B	A - 1159
	Local Obligor	6/7/13	1,470,000	Eastern Municipal Water District CFD No 2006-52	Nelson Series B	A - 1160
	Local Obligor	6/7/13	10,465,000	Eastern Municipal Water District CFD No 2002-05	Crown Valley Series B	A - 1161
	Local Obligor	6/7/13	5,565,000	Eastern Municipal Water District CFD No 2004-34	Faircrest Series B	A - 1162
	<b>Authority</b>	<b>2/24/15</b>	<b>19,976,000</b>	<b>Western Riverside Water and Wastewater Financing Authority</b>	<b>CFD Nos 2003-20, 2004-27, 2004-29, 2004-32, 2005-40 &amp; 2005-4</b>	<b>A - 1163</b>
	Local Obligor	2/24/15	7,296,000	Eastern Municipal Water District CFD No 2004-27	Cottonwood Ranch Series A	A - 1164
	Local Obligor	2/24/15	4,617,000	Eastern Municipal Water District CFD No 2004-29	Sun Ranch Series A	A - 1165
	Local Obligor	2/24/15	2,416,000	Eastern Municipal Water District CFD No 2005-40	Mahogany/Promontory Pointe Series A	A - 1166
	Local Obligor	2/24/15	628,000	Eastern Municipal Water District CFD No 2005-38	IA B Autumn Ridge/Valdemosa Series A	A - 1167
	Local Obligor	2/24/15	2,151,000	Eastern Municipal Water District CFD No 2005-42	Belicia Ranch/Mosaico Series A	A - 1168
	Local Obligor	2/24/15	298,000	Eastern Municipal Water District CFD No 2003-20	IA B Corman Leigh Series A	A - 1169
	Local Obligor	2/24/15	1,046,000	Eastern Municipal Water District CFD No 2004-32	IA B Rancho San Jacinto Series A	A - 1170
	Local Obligor	2/24/15	1,524,000	Eastern Municipal Water District CFD No 2004-32	IA A Rancho San Jacinto Series A	A - 1171

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	<b>Authority</b>	<b>5/26/16</b>	<b>39,435,000</b>	<b>Western Riverside Water and Wastewater Financing Authority</b>	<b>CFD Nos 2001-01 &amp; 2001-02, 2002-03, 2002-04, 2002-10, 2005-39, 2005-43, 2005-04 &amp; 2010-60 Series A</b>	<b>A - 1172</b>
	Local Obligor	5/26/16	1,350,000	Eastern Municipal Water District CFD No 2001-01	IA B French Valley	A - 1174
	Local Obligor	5/26/16	3,590,000	Eastern Municipal Water District CFD No 2001-02	IA A Springfield II/Arbor Glen	A - 1175
	Local Obligor	5/26/16	585,000	Eastern Municipal Water District CFD No 2001-02	IA B Springfield II/Arbor Glen	A - 1176
	Local Obligor	5/26/16	2,295,000	Eastern Municipal Water District CFD No 2002-03	The Willows	A - 1177
	Local Obligor	5/26/16	1,815,000	Eastern Municipal Water District CFD No 2002-04	IA 1 Sheffield/Rancho Bella Vista	A - 1178
	Local Obligor	5/26/16	3,070,000	Eastern Municipal Water District CFD No 2002-04	IA 2 Sheffield/Rancho Bella Vista	A - 1179
	Local Obligor	5/26/16	995,000	Eastern Municipal Water District CFD No 2002-04	IA 5 Sheffield/Rancho Bella Vista	A - 1180
	Local Obligor	5/26/16	5,050,000	Eastern Municipal Water District CFD No 2002-06	IA A Morgan Hill	A - 1181
	Local Obligor	5/26/16	2,435,000	Eastern Municipal Water District CFD No 2002-08	Serena Hills	A - 1182
	Local Obligor	5/26/16	1,820,000	Eastern Municipal Water District CFD No 2002-10	Summit Ridge	A - 1183
	Local Obligor	5/26/16	2,225,000	Eastern Municipal Water District CFD No 2005-39	Marsden	A - 1184
	Local Obligor	5/26/16	4,470,000	Eastern Municipal Water District CFD No 2005-43	IA A Kona Road/Holiday	A - 1185
	Local Obligor	5/26/16	7,130,000	Eastern Municipal Water District CFD No 2005-47	IA A The Lakes	A - 1186
	Local Obligor	5/26/16	2,605,000	Eastern Municipal Water District CFD No 2010-60	Paseo Del Sol	A - 1187
	<b>Authority</b>	<b>3/28/17</b>	<b>8,035,000</b>	<b>Western Riverside Water and Wastewater Financing Authority Series A</b>		<b>A - 1188</b>
	Local Obligor	3/28/17	3,285,000	Eastern Municipal Water District CFD No 2002-07	IA B Pacific Mayfield	A - 1189
	Local Obligor	3/28/17	2,625,000	Eastern Municipal Water District CFD No 2003-25	IA C Pacific Communities	A - 1190
	Local Obligor	3/28/17	2,125,000	Eastern Municipal Water District CFD No 2002-07	IA C Pacific Mayfield	A - 1191
<b>Sacramento</b>						
	<b>Authority</b>	<b>7/14/15</b>	<b>90,630,000</b>	<b>Elk Grove Finance Authority</b>	<b>Poppy Ridge &amp; East Franklin CFD Nos 2002-1 &amp; 2003-1</b>	<b>A -1192</b>
	Local Obligor	7/14/15	49,120,000	Elk Grove CFD No 2002-1	East Franklin	A -1193
	Local Obligor	7/14/15	41,510,000	Elk Grove CFD No 2003-1	Poppy Ridge	A -1194
	<b>Authority</b>	<b>8/10/16</b>	<b>113,500,000</b>	<b>Elk Grove Finance Authority</b>	<b>Laguna Ridge CFD No 2005-1</b>	<b>A - 1195</b>
	Local Obligor	8/10/16	113,500,000	Elk Grove CFD No 2005-1	Laguna Ridge	A - 1196
	<b>Authority</b>	<b>3/20/18</b>	<b>18,530,000</b>	<b>Elk Grove Finance Authority</b>	<b>City Animal Shelter</b>	<b>A - 1197</b>
	Local Obligor	3/20/18	18,530,000	Elk Grove	City Animal Shelter	A - 1198
	<b>Authority</b>	<b>6/21/18</b>	<b>19,145,000</b>	<b>Elk Grove Finance Authority</b>	<b>CFD No 2005-1 Laguna Ridge</b>	<b>A - 1199</b>
	Local Obligor	6/21/18	19,145,000	Elk Grove	Laguna Ridge	A - 1200
	<b>Authority</b>	<b>3/19/98</b>	<b>2,860,000</b>	<b>Folsom Public Financing Authority</b>	<b>Hannaford Cross AD No 1990-1</b>	<b>A - 1201</b>
	Local Obligor	3/19/98	2,105,403	Folsom	Hannaford Cross AD No 1990-1	A - 1202
	<b>Authority</b>	<b>3/18/99</b>	<b>6,125,000</b>	<b>Folsom Public Financing Authority</b>	<b>AD Nos 93-2, 94-3, 95-1 &amp; 95-2</b>	<b>A - 1203</b>
	Local Obligor	3/18/99	1,305,000	Folsom	Cresleigh Natoma AD No 95-2	A - 1204
	Local Obligor	3/18/99	1,860,000	Folsom	Ridgeview AD No 95-1	A - 1205
	Local Obligor	3/18/99	1,905,000	Folsom	Cobble Hills Ridge AD No 94-3	A - 1206
	Local Obligor	3/18/99	680,000	Folsom	Legends AD No 93-2	A - 1207
	<b>Authority</b>	<b>6/26/07</b>	<b>70,425,000</b>	<b>Folsom Public Financing Authority - Final Report</b>	<b>CFD Nos 9, 10, 11 &amp; 14 Series A &amp; Sub Series B</b>	<b>A - 1208</b>
	Local Obligor	6/26/07	1,710,000	Folsom CFD No 9 - Final Report	Willow Creek Estates South	A - 1209
	Local Obligor	6/26/07	42,165,000	Folsom CFD No 10 - Final Report		A - 1210
	Local Obligor	6/26/07	8,900,000	Folsom CFD No 11 - Final Report	Willow Springs	A - 1211
	Local Obligor	6/26/07	17,650,000	Folsom CFD No 14 - Final Report	Parkway Phase II	A - 1212
	<b>Authority</b>	<b>7/23/08</b>	<b>11,955,000</b>	<b>Folsom Public Financing Authority</b>	<b>Prairie Oaks ReAD No 2008-01</b>	<b>A - 1213</b>
	Local Obligor	7/23/08	11,770,000	Folsom	Prairie Oaks ReAD No 2008-01	A - 1214



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	Local Obligor	6/22/10	23,180,000	Folsom CFD No 10		A - 1216
	Authority	7/27/11	10,855,000	Folsom Public Financing Authority	Series A & B	A - 1217
	Local Obligor	7/27/11	10,855,000	Folsom CFD No 7		A - 1218
	Authority	7/12/12	15,034,361	Folsom Public Financing Authority	CFD Nos 7 & 8	A - 1219
	Local Obligor	7/12/12	11,807,377	Folsom CFD No 7		A - 1220
	Local Obligor	7/12/12	3,226,984	Folsom CFD No 8		A - 1221
	Authority	7/11/17	44,075,000	Folsom Public Financing Authority	CFD Nos 10, 11 & 14 Series A	A - 1222
	Local Obligor	7/11/17	27,875,000	Folsom CFD No 10	Series A	A - 1223
	Local Obligor	7/11/17	1,560,000	Folsom CFD No 11	Willow Springs Series A	A - 1224
	Local Obligor	7/11/17	14,640,000	Folsom CFD No 14	Parkway Phase II Series A	A - 1225
	Authority	7/11/17	2,810,000	Folsom Public Financing Authority	CFD No 10 Series B	A - 1226
	Local Obligor	7/11/17	2,810,000	Folsom CFD No 10	Series B	A - 1227
	Authority	8/20/15	6,675,000	Folsom Public Financing Authority	Series A	A - 1228
	Local Obligor	8/20/15	6,675,000	Folsom CFD No 17	Willow Hill Pipeline	A - 1229
	Authority	8/10/17	28,530,000	Folsom Ranch Financing Authority	Mangini Ranch	A - 1230
	Local Obligor	8/10/17	28,530,000	Folsom CFD No 19	Mangini Ranch	A - 1231
	Authority	5/23/18	13,255,000	Folsom Ranch Financing Authority	Folsom CFD No 20 Russell Ranch	A - 1232
	Local Obligor	5/23/18	13,255,000	Folsom CFD No 20	Russell Ranch	A - 1233
	Authority	12/28/98	7,905,000	Sacramento City Financing Authority	North Natomas CFD No 2 Series A	A - 1234
Local Obligor	12/28/98	2,910,000	Sacramento North Natomas CFD No 2 - Retired	Series A		
Local Obligor	12/28/98	4,995,000	Sacramento North Natomas CFD No 2	Series A	A - 1235	
Authority	11/17/05	92,372,236	Sacramento City Financing Authority	Merged Downtown & Oak Park Series A	A - 1236	
Authority	11/17/05	46,750,000	Sacramento City Financing Authority - Retired	Merged Downtown & Oak Park Series B		
LOAN	11/17/05	8,843,743	Sacramento Redevelopment Agency	Oak Park	A - 1237	
LOAN	11/17/05	83,528,493	Sacramento Redevelopment Agency	Merged Downtown	A - 1238	
LOAN	11/17/05	11,855,000	Sacramento Redevelopment Agency - Retired	Oak Park		
LOAN	11/17/05	34,895,000	Sacramento Redevelopment Agency - Retired	Merged Downtown		
Authority	1/10/13	23,525,000	Sacramento City Financing Authority	CFD Nos 2000-01 & 2001-03 Westlake & Regency Park Series A	A - 1239	
Local Obligor	1/10/13	8,455,000	Sacramento North Natomas Westlake CFD No 2000-01	Series A	A - 1240	
Local Obligor	1/10/13	15,070,000	Sacramento CFD No 2001-03	North Natomas Regency Park Series A	A - 1241	
Authority	12/12/03	33,695,588	Sacramento County Public Financing Authority	Mather/McClellan Merged & Del Paso Heights Series A	A - 1242	
Authority	12/12/03	8,345,000	Sacramento County Public Financing Authority	Mather/McClellan Merged Series B	A - 1243	
LOAN	12/12/03	27,630,000	Sacramento County Redevelopment Agency	Mather/McClellan Merged	A - 1244	
LOAN	12/12/03	6,065,588	Sacramento County Redevelopment Agency	Del Paso Heights	A - 1245	
LOAN	12/12/03	8,345,000	Sacramento County Redevelopment Agency	Mather/McClellan Merged	A - 1246	
Authority	2/14/08	24,765,000	Sacramento County Public Financing Authority	Mather/McClellan Merged Area Series A	A - 1247	
LOAN	2/14/08	24,765,000	Sacramento County Redevelopment Agency	Mather/McClellan Merged Area	A - 1248	
Authority	2/14/08	23,780,000	Sacramento County Public Financing Authority	Mather/McClellan Merged Area Series B	A - 1249	
LOAN	2/14/08	23,780,000	Sacramento County Redevelopment Agency	Mather/McClellan Merged Area	A - 1250	
San Bernardino	Authority	11/22/05	8,875,000	Big Bear Lake Financing Authority	Big Bear Lake & Moonridge	A - 1251
	LOAN	11/22/05	1,069,438	Big Bear Lake Improvement Agency	Moonridge	A - 1252
	LOAN	11/22/05	7,805,563	Big Bear Lake Improvement Agency	Big Bear Lake	A - 1253

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San Bernardino cont.	<b>Authority</b>	<b>8/17/06</b>	<b>49,660,000</b>	<b>Chino Hills Financing Authority</b>	<b>CFD Nos 1, 2, 4, 5, 6 &amp; 8</b>	<b>A - 1254</b>
	Local Obligor	8/17/06	5,610,000	Chino Hills CFD No 1	Rolling Ridge	A - 1255
	Local Obligor	8/17/06	17,845,000	Chino Hills CFD No 2	Los Ranchos	A - 1256
	Local Obligor	8/17/06	4,320,000	Chino Hills CFD No 4 - Retired	The Oaks Area	
	Local Obligor	8/17/06	18,175,000	Chino Hills CFD No 5	Soquel Canyon, Rincon & Woodview	A - 1257
	Local Obligor	8/17/06	2,040,000	Chino Hills CFD No 6	Carbon Canyon Area	A - 1258
	Local Obligor	8/17/06	1,670,000	Chino Hills CFD No 8 - Retired	Butterfield	
	<b>Authority</b>	<b>10/6/15</b>	<b>11,195,000</b>	<b>Chino Hills Financing Authority</b>	<b>CFD Nos 2 &amp; 5 Series C</b>	<b>A - 1259</b>
	Local Obligor	10/6/15	1,555,000	Chino Hills CFD No 2	Los Ranchos	A - 1260
	Local Obligor	10/6/15	9,640,000	Chino Hills CFD No 5	Soquel Canyon, Rincon & Woodview	A - 1261
	<b>Authority</b>	<b>8/2/12</b>	<b>52,985,000</b>	<b>Chino Public Financing Authority</b>	<b>CFD Nos 2001-1, 2003-1, 2003-2, 2003-3, 2003-4 &amp; 2009-1</b>	<b>A - 1262</b>
	Local Obligor	8/2/12	2,480,000	Chino CFD No 2001-1		A - 1263
	Local Obligor	8/2/12	6,340,000	Chino CFD No 2003-1		A - 1264
	Local Obligor	8/2/12	5,815,000	Chino CFD No 2003-2		A - 1265
	Local Obligor	8/2/12	20,475,000	Chino CFD No 2003-3	IA No 1	A - 1266
	Local Obligor	8/2/12	5,240,000	Chino CFD No 2003-4		A - 1267
	Local Obligor	8/2/12	12,635,000	Chino CFD No 2009-1		A - 1268
	<b>Authority</b>	<b>5/28/15</b>	<b>45,115,000</b>	<b>Chino Public Financing Authority</b>	<b>CFD Nos 2003-3, 2005-1, 2005-2 &amp; 2006-2 Series A</b>	<b>A - 1269</b>
	Local Obligor	5/28/15	18,255,000	Chino CFD No 2003-3	IA No 2	A - 1270
	Local Obligor	5/28/15	15,635,000	Chino CFD No 2005-1	IA No 1	A - 1271
	Local Obligor	5/28/15	4,320,000	Chino CFD No 2005-2		A - 1272
	Local Obligor	5/28/15	6,905,000	Chino CFD No 2006-2		A - 1273
	<b>Authority</b>	<b>10/11/16</b>	<b>11,835,000</b>	<b>Chino Public Financing Authority</b>	<b>Series A</b>	<b>A - 1274</b>
	Local Obligor	10/11/16	5,465,000	Chino CFD No 99-1		A - 1275
	Local Obligor	10/11/16	1,445,000	Chino CFD No 2000-1		A - 1276
	Local Obligor	10/11/16	4,925,000	Chino CFD No 2006-1		A - 1277
	<b>Authority</b>	<b>12/5/96</b>	<b>6,215,000</b>	<b>Colton Public Financing Authority - Final Report</b>	<b>CFD Nos 87-1, 88-1 &amp; 89-1</b>	<b>A - 1278</b>
	Local Obligor	12/19/96	2,965,000	Colton CFD No 89-1 - Final Report		A - 1279
	Local Obligor	12/19/96	2,190,000	Colton CFD No 88-1 - Retired		
Local Obligor	12/19/96	1,060,000	Colton CFD No 87-1 - Retired			
<b>Authority</b>	<b>5/3/18</b>	<b>9,710,000</b>	<b>Cucamonga Valley Water District Financing Authority</b>	<b>Groundwater Basin &amp; Zone 1 &amp; 3A Series A</b>	<b>A - 1280</b>	
Local Obligor	5/3/18	9,710,000	Cucamonga Valley Water District	Groundwater Basin & Zone 1 & 3A Series A	A - 1281	
<b>Authority</b>	<b>5/3/18</b>	<b>63,745,000</b>	<b>Cucamonga Valley Water District Financing Authority</b>	<b>Series B</b>	<b>A - 1282</b>	
Local Obligor	5/3/18	63,745,000	Cucamonga Valley Water District	Series B	A - 1283	

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San Bernardino cont.	<b>Authority</b>	<b>1/17/08</b>	<b>62,295,000</b>	<b>Etiwanda School District Public Financing Authority</b>	<b>CFD Nos 1 thru 4, 7, 8 &amp; 2001-1</b>	<b>A - 1284</b>
	Local Obligor	1/17/08	1,650,000	Etiwanda School District CFD No 2 - Retired		
	Local Obligor	1/17/08	21,010,000	Rancho Etiwanda Public Facilities CFD No 1		<b>A - 1285</b>
	Local Obligor	1/17/08	4,870,000	Etiwanda School District CFD No 2001-1	Silver Ridge	<b>A - 1286</b>
	Local Obligor	1/17/08	6,825,000	Etiwanda School District CFD No 3	Terra Vista	<b>A - 1287</b>
	Local Obligor	1/17/08	6,440,000	Etiwanda School District CFD No 4		<b>A - 1288</b>
	Local Obligor	1/17/08	14,680,000	Etiwanda School District CFD No 7		<b>A - 1289</b>
	Local Obligor	1/17/08	7,565,000	Etiwanda School District CFD No 8		<b>A - 1290</b>
	<b>Authority</b>	<b>5/22/18</b>	<b>29,390,000</b>	<b>Etiwanda School District Public Financing Authority</b>	<b>Series A CFD Nos 1, 4, 7, 8 &amp; 2001-1</b>	<b>A - 1291</b>
	Local Obligor	5/22/18	11,380,000	Rancho Etiwanda Public Facilities CFD No 1		<b>A - 1292</b>
	Local Obligor	5/22/18	2,890,000	Etiwanda School District CFD No 2001-1	Silver Ridge	<b>A - 1293</b>
	Local Obligor	5/22/18	2,275,000	Etiwanda School District CFD No 4		<b>A - 1294</b>
	Local Obligor	5/22/18	8,320,000	Etiwanda School District CFD No 7		<b>A - 1295</b>
	Local Obligor	5/22/18	4,525,000	Etiwanda School District CFD No 8		<b>A - 1296</b>
	<b>Authority</b>	<b>7/29/05</b>	<b>28,020,000</b>	<b>Fontana Public Financing Authority</b>	<b>Sr Series A CFD Nos 7 &amp; 12</b>	<b>A - 1297</b>
	<b>Authority</b>	<b>7/29/05</b>	<b>2,885,000</b>	<b>Fontana Public Financing Authority</b>	<b>Sub Series B CFD Nos 7 &amp; 12</b>	<b>A - 1298</b>
	Local Obligor	7/29/05	3,985,000	Fontana CFD No 7	Country Club Estates	<b>A - 1299</b>
	Local Obligor	7/29/05	26,920,000	Fontana CFD No 12	Sierra Lakes Series A & B	<b>A - 1300</b>
	<b>Authority</b>	<b>8/30/07</b>	<b>132,150,000</b>	<b>Hesperia Public Financing Authority</b>	<b>Nos 1, 2 &amp; Hsg Series A</b>	<b>A - 1301</b>
	<b>Authority</b>	<b>8/30/07</b>	<b>22,170,000</b>	<b>Hesperia Public Financing Authority</b>	<b>Nos 1, 2 &amp; Hsg Series B</b>	<b>A - 1302</b>
	LOAN	8/30/07	81,235,000	Hesperia Community Redevelopment Agency	No 1 Series A	<b>A - 1303</b>
	LOAN	8/30/07	4,940,000	Hesperia Community Redevelopment Agency	No 2	<b>A - 1304</b>
	LOAN	8/30/07	45,975,000	Hesperia Community Redevelopment Agency	Housing	<b>A - 1305</b>
	LOAN	8/30/07	10,010,000	Hesperia Community Redevelopment Agency - Retired	No 1	
	LOAN	8/30/07	765,000	Hesperia Community Redevelopment Agency - Retired	No 2	
	LOAN	8/30/07	11,395,000	Hesperia Community Redevelopment Agency	Housing	<b>A - 1306</b>
	<b>Authority</b>	<b>6/21/17</b>	<b>26,810,000</b>	<b>Ontario Public Financing Authority</b>		<b>A - 1307</b>
	Local Obligor	6/21/17	26,810,000	Ontario		<b>A - 1308</b>
	<b>Authority</b>	<b>2/7/02</b>	<b>35,290,000</b>	<b>Ontario Redevelopment Financing Authority</b>	<b>No 1, Center City &amp; Cimarron</b>	<b>A - 1309</b>
	Local Obligor	2/7/02	25,495,000	Ontario Redevelopment Agency - Final Report	No 1	<b>A - 1310</b>
	Local Obligor	2/7/02	7,730,000	Ontario Redevelopment Agency	Center City	<b>A - 1311</b>
	Local Obligor	2/7/02	2,065,000	Ontario Redevelopment Agency - Retired	Cimarron	
	<b>Authority</b>	<b>7/30/15</b>	<b>18,546,000</b>	<b>Rancho Cucamonga Public Financing Authority</b>	<b>CFD Nos 2000-1, 2000-2, 2001-01, 2006-01 &amp; 2006-02</b>	<b>A - 1312</b>
	Local Obligor	7/30/15	455,000	Rancho Cucamonga CFD No 2000-01	South Etiwanda	<b>A - 1313</b>
	Local Obligor	7/30/15	4,178,000	Rancho Cucamonga CFD No 2000-02	Corporate Park	<b>A - 1314</b>
Local Obligor	7/30/15	7,256,000	Rancho Cucamonga CFD No 2001-01	IA Nos 1 & 2	<b>A - 1315</b>	
Local Obligor	7/30/15	702,000	Rancho Cucamonga CFD No 2001-01	IA No 3	<b>A - 1316</b>	
Local Obligor	7/30/15	3,727,000	Rancho Cucamonga CFD No 2006-01	Vitner's Grove	<b>A - 1317</b>	
Local Obligor	7/30/15	2,228,000	Rancho Cucamonga CFD No 2006-02	Amador Route 66	<b>A - 1318</b>	
<b>Authority</b>	<b>3/27/98</b>	<b>27,590,000</b>	<b>San Bernardino Joint Powers Financing Authority</b>	<b>Central City Merged Series A &amp; B</b>	<b>A - 1319</b>	
LOAN	3/27/98	27,590,000	San Bernardino Redevelopment Agency	Central City Merged	<b>A - 1320</b>	
<b>Authority</b>	<b>9/22/05</b>	<b>55,800,000</b>	<b>San Bernardino Joint Powers Financing Authority</b>	<b>Central City North Series A</b>	<b>A - 1321</b>	
LOAN	9/30/05	55,800,000	San Bernardino Redevelopment Agency	Central City North Series A	<b>A - 1322</b>	
<b>Authority</b>	<b>9/22/05</b>	<b>21,105,000</b>	<b>San Bernardino Joint Powers Financing Authority</b>	<b>Central City North Series B</b>	<b>A - 1323</b>	
LOAN	9/22/05	21,105,000	San Bernardino Redevelopment Agency	Central City North Series B	<b>A - 1324</b>	

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<b>San Bernardino cont.</b>						
	<b>Authority</b> LOAN	<b>12/21/10</b> 12/21/10	<b>7,065,000</b> 7,065,000	<b>San Bernardino Joint Powers Financing Authority</b> San Bernardino Redevelopment Agency	<b>"E" Street &amp; Downtown Series A, Recovery Zone Facility Bonds</b> "E" Street & Downtown Series A, Recovery Zone Facility Bonds	<b>A - 1325</b> <b>A - 1326</b>
	<b>Authority</b> LOAN	<b>2/1/11</b> 2/1/11	<b>3,220,000</b> 3,220,000	<b>San Bernardino Joint Powers Financing Authority</b> San Bernardino Redevelopment Agency	<b>Northwest Area Series B</b> Northwest Area Series B	<b>A - 1327</b> <b>A - 1328</b>
	<b>Authority</b> Local Obligor	<b>1/9/18</b> 1/9/18	<b>4,414,002</b> 4,414,002	<b>San Bernardino Joint Powers Financing Authority</b> San Bernardino	<b>City Hall</b> City Hall	<b>A - 1329</b> <b>A - 1330</b>
<b>San Diego</b>						
	<b>Authority</b> Local Obligor	<b>8/21/13</b> 8/21/13	<b>72,100,000</b> 23,600,000	<b>Chula Vista Municipal Financing Authority</b> Chula Vista CFD No 06-1	<b>CFD Nos 06-1, 07-1, 08-1, 2001-2</b> IA A Eastlake Woods Vistas & Land Swap	<b>A - 1331</b> <b>A - 1332</b>
	Local Obligor	8/21/13	5,270,000	Chula Vista CFD No 06-1	IA B Eastlake Woods Vistas & Land Swap	<b>A - 1333</b>
	Local Obligor	8/21/13	19,480,000	Chula Vista CFD No 07-1	Otay Ranch Village Eleven	<b>A - 1334</b>
	Local Obligor	8/21/13	16,345,000	Chula Vista CFD No 08-1	Otay Ranch Village Six	<b>A - 1335</b>
	Local Obligor	8/21/13	7,405,000	Chula Vista CFD No 2001-2	McMillin Otay Ranch Village Six	<b>A - 1336</b>
	<b>Authority</b> Local Obligor	<b>7/1/15</b> 7/1/15	<b>30,460,000</b> 4,930,000	<b>Chula Vista Municipal Financing Authority</b> Chula Vista CFD No 2001-1	<b>CFD 2001-1 IA B; 12-I, 13-I &amp; 07-I Series A</b> IA B San Miguel Ranch Series A	<b>A - 1337</b> <b>A - 1338</b>
	Local Obligor	7/1/15	11,845,000	Chula Vista CFD No 07-1	Otay Ranch Village Eleven Series A	<b>A - 1339</b>
	Local Obligor	7/1/15	9,570,000	Chula Vista CFD No 12-1	McMillin Otay Ranch Village Seven Series A	<b>A - 1340</b>
	Local Obligor	7/1/15	4,115,000	Chula Vista CFD No 13-1	Otay Ranch Village Seven Series A	<b>A - 1341</b>
	<b>Authority</b> Local Obligor	<b>7/22/15</b> 7/22/15	<b>43,695,000</b> 4,430,000	<b>Chula Vista Municipal Financing Authority</b> Chula Vista	<b>CFD Nos 97-3, 99-1, 2000-1, 2001-1, ReAD 2005-2 Series B</b> ReAD No 2015-1	<b>A - 1342</b> <b>A - 1343</b>
	Local Obligor	7/22/15	6,575,000	Chula Vista CFD No 2001-1	IA A San Miguel Ranch Series F	<b>A - 1344</b>
	Local Obligor	7/22/15	5,975,000	Chula Vista CFD No 97-3	Otay Ranch McMillin Spa One	<b>A - 1345</b>
	Local Obligor	7/22/15	22,675,000	Chula Vista CFD No 99-1	Otay Ranch Spa One-Portions of Village One, Five, One West	<b>A - 1346</b>
	Local Obligor	7/22/15	4,040,000	Chula Vista CFD No 2000-1	Sunbow II-Villages 5 thru 10	<b>A - 1347</b>
	<b>Authority</b> Local Obligor	<b>6/27/17</b> 6/27/17	<b>61,355,000</b> 61,355,000	<b>Chula Vista Municipal Financing Authority</b> Chula Vista		<b>A - 1348</b> <b>A - 1349</b>
	<b>Authority</b> Local Obligor	<b>12/7/17</b> 12/7/17	<b>12,045,000</b> 12,045,000	<b>Chula Vista Municipal Financing Authority</b> Chula Vista	<b>New CREBs Series A</b> New CREBs Series A	<b>A - 1350</b> <b>A - 1351</b>
	<b>Authority</b> Local Obligor	<b>12/7/17</b> 12/7/17	<b>1,085,000</b> 1,085,000	<b>Chula Vista Municipal Financing Authority</b> Chula Vista	<b>Series B</b> Series B	<b>A - 1352</b> <b>A - 1353</b>
	<b>Authority</b> Local Obligor	<b>5/10/12</b> 9/5/02	<b>27,485,000</b> 21,857,921	<b>North City West School Facilities Financing Authority</b> North City West School Facilities Financing Authority CFD No 1	<b>CFD No 1 Series A</b> Solana Beach School District	<b>A - 1354</b> <b>A - 1355</b>
	Local Obligor	7/21/05	79,805,000	North City West School Facilities Financing Authority CFD No 1	Del Mar UnSD & Carmel Vly MS Sub Series A, B & C	<b>A - 1356</b>
	<b>Authority</b> Local Obligor	<b>6/26/18</b> 6/26/18	<b>5,500,000</b> 5,500,000	<b>Olivenhain Municipal Water District Financing Authority</b> Olivenhain Municipal Water District	<b>Headquarters Facility Series A</b> Headquarters Facility Series A	<b>A - 1357</b> <b>A - 1358</b>
	<b>Authority</b> Local Obligor	<b>5/31/07</b> 5/31/07	<b>69,945,000</b> 1,598,847	<b>Poway Unified School District Public Financing Authority</b> Poway Unified School District CFD No 2 - Final Report	<b>CFD Nos 2, 4, 8, 9, 10 &amp; 12</b> Subarea IV - Torrey Highlands	<b>A - 1359</b> <b>A - 1360</b>
	Local Obligor	5/31/07	11,989,000	Poway Unified School District CFD No 4 - Final Report	Black Mountain Ranch	<b>A - 1361</b>
	Local Obligor	5/31/07	7,329,000	Poway Unified School District CFD No 8 - Final Report	Black Mountain Ranch Phase II	<b>A - 1362</b>
	Local Obligor	5/31/07	1,711,000	Poway Unified School District CFD No 9 - Final Report	Portswood	<b>A - 1363</b>
	Local Obligor	5/31/07	38,230,000	Poway Unified School District CFD No 10 - Final Report	Torrey Highlands - Subarea IV, Zones 1 & 2	<b>A - 1364</b>
	Local Obligor	5/31/07	7,689,087	Poway Unified School District CFD No 12 - Final Report	Black Mountain Ranch Phase II - Southern Village & The Clusters	<b>A - 1365</b>

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San Diego cont.	<b>Authority</b>	<b>7/2/09</b>	<b>8,995,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD No 11 StoneBridge Estates</b>	<b>A - 1366</b>
	Local Obligor	7/2/09	5,150,000	Poway Unified School District CFD No 11	Zone 2 StoneBridge Estates	A - 1367
	Local Obligor	7/2/09	3,845,000	Poway Unified School District CFD No 11	Zone 3 StoneBridge Estates	A - 1368
	<b>Authority</b>	<b>2/14/13</b>	<b>37,785,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD Nos 2, 10 &amp; 11</b>	<b>A - 1369</b>
	Local Obligor	2/14/13	2,625,000	Poway Unified School District CFD No 10	IA C Torrey Highlands Subarea IV	A - 1370
	Local Obligor	2/14/13	4,275,000	Poway Unified School District CFD No 10	IA D	A - 1371
	Local Obligor	2/14/13	4,800,000	Poway Unified School District CFD No 10	IA E Torrey Highlands Subarea IV	A - 1372
	Local Obligor	2/14/13	2,830,000	Poway Unified School District CFD No 2	IA 1 Subarea IV Torrey Highlands	A - 1373
	Local Obligor	2/14/13	9,685,000	Poway Unified School District CFD No 11	IA A Stonebridge Estates	A - 1374
	Local Obligor	2/14/13	10,310,000	Poway Unified School District CFD No 11	Zone 1 Stonebridge Estates	A - 1375
	Local Obligor	2/14/13	1,870,000	Poway Unified School District CFD No 11	Zone 2 Stonebridge Estates	A - 1376
	Local Obligor	2/14/13	1,390,000	Poway Unified School District CFD No 11	Zone 3 Stonebridge Estates	A - 1377
		<b>Authority</b>	<b>5/22/13</b>	<b>17,795,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD Nos 4, 12, &amp; 13 Series B</b>
Local Obligor		5/22/13	7,990,000	Poway Unified School District CFD No 4	Black Mountain Ranch	A - 1379
Local Obligor		5/22/13	4,430,000	Poway Unified School District CFD No 12	Black Mtn Ranch Phase II - Southern Village & The Clusters	A - 1380
Local Obligor		5/22/13	5,375,000	Poway Unified School District CFD No 13	The Lakes	A - 1381
	<b>Authority</b>	<b>7/16/14</b>	<b>19,550,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD No 11 StoneBridge Estates IA B &amp; C Series C</b>	<b>A - 1382</b>
	Local Obligor	7/16/14	7,810,000	Poway Unified School District CFD No 11	IA B StoneBridge Estates	A - 1383
	Local Obligor	7/16/14	11,740,000	Poway Unified School District CFD No 11	IA C StoneBridge Estates	A - 1384
	<b>Authority</b>	<b>7/16/14</b>	<b>10,065,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD No 11 StoneBridge Estates Zones 2 &amp; 3 Series B</b>	<b>A - 1385</b>
	Local Obligor	7/16/14	2,565,000	Poway Unified School District CFD No 11	Zone 2 StoneBridge Estates	A - 1386
	Local Obligor	7/16/14	7,500,000	Poway Unified School District CFD No 11	Zone 3 StoneBridge Estates	A - 1387
	<b>Authority</b>	<b>3/26/15</b>	<b>89,405,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD No 14 IA A Series A</b>	<b>A - 1388</b>
	Local Obligor	3/26/15	44,630,000	Poway Unified School District CFD No 14	Del Sur	A - 1389
	Local Obligor	3/26/15	44,775,000	Poway Unified School District CFD No 14	IA A Del Sur	A - 1390
	<b>Authority</b>	<b>5/13/15</b>	<b>63,145,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD No 6 Series B</b>	<b>A - 1391</b>
	Local Obligor	5/13/15	39,065,000	Poway Unified School District CFD No 6	4S Ranch	A - 1392
	Local Obligor	5/13/15	24,080,000	Poway Unified School District CFD No 6	IA B 4S Ranch	A - 1393
	<b>Authority</b>	<b>8/12/15</b>	<b>14,405,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD Nos 2, 3, 5 &amp; 7 Series C</b>	<b>A - 1394</b>
	Local Obligor	8/12/15	8,520,000	Poway Unified School District CFD No 2	Series C	A - 1395
	Local Obligor	8/12/15	3,680,000	Poway Unified School District CFD No 3	Series C	A - 1396
	Local Obligor	8/12/15	1,125,000	Poway Unified School District CFD No 5	Series C	A - 1397
	Local Obligor	8/12/15	1,080,000	Poway Unified School District CFD No 7	Series C	A - 1398
	<b>Authority</b>	<b>7/28/16</b>	<b>46,770,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD Nos 4, 8, 9, 10 &amp; 12 Series A</b>	<b>A - 1399</b>
	Local Obligor	7/28/16	8,966,000	Poway Unified School District CFD No 4	Black Mountain Ranch	A - 1401
	Local Obligor	7/28/16	5,294,000	Poway Unified School District CFD No 8	Black Mountain Ranch Phase II	A - 1402
	Local Obligor	7/28/16	1,270,000	Poway Unified School District CFD No 9	Portswood	A - 1403
	Local Obligor	7/28/16	6,635,000	Poway Unified School District CFD No 12	Black Mtn Ranch Ph II - Southern Village & The Cluster	A - 1404
	Local Obligor	7/28/16	34,006,000	Poway Unified School District CFD No 10	Torrey Highlands – Subarea IV	A - 1405
	<b>Authority</b>	<b>1/10/17</b>	<b>26,180,000</b>	<b>Poway Unified School District Public Financing Authority</b>	<b>CFD Nos 6 &amp; 10 Series A</b>	<b>A - 1406</b>
	Local Obligor	1/10/17	14,470,000	Poway Unified School District CFD No 6	IA A 4S Ranch	A - 1407
	Local Obligor	1/10/17	7,030,000	Poway Unified School District CFD No 10	IA A Torrey Highlands - Subarea IV	A - 1408
	Local Obligor	1/10/17	4,680,000	Poway Unified School District CFD No 10	IA B Torrey Highlands - Subarea IV	A - 1409

County	Authority/ Local Obligor	Sale Date	Amount of Issue	Issuer	Project/Series	Page
San Diego cont.	Authority	1/19/17	5,815,000	Poway Unified School District Public Financing Authority	CFD No 11 Series B	A - 1410
	Local Obligor	1/19/17	3,405,000	Poway Unified School District CFD No 11	Zone 2 Stonebridge Estates	A - 1411
	Local Obligor	1/19/17	2,410,000	Poway Unified School District CFD No 11	Zone 3 Stonebridge Estates	A - 1412
	Authority	11/8/07	20,685,000	R E Badger Water Facilities Financing Authority	Santa Fe Irrigation District & San Dieguito WD	A - 1413
	Local Obligor	11/8/07	7,705,000	San Dieguito Water District		A - 1414
	Local Obligor	11/8/07	12,980,000	Santa Fe Irrigation District		A - 1415
	Authority	7/7/11	22,860,000	Rancho Santa Fe Community Services District Financing Authority	CFD No 1 Series A	A - 1416
	Authority	7/7/11	5,570,000	Rancho Santa Fe Community Services District Financing Authority	CFD No 1 Series B	A - 1417
	Local Obligor	7/7/11	28,430,000	Rancho Santa Fe Community Services District CFD No 1	Santa Fe Valley	A - 1418
	Authority	7/27/16	28,185,000	Rancho Santa Fe Community Services District Financing Authority	CFD No 1 Series A	A - 1419
	Local Obligor	7/27/16	28,185,000	Rancho Santa Fe Community Services District CFD No 1	Santa Fe Valley Series A	A - 1420
	Authority	7/27/16	7,650,000	Rancho Santa Fe Community Services District Financing Authority	CFD No 1 Series B	A - 1421
	Local Obligor	7/27/16	7,650,000	Rancho Santa Fe Community Services District CFD No 1	Santa Fe Valley Series B	A - 1422
	Authority	6/1/18	129,320,000	San Diego Public Facilities Financing Authority	Series A	A - 1423
	Local Obligor	6/1/18	129,320,000	San Diego	Series A	A - 1424
	Authority	7/26/06	91,125,000	San Dieguito Public Financing Authority	CFD Nos 94-1,2,3; 95-1,2; 99-1,2,3 & 03-1	A - 1425
	LOAN	7/26/06	27,798,623	San Dieguito Union High School District CFD 94-2		A - 1427
	LOAN	7/26/06	294,685	San Dieguito Union High School District CFD 99-2		A - 1428
	LOAN	7/26/06	32,999,271	San Dieguito Union High School District CFD 95-1		A - 1429
	LOAN	7/26/06	1,053,732	San Dieguito Union High School District CFD 99-3		A - 1430
	LOAN	7/26/06	4,557,412	San Dieguito Union High School District CFD 95-2		A - 1431
	LOAN	7/26/06	8,401,096	San Dieguito Union High School District CFD 94-3		A - 1432
	LOAN	7/26/06	8,635,405	San Dieguito Union High School District CFD 03-1		A - 1433
	LOAN	7/26/06	5,289,508	San Dieguito Union High School District CFD 99-1		A - 1434
	LOAN	7/26/06	100,267	San Dieguito Union High School District CFD 94-1		A - 1435
	Authority	11/16/16	23,820,000	San Dieguito Public Financing Authority	CFD Nos 03-1 & 94-2	A - 1436
	Local Obligor	11/16/16	6,030,000	San Dieguito Union High School District CFD 94-2		A - 1437
Local Obligor	11/16/16	19,190,000	San Dieguito Union High School District CFD 03-1		A - 1438	
Authority	12/8/11	9,235,000	San Elijo Joint Powers Authority	Encinitas & Solana Beach Reclamation Facs	A - 1439	
Local Obligor	12/8/11	4,341,362	Encinitas		A - 1440	
Local Obligor	12/8/11	4,893,638	Solana Beach		A - 1441	
Authority	6/21/17	22,115,000	San Elijo Joint Powers Authority	Encinitas & Solana Beach Reclamation Facs	A - 1442	
Local Obligor	6/21/17	11,941,726	Encinitas	San Elijo Jt Powers Auth Clean Water	A - 1443	
Local Obligor	6/21/17	11,941,726	Solana Beach	San Elijo Jt Powers Auth Clean Water	A - 1444	
Authority	1/26/06	56,860,000	San Marcos Public Facilities Authority	Area No 3 San Series A	A - 1445	
LOAN	1/26/06	56,860,000	San Marcos Redevelopment Agency	Area No 3	A - 1446	

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San Diego cont.	<b>Authority</b>	<b>6/22/07</b>	<b>26,405,000</b>	<b>San Marcos Public Facilities Authority</b>	<b>IAs D2L, D2U, D3, D4, E1, E2, H2 &amp; H3</b>	<b>A - 1447</b>
	Local Obligor	6/22/07	4,325,000	San Marcos CFD No 99-01	IA D2L Saverne	A - 1449
	Local Obligor	6/22/07	4,990,000	San Marcos CFD No 99-01	IA D2U Azure	A - 1450
	Local Obligor	6/22/07	2,745,000	San Marcos CFD No 99-01	IA D3 Cambria	A - 1451
	Local Obligor	6/22/07	3,115,000	San Marcos CFD No 99-01	IA D4 Woodley Glen	A - 1452
	Local Obligor	6/22/07	2,755,000	San Marcos CFD No 99-01	IA E1 Crestview	A - 1453
	Local Obligor	6/22/07	2,400,000	San Marcos CFD No 99-01	IA E2	A - 1454
	Local Obligor	6/22/07	2,045,000	San Marcos CFD No 99-01	IA H2 Village Square	A - 1455
	Local Obligor	6/22/07	4,030,000	San Marcos CFD No 99-01	IA H3, The Village	A - 1456
	<b>Authority</b>	<b>2/2/12</b>	<b>22,425,000</b>	<b>San Marcos Public Financing Authority</b>	<b>CFD No 88-1</b>	<b>A - 1457</b>
	Local Obligor	2/2/12	8,107,846	San Marcos CFD No 88-1	IA No 1	A - 1458
	Local Obligor	2/2/12	12,119,442	San Marcos CFD No 88-1	IA No 2	A - 1459
	Local Obligor	2/2/12	2,238,600	San Marcos CFD No 88-1	IA No 3	A - 1460
	<b>Authority</b>	<b>6/6/12</b>	<b>13,820,000</b>	<b>San Marcos Public Financing Authority</b>	<b>CFD No 2002-1, Series B</b>	<b>A - 1461</b>
	Local Obligor	6/6/12	13,820,000	San Marcos CFD No 2002-1	IA No 1 University Commons Series A	A - 1462
	<b>Authority</b>	<b>6/6/12</b>	<b>4,240,000</b>	<b>San Marcos Public Financing Authority</b>	<b>CFD No 2002-1, Series C</b>	<b>A - 1463</b>
	Local Obligor	6/6/12	4,240,000	San Marcos CFD No 2002-1	IA No 1 University Commons Series B	A - 1464
	<b>Authority</b>	<b>10/24/12</b>	<b>12,585,000</b>	<b>San Marcos Public Financing Authority</b>	<b>CFD 99-01 IA CB2, I1 &amp; I2 Series D</b>	<b>A - 1465</b>
	Local Obligor	10/24/12	5,865,000	San Marcos CFD No 99-01	IA I1	A - 1466
	Local Obligor	10/24/12	1,000,000	San Marcos CFD No 99-01	IA C2B	A - 1467
	Local Obligor	10/24/12	5,720,000	San Marcos CFD No 99-01	IA I2	A - 1468
	<b>Authority</b>	<b>10/1/14</b>	<b>32,395,000</b>	<b>San Marcos Public Financing Authority</b>	<b>CFD Nos 91-01 &amp; 99-01 Series A</b>	<b>A - 1469</b>
	Local Obligor	10/1/14	1,475,359	San Marcos CFD No 99-01	IA A1	A - 1471
	Local Obligor	10/1/14	1,562,336	San Marcos CFD No 99-01	IA 2	A - 1472
	Local Obligor	10/1/14	1,481,086	San Marcos CFD No 99-01	IA B1	A - 1473
	Local Obligor	10/1/14	1,266,765	San Marcos CFD No 99-01	IA B2	A - 1474
	Local Obligor	10/1/14	2,403,562	San Marcos CFD No 99-01	IA C1	A - 1475
	Local Obligor	10/1/14	1,293,336	San Marcos CFD No 99-01	IA D1	A - 1476
	Local Obligor	10/1/14	2,555,000	San Marcos CFD No 99-01	IA F2 & V2	A - 1477
	Local Obligor	10/1/14	948,429	San Marcos CFD No 99-01	IA G3	A - 1478
	Local Obligor	10/1/14	1,029,351	San Marcos CFD No 99-01	IA H1A	A - 1479
	Local Obligor	10/1/14	957,906	San Marcos CFD No 99-01	IA H1B	A - 1480
	Local Obligor	10/1/14	3,715,000	San Marcos CFD No 99-01	IA J	A - 1481
	Local Obligor	10/1/14	3,004,457	San Marcos CFD No 99-01	IA M	A - 1482
	Local Obligor	10/1/14	1,856,316	San Marcos CFD No 99-01	IA N1	A - 1483
	Local Obligor	10/1/14	1,746,324	San Marcos CFD No 99-01	IA N2	A - 1484
	Local Obligor	10/1/14	1,937,774	San Marcos CFD No 99-01	IA R1	A - 1485
	Local Obligor	10/1/14	1,525,228	San Marcos CFD No 99-01	IA R2	A - 1486
	Local Obligor	10/1/14	2,185,000	San Marcos CFD No 99-01	IA V1A	A - 1487
	Local Obligor	10/1/14	1,451,771	San Marcos CFD No 91-01	IA A1	A - 1488
	<b>Authority</b>	<b>11/1/17</b>	<b>3,535,000</b>	<b>San Marcos Public Financing Authority</b>	<b>Safety Center</b>	<b>A - 1489</b>
	Local Obligor	11/1/17	3,535,000	San Marcos	Safety Center	A - 1490

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<b>San Diego</b>						
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	<b>Authority</b>	<b>5/17/18</b>	<b>16,955,000</b>	<b>San Marcos Public Financing Authority</b>	<b>IAs D2L, D2U, D3, D4, E1, E2, H2 &amp; H3</b>	<b>A - 1491</b>
	Local Obligor	5/17/18	2,775,000	San Marcos CFD No 99-01	IA D2L Saverne	A - 1492
	Local Obligor	5/17/18	3,205,000	San Marcos CFD No 99-01	IA D2U Azure	A - 1493
	Local Obligor	5/17/18	1,755,000	San Marcos CFD No 99-01	IA D3 Cambria	A - 1494
	Local Obligor	5/17/18	1,995,000	San Marcos CFD No 99-01	IA D4 Woodley Glen	A - 1495
	Local Obligor	5/17/18	1,775,000	San Marcos CFD No 99-01	IA E1 Crestview	A - 1496
	Local Obligor	5/17/18	1,550,000	San Marcos CFD No 99-01	IA E2	A - 1497
	Local Obligor	5/17/18	1,310,000	San Marcos CFD No 99-01	IA H2 Village Square	A - 1498
	Local Obligor	5/17/18	2,590,000	San Marcos CFD No 99-01	IA H3 The Village	A - 1499
	<b>Authority</b>	<b>3/21/01</b>	<b>10,380,000</b>	<b>San Marcos Schools Financing Authority</b>	<b>CFD Nos 1, 2 &amp; 3</b>	<b>A - 1500</b>
	Local Obligor	3/21/01	2,700,000	San Marcos Unified School District CFD No 3		A - 1501
	Local Obligor	3/21/01	3,850,000	San Marcos Unified School District CFD No 1 - Retired		
	Local Obligor	3/21/01	3,830,000	San Marcos Unified School District CFD No 2 - Retired		
	<b>Authority</b>	<b>7/11/17</b>	<b>69,265,000</b>	<b>San Marcos Schools Financing Authority</b>		<b>A - 1502</b>
	Local Obligor	7/11/17	69,265,000	San Marcos Unified School District	2019 Lease Agreement	A - 1503
	<b>Authority</b>	<b>6/27/06</b>	<b>2,112,000</b>	<b>Solana Beach Public Financing Authority</b>	<b>AD Nos 2005-1 &amp; 2005-2</b>	<b>A - 1504</b>
	Local Obligor	6/27/06	1,577,000	Solano Beach	Barbara-Granados Utility Undergrounding AD No 2005-1	A - 1505
	Local Obligor	6/27/06	535,000	Solano Beach	Pacific Utility Undergrounding AD No 2005-2	A - 1506
	<b>Authority</b>	<b>11/15/12</b>	<b>34,450,000</b>	<b>Solana Beach School District Public Financing Authority</b>	<b>CFD Nos 99-1 &amp; 2004-1</b>	<b>A - 1507</b>
	Local Obligor	11/15/12	30,000,000	Solana Beach School District CFD No 2004-1	Solana Ranch ES	A - 1508
	Local Obligor	11/15/12	4,450,000	Solana Beach School District CFD No 99-1	Solana Ranch ES	A - 1509
	<b>Authority</b>	<b>10/9/13</b>	<b>72,140,000</b>	<b>Sweetwater Union High School District Public Financing Authority</b>		<b>A - 1510</b>
	Local Obligor	10/9/13	72,140,000	Sweetwater Union High School District		A - 1511
<b>San Francisco</b>						
	<b>Authority</b>	<b>2/24/98</b>	<b>12,915,026</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority</b>	<b>Golden Gateway Series C</b>	<b>A - 1512</b>
	LOAN	2/24/98	4,166,605	San Francisco City & County Redevelopment Agency - Retired	Western Addition	
	LOAN	2/24/98	577,192	San Francisco City & County Redevelopment Agency - Retired	Hunters Point	
	LOAN	2/24/98	5,339,381	San Francisco City & County Redevelopment Agency	Ricon Point	A - 1513
	LOAN	2/24/98	2,831,848	San Francisco City & County Redevelopment Agency - Retired	Yerba Buena	
	<b>Authority</b>	<b>6/16/98</b>	<b>11,869,002</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority</b>	<b>Hunters Pt, Golden Gateway/South of Market Earthquake Recovery, Western Addition A-2 &amp; Yerba Buena Ctr Series D</b>	<b>A - 1514</b>
	LOAN	6/16/98	461,538	San Francisco City & County Redevelopment Agency	Hunters Point	A - 1515
	LOAN	6/16/98	3,526,019	San Francisco City & County Redevelopment Agency	Western Addition A-2	A - 1516
	LOAN	6/16/98	7,788,412	San Francisco City & County Redevelopment Agency	Yerba Buena Center	A - 1517
	LOAN	6/16/98	93,033	San Francisco City & County Redevelopment Agency	Golden Gateway/South of Market Earthquake Recovery	A - 1518



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<b>San Francisco</b>						
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	<b>Authority</b>	<b>2/12/03</b>	<b>78,025,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority - Final Report</b>	<b>Golden Gateway, Yerba Buena, Western Addition, Rincon Pt/S</b>	<b>A - 1519</b>
	<b>Authority</b>	<b>2/12/03</b>	<b>51,280,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority - Final Report</b>	<b>Golden Gateway, Yerba Buena, Western Addition, Rincon Pt/S</b>	<b>A - 1520</b>
	<b>Authority</b>	<b>2/27/03</b>	<b>15,130,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority - Retired</b>	<b>Yerba Buena Ctr Series C</b>	
	LOAN	2/11/03	13,205,000	San Francisco City & County Redevelopment Agency - Retired	Yerba Buena Center Area	
	LOAN	2/12/03	46,895,000	San Francisco City & County Redevelopment Agency - Final Repo	Golden Gateway/SOMA Area	<b>A - 1521</b>
	LOAN	2/11/03	11,575,000	San Francisco City & County Redevelopment Agency - Retired	Western Addition Area	
	LOAN	2/11/03	6,350,000	San Francisco City & County Redevelopment Agency - Retired	Rincon Point South Beach Area	
	LOAN	2/12/03	43,915,000	San Francisco City & County Redevelopment Agency - Final Repo	Yerba Buena Center Area	<b>A - 1522</b>
	LOAN	2/12/03	4,420,000	San Francisco City & County Redevelopment Agency - Final Repo	Western Addition Area	<b>A - 1523</b>
	LOAN	2/12/03	590,000	San Francisco City & County Redevelopment Agency - Failed to R	Golden Gateway/SOMA Area	
	LOAN	2/12/03	2,355,000	San Francisco City & County Redevelopment Agency - Final Repo	Rincon Point South Beach Area	<b>A - 1524</b>
	LOAN	2/27/03	15,130,000	San Francisco City & County Redevelopment Agency - Retired	Yerba Buena Ctr	
	<b>Authority</b>	<b>8/10/06</b>	<b>50,731,331</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority</b>	<b>Yerba Buena, Golden Gateway, Rincon Pt &amp; Mission Bay N Se</b>	<b>A - 1525</b>
	LOAN	8/10/06	32,801,331	San Francisco City & County Redevelopment Agency	Golden Gateway	<b>A - 1526</b>
	LOAN	8/10/06	3,900,000	San Francisco City & County Redevelopment Agency - Retired	Mission Bay North	
	LOAN	8/10/06	10,235,000	San Francisco City & County Redevelopment Agency - Retired	Yerba Buena	
	LOAN	8/10/06	3,795,000	San Francisco City & County Redevelopment Agency - Retired	Rincon Point South	
	<b>Authority</b>	<b>10/17/07</b>	<b>118,285,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority</b>	<b>Series A</b>	<b>A - 1527</b>
	LOAN	10/17/07	14,225,000	San Francisco City & County Redevelopment Agency	Mission Bay North	<b>A - 1528</b>
	LOAN	10/17/07	15,710,000	San Francisco City & County Redevelopment Agency	Yerba Buena	<b>A - 1529</b>
	LOAN	10/17/07	6,200,000	San Francisco City & County Redevelopment Agency	Transbay	<b>A - 1530</b>
	LOAN	10/17/07	7,965,000	San Francisco City & County Redevelopment Agency	South of Market	<b>A - 1531</b>
	LOAN	10/17/07	4,350,000	San Francisco City & County Redevelopment Agency	Bayview Hunters Point	<b>A - 1532</b>
	LOAN	10/17/07	69,835,000	San Francisco City & County Redevelopment Agency	Rincon Point South	<b>A - 1533</b>
	<b>Authority</b>	<b>10/17/07</b>	<b>94,115,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority</b>	<b>Golden Gateway/SOMA/FOB, Hunters Pt, Indian Basin,</b>	<b>A - 1534</b>
	LOAN	10/17/07	59,735,000	San Francisco City & County Redevelopment Agency - Final Repo	Rincon Pt/So Beach, Western Addition A-2 & Yerba Buena	<b>A - 1536</b>
	LOAN	10/17/07	4,935,000	San Francisco City & County Redevelopment Agency - Final Repo	Ctr Series B	<b>A - 1537</b>
	LOAN	10/17/07	9,785,000	San Francisco City & County Redevelopment Agency - Final Repo	Yerba Buena	<b>A - 1538</b>
	LOAN	10/17/07	18,310,000	San Francisco City & County Redevelopment Agency	Rincon Point South	<b>A - 1539</b>
	LOAN	10/17/07	625,000	San Francisco City & County Redevelopment Agency - Final Repo	India Basin	<b>A - 1540</b>
	LOAN	10/17/07	725,000	San Francisco City & County Redevelopment Agency - Final Repo	Hunters Point	<b>A - 1541</b>
	<b>Authority</b>	<b>8/18/09</b>	<b>75,000,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority</b>	<b>Bayview Hunters Pt Area B, Mission Bay N/S, Rincon Pt S</b>	<b>A - 1542</b>
					<b>Beach, Transbay, W Addition A-2 &amp; Yerba Buena Ctr Series A</b>	
	LOAN	8/18/09	1,340,000	San Francisco City & County Redevelopment Agency - Final Repo	Transbay Area	<b>A - 1543</b>
	LOAN	8/18/09	3,525,000	San Francisco City & County Redevelopment Agency - Final Repo	Western Addition Area	<b>A - 1544</b>
	LOAN	8/18/09	5,980,000	San Francisco City & County Redevelopment Agency - Final Repo	Bayview Hunters Point Area	<b>A - 1545</b>
	LOAN	8/18/09	26,875,000	San Francisco City & County Redevelopment Agency - Final Repo	Yerba Buena Center Area	<b>A - 1546</b>
	LOAN	8/18/09	4,680,000	San Francisco City & County Redevelopment Agency	Mission Bay South Area	<b>A - 1547</b>
	LOAN	8/18/09	2,920,000	San Francisco City & County Redevelopment Agency	Mission Bay North Area	<b>A - 1548</b>
	LOAN	8/18/09	29,680,000	San Francisco City & County Redevelopment Agency - Final Repo	Rincon Point South Beach Area	<b>A - 1549</b>

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<b>San Francisco</b>						
cont.						
	<b>Authority</b>	<b>8/14/09</b>	<b>17,625,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority - Final Report</b>	<b>Bayview Hunters Pt Area B, South of Market, Transbay &amp; Yerba Buena Ctr Series B</b>	<b>A - 1550</b>
	LOAN	8/14/09	2,330,000	San Francisco City & County Redevelopment Agency - Final Repo	Transbay Area	<b>A - 1551</b>
	LOAN	8/14/09	9,590,000	San Francisco City & County Redevelopment Agency - Final Repo	Yerba Buena Center Area	<b>A - 1552</b>
	LOAN	8/14/09	2,905,000	San Francisco City & County Redevelopment Agency - Final Repo	SOMA Area	<b>A - 1553</b>
	LOAN	8/14/09	2,800,000	San Francisco City & County Redevelopment Agency - Final Repo	Bayview Hunters Pt Area	<b>A - 1554</b>
	<b>Authority</b>	<b>12/3/09</b>	<b>72,565,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority</b>	<b>Bayview Hunters Pt (Area B), Mission Bay North &amp; So, Rincon Pt - So Bch, Western Addition A-2 &amp; Yerba Buena Ctr Series E</b>	<b>A - 1555</b>
	LOAN	12/3/09	34,655,000	San Francisco City & County Redevelopment Agency	Western Addition Area	<b>A - 1556</b>
	LOAN	12/3/09	5,230,000	San Francisco City & County Redevelopment Agency	Mission Bay South Area	<b>A - 1557</b>
	LOAN	12/3/09	1,780,000	San Francisco City & County Redevelopment Agency - Final Repo	Rincon Point South Beach Area	<b>A - 1558</b>
	LOAN	12/3/09	10,785,000	San Francisco City & County Redevelopment Agency	Bayview Hunters Point Area	<b>A - 1559</b>
	LOAN	12/3/09	19,140,000	San Francisco City & County Redevelopment Agency	Yerba Buena Center Area	<b>A - 1560</b>
	LOAN	12/3/09	975,000	San Francisco City & County Redevelopment Agency - Final Repo	Mission Bay North Area	<b>A - 1561</b>
	<b>Authority</b>	<b>12/3/09</b>	<b>6,610,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority - Final Report</b>	<b>Bayview Hunters Pt (Area B), South of Market &amp; Transbay Series F</b>	<b>A - 1562</b>
	LOAN	12/3/09	1,280,000	San Francisco City & County Redevelopment Agency - Final Repo	Bayview Hunters Pt Area	<b>A - 1563</b>
	LOAN	12/3/09	1,385,000	San Francisco City & County Redevelopment Agency - Final Repo	SOMA Area	<b>A - 1564</b>
	LOAN	12/3/09	3,945,000	San Francisco City & County Redevelopment Agency - Final Repo	Transbay Area	<b>A - 1565</b>
	<b>Authority</b>	<b>9/16/10</b>	<b>40,055,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority - Final Report</b>	<b>Western Addition, Golden Gateway &amp; Transbay Series A</b>	<b>A - 1566</b>
	LOAN	9/16/10	7,525,000	San Francisco City & County Redevelopment Agency - Final Repo	Golden Gateway Area	<b>A - 1567</b>
	LOAN	9/16/10	24,995,000	San Francisco City & County Redevelopment Agency - Final Repo	Transbay Area	<b>A - 1568</b>
	LOAN	9/16/10	7,535,000	San Francisco City & County Redevelopment Agency - Final Repo	Western Addition Area	<b>A - 1569</b>
	<b>Authority</b>	<b>3/17/11</b>	<b>22,370,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority - Final Report</b>	<b>Bayview Hunters Pt (B), Golden Gateway, Hunters Pt, So of Market, Transbay &amp; Western Add A-2 Series A</b>	<b>A - 1570</b>
	LOAN	3/17/11	2,505,000	San Francisco City & County Redevelopment Agency - Final Repo	Bayview Hunters Pt Area	<b>A - 1571</b>
	LOAN	3/17/11	8,755,000	San Francisco City & County Redevelopment Agency - Final Repo	Golden Gateway Area	<b>A - 1572</b>
	LOAN	3/17/11	1,440,000	San Francisco City & County Redevelopment Agency - Final Repo	Hunters Point Area	<b>A - 1573</b>
	LOAN	3/17/11	1,315,000	San Francisco City & County Redevelopment Agency - Final Repo	SOMA Area	<b>A - 1574</b>
	LOAN	3/17/11	2,355,000	San Francisco City & County Redevelopment Agency - Final Repo	Transbay Area	<b>A - 1575</b>
	LOAN	3/17/11	6,000,000	San Francisco City & County Redevelopment Agency - Final Repo	Western Addition Area	<b>A - 1576</b>
	<b>Authority</b>	<b>4/18/11</b>	<b>16,020,000</b>	<b>San Francisco City &amp; County Redevelopment Financing Authority - Final Report</b>	<b>Transbay, Bayview Hunters Pt &amp; So of Market Series B</b>	<b>A - 1577</b>
	LOAN	4/18/11	13,915,000	San Francisco City & County Redevelopment Agency - Final Repo	Transbay Area	<b>A - 1578</b>
	LOAN	4/18/11	940,000	San Francisco City & County Redevelopment Agency - Final Repo	Bayview Hunters Pt Area	<b>A - 1579</b>
	LOAN	4/18/11	1,165,000	San Francisco City & County Redevelopment Agency - Final Repo	South of Market Area	<b>A - 1580</b>
<b>San Joaquin</b>						
	<b>Authority</b>	<b>10/23/13</b>	<b>18,975,000</b>	<b>Lathrop Financing Authority</b>	<b>Mossdale Village Series A</b>	<b>A - 1581</b>
	Local Obligor	10/23/13	11,690,000	Lathrop	Mossdale Village ReAD No 2013-1	<b>A - 1582</b>
	Local Obligor	10/23/13	7,285,000	Lathrop CFD No 2003-1	Mossdale Village Series A	<b>A - 1583</b>
	<b>Authority</b>	<b>5/2/18</b>	<b>41,610,000</b>	<b>Lodi Public Financing Authority</b>	<b>Electric System</b>	<b>A - 1584</b>
	Local Obligor	5/2/18	41,610,000	Lodi	Electric System	<b>A - 1585</b>
	<b>Authority</b>	<b>7/12/13</b>	<b>3,875,528</b>	<b>RD 17 Levee Area Public Financing Authority</b>	<b>Reclamation Dist No 17</b>	<b>A - 1586</b>
	LOAN	7/12/13	3,875,528	Reclamation District No 17		<b>A - 1587</b>

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San Joaquin cont.	Authority	12/8/16	20,850,000	RD 17 Levee Area Public Financing Authority	Reclamation District No 17	A - 1588
	LOAN	12/8/16	20,850,000	Reclamation District No 17		A - 1589
	Authority	3/20/98	15,670,000	Stockton Public Financing Authority	Arch Rd & Stockton Aripport Bus Pk AD	A - 1590
	Local Obligor	3/19/98	7,890,000	Stockton - Retired	Airport Bus Prk AD No 84-1 Phase IV	
	Local Obligor	3/19/98	2,480,000	Stockton	Airport Bus Park AD No 84-1 Phase V	A - 1591
	Local Obligor	3/19/98	4,810,000	Stockton	Arch Rd Indus Pk AD No 84-2	A - 1592
	Authority	8/5/99	11,610,000	Stockton Public Financing Authority	W Eighth St AD	A - 1593
	Local Obligor	8/5/99	10,619,463	Stockton	W Eighth St AD No 90-5 Phases I and II	A - 1594
	Authority	8/13/14	13,020,000	Stockton Public Financing Authority	Mosher ReAD 2014-1	A - 1595
	Local Obligor	8/13/14	13,020,000	Stockton	Mosher ReAD 2014-1	A - 1596
	Authority	8/3/16	33,350,000	Stockton Public Financing Authority	CFD Nos 2001-1, 2003-1, 2006-1 & 2006-3 Series A	A - 1597
	Local Obligor	8/3/16	1,610,000	Stockton	March Lane/Holman ReAD No 2016-1	A - 1599
	Local Obligor	8/3/16	1,630,000	Stockton	Waterford Estates East Phase II ReAD No 2016-2	A - 1600
	Local Obligor	8/3/16	13,760,000	Stockton CFD No 2001-1	Spanos Park West	A - 1601
	Local Obligor	8/3/16	5,020,000	Stockton CFD No 2006-3	IA No 1 Northbrook Woodside	A - 1602
	Local Obligor	8/3/16	1,980,000	Stockton CFD No 2003-1	Camera Estates	A - 1603
	Local Obligor	8/3/16	2,740,000	Stockton CFD No 2006-1	Riverbend	A - 1604
	Local Obligor	8/3/16	2,961,082	Stockton	Morada Ranch AD No 2000-01	A - 1605
	Local Obligor	8/3/16	1,702,702	Stockton	Morada North AD No 2002-01	A - 1606
	Local Obligor	8/3/16	2,092,323	Stockton	Waterford Estates East AD No 2002-03	A - 1607
Local Obligor	8/18/05	3,928,051	Stockton - Final Report	Waterford Estates East AD No 2002-03	A - 1608	
Authority	8/4/05	73,555,000	Tracy Operating Partnership Joint Powers Authority	CFD Nos 98-1 & 98-3 Sr Lien Series A & Jr Lien Series B	A - 1609	
Local Obligor	8/4/05	65,945,000	Tracy CFD No 98-1	Plan C Properties	A - 1610	
Local Obligor	8/4/05	3,660,000	Tracy CFD No 98-3	Plan C Development Agreements	A - 1611	
Authority	12/14/05	14,965,000	Tracy Operating Partnership Joint Powers Authority	CFD No 2000-1	A - 1612	
Local Obligor	12/14/05	14,585,000	Tracy CFD No 2000-1	Presidio Planning Area	A - 1613	
Authority	11/9/11	13,685,000	Tracy Operating Partnership Joint Powers Authority	CFD 99-2 & ReAD No 2011-1 Series A	A - 1614	
Local Obligor	11/9/11	10,560,000	Tracy CFD No 99-2	S MacArthur Series A	A - 1615	
Local Obligor	11/9/11	2,220,000	Tracy	ReAD No 2011-1 Morrison Homes:Heartland Three	A - 1616	
Authority	5/20/14	17,215,000	Tracy Public Financing Authority	CFD Nos 89-1 & 99-1 Re AD No 2014-1 Series A	A - 1617	
Local Obligor	5/20/14	5,730,000	Tracy CFD No 1989-1	Indus Specific Plan Northeast Area Series A	A - 1618	
Local Obligor	5/20/14	6,060,000	Tracy	ReAD No 2014-1	A - 1619	
Local Obligor	5/20/14	5,425,000	Tracy CFD No 99-1	Northeast Industrial Area Series A	A - 1620	
San Luis Obispo	Authority	5/24/17	7,685,000	Pismo Beach Public Financing Agency	Pismo Beach Municipal Pier	A - 1621
	Local Obligor	5/24/17	7,685,000	Pismo Beach	Pismo Beach Municipal Pier	A - 1622
	Authority	12/28/17	4,061,917	Pismo Beach Public Financing Agency	NCREBs Solar & Energy Storage Series B	A - 1623
	Local Obligor	12/28/17	4,061,917	Pismo Beach	NCREBs Solar & Energy Storage Series B	A - 1624
Authority	5/12/11	22,750,000	San Luis Obispo County Financing Authority	Lopez Dam Imp Series A	A - 1625	
Local Obligor	5/12/11	10,760,000	San Luis Obispo County Flood Control & Water Conservation District	Zone 3 Series A	A - 1626	
Local Obligor	5/12/11	11,990,000	San Luis Obispo County Flood Control & Water Conservation District	Lopez Dam Imp	A - 1627	

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<b>San Luis Obispo cont.</b>						
	<b>Authority</b>	<b>4/5/18</b>	<b>27,045,000</b>	<b>San Luis Obispo County Financing Authority</b>	<b>Nacimiento Series A</b>	<b>A - 1628</b>
	Local Obligor	4/5/18	27,045,000	San Luis Obispo County Flood Control & Water Conservation District	Pledge Agmt w/San Luis Obispo Co FA (Nacimiento Series A)	A - 1629
	<b>Authority</b>	<b>4/4/18</b>	<b>16,905,000</b>	<b>San Luis Obispo County Financing Authority</b>		<b>A - 1630</b>
	Local Obligor	4/4/18	16,905,000	San Luis Obispo		A - 1631
<b>San Mateo</b>						
	<b>Authority</b>	<b>1/11/07</b>	<b>9,995,000</b>	<b>Jefferson Elementary School Facilities Financing Authority - Final Report</b>		<b>A - 1632</b>
	Local Obligor	1/11/07	9,229,269	Jefferson Elementary School District - Final Report		A - 1633
	<b>Authority</b>	<b>3/13/18</b>	<b>18,630,000</b>	<b>Millbrae Public Financing Authority</b>	<b>Millbrae Sewer Modernization Program</b>	<b>A - 1634</b>
	Local Obligor	3/13/18	18,630,000	Millbrae	Millbrae Sewer Modernization Program	A - 1635
	<b>Authority</b>	<b>6/6/17</b>	<b>22,100,000</b>	<b>Pacifica Financing Authority</b>	<b>Wet Weather Equalization Basin</b>	<b>A - 1636</b>
	Local Obligor	6/6/17	22,100,000	Pacifica	Installment Sale Agreement w/Pacifica Financing Auth	A - 1637
	<b>Authority</b>	<b>11/9/17</b>	<b>11,615,000</b>	<b>San Bruno Public Finance Authority</b>		<b>A - 1638</b>
	Local Obligor	11/9/17	11,615,000	San Bruno		A - 1639
	<b>Authority</b>	<b>11/9/17</b>	<b>24,470,000</b>	<b>San Bruno Public Finance Authority</b>		<b>A - 1640</b>
	Local Obligor	11/9/17	24,470,000	San Bruno		A - 1641
	<b>Authority</b>	<b>5/8/18</b>	<b>6,050,000</b>	<b>San Mateo Joint Powers Financing Authority</b>	<b>Golf Course Series A</b>	<b>A - 1642</b>
	Local Obligor	5/8/18	6,050,000	San Mateo	Golf Course Series A	A - 1643
	<b>Authority</b>	<b>10/13/05</b>	<b>79,975,000</b>	<b>San Mateo-Foster City School Facilities Financing Authority</b>		<b>A - 1644</b>
	Local Obligor	10/13/05	76,752,425	San Mateo-Foster City School District		A - 1645
	<b>Authority</b>	<b>2/8/18</b>	<b>140,955,000</b>	<b>Silicon Valley Clean Water</b>	<b>Redwood City, San Carlos, &amp; West Bay SD Wastewater Treatn</b>	<b>A - 1646</b>
	Local Obligor	2/8/18	82,210,000	Redwood City	SVCW Regional Wastewater Treatment Plant	A - 1647
	Local Obligor	2/8/18	25,635,000	San Carlos	SVCW Regional WSTW Treatment Plant	A - 1648
	Local Obligor	2/8/18	33,110,000	West Bay Sanitary District	SVCW Regional Wastewater Treatment Plant	A - 1649
	<b>Authority</b>	<b>2/17/99</b>	<b>31,720,000</b>	<b>South San Francisco Capital Improvements Financing Authority</b>	<b>S San Francisco RDA Series A</b>	<b>A - 1650</b>
	Local Obligor	2/17/99	3,675,000	South San Francisco Redevelopment Agency	Housing Set-Aside Series B	A - 1651
	<b>Authority</b>	<b>1/10/06</b>	<b>39,035,000</b>	<b>South San Francisco Unified School District School Facilities Financing Authority</b>	<b>S San Francisco USD</b>	<b>A - 1652</b>
	Local Obligor	1/10/06	36,825,170	South San Francisco Unified School District		A - 1653
	<b>Authority</b>	<b>1/24/07</b>	<b>10,195,000</b>	<b>Woodside Elementary School District School Facilities Financing Authority - Final Report</b>	<b>ESD GOB</b>	<b>A - 1654</b>
	Local Obligor	1/24/07	9,516,693	Woodside Elementary School District - Final Report		A - 1655
<b>Santa Barbara</b>						
	<b>Authority</b>	<b>11/9/04</b>	<b>9,955,000</b>	<b>Lompoc Public Financing Authority</b>	<b>Aquatic Center</b>	<b>A - 1656</b>
	Local Obligor	11/9/04	2,605,000	Lompoc	AD No 2002-1	A - 1657
	Local Obligor	11/9/04	7,350,000	Lompoc Redevelopment Agency - Failed to Report	Aquatic Center	
	<b>Authority</b>	<b>7/18/07</b>	<b>23,650,000</b>	<b>Santa Barbara Schools Financing Authority - Final Report</b>	<b>HSD Series B</b>	<b>A- 1658</b>
	Local Obligor	7/18/07	22,149,730	Santa Barbara Secondary/High School District - Final Report		A- 1659

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	<b>Authority</b>	<b>7/18/07</b>	<b>13,265,000</b>	<b>Santa Barbara Schools Financing Authority - Final Report</b>	<b>ESD Series A</b>	<b>A - 1660</b>
	Local Obligor	7/18/07	12,697,109	Santa Barbara Elementary School District - Final Report		A - 1661
<b>Santa Clara</b>						
	<b>Authority</b>	<b>2/27/13</b>	<b>110,670,000</b>	<b>Gilroy School Facilities Financing Authority</b>	<b>Gilroy USD, Series A</b>	<b>A - 1662</b>
	Local Obligor	2/27/13	40,670,000	Gilroy Unified School District		A - 1663
	Local Obligor	2/27/13	70,000,000	Gilroy Unified School District		A - 1664
<b>Santa Cruz</b>						
	<b>Authority</b>	<b>11/15/17</b>	<b>7,940,000</b>	<b>Santa Cruz County Capital Financing Authority</b>	<b>Direct Pay Subsidy NCREBs Series A</b>	<b>A - 1665</b>
	Local Obligor	11/15/17	7,940,000	Santa Cruz County	NCREBs Series A	A - 1666
	<b>Authority</b>	<b>8/18/99</b>	<b>895,000</b>	<b>Santa Cruz County Public Financing Authority</b>		<b>A - 1667</b>
	Local Obligor	8/18/99	266,000	Santa Cruz County - Retired	Place De Mer ReAD	
	Local Obligor	8/18/99	135,000	Santa Cruz County - Retired	San Dollar Beach Re AD	
	Local Obligor	8/18/99	440,535	Santa Cruz County	Sunset Beach AD	A - 1668
<b>Solano</b>						
	<b>Authority</b>	<b>8/2/13</b>	<b>3,798,902</b>	<b>Dixon Public Financing Authority</b>	<b>Reassessment</b>	<b>A - 1669</b>
	Local Obligor	1/22/98	20,997,433	Dixon	North First St AD	A - 1670
	Local Obligor	1/22/98	6,847,053	Dixon - Retired	West A St AD	
	<b>Authority</b>	<b>6/28/18</b>	<b>9,615,000</b>	<b>Rio Vista Public Financing Authority</b>	<b>CFDs 2018-1069 &amp; 2018-1070</b>	<b>A - 1671</b>
	Local Obligor	6/28/18	3,350,000	Rio Vista CFD No 2004-1	Northwest Facility	A - 1672
	Local Obligor	6/28/18	6,265,000	Rio Vista CFD No 2006-1	Northwest Treatment Plant Phase I	A - 1673
<b>Sonoma</b>						
	<b>Authority</b>	<b>9/30/14</b>	<b>25,545,000</b>	<b>Healdsburg School Facilities Financing Authority</b>		<b>A - 1674</b>
	Local Obligor	9/30/14	6,915,000	Healdsburg Unified School District	Series A	A - 1675
	Local Obligor	9/30/14	6,630,000	Healdsburg Unified School District	Series B	A - 1676
	Local Obligor	9/30/14	12,000,000	Healdsburg Unified School District	Series B	A - 1677
	<b>Authority</b>	<b>6/1/09</b>	<b>342,122</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series B-20</b>	<b>A - 1678</b>
	LOAN	6/1/09	342,122	Sonoma County	Energy Independence Series B-20	A - 1679
	<b>Authority</b>	<b>7/1/09</b>	<b>427,655</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series C-20</b>	<b>A - 1680</b>
	LOAN	7/1/09	427,655	Sonoma County	Energy Independence Series C-20	A - 1681
	<b>Authority</b>	<b>7/1/09</b>	<b>139,813</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series A-10</b>	<b>A - 1682</b>
	LOAN	7/1/09	139,813	Sonoma County	Energy Independence Series A-10	A - 1683
	<b>Authority</b>	<b>8/3/09</b>	<b>159,981</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series B-10</b>	<b>A - 1684</b>
	LOAN	8/3/09	159,981	Sonoma County	Energy Independence Series B-10	A - 1685
	<b>Authority</b>	<b>8/3/09</b>	<b>957,473</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series D-20</b>	<b>A - 1686</b>
	LOAN	8/3/09	957,473	Sonoma County	Energy Independence Series D-20	A - 1687
	<b>Authority</b>	<b>9/1/09</b>	<b>146,236</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series C-10</b>	<b>A - 1688</b>
	LOAN	9/1/09	146,236	Sonoma County	Energy Independence Series C-10	A - 1689
	<b>Authority</b>	<b>9/1/09</b>	<b>3,856,497</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series E-20</b>	<b>A - 1690</b>
	LOAN	9/1/09	3,856,497	Sonoma County	Energy Independence Series E-20	A - 1691

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	Authority LOAN	10/1/09 10/1/09	1,034,499 1,034,499	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-10 Energy Independence Series D-10	A - 1694 A - 1695
	Authority LOAN	11/2/09 11/2/09	1,665,180 1,665,180	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-20 Energy Independence Series G-20	A - 1696 A - 1697
	Authority LOAN	11/2/09 11/2/09	164,954 164,954	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series E-10 Energy Independence Series E-10	A - 1698 A - 1699
	Authority LOAN	12/1/09 12/1/09	178,432 178,432	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-10 Energy Independence Series F-10	A - 1700 A - 1701
	Authority LOAN	12/1/09 12/1/09	2,528,032 2,528,032	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-20 Energy Independence Series H-20	A - 1702 A - 1703
	Authority LOAN	1/4/10 1/4/10	303,788 303,788	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-10 Energy Independence Series A-10	A - 1704 A - 1705
	Authority LOAN	1/4/10 1/4/10	3,099,465 3,099,465	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-20 Energy Independence Series A-20	A - 1706 A - 1707
	Authority LOAN	2/1/10 2/1/10	2,220,683 2,220,683	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-20 Energy Independence Series B-20	A - 1708 A - 1709
	Authority LOAN	2/1/10 2/1/10	154,750 154,750	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-10 Energy Independence Series B-10	A - 1710 A - 1711
	Authority LOAN	3/1/10 3/1/10	2,022,604 2,022,604	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-20 Energy Independence Series C-20	A - 1712 A - 1713
	Authority LOAN	3/1/10 3/1/10	195,689 195,689	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-10 Energy Independence Series C-10	A - 1714 A - 1715
	Authority LOAN	4/1/10 4/1/10	123,251 123,251	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-10 Energy Independence Series D-10	A - 1716 A - 1717
	Authority LOAN	4/1/10 4/1/10	2,300,901 2,300,901	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-20 Energy Independence Series D-20	A - 1718 A - 1719
	Authority LOAN	5/3/10 5/3/10	1,955,599 1,955,599	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series E-20 Energy Independence Series E-20	A - 1720 A - 1721
	Authority LOAN	5/3/10 5/3/10	62,438 62,438	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series E-10 Energy Independence Series E-10	A - 1722 A - 1723
	Authority LOAN	6/1/10 6/1/10	280,811 280,811	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-10 Energy Independence Series F-10	A - 1724 A - 1725
	Authority LOAN	6/1/10 6/1/10	2,136,930 2,136,930	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-20 Energy Independence Series F-20	A - 1726 A - 1727
	Authority LOAN	6/30/10 6/30/10	223,877 223,877	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-10 Energy Independence Series G-10	A - 1728 A - 1729
	Authority LOAN	6/30/10 6/30/10	1,839,000 1,839,000	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-20 Energy Independence Series G-20	A - 1730 A - 1731

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Sonoma cont.	Authority LOAN	8/2/10 8/2/10	246,321 246,321	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-10 Energy Independence Series H-10	A - 1732 A - 1733
	Authority LOAN	8/2/10 8/2/10	2,141,200 2,141,200	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-20 Energy Independence Series H-20	A - 1734 A - 1735
	Authority LOAN	9/1/10 9/1/10	324,930 324,930	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-10 Energy Independence Series I-10	A - 1736 A - 1737
	Authority LOAN	9/1/10 9/1/10	1,639,853 1,639,853	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-20 Energy Independence Series I-20	A - 1738 A - 1739
	Authority LOAN	10/1/10 10/1/10	119,995 119,995	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-10 Energy Independence Series J-10	A - 1740 A - 1741
	Authority LOAN	10/1/10 10/1/10	1,327,264 1,327,264	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-20 Energy Independence Series J-20	A - 1742 A - 1743
	Authority LOAN	12/1/10 12/1/10	1,428,167 1,428,167	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series K-20 Energy Independence Series K-20	A - 1744 A - 1745
	Authority LOAN	12/1/10 12/1/10	348,720 348,720	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-10 Energy Independence Series L-10	A - 1746 A - 1747
	Authority LOAN	12/1/10 12/1/10	1,582,286 1,582,286	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-20 Energy Independence Series L-20	A - 1748 A - 1749
	Authority LOAN	1/3/11 1/3/11	1,278,369 1,278,369	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-20 Energy Independence Series A-20	A - 1750 A - 1751
	Authority LOAN	1/3/11 1/3/11	45,797 45,797	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-10 Energy Independence Series A-10	A - 1752 A - 1753
	Authority LOAN	2/1/11 2/1/11	118,476 118,476	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-10 Energy Independence Series B-10	A - 1754 A - 1755
	Authority LOAN	2/1/11 2/1/11	1,280,022 1,280,022	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-20 Energy Independence Series B-20	A - 1756 A - 1757
	Authority LOAN	3/1/11 3/1/11	1,109,898 1,109,898	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-20 Energy Independence Series C-20	A - 1758 A - 1759
	Authority LOAN	3/1/11 3/1/11	130,261 130,261	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-10 Energy Independence Series C-10	A - 1760 A - 1761
	Authority LOAN	4/1/11 4/1/11	1,370,210 1,370,210	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-20 Energy Independence Series D-20	A - 1762 A - 1763
	Authority LOAN	4/1/11 4/1/11	286,402 286,402	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-10 Energy Independence Series D-10	A - 1764 A - 1765
	Authority LOAN	5/2/11 5/2/11	784,004 784,004	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series E-20 Energy Independence Series E-20	A - 1766 A - 1767
	Authority LOAN	5/2/11 5/2/11	134,479 134,479	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series E-10 Energy Independence Series E-10	A - 1768 A - 1769
	Authority LOAN	6/1/11 6/1/11	731,280 731,280	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-20 Energy Independence Series F-20	A - 1770 A - 1771

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Sonoma cont.	Authority LOAN	6/1/11 6/1/11	203,142 203,142	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-10 Energy Independence Series F-10	A - 1772 A - 1773
	Authority LOAN	6/30/11 6/30/11	1,367,654 1,367,654	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-20 Energy Independence Series G-20	A - 1774 A - 1775
	Authority LOAN	6/30/11 6/30/11	88,131 88,131	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-10 Energy Independence Series G-10	A - 1776 A - 1777
	Authority LOAN	8/1/11 8/1/11	936,736 936,736	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-20 Energy Independence Series H-20	A - 1778 A - 1779
	Authority LOAN	8/1/11 8/1/11	275,226 275,226	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-10 Energy Independence Series H-10	A - 1780 A - 1781
	Authority LOAN	9/1/11 9/1/11	208,200 208,200	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-10 Energy Independence Series I-10	A - 1782 A - 1783
	Authority LOAN	9/1/11 9/1/11	1,257,073 1,257,073	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-20 Energy Independence Series I-20	A - 1784 A - 1785
	Authority LOAN	10/3/11 10/3/11	310,937 310,937	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-20 Energy Independence Series J-20	A - 1786 A - 1787
	Authority LOAN	10/3/11 10/3/11	109,067 109,067	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-10 Energy Independence Series J-10	A - 1788 A - 1789
	Authority LOAN	11/1/11 11/1/11	511,268 511,268	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series K-20 Energy Independence Series K-20	A - 1790 A - 1791
	Authority LOAN	11/1/11 11/1/11	124,852 124,852	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series K-10 Energy Independence Series K-10	A - 1792 A - 1793
	Authority LOAN	12/1/11 12/1/11	270,655 270,655	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-20 Energy Independence Series L-20	A - 1794 A - 1795
	Authority LOAN	1/3/12 1/3/12	26,298 26,298	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-10 Energy Independence Series A-10	A - 1796 A - 1797
	Authority LOAN	1/3/12 1/3/12	163,594 163,594	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-20 Energy Independence Series A-20	A - 1798 A - 1799
	Authority LOAN	2/1/12 2/1/12	85,911 85,911	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-10 Energy Independence Series B-10	A - 1800 A - 1801
	Authority LOAN	2/1/12 2/1/12	1,089,673 1,089,673	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-20 Energy Independence Series B-20	A - 1802 A - 1803
	Authority LOAN	3/1/12 3/1/12	12,377 12,377	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-10 Energy Independence Series C-10	A - 1804 A - 1805
	Authority LOAN	3/1/12 3/1/12	122,081 122,081	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-20 Energy Independence Series C-20	A - 1806 A - 1807
	Authority LOAN	4/2/12 4/2/12	31,108 31,108	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-10 Energy Independence Series D-10	A - 1808 A - 1809
	Authority LOAN	4/2/12 4/2/12	537,914 537,914	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-20 Energy Independence Series D-20	A - 1810 A - 1811



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Sonoma cont.	Authority	5/1/12	159,553	Sonoma County Public Financing Authority	Energy Independence Series E-20	A - 1812
	LOAN	5/1/12	159,553	Sonoma County	Energy Independence Series E-20	A - 1813
	Authority	6/1/12	250,885	Sonoma County Public Financing Authority	Energy Independence Series F-20	A - 1814
	LOAN	6/1/12	250,885	Sonoma County	Energy Independence Series F-20	A - 1815
	Authority	6/29/12	7,896	Sonoma County Public Financing Authority	Energy Independence Series G-10	A - 1816
	LOAN	6/29/12	7,896	Sonoma County	Energy Independence Series G-10	A - 1817
	Authority	6/29/12	110,898	Sonoma County Public Financing Authority	Energy Independence Series G-20	A - 1818
	LOAN	6/29/12	110,898	Sonoma County	Energy Independence Series G-20	A - 1819
	Authority	8/1/12	65,025	Sonoma County Public Financing Authority	Energy Independence Series H-10	A - 1820
	LOAN	8/1/12	65,025	Sonoma County	Energy Independence Series H-10	A - 1821
	Authority	8/1/12	485,569	Sonoma County Public Financing Authority	Energy Independence Series H-20	A - 1822
	LOAN	8/1/12	485,569	Sonoma County	Energy Independence Series H-20	A - 1823
	Authority	9/4/12	437,446	Sonoma County Public Financing Authority	Energy Independence Series I-20	A - 1824
	LOAN	9/4/12	437,446	Sonoma County	Energy Independence Series I-20	A - 1825
	Authority	11/1/12	91,921	Sonoma County Public Financing Authority	Energy Independence Series J-10	A - 1826
	LOAN	11/1/12	91,921	Sonoma County	Energy Independence Series J-10	A - 1827
	Authority	10/1/12	289,534	Sonoma County Public Financing Authority	Energy Independence Series J-20	A - 1828
	LOAN	10/1/12	289,534	Sonoma County	Energy Independence Series J-20	A - 1829
	Authority	12/3/12	9,375	Sonoma County Public Financing Authority	Energy Independence Series K-10	A - 1830
	LOAN	12/3/12	9,375	Sonoma County	Energy Independence Series K-10	A - 1831
	Authority	11/1/12	741,188	Sonoma County Public Financing Authority	Energy Independence Series K-20	A - 1832
	LOAN	11/1/12	741,188	Sonoma County	Energy Independence Series K-20	A - 1833
	Authority	12/3/12	491,250	Sonoma County Public Financing Authority	Energy Independence Series L-20	A - 1834
	LOAN	12/3/12	491,250	Sonoma County	Energy Independence Series L-20	A - 1835
	Authority	1/2/13	10,430	Sonoma County Public Financing Authority	Energy Independence Series A-10	A - 1836
	LOAN	1/2/13	10,430	Sonoma County	Energy Independence Series A-10	A - 1837
	Authority	1/2/13	420,511	Sonoma County Public Financing Authority	Energy Independence Series A-20	A - 1838
	LOAN	1/2/13	420,511	Sonoma County	Energy Independence Series A-20	A - 1839
	Authority	2/1/13	514,619	Sonoma County Public Financing Authority	Energy Independence Series B-20	A - 1840
	LOAN	2/1/13	514,619	Sonoma County	Energy Independence Series B-20	A - 1841
	Authority	3/1/13	61,282	Sonoma County Public Financing Authority	Energy Independence Series C-10	A - 1842
	LOAN	3/1/13	61,282	Sonoma County	Energy Independence Series C-10	A - 1843
	Authority	3/1/13	616,559	Sonoma County Public Financing Authority	Energy Independence Series C-20	A - 1844
	LOAN	3/1/13	616,559	Sonoma County	Energy Independence Series C-20	A - 1845
	Authority	4/1/13	594,928	Sonoma County Public Financing Authority	Energy Independence Series D-20	A - 1846
	LOAN	4/1/13	594,928	Sonoma County	Energy Independence Series D-20	A - 1847
	Authority	5/1/13	20,670	Sonoma County Public Financing Authority	Energy Independence Series E-10	A - 1848
	LOAN	5/1/13	20,670	Sonoma County	Energy Independence Series E-10	A - 1849
	Authority	5/1/13	767,061	Sonoma County Public Financing Authority	Energy Independence Series E-20	A - 1850
	LOAN	5/1/13	767,061	Sonoma County	Energy Independence Series E-20	A - 1851

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Sonoma cont.	Authority LOAN	6/3/13 6/3/13	464,571 464,571	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-20 Energy Independence Series F-20	A - 1852 A - 1853
	Authority LOAN	6/28/13 6/28/13	480,561 480,561	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-20 Energy Independence Series G-20	A - 1854 A - 1855
	Authority LOAN	8/1/13 8/1/13	40,276 40,276	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-10 Energy Independence Series H-10	A - 1856 A - 1857
	Authority LOAN	8/1/13 8/1/13	589,515 589,515	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-20 Energy Independence Series H-20	A - 1858 A - 1859
	Authority LOAN	9/3/13 9/3/13	35,495 35,495	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-10 Energy Independence Series I-10	A - 1860 A - 1861
	Authority LOAN	9/3/13 9/3/13	204,858 204,858	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-20 Energy Independence Series I-20	A - 1862 A - 1863
	Authority LOAN	10/1/13 10/1/13	149,776 149,776	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-10 Energy Independence Series J-10	A - 1864 A - 1865
	Authority LOAN	10/1/13 10/1/13	260,691 260,691	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-20 Energy Independence Series J-20	A - 1866 A - 1867
	Authority LOAN	11/1/13 11/1/13	292,157 292,157	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series K-20 Energy Independence Series K-20	A - 1868 A - 1869
	Authority LOAN	12/2/13 12/2/13	115,572 115,572	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-10 Energy Independence Series L-10	A - 1870 A - 1871
	Authority LOAN	12/2/13 12/2/13	246,695 246,695	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-20 Energy Independence Series L-20	A - 1872 A - 1873
	Authority LOAN	1/2/14 1/2/14	73,379 73,379	Sonoma County Public Financing Authority - Final Report Sonoma County - Final Report	Energy Independence Series A-10 Energy Independence Series A-10	A - 1874 A - 1875
	Authority LOAN	1/2/14 1/2/14	263,081 263,081	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-20 Energy Independence Series A-20	A - 1876 A - 1877
	Authority LOAN	2/3/14 2/3/14	39,287 39,287	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-10 Energy Independence Series B-10	A - 1878 A - 1879
	Authority LOAN	2/3/14 2/3/14	417,218 417,218	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-20 Energy Independence Series B-20	A - 1880 A - 1881
	Authority LOAN	3/3/14 3/3/14	50,024 50,024	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-10 Energy Independence Series C-10	A - 1882 A - 1883
	Authority LOAN	3/3/14 3/3/14	195,527 195,527	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-20 Energy Independence Series C-20	A - 1884 A - 1885
	Authority LOAN	4/1/14 4/1/14	259,080 259,080	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-20 Energy Independence Series D-20	A - 1886 A - 1887
	Authority LOAN	4/1/14 4/1/14	17,302 17,302	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-10 Energy Independence Series D-10	A - 1888 A - 1889
	Authority LOAN	5/1/14 5/1/14	10,235 10,235	Sonoma County Public Financing Authority - Final Report Sonoma County - Final Report	Energy Independence Series E-10 Energy Independence Series E-10	A - 1890 A - 1891

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Sonoma cont.	Authority	5/1/14	138,623	Sonoma County Public Financing Authority	Energy Independence Series E-20	A - 1892
	LOAN	5/1/14	138,623	Sonoma County	Energy Independence Series E-20	A - 1893
	Authority	6/2/14	3,658	Sonoma County Public Financing Authority	Energy Independence Series F-10	A - 1894
	LOAN	6/2/14	3,658	Sonoma County	Energy Independence Series F-10	A - 1895
	Authority	6/2/14	160,989	Sonoma County Public Financing Authority	Energy Independence Series F-20	A - 1896
	LOAN	6/2/14	160,989	Sonoma County	Energy Independence Series F-20	A - 1897
	Authority	6/30/14	63,936	Sonoma County Public Financing Authority - Final Report	Energy Independence Series G-10	A - 1898
	LOAN	6/30/14	63,936	Sonoma County - Final Report	Energy Independence Series G-10	A - 1899
	Authority	6/30/14	386,943	Sonoma County Public Financing Authority	Energy Independence Series G-20	A - 1900
	LOAN	6/30/14	386,943	Sonoma County	Energy Independence Series G-20	A - 1901
	Authority	8/1/14	141,421	Sonoma County Public Financing Authority	Energy Independence Series H-10	A - 1902
	LOAN	8/1/14	141,421	Sonoma County	Energy Independence Series H-10	A - 1903
	Authority	8/1/14	305,258	Sonoma County Public Financing Authority	Energy Independence Series H-20	A - 1904
	LOAN	8/1/14	305,258	Sonoma County	Energy Independence Series H-20	A - 1905
	Authority	9/2/14	37,220	Sonoma County Public Financing Authority	Energy Independence Series I-10	A - 1906
	LOAN	9/2/14	37,220	Sonoma County	Energy Independence Series I-10	A - 1907
	Authority	9/2/14	287,865	Sonoma County Public Financing Authority	Energy Independence Series I-20	A - 1908
	LOAN	9/2/14	287,865	Sonoma County	Energy Independence Series I-20	A - 1909
	Authority	10/1/14	5,316	Sonoma County Public Financing Authority	Energy Independence Series J-10	A - 1910
	LOAN	10/1/14	5,316	Sonoma County	Energy Independence Series J-10	A - 1911
	Authority	10/1/14	238,579	Sonoma County Public Financing Authority	Energy Independence Series J-20	A - 1912
	LOAN	10/1/14	238,579	Sonoma County	Energy Independence Series J-20	A - 1913
	Authority	11/3/14	55,217	Sonoma County Public Financing Authority	Energy Independence Series K-10	A - 1914
	LOAN	11/3/14	55,217	Sonoma County	Energy Independence Series K-10	A - 1915
	Authority	11/3/14	262,469	Sonoma County Public Financing Authority	Energy Independence Series K-20	A - 1916
	LOAN	11/3/14	262,469	Sonoma County	Energy Independence Series K-20	A - 1917
	Authority	12/1/14	109,523	Sonoma County Public Financing Authority	Energy Independence Series L-10	A - 1918
	LOAN	12/1/14	109,523	Sonoma County	Energy Independence Series L-10	A - 1919
	Authority	12/1/14	155,479	Sonoma County Public Financing Authority	Energy Independence Series L-20	A - 1920
	LOAN	12/1/14	155,479	Sonoma County	Energy Independence Series L-20	A - 1921
	Authority	1/5/15	53,405	Sonoma County Public Financing Authority	Energy Independence Series A-10	A - 1922
	LOAN	1/5/15	53,405	Sonoma County	Energy Independence Series A-10	A - 1923
	Authority	1/5/15	248,028	Sonoma County Public Financing Authority	Energy Independence Series A-20	A - 1924
	LOAN	1/5/15	248,028	Sonoma County	Energy Independence Series A-20	A - 1925
	Authority	2/2/15	212,592	Sonoma County Public Financing Authority	Energy Independence Series B-20	A - 1926
	LOAN	2/2/15	212,592	Sonoma County	Energy Independence Series B-20	A - 1927
	Authority	3/2/15	44,534	Sonoma County Public Financing Authority	Energy Independence Series C-10	A - 1928
	LOAN	3/2/15	44,534	Sonoma County	Energy Independence Series C-10	A - 1929
	Authority	3/2/15	337,027	Sonoma County Public Financing Authority	Energy Independence Series C-20	A - 1930
	LOAN	3/2/15	337,027	Sonoma County	Energy Independence Series C-20	A - 1931

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Sonoma cont.	Authority LOAN	4/1/15 4/1/15	239,017 239,017	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-20 Energy Independence Series D-20	A - 1932 A - 1933
	Authority LOAN	5/1/15 5/1/15	226,765 226,765	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series E-20 Energy Independence Series E-20	A - 1934 A - 1935
	Authority LOAN	6/1/15 6/1/15	23,352 23,352	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-10 Energy Independence Series F-10	A - 1936 A - 1937
	Authority LOAN	6/1/15 6/1/15	147,838 147,838	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-20 Energy Independence Series F-20	A - 1938 A - 1939
	Authority LOAN	6/30/15 6/30/15	77,555 77,555	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-20 Energy Independence Series G-20	A - 1940 A - 1941
	Authority LOAN	8/3/15 8/3/15	26,768 26,768	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-10 Energy Independence Series H-10	A - 1942 A - 1943
	Authority LOAN	8/3/15 8/3/15	136,042 136,042	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-20 Energy Independence Series H-20	A - 1944 A - 1945
	Authority LOAN	9/2/15 9/2/15	18,445 18,445	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-10 Energy Independence Series I-10	A - 1946 A - 1947
	Authority LOAN	9/2/15 9/2/15	295,503 295,503	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-20 Energy Independence Series I-20	A - 1948 A - 1949
	Authority LOAN	10/1/15 10/1/15	50,937 50,937	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-10 Energy Independence Series J-10	A - 1950 A - 1951
	Authority LOAN	10/1/15 10/1/15	178,548 178,548	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-20 Energy Independence Series J-20	A - 1952 A - 1953
	Authority LOAN	11/2/15 11/2/15	85,910 85,910	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series K-10 Energy Independence Series K-10	A - 1954 A - 1955
	Authority LOAN	11/2/15 11/2/15	282,583 282,583	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series K-20 Energy Independence Series K-20	A - 1956 A - 1957
	Authority LOAN	12/1/15 12/1/15	65,455 65,455	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-10 Energy Independence Series L-10	A - 1958 A - 1959
	Authority LOAN	12/1/15 12/1/15	247,195 247,195	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-20 Energy Independence Series L-20	A - 1960 A - 1961
	Authority LOAN	1/4/16 1/4/16	286,839 286,839	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-20 Energy Independence Series A-20	A - 1962 A - 1963
	Authority LOAN	2/1/16 2/1/16	35,353 35,353	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-10 Energy Independence Series B-10	A - 1964 A - 1965
	Authority LOAN	2/1/16 2/1/16	311,514 311,514	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-20 Energy Independence Series B-20	A - 1966 A - 1967
	Authority LOAN	3/1/16 3/1/16	155,177 155,177	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-20 Energy Independence Series C-20	A - 1968 A - 1969
	Authority LOAN	4/1/16 4/1/16	20,652 20,652	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-10 Energy Independence Series D-10	A - 1970 A - 1971

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Sonoma cont.	Authority LOAN	4/1/16 4/1/16	181,798 181,798	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-20 Energy Independence Series D-20	A - 1972 A - 1973
	Authority LOAN	5/2/16 5/2/16	262,322 262,322	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series E-20 Energy Independence Series E-20	A - 1974 A - 1975
	Authority LOAN	6/1/16 6/1/16	188,451 188,451	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-20 Energy Independence Series F-20	A - 1976 A - 1977
	Authority LOAN	6/30/16 6/30/16	259,325 259,325	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-10 Energy Independence Series G-10	A - 1978 A - 1979
	Authority LOAN	6/30/16 6/30/16	132,004 132,004	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-20 Energy Independence Series G-20	A - 1980 A - 1981
	Authority LOAN	8/1/16 8/1/16	86,415 86,415	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-20 Energy Independence Series H-20	A - 1982 A - 1983
	Authority LOAN	8/1/16 8/1/16	2,817 2,817	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-10 Energy Independence Series H-10	A - 1984 A - 1985
	Authority LOAN	9/2/16 9/2/16	65,298 65,298	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-10 Energy Independence Series I-10	A - 1986 A - 1987
	Authority LOAN	9/2/16 9/2/16	217,667 217,667	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-20 Energy Independence Series I-20	A - 1988 A - 1989
	Authority LOAN	10/3/16 10/3/16	140,163 140,163	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-20 Energy Independence Series J-20	A - 1990 A - 1991
	Authority LOAN	11/1/16 11/1/16	85,599 85,599	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series K-10 Energy Independence Series K-10	A - 1992 A - 1993
	Authority LOAN	11/1/16 11/1/16	287,601 287,601	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series K-20 Energy Independence Series K-20	A - 1994 A - 1995
	Authority LOAN	12/1/16 12/1/16	52,375 52,375	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-10 Energy Independence Series L-10	A - 1996 A - 1997
	Authority LOAN	12/1/16 12/1/16	85,911 85,911	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-20 Energy Independence Series L-20	A - 1998 A - 1999
	Authority LOAN	1/3/17 1/3/17	30,632 30,632	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-10 Energy Independence Series A-10	A - 2000 A - 2001
	Authority LOAN	1/3/17 1/3/17	283,745 283,745	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-20 Energy Independence Series A-20	A - 2002 A - 2003
	Authority LOAN	2/1/17 2/1/17	86,204 86,204	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-10 Energy Independence Series B-10	A - 2004 A - 2005
	Authority LOAN	2/1/17 2/1/17	114,478 114,478	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-20 Energy Independence Series B-20	A - 2006 A - 2007
	Authority LOAN	3/1/17 3/1/17	7,107 7,107	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-20 Energy Independence Series C-20	A - 2008 A - 2009
	Authority LOAN	4/3/17 4/3/17	15,435 15,435	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-10 Energy Independence Series D-10	A - 2010 A - 2011

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Sonoma cont.	Authority LOAN	4/3/17 4/3/17	154,501 154,501	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-20 Energy Independence Series D-20	A - 2012 A - 2013
	Authority LOAN	5/1/17 5/1/17	147,357 147,357	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series E-20 Energy Independence Series E-20	A - 2014 A - 2015
	Authority LOAN	6/1/17 6/1/17	27,062 27,062	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-10 Energy Independence Series F-10	A - 2016 A - 2017
	Authority LOAN	6/1/17 6/1/17	175,800 175,800	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series F-20 Energy Independence Series F-20	A - 2018 A - 2019
	Authority LOAN	6/30/17 6/30/17	47,477 47,477	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series G-20 Energy Independence Series G-20	A - 2020 A - 2021
	Authority LOAN	8/1/17 8/1/17	101,587 101,587	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series H-20 Energy Independence Series H-20	A - 2022 A - 2023
	Authority LOAN	9/5/17 9/5/17	10,000 10,000	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-10 Energy Independence Series I-10	A - 2024 A - 2025
	Authority LOAN	9/5/17 9/5/17	519,002 519,002	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series I-20 Energy Independence Series I-20	A - 2026 A - 2027
	Authority LOAN	10/2/17 10/2/17	173,225 173,225	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-10 Energy Independence Series J-10	A - 2028 A - 2029
	Authority LOAN	10/2/17 10/2/17	68,796 68,796	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series J-20 Energy Independence Series J-20	A - 2030 A - 2031
	Authority LOAN	11/1/17 11/1/17	114,454 114,454	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series K-20 Energy Independence Series K-20	A - 2032 A - 2033
	Authority LOAN	12/1/17 12/1/17	95,167 95,167	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-10 Energy Independence Series L-10	A - 2034 A - 2035
	Authority LOAN	12/1/17 12/1/17	124,087 124,087	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series L-20 Energy Independence Series L-20	A - 2036 A - 2037
	Authority LOAN	1/2/18 1/2/18	114,009 114,009	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series A-20 Energy Independence Series A-20	A - 2038 A - 2039
	Authority LOAN	2/1/18 2/1/18	423,339 423,339	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series B-20 Energy Independence Series B-20	A - 2040 A - 2041
	Authority LOAN	3/2/18 3/2/18	20,437 20,437	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-10 Energy Independence Series C-10	A - 2042 A - 2043
	Authority LOAN	3/2/18 3/2/18	305,187 305,187	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series C-20 Energy Independence Series C-20	A - 2044 A - 2045
	Authority LOAN	4/2/18 4/2/18	83,798 83,798	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-10 Energy Independence Series D-10	A - 2046 A - 2047
	Authority LOAN	4/2/18 4/2/18	113,597 113,597	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series D-20 Energy Independence Series D-20	A - 2048 A - 2049
	Authority LOAN	5/1/18 5/1/18	207,250 207,250	Sonoma County Public Financing Authority Sonoma County	Energy Independence Series E-20 Energy Independence Series E-20	A - 2050 A - 2051

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<b>Sonoma cont.</b>						
	<b>Authority</b>	<b>6/1/18</b>	<b>18,712</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series F-10</b>	<b>A - 2052</b>
	LOAN	6/1/18	18,712	Sonoma County	Energy Independence Series F-10	A - 2053
	<b>Authority</b>	<b>6/1/18</b>	<b>28,357</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series F-20</b>	<b>A - 2054</b>
	LOAN	6/1/18	28,357	Sonoma County	Energy Independence Series F-20	A - 2055
	<b>Authority</b>	<b>6/29/18</b>	<b>94,551</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series G-10</b>	<b>A - 2056</b>
	LOAN	6/29/18	94,551	Sonoma County	Energy Independence Series G-10	A - 2057
	<b>Authority</b>	<b>6/29/18</b>	<b>96,006</b>	<b>Sonoma County Public Financing Authority</b>	<b>Energy Independence Series G-20</b>	<b>A - 2058</b>
	LOAN	6/29/18	96,006	Sonoma County	Energy Independence Series G-20	A - 2059
<b>Stanislaus</b>						
	<b>Authority</b>	<b>3/7/11</b>	<b>820,000</b>	<b>Oakdale Public Financing Authority - Final Report</b>	<b>Central City Hsg</b>	<b>A - 2060</b>
	LOAN	3/7/11	820,000	Oakdale Redevelopment Agency - Final Report	Central City Hsg	A - 2061
	<b>Authority</b>	<b>3/7/11</b>	<b>2,550,000</b>	<b>Oakdale Public Financing Authority - Final Report</b>	<b>Central City Non-Hsg</b>	<b>A - 2062</b>
	LOAN	3/7/11	2,550,000	Oakdale Redevelopment Agency - Final Report	Central City Non-Hsg	A - 2063
	<b>Authority</b>	<b>2/12/15</b>	<b>7,765,000</b>	<b>Oakdale Public Financing Authority</b>	<b>CFD Nos 2003-2, 2004-1 &amp; 2005-1 Bridle Ridge</b>	<b>A - 2064</b>
	Local Obligor	2/12/15	3,505,000	Oakdale CFD No 2003-2	Bridge Ridge	A - 2065
	Local Obligor	2/12/15	1,325,000	Oakdale CFD No 2004-1	Bridge Ridge Phase 2	A - 2066
	Local Obligor	2/12/15	2,935,000	Oakdale CFD No 2005-1	Bridge Ridge Phase 3	A - 2067
	<b>Authority</b>	<b>7/30/13</b>	<b>4,963,568</b>	<b>Patterson Public Financing Authority</b>	<b>Heartland Ranch ReAD</b>	<b>A - 2068</b>
	Local Obligor	8/7/02	6,989,926	Patterson	Heartland Ranch ReAD	A - 2069
	<b>Authority</b>	<b>8/14/13</b>	<b>73,655,000</b>	<b>Patterson Public Financing Authority</b>	<b>CFD No 2001-1 Series A-1, A-2 &amp; B</b>	<b>A - 2070</b>
	Local Obligor	8/14/13	69,554,521	West Patterson Financing Authority CFD No 2001-1	Series A-1, A-2 & B	A - 2071
	<b>Authority</b>	<b>8/31/05</b>	<b>945,000</b>	<b>Riverbank Public Financing Authority</b>	<b>AD Nos 1991-1 &amp; 1991-3</b>	<b>A - 2072</b>
	Local Obligor	7/11/91	1,605,000	Riverbank	AD No 1991-1	A - 2073
	Local Obligor	1/14/92	2,217,000	Riverbank - Retired	AD 1991-3	
	<b>Authority</b>	<b>6/17/14</b>	<b>24,040,000</b>	<b>Schools Infrastructure Financing Agency</b>	<b>CFD Nos 1994-1, 1997-1 &amp; 1998-1</b>	<b>A - 2074</b>
	Local Obligor	6/17/14	9,565,000	Schools Infrastructure Financing Agency CFD No 1994-1		A - 2075
	Local Obligor	6/17/14	9,005,000	Schools Infrastructure Financing Agency CFD No 1997-1		A - 2076
	Local Obligor	6/17/14	5,470,000	Schools Infrastructure Financing Agency CFD No 1998-1		A - 2077
	<b>Authority</b>	<b>6/7/17</b>	<b>22,405,000</b>	<b>Turlock Public Financing Authority</b>		<b>A - 2078</b>
	Local Obligor	6/7/17	22,405,000	Turlock		A - 2079
	<b>Authority</b>	<b>2/13/12</b>	<b>4,095,000</b>	<b>Waterford Public Financing Authority</b>		<b>A - 2080</b>
	Local Obligor	7/31/96	394,000	Waterford	ReAD 1991-1	A - 2082
	Local Obligor	7/31/96	156,000	Waterford	ReAD 1991-3	A - 2083
	Local Obligor	7/31/96	218,000	Waterford	ReAD 1992-2	A - 2084
	Local Obligor	7/31/96	944,000	Waterford - Retired	ReAD 1992-4	
	Local Obligor	7/31/96	509,000	Waterford	ReAD 1992-5	A - 2085
	Local Obligor	2/14/97	2,564,000	Waterford - Retired		
	Local Obligor	2/14/97	1,950,000	Waterford	ReAD No 1991-5	A - 2086
	Local Obligor	2/14/97	270,000	Waterford	ReAD No 1991-7	A - 2087
	Local Obligor	2/14/97	408,000	Waterford	ReAD No 1993-1	A - 2088
	LOAN	2/13/12	2,564,000	Waterford		A - 2089
	LOAN	2/14/97	670,000	Waterford Redevelopment Agency		A - 2090
<b>Tulare</b>						
	<b>Authority</b>	<b>2/27/18</b>	<b>26,675,000</b>	<b>Tulare Public Financing Authority</b>		<b>A - 2091</b>
	Local Obligor	2/27/18	26,675,000	Tulare		A - 2092

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Ventura	<b>Authority</b>	<b>12/13/06</b>	<b>20,530,000</b>	<b>Oxnard Financing Authority</b>	<b>HERO, Ormond Beach &amp; Southwinds</b>	<b>A - 2093</b>	
	Local Obligor	12/13/06	11,490,000	Oxnard Community Development Commission	Historic Enhancement & Revitalization Area (HERO)	A - 2094	
	Local Obligor	12/13/06	5,750,000	Oxnard Community Development Commission	Ormond Beach Area	A - 2095	
	Local Obligor	12/13/06	3,290,000	Oxnard Community Development Commission	Southwinds Area	A - 2096	
	<b>Authority</b>	<b>7/31/12</b>	<b>19,505,000</b>	<b>Oxnard Financing Authority</b>	<b>CFD Nos 1 &amp; 2000-3 Senior Lien Series A</b>	<b>A - 2097</b>	
	<b>Authority</b>	<b>7/31/12</b>	<b>9,060,000</b>	<b>Oxnard Financing Authority</b>	<b>Sub Lien Bonds Series B</b>	<b>A - 2098</b>	
	Local Obligor	7/31/12	8,750,000	Oxnard CFD No 1	Westport at Mandalay Bay	A - 2099	
	Local Obligor	7/31/12	7,980,000	Oxnard CFD No 2000-3	Oxnard Boulevard/Highway 101 Interchange	A - 2100	
	Local Obligor	7/31/12	11,835,000	Oxnard	AD No 2001-1 Rice Ave/Hwy 101 Interchange	A - 2101	
	<b>Authority</b>	<b>4/12/18</b>	<b>28,810,000</b>	<b>Oxnard Financing Authority</b>	<b>Police Station &amp; Annex</b>	<b>A - 2102</b>	
	Local Obligor	4/12/18	28,810,000	Oxnard	Police Station & Annex	A - 2103	
	<b>Authority</b>	<b>6/27/07</b>	<b>95,675,000</b>	<b>Simi Valley School Financing Authority - Final Report</b>	<b>Simi Valley Unified School District</b>	<b>A - 2104</b>	
	Local Obligor	6/27/07	93,036,667	Simi Valley Unified School District - Final Report		A - 2105	
	Yolo	<b>Authority</b>	<b>8/26/09</b>	<b>9,570,000</b>	<b>Davis Public Facilities Financing Authority</b>	<b>CFD Nos 1990-1, 1990-2, 1990-3, 1990-4 &amp; 1990-5</b>	<b>A - 2106</b>
		Local Obligor	8/26/09	620,531	Davis CFD No 1990-1	East Davis Area	A - 2107
Local Obligor		8/26/09	3,441,122	Davis CFD No 1990-2	E Davis-Mace Ranch Area	A - 2108	
Local Obligor		8/26/09	2,063,494	Davis CFD No 1990-3	North Central Davis	A - 2109	
Local Obligor		8/26/09	2,942,077	Davis CFD No 1990-4	South Davis Area	A - 2110	
Local Obligor		8/26/09	502,777	Davis CFD No 1990-5	West Davis Area	A - 2111	
<b>Authority</b>		<b>5/23/06</b>	<b>53,340,000</b>	<b>West Sacramento Financing Authority</b>	<b>CFD Nos 10, 11, 15, 16, 18 &amp; 19 Series A &amp; Sub Series B</b>	<b>A - 2112</b>	
Local Obligor		5/23/06	24,330,379	West Sacramento CFD No 10	Bridgeway Island	A - 2113	
Local Obligor		5/23/06	4,427,762	West Sacramento CFD No 11	Southport Gateway	A - 2114	
Local Obligor		5/23/06	1,960,220	West Sacramento CFD No 15	Pheasant Hollow	A - 2115	
Local Obligor		5/23/06	14,263,376	West Sacramento CFD No 16	Bridgeway Lakes	A - 2116	
Local Obligor		5/23/06	828,722	West Sacramento CFD No 18	Southport Gateway Unit 4	A - 2117	
Local Obligor		5/23/06	2,780,803	West Sacramento CFD No 19	River Ranch	A - 2118	
<b>Authority</b>		<b>7/17/12</b>	<b>6,585,000</b>	<b>West Sacramento Financing Authority</b>	<b>CFD Nos 14 &amp; 17</b>	<b>A - 2119</b>	
Local Obligor		7/17/12	3,855,000	West Sacramento CFD No 14	Newport Estates	A - 2120	
Local Obligor		7/17/12	2,545,333	West Sacramento CFD No 17	Parella	A - 2121	
<b>Authority</b>		<b>2/5/14</b>	<b>34,970,000</b>	<b>West Sacramento Financing Authority</b>	<b>CFD Nos 8 &amp; 9</b>	<b>A - 2122</b>	
Local Obligor		2/5/14	34,800,000	West Sacramento CFD No 8	Southport Indus	A - 2123	
Local Obligor		2/5/14	170,000	West Sacramento CFD No 9	Staples	A - 2124	
<b>Authority</b>		<b>2/17/16</b>	<b>12,325,000</b>	<b>West Sacramento Financing Authority</b>	<b>CFD Nos 17, 21, 23 &amp; 24 Sr Series A &amp; Sub Series B</b>	<b>A - 2125</b>	
Local Obligor		2/17/16	2,190,000	West Sacramento CFD No 17	Parella	A - 2126	
Local Obligor		2/17/16	2,800,000	West Sacramento CFD No 21	Parlin Ranch	A - 2127	
Local Obligor		2/17/16	6,180,000	West Sacramento CFD No 23	Triangle Area	A - 2128	
Local Obligor		2/17/16	1,155,000	West Sacramento CFD No 24	Bridgeway Lakes North	A - 2129	
<b>Authority</b>		<b>10/25/17</b>	<b>14,875,000</b>	<b>West Sacramento Financing Authority</b>	<b>Water</b>	<b>A - 2130</b>	
Local Obligor		10/25/17	14,875,000	West Sacramento		A - 2131	
<b>Authority</b>		<b>10/25/17</b>	<b>9,010,000</b>	<b>West Sacramento Financing Authority</b>	<b>Wastewater</b>	<b>A - 2132</b>	
Local Obligor	10/25/17	9,010,000	West Sacramento		A - 2133		
<b>Authority</b>	<b>7/19/17</b>	<b>17,165,000</b>	<b>Yolo County Public Agencies Financing Authority</b>	<b>Law Enforcement, Public Safety &amp; Health Bldgs Series A</b>	<b>A - 2134</b>		
Local Obligor	7/19/17	17,165,000	Yolo County	Facilities Lease Agreement w/Yolo Co PFA	A - 2135		
Multiple	<b>Authority</b>	<b>8/20/14</b>	<b>31,805,000</b>	<b>ABAG Finance Authority for Nonprofit Corporations</b>	<b>Windemere Ranch Series A</b>	<b>A - 2136</b>	
	Local Obligor	6/8/07	30,235,000	ABAG Finance Authority for Nonprofit Corporations CFD No 2004-	Windemere Ranch Series A	A - 2137	
	Local Obligor	6/8/07	15,000,000	ABAG Finance Authority for Nonprofit Corporations CFD No 2004-	Windemere Ranch Series B	A - 2138	



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<b>Multiple Cont.</b>	<b>Authority</b>	<b>6/8/17</b>	<b>47,425,000</b>	<b>ABAG Finance Authority for Nonprofit Corporations</b>	<b>Windemere Ranch Series A</b>	<b>A - 2139</b>
	<b>Authority</b>	<b>6/8/17</b>	<b>12,460,000</b>	<b>ABAG Finance Authority for Nonprofit Corporations</b>	<b>Windemere Ranch Series B</b>	<b>A - 2140</b>
	Local Obligor	6/8/07	104,520,000	Association of Bay Area Governments	Windemere Ranch ReAD No 1999-1	A - 2141
	<b>Authority</b>	<b>4/22/98</b>	<b>23,025,000</b>	<b>Association of Bay Area Governments</b>	<b>Coastside Co WD, Fort Bragg, Rialto, San Bernardino MWD, Stinson Beach Co WD &amp; W San Bernardino Co WD</b>	<b>A - 2143</b>
	Local Obligor	4/22/98	1,140,000	Stinson Beach County Water District - Retired	Series A	
	Local Obligor	4/13/98	770,000	Fort Bragg	Series A	A - 2144
	Local Obligor	4/22/98	7,220,000	Rialto - Retired	Series A	
	Local Obligor	4/22/98	8,610,000	San Bernardino Municipal Water - Retired	Series A	
	Local Obligor	4/22/98	2,430,000	West San Bernardino County Water District - Retired	Series A	
	Local Obligor	4/22/98	2,855,000	Coastside County Water District - Retired	Series A	
	<b>Authority</b>	<b>7/20/01</b>	<b>15,110,000</b>	<b>Association of Bay Area Governments</b>	<b>Antioch, Cypress, Half Moon Bay &amp; Marysville Series A</b>	<b>A - 2145</b>
	LOAN	7/20/01	2,725,000	Marysville	Series A	A - 2146
	LOAN	7/20/01	6,300,000	Antioch - Retired	Series A	
	LOAN	7/20/01	5,505,000	Cypress	Series A	A - 2147
	LOAN	7/20/01	580,000	Half Moon Bay - Retired	Series A	
	<b>Authority</b>	<b>12/20/01</b>	<b>14,355,000</b>	<b>Association of Bay Area Governments</b>	<b>Grass Valley, Hayward, Solana Beach &amp; S Lake Tahoe</b>	<b>A - 2148</b>
	LOAN	12/20/01	7,475,000	Hayward	Series 2001-2	A - 2149
	LOAN	12/20/01	2,005,000	Grass Valley - Failed to Report	Series 2001-2	
	LOAN	12/20/01	1,410,000	South Lake Tahoe - Retired	Series 2001-2	
	LOAN	12/1/01	3,465,000	Solana Beach - Retired	Series 2001-2	
	<b>Authority</b>	<b>12/1/04</b>	<b>8,875,000</b>	<b>Association of Bay Area Governments</b>	<b>Lakeport &amp; W Sacramento RDAs Series B</b>	<b>A - 2150</b>
	Local Obligor	12/1/04	1,170,000	Lakeport Redevelopment Agency	Series B	A - 2151
	Local Obligor	12/1/04	7,705,000	West Sacramento Redevelopment Agency	Series B	A - 2152
	<b>Authority</b>	<b>3/29/06</b>	<b>9,605,000</b>	<b>Association of Bay Area Governments</b>	<b>Vacaville RDA &amp; Glendora CRDA</b>	<b>A - 2153</b>
	Local Obligor	3/29/06	2,660,000	Vacaville Redevelopment Agency - Retired	I-505/80	
	Local Obligor	3/29/06	6,945,000	Glendora Community Redevelopment Agency	Area No One	A - 2154
	<b>Authority</b>	<b>11/27/07</b>	<b>38,835,000</b>	<b>Association of Bay Area Governments - Final Report</b>	<b>Ojai, W Sacramento, San Carlos RDAs Series A</b>	<b>A - 2155</b>
	Local Obligor	11/27/07	22,830,000	West Sacramento Redevelopment Agency - Final Report	Series A	A - 2156
	Local Obligor	11/27/07	3,130,000	Ojai Redevelopment Agency - Retired	Downtown Series A	
	Local Obligor	11/27/07	12,875,000	San Carlos Redevelopment Agency - Final Report	Series A	A - 2157
	<b>Authority</b>	<b>11/27/07</b>	<b>16,590,000</b>	<b>Association of Bay Area Governments</b>	<b>San Carlos &amp; W Sacramento RDAs Series B</b>	<b>A - 2158</b>
	Local Obligor	11/27/07	13,455,000	West Sacramento Redevelopment Agency	Series B	A - 2159
	Local Obligor	11/27/07	3,135,000	San Carlos Redevelopment Agency	Series B	A - 2160
	<b>Authority</b>	<b>5/21/03</b>	<b>3,250,000</b>	<b>California Community College Financing Authority</b>	<b>Feather River CCD Series A</b>	<b>A - 2161</b>
	LOAN	5/21/03	3,250,000	Feather River Community College District	Student Housing Facility	A - 2162
	<b>Authority</b>	<b>2/15/18</b>	<b>7,370,000</b>	<b>California Community College Financing Authority</b>	<b>Marin Comm College Dist Series A</b>	<b>A - 2163</b>
	LOAN	2/15/18	7,370,000	Marin Community College District	Series A	A - 2164
	<b>Authority</b>	<b>5/6/10</b>	<b>25,000,000</b>	<b>California Qualified School Bond Joint Powers Authority</b>	<b>San Leandro USD, 2006 Measure B, Qualified School Construc</b>	<b>A - 2165</b>
	Local Obligor	5/6/10	18,327,344	San Leandro Unified School District	Series D, 2006 Measure B, Qualified School Construction	A - 2166
	<b>Authority</b>	<b>5/26/10</b>	<b>25,000,000</b>	<b>California Qualified School Bond Joint Powers Authority</b>	<b>Palm Springs USD, Qualified School Construction</b>	<b>A - 2167</b>
	Local Obligor	5/26/10	19,539,035	Palm Springs Unified School District	Measure E Series A, Qualified School Construction	A - 2168
	<b>Authority</b>	<b>7/9/10</b>	<b>25,000,000</b>	<b>California Qualified School Bond Joint Powers Authority</b>	<b>Antioch USD Series C-1, Qualified School Construction</b>	<b>A - 2169</b>
	Local Obligor	7/9/10	20,582,056	Antioch Unified School District	ID No 1 Series C-1, Qualified School Construction	A - 2170
	<b>Authority</b>	<b>5/25/11</b>	<b>18,000,000</b>	<b>California Qualified School Bond Joint Powers Authority</b>	<b>Cajon Valley USD, Qualified School Construction Bonds</b>	<b>A - 2171</b>
	Local Obligor	5/10/11	13,093,060	Cajon Valley Union School District	Series B, California Qualified School Construction Bond	A - 2172

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<b>Multiple cont.</b>	<b>Authority</b>	<b>6/22/11</b>	<b>14,900,000</b>	<b>California Qualified School Bond Joint Powers Authority</b>	<b>John Swett USD, Qualified School Construction Bonds</b>	<b>A - 2173</b>
	Local Obligor	6/22/11	11,963,755	John Swett Unified School District	Series B, Qualified School Construction Bonds	A - 2174
	<b>Authority</b>	<b>7/7/11</b>	<b>25,000,000</b>	<b>California Qualified School Bond Joint Powers Authority</b>	<b>East Side UnHSD, Qualified School Construction Bonds</b>	<b>A - 2175</b>
	Local Obligor	7/7/11	20,026,088	East Side Union High School District	Qualified School Construction Bonds, Series C	A - 2176
	<b>Authority</b>	<b>6/15/04</b>	<b>197,084,195</b>	<b>California Statewide Communities Development Authority</b>	<b>Monterey Pk, Daly city, Butte Co, Merced, Riverside, Millbrae &amp; King Co Pension Obligation Series A-1 &amp; A-2</b>	<b>A - 2177</b>
	Local Obligor	6/15/04	17,405,000	Monterey Park	Pension Obligation Series A-1	A - 2178
	Local Obligor	6/15/04	36,235,000	Daly City	Pension Obligation Series A-1	A - 2179
	Local Obligor	6/15/04	28,020,000	Butte County	Pension Obligation Series A-1	A - 2180
	Local Obligor	6/15/04	7,355,000	Merced	Pension Obligation Series A-1	A - 2181
	Local Obligor	6/15/04	89,540,000	Riverside	Riverside	A - 2182
	Local Obligor	6/15/04	11,521,630	Millbrae	Pension Obligation Series A-2	A - 2183
	Local Obligor	6/15/04	7,007,565	Kings County	Pension Obligation Series A-2	A - 2184
	<b>Authority</b>	<b>11/24/04</b>	<b>3,590,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Contra Costa/Napa/Placer &amp; Sacramento Counties AD No 04-0</b>	<b>A - 2185</b>
	Local Obligor	11/24/04	447,353	California Statewide Communities Development Authority	Contra Costa County AD No 04-01	A - 2186
	Local Obligor	11/24/04	352,496	California Statewide Communities Development Authority	Sacramento County AD No 04-01	A - 2187
	Local Obligor	11/24/04	1,580,473	California Statewide Communities Development Authority	Placer County AD No 04-01	A - 2188
	Local Obligor	11/24/04	1,209,677	California Statewide Communities Development Authority	Napa County AD No 04-01	A - 2189
	<b>Authority</b>	<b>6/15/05</b>	<b>20,635,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Downey Series A</b>	<b>A - 2190</b>
	Local Obligor	6/15/05	20,635,000	Downey		A - 2191
	<b>Authority</b>	<b>6/15/06</b>	<b>62,813,647</b>	<b>California Statewide Communities Development Authority</b>	<b>Auburn, Benicia, Novato, Pacific Grove &amp; Pinole Series A-1 &amp; 2</b>	<b>A - 2192</b>
	Local Obligor	6/15/06	4,965,000	Auburn - Retired		
	Local Obligor	6/15/06	13,972,596	Benicia		A - 2193
	Local Obligor	6/15/06	18,296,066	Novato		A - 2194
	Local Obligor	6/15/06	19,365,355	Pacific Grove	Series A	A - 2195
	Local Obligor	6/15/06	6,214,630	Pinole		A - 2196
	<b>Authority</b>	<b>4/4/07</b>	<b>87,475,699</b>	<b>California Statewide Communities Development Authority</b>	<b>Baldwin Pk, Marina, Oroville, Palm Springs, Paradise, Port Hueneme, San Marino, Seaside &amp; Yuba City Series A-1 &amp; 2</b>	<b>A - 2197</b>
	Local Obligor	4/4/07	12,810,000	Baldwin Park	Series A-1	A - 2199
	Local Obligor	4/4/07	4,315,000	Marina	Series A-1	A - 2200
	Local Obligor	4/4/07	7,260,000	Oroville	Series A-1	A - 2201
	Local Obligor	4/4/07	19,832,588	Palm Springs	Series A-1 & A-2	A - 2202
	Local Obligor	4/4/07	10,918,154	Paradise	Series A-2	A - 2203
	Local Obligor	4/4/07	10,679,956	Port Hueneme	Series A-1 & A-2	A - 2204
	Local Obligor	4/4/07	7,095,000	San Marino	Series A-1	A - 2205
	Local Obligor	4/4/07	6,880,000	Seaside	Series A-1	A - 2206
	Local Obligor	4/4/07	7,685,000	Yuba City - Retired	Series A-1	
	<b>Authority</b>	<b>1/6/10</b>	<b>17,650,000</b>	<b>California Statewide Communities Development Authority</b>	<b>San Ramon Series A</b>	<b>A - 2207</b>
	Local Obligor	1/6/10	17,650,000	San Ramon	Series A	A - 2208
	<b>Authority</b>	<b>9/15/11</b>	<b>9,190,000</b>	<b>California Statewide Communities Development Authority</b>	<b>El Dorado, Sutter &amp; San Joaquin Counties Series A</b>	<b>A - 2209</b>
	Local Obligor	9/15/11	384,762	California Statewide Communities Development Authority	Sutter Co AD No 11-01	A - 2210
	Local Obligor	9/15/11	8,307,426	California Statewide Communities Development Authority	San Joaquin Co AD No 11-01	A - 2211
	Local Obligor	9/15/11	497,812	California Statewide Communities Development Authority - Retirec	El Dorado Co AD No 11-01	
	<b>Authority</b>	<b>12/6/12</b>	<b>21,030,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Bakersfield Consolidated ReAD No 12-1</b>	<b>A - 2212</b>
	Local Obligor	12/6/12	21,030,000	Bakersfield	Consolidated ReAD No 12-1	A - 2213

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<b>Multiple cont.</b>	<b>Authority</b>	<b>2/13/13</b>	<b>6,055,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Pleasant Hill Downtown CFD No 1, Series A</b>	<b>A - 2214</b>
	Local Obligor	2/13/13	6,055,000	Pleasant Hill Downtown CFD No 1	Series A	A - 2215
	<b>Authority</b>	<b>8/29/13</b>	<b>14,402,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Infrastructure Series A</b>	<b>A - 2216</b>
	Local Obligor	10/10/03	192,561	California Statewide Communities Development Authority	Development Impact Fees Ventura Co AD No 03-01	A - 2218
	Local Obligor	10/10/03	1,005,338	California Statewide Communities Development Authority	Development Impact Fees Placer Co AD No 03-01	A - 2219
	Local Obligor	10/10/03	1,863,488	California Statewide Communities Development Authority	Development Impact Fees San Mateo Co AD No 03-01	A - 2220
	Local Obligor	10/10/03	3,208,613	California Statewide Communities Development Authority	Development Impact Fees Contra Costa Co AD No 03-01	A - 2221
	Local Obligor	7/21/05	3,786,985	California Statewide Communities Development Authority	Los Trancos Woods San Mateo Co AD No 05-01	A - 2222
	Local Obligor	7/21/05	709,728	California Statewide Communities Development Authority	Beltway II & Laguna 5 Sacramento Co AD No 05-01	A - 2223
	Local Obligor	7/21/05	3,174,563	California Statewide Communities Development Authority	Rocklin Hwy 65, Blue Oaks Town Ctr, John Mourier/Parkside Estates Placer Co AD No 05-01	A - 2224
	Local Obligor	7/21/05	992,136	California Statewide Communities Development Authority	Napa Co AD No 05-01	A - 2225
	Local Obligor	7/21/05	1,981,589	California Statewide Communities Development Authority	Sand Creek, Brentwood Asst Living/Medical & B & M Property Contra Costa Co AD No 05-01	A - 2226
	<b>Authority</b>	<b>4/22/14</b>	<b>4,680,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Placer &amp; San Joaquin SCIP AD 14-01</b>	<b>A - 2227</b>
	Local Obligor	4/22/14	1,855,000	California Statewide Communities Development Authority	San Joaquin Co AD No 14-01	A - 2228
	Local Obligor	4/22/14	2,825,000	California Statewide Communities Development Authority	Placer Co AD No 14-01	A - 2229
	<b>Authority</b>	<b>11/5/14</b>	<b>11,015,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Statewide Comm Infrastructure</b>	<b>A - 2230</b>
	Local Obligor	11/5/14	11,015,000	California Statewide Communities Development Authority	AD No 14-01 Yucaipa Vly WD	A - 2231
	<b>Authority</b>	<b>1/14/15</b>	<b>10,605,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Placer, San Joaquin &amp; San Diego Counties ADs 14-02; San Diego Co AD 14-03 Series B</b>	<b>A - 2232</b>
	Local Obligor	1/14/15	4,300,000	California Statewide Communities Development Authority	Placer Co AD No 14-02	A - 2233
	Local Obligor	1/14/15	4,390,000	California Statewide Communities Development Authority	San Diego Co AD No 14-03	A - 2234
	Local Obligor	1/14/15	500,000	California Statewide Communities Development Authority	San Diego Co AD No 14-02	A - 2235
	Local Obligor	1/14/15	1,415,000	California Statewide Communities Development Authority	San Joaquin Co AD No 14-02	A - 2236
	<b>Authority</b>	<b>6/11/15</b>	<b>29,195,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Series R 1</b>	<b>A - 2237</b>
	Local Obligor	11/7/07	390,487	California Statewide Communities Development Authority	Estepa, El Dorado Co AD No 07-02	A - 2239
	Local Obligor	11/7/07	4,910,149	California Statewide Communities Development Authority	Citadel Dental, Vineyard Pointe & Coastal/KMS Commerce & Corp Ctr, Placer Co AD No 07-02	A - 2240
	Local Obligor	11/7/07	1,447,538	California Statewide Communities Development Authority	Parker Indus Ctr & Venture Commerce Ctr Elk Grove, Sacramento	A - 2241
	Local Obligor	11/7/07	1,131,679	California Statewide Communities Development Authority	Otay Mesa, San Diego Co AD No 07-02	A - 2242
	Local Obligor	11/7/07	2,580,146	California Statewide Communities Development Authority	Pulgas Ave, San Mateo Co AD No 07-02	A - 2243
	Local Obligor	6/19/08	1,104,031	California Statewide Communities Development Authority	Alameda Co AD No 08-01A	A - 2244
	Local Obligor	6/19/08	6,519,038	California Statewide Communities Development Authority	Contra Costa Co AD No 08-01	A - 2245
	Local Obligor	6/19/08	1,136,758	California Statewide Communities Development Authority	El Dorado Co AD No 08-01	A - 2246
	Local Obligor	6/19/08	465,856	California Statewide Communities Development Authority	Napa Co AD No 08-01	A - 2247
	Local Obligor	6/19/08	5,977,848	California Statewide Communities Development Authority	Placer Co AD No 08-01	A - 2248
	Local Obligor	6/19/08	2,349,262	California Statewide Communities Development Authority	Sacramento Co AD No 08-01	A - 2249
	Local Obligor	6/19/08	4,252,207	California Statewide Communities Development Authority	Yolo Co AD No 08-01	A - 2250
	Local Obligor	6/10/10	2,058,889	California Statewide Communities Development Authority	Rocklin Industrial Park, Placer Co AD No 10-01	A - 2251
	Local Obligor	6/10/10	1,003,012	California Statewide Communities Development Authority	Hampton Inn & Suites, Sacramento Co AD No 10-01	A - 2252
	Local Obligor	6/10/10	1,924,018	California Statewide Communities Development Authority - Retirec	Frank-Lin Distillers & Fairfield, Solano Co AD No 10-01	
	Local Obligor	6/10/10	247,592	California Statewide Communities Development Authority	Candlewood Suites, Stanislaus Co AD No 10-01	A - 2253
	Local Obligor	6/10/10	946,489	California Statewide Communities Development Authority	Jefferson Plaza & Fitness System, Yolo Co AD No 10-01	A - 2254
	<b>Authority</b>	<b>9/10/15</b>	<b>5,320,000</b>	<b>California Statewide Communities Development Authority</b>	<b>SCIP Program Series A</b>	<b>A - 2255</b>
	Local Obligor	9/10/15	1,845,000	California Statewide Communities Development Authority	San Joaquin Orchard Pk III AD No 15-01	A - 2256
	Local Obligor	9/10/15	1,370,000	California Statewide Communities Development Authority	San Joaquin Wildwood AD No 14-03	A - 2257
	Local Obligor	9/10/15	690,000	California Statewide Communities Development Authority	Riverside Arrive Palm Springs AD No 15-01	A - 2258
	Local Obligor	9/10/15	1,415,000	California Statewide Communities Development Authority	Contra Costa Bella Fiore AD No 15-01	A - 2259
	<b>Authority</b>	<b>12/15/15</b>	<b>15,305,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Emerson Ranch</b>	<b>A - 2260</b>
	Local Obligor	12/15/15	15,305,000	California Statewide Communities Development Authority	AD No 14-01 Contra Costa County	A - 2261

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	<b>Authority</b>	<b>5/4/16</b>	<b>10,090,000</b>	<b>California Statewide Communities Development Authority</b>	<b>AD 16-01 Contra Costa, LA, San Joaquin &amp; El Dorado Co, 16-02 San Joaquin &amp; 15-01 Placer Co Series A</b>	<b>A - 2262</b>
	Local Obligor	5/4/16	1,280,000	California Statewide Communities Development Authority	AD No 15-01 Placer County	A - 2263
	Local Obligor	5/4/16	925,000	California Statewide Communities Development Authority	AD No 16-01 Los Angeles County	A - 2264
	Local Obligor	5/4/16	3,200,000	California Statewide Communities Development Authority	AD No 16-01 Contra Costa County	A - 2265
	Local Obligor	5/4/16	1,240,000	California Statewide Communities Development Authority	AD No 16-01 San Joaquin County	A - 2266
	Local Obligor	5/4/16	1,340,000	California Statewide Communities Development Authority	AD No 16-01 El Dorado County	A - 2267
	Local Obligor	5/4/16	2,105,000	California Statewide Communities Development Authority	AD No 16-02 San Joaquin County	A - 2268
	<b>Authority</b>	<b>2/16/17</b>	<b>40,085,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Monterey County GO Saver Bond Program</b>	<b>A - 2269</b>
	Local Obligor	2/16/17	2,500,000	Chualar Union Elementary School District	Series A & B	A - 2270
	Local Obligor	2/16/17	7,545,000	Santa Rita Union School District	CSCDA Monterey Co Local Obligation)	A - 2271
	Local Obligor	2/16/17	245,000	Mission Union Elementary School District	CSCDA Monterey Co Local Obligation)	A - 2272
	Local Obligor	2/16/17	7,255,000	Alisal Union School District	CSCDA Monterey Co Local Obligation)	A - 2273
	Local Obligor	2/16/17	22,540,000	Salinas Union High School District	CSCDA Monterey Co Local Obligation)	A - 2274
	<b>Authority</b>	<b>10/6/16</b>	<b>11,040,000</b>	<b>California Statewide Communities Development Authority</b>	<b>AD No. 16-03 San Joaquin, San Diego, Solano, &amp; Placer Co &amp; AD No. 16-02 Placer Co, &amp; AD No. 16-01 Placer Co Series B</b>	<b>A - 2275</b>
	Local Obligor	10/6/16	4,505,000	California Statewide Communities Development Authority	AD No. 16-03 San Joaquin Co	A - 2276
	Local Obligor	10/6/16	2,655,000	California Statewide Communities Development Authority	AD No. 16-01 San Diego Co	A - 2277
	Local Obligor	10/6/16	1,340,000	California Statewide Communities Development Authority	AD No. 16-01 Solano Co	A - 2278
	Local Obligor	10/6/16	685,000	California Statewide Communities Development Authority	AD No. 16-03 Placer Co	A - 2279
	Local Obligor	10/6/16	715,000	California Statewide Communities Development Authority	AD No. 16-02 Placer Co	A - 2280
	Local Obligor	10/6/16	1,140,000	California Statewide Communities Development Authority	AD No. 16-01 Placer Co	A - 2281
	<b>Authority</b>	<b>4/19/17</b>	<b>11,715,000</b>	<b>California Statewide Communities Development Authority</b>	<b>ADs 16-02 Hercules, 17-01 San Diego, Elk Grove, W Sac, Rocklin &amp; Brentwood SCIP Series A</b>	<b>A - 2282</b>
	Local Obligor	4/19/17	3,415,000	California Statewide Communities Development Authority	AD No 16-02 Hercules	A - 2283
	Local Obligor	4/19/17	1,080,000	California Statewide Communities Development Authority	AD No 17-01 San Diego	A - 2284
	Local Obligor	4/19/17	1,435,000	California Statewide Communities Development Authority	AD No 17-01 Elk Grove	A - 2285
	Local Obligor	4/19/17	2,405,000	California Statewide Communities Development Authority	AD No 17-01 Rocklin	A - 2286
	Local Obligor	4/19/17	730,000	California Statewide Communities Development Authority	AD No 17-02 West Sacramento	A - 2287
	Local Obligor	4/19/17	1,995,000	California Statewide Communities Development Authority	AD No 17-01 West Sacramento	A - 2288
	Local Obligor	4/19/17	655,000	California Statewide Communities Development Authority	AD No 17-01 Brentwood	A - 2289
	<b>Authority</b>	<b>1/26/17</b>	<b>35,953,593</b>	<b>California Statewide Communities Development Authority</b>	<b>SCIP Series R1</b>	<b>A - 2290</b>
	Local Obligor	10/19/06	12,240,000	California Statewide Communities Development Authority	Oaks Business Pk, Alameda Co AD No 06-01	A - 2292
	Local Obligor	10/19/06	912,411	California Statewide Communities Development Authority	Parkside Villas, Contra Costa Co AD No 06-01	A - 2293
	Local Obligor	10/19/06	1,661,672	California Statewide Communities Development Authority	Washington Lofts, Pleasant Grove Mkt PI Phase II, Lonetree Prof Ctr, Placer Co AD No 06-01	A - 2294
	Local Obligor	10/19/06	1,682,733	California Statewide Communities Development Authority	Sheldon Crossing & Oaks Shp Ctr, Harbour Pt, Hampton Inn & Stes, Sacramento Co AD No 06-01	A - 2295
	Local Obligor	10/19/06	2,518,184	California Statewide Communities Development Authority	Cummings Pk-Lofts/Townhomes & Pk Broadway, San Mateo Co A	A - 2296
	Local Obligor	5/17/07	6,193,404	California Statewide Communities Development Authority	Sonoma County AD No 07-01	A - 2297
	Local Obligor	5/17/07	689,153	California Statewide Communities Development Authority - Retirec	Sacramento County AD No 07-01	
	Local Obligor	5/17/07	1,250,830	California Statewide Communities Development Authority	Contra Costa County AD No 07-01	A - 2298
	Local Obligor	5/17/07	3,993,756	California Statewide Communities Development Authority	Placer County AD No 07-01	A - 2299
	Local Obligor	5/17/07	12,281,426	California Statewide Communities Development Authority	El Dorado County AD No 07-01	A - 2300
	Local Obligor	5/17/07	1,136,431	California Statewide Communities Development Authority	Alameda County AD No 07-01	A - 2301

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	<b>Authority</b>	<b>10/3/17</b>	<b>19,915,000</b>	<b>California Statewide Communities Development Authority</b>	<b>SCIP Placer Co &amp; Manteca, San Diego &amp; Lincoln ADs Series B</b>	<b>A - 2302</b>
	Local Obligor	10/3/17	895,000	California Statewide Communities Development Authority	Manteca AD No 17-01	<b>A - 2303</b>
	Local Obligor	10/3/17	2,375,000	California Statewide Communities Development Authority	Manteca AD No 17-02	<b>A - 2304</b>
	Local Obligor	10/3/17	3,245,000	California Statewide Communities Development Authority	San Diego AD No 17-02	<b>A - 2305</b>
	Local Obligor	10/3/17	7,660,000	California Statewide Communities Development Authority	San Diego AD No 17-03	<b>A - 2306</b>
	Local Obligor	10/3/17	1,240,000	California Statewide Communities Development Authority	San Diego AD No 17-04	<b>A - 2307</b>
	Local Obligor	10/3/17	1,765,000	California Statewide Communities Development Authority	Lincoln AD No 17-06	<b>A - 2308</b>
	Local Obligor	10/3/17	1,490,000	California Statewide Communities Development Authority	Placer County AD No 17-07	<b>A - 2309</b>
	Local Obligor	10/3/17	1,245,000	California Statewide Communities Development Authority	Placer County AD No 17-02	<b>A - 2310</b>
	<b>Authority</b>	<b>12/7/17</b>	<b>10,470,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Brentwood &amp; Sac AD No 17-02, Manteca AD No 17-04, Santa Rosa AD No 16-02 &amp; Sonoma Co AD No 16-03 Series C</b>	<b>A - 2311</b>
	Local Obligor	12/7/17	2,000,000	California Statewide Communities Development Authority	Sonoma County AD No 16-03 Paseo Vista	<b>A - 2312</b>
	Local Obligor	12/7/17	675,000	California Statewide Communities Development Authority	AD No 17-04 Manteca Silva Estates	<b>A - 2313</b>
	Local Obligor	12/7/17	505,000	California Statewide Communities Development Authority	AD No 17-03 Sacramento City Calistoga	<b>A - 2314</b>
	Local Obligor	12/7/17	715,000	California Statewide Communities Development Authority	AD No 17-02 Sacramento County Elverta Park	<b>A - 2315</b>
	Local Obligor	12/7/17	4,005,000	California Statewide Communities Development Authority	AD No 16-02 Santa Rosa Kawana Meadows	<b>A - 2316</b>
	Local Obligor	12/7/17	2,570,000	California Statewide Communities Development Authority	AD No 17-02 Brentwood Sellers Avenue	<b>A - 2317</b>
	<b>Authority</b>	<b>5/8/18</b>	<b>3,330,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Claremont TRIP Series A</b>	<b>A - 2318</b>
	Local Obligor	5/8/18	3,330,000	Claremont - Failed to Report		
	<b>Authority</b>	<b>5/16/18</b>	<b>23,960,000</b>	<b>California Statewide Communities Development Authority</b>	<b>Statewide Comm Infrastructure Series A</b>	<b>A - 2319</b>
	Local Obligor	5/16/18	1,740,000	California Statewide Communities Development Authority	AD No 18-01 Contra Costa County-Brentwood-Sellers Pointe (Phase II)	<b>A - 2321</b>
	Local Obligor	5/16/18	1,586,000	California Statewide Communities Development Authority	AD No 18-02 Contra Costa County-Brentwood-Mission Park	<b>A - 2322</b>
	Local Obligor	5/16/18	2,122,000	California Statewide Communities Development Authority	AD No 18-01 Riverside County-Murrieta 64	<b>A - 2323</b>
	Local Obligor	5/16/18	1,297,000	California Statewide Communities Development Authority	AD No 18-01 Sacramento County-Elverta (Phase II)	<b>A - 2324</b>
	Local Obligor	5/16/18	2,294,000	California Statewide Communities Development Authority	AD No 18-02 Sacramento County-Gum Ranch (Village I)	<b>A - 2325</b>
	Local Obligor	5/16/18	1,160,000	California Statewide Communities Development Authority	AD No 18-03 Sacramento County-Sacramento-Shasta 10	<b>A - 2326</b>
	Local Obligor	5/16/18	2,061,000	California Statewide Communities Development Authority	AD No 18-04 Sacramento County-Murieta Marketplace & Murieta Gardens I	<b>A - 2327</b>
	Local Obligor	5/16/18	410,000	California Statewide Communities Development Authority	AD No 18-05 Sacramento County-Folsom-Folsom 16	<b>A - 2328</b>
	Local Obligor	5/16/18	1,328,000	California Statewide Communities Development Authority	AD No 18-06 Sacramento County-Elk Grove-Sheldon Terrace (Phase I)	<b>A - 2329</b>
	Local Obligor	5/16/18	870,000	California Statewide Communities Development Authority	AD No 18-07 Sacramento County-Sacramento-Prospect Ridge	<b>A - 2330</b>
	Local Obligor	5/16/18	2,526,000	California Statewide Communities Development Authority	AD No 18-01 San Benito County-San Juan Bautista-Rancho Vista	<b>A - 2331</b>
	Local Obligor	5/16/18	780,000	California Statewide Communities Development Authority	AD No 18-01 San Joaquin County-Manteca-Evan Estates(#6)	<b>A - 2332</b>
	Local Obligor	5/16/18	2,412,000	California Statewide Communities Development Authority	AD No 18-02 San Joaquin County-Manteca-Sundance (Unit 3)	<b>A - 2333</b>
	Local Obligor	5/16/18	550,000	California Statewide Communities Development Authority	AD No 18-03 San Joaquin County-Manteca-Dolcinea	<b>A - 2334</b>
	Local Obligor	5/16/18	1,360,000	California Statewide Communities Development Authority	AD No 18-01 Solano County-Fairfield-Gold Ridge	<b>A - 2335</b>
	Local Obligor	5/16/18	1,464,000	California Statewide Communities Development Authority	AD No 18-01 Yolo County-West Sacramento-Capital Estates	<b>A - 2336</b>
	<b>Authority</b>	<b>11/5/15</b>	<b>28,775,000</b>	<b>Fairfield-Suisun Unified School District Public Financing Authority</b>	<b>CFD Nos 1, 2, 4, 5 &amp; 6</b>	<b>A - 2337</b>
	Local Obligor	1/11/06	3,335,000	Fairfield-Suisun Unified School District CFD No 1 - Final Report	Suisun City Schools	<b>A - 2338</b>
	Local Obligor	1/11/06	2,475,000	Fairfield-Suisun Unified School District CFD No 2 - Retired	Rancho Solano	
	Local Obligor	1/11/06	1,455,000	Fairfield-Suisun Unified School District CFD No 4 - Retired	Lawler Ranch	
	Local Obligor	1/11/06	39,495,000	Fairfield-Suisun Unified School District CFD No 5		<b>A - 2339</b>
	Local Obligor	1/11/06	2,990,000	Fairfield-Suisun Unified School District CFD No 6		<b>A - 2340</b>

County	Authority/ Local Obligor	Sale Date	Amount of Issue	Issuer	Project/Series	Page
<b>Multiple cont.</b>						
	<b>Authority</b>	<b>1/29/98</b>	<b>68,491,183</b>	<b>Golden West Schools Financing Authority</b>	<b>Downey USD, Greenfield UnESD, Oxnard UnHSD, Victor Vly UnHSD &amp; Western Placer USD</b>	<b>A - 2341</b>
	Local Obligor	1/29/98	30,158,731	Victor Valley Union High School District		<b>A - 2342</b>
	Local Obligor	1/29/98	9,680,256	Downey Unified School District		<b>A - 2343</b>
	Local Obligor	1/29/98	15,052,284	Western Placer Unified School District		<b>A - 2344</b>
	Local Obligor	1/29/98	10,199,913	Oxnard Union High School District		<b>A - 2345</b>
	Local Obligor	1/29/98	3,400,000	Greenfield Union School District - Retired		
	<b>Authority</b>	<b>6/9/05</b>	<b>21,765,000</b>	<b>Golden West Schools Financing Authority</b>	<b>Rowland USD</b>	<b>A - 2346</b>
	Local Obligor	6/9/05	21,641,739	Rowland Unified School District		<b>A - 2347</b>
	<b>Authority</b>	<b>7/9/05</b>	<b>11,915,000</b>	<b>Golden West Schools Financing Authority</b>	<b>Glendora USD</b>	<b>A - 2348</b>
	Local Obligor	7/6/05	11,910,306	Glendora Unified School District		<b>A - 2349</b>
	<b>Authority</b>	<b>7/21/05</b>	<b>1,580,000</b>	<b>Golden West Schools Financing Authority</b>	<b>Santa Paula UnHSD</b>	<b>A - 2350</b>
	Local Obligor	7/21/05	1,500,000	Santa Paula Union High School District		<b>A - 2351</b>
	<b>Authority</b>	<b>6/16/05</b>	<b>82,616,832</b>	<b>Golden West Schools Financing Authority</b>	<b>Beverly Hills USD Series A &amp; B</b>	<b>A - 2352</b>
	Local Obligor	6/16/05	83,739,631	Beverly Hills Unified School District	Consolidated Series A & B	<b>A - 2353</b>
	<b>Authority</b>	<b>12/8/05</b>	<b>37,325,000</b>	<b>Golden West Schools Financing Authority</b>	<b>Placentia-Yorba Linda USD</b>	<b>A - 2354</b>
	Local Obligor	12/8/05	35,728,410	Placentia-Yorba Linda Unified School District	Series A	<b>A - 2355</b>
	<b>Authority</b>	<b>11/2/06</b>	<b>10,740,000</b>	<b>Merced Community College District School Facilities Financing Authority</b>	<b>ID No 2</b>	<b>A - 2356</b>
	Local Obligor	11/2/06	10,434,847	Merced Community College District	Los Banos Campus Area SFID No 2	<b>A - 2357</b>
	<b>Authority</b>	<b>5/28/08</b>	<b>59,874,157</b>	<b>School Facilities Financing Authority</b>	<b>Grant Joint UnHSD</b>	<b>A - 2358</b>
	Local Obligor	5/28/08	51,404,756	Grant Joint Union High School District		<b>A - 2359</b>
	<b>Authority</b>	<b>5/1/11</b>	<b>19,770,000</b>	<b>Tracy School Facilities Financing Authority</b>	<b>Series A, Qualified School Construction Bonds</b>	<b>A - 2360</b>
	Local Obligor	5/1/11	16,000,000	Tracy Unified School District	ID No 3 Series A	<b>A - 2361</b>

**APPENDIX B**

**B. 2017 List of Draw on Reserve/Default Reports Received by County**

**None Were Reported**

## CALIFORNIA MARKS-ROOS BOND POOLING PARTICIPANTS FISCAL YEAR 2017-2018 YEARLY FISCAL STATUS REPORT SUMMARY

The Marks-Roos Local Bond Pooling Act of 1985 (“Marks-Roos” or the “Act”), as amended, requires the submission of annual reports to the California Debt and Investment Advisory Commission (CDIAC) on the fiscal status of bonds issued by bond pool participants on or after January 1, 1996 until the bonds are no longer outstanding.<sup>1</sup> The law also requires any agency that issues under the act, regardless of when sold, which fails to make principal or interest payments on any outstanding bond issue or which draws on the reserve fund to make principal and interest payments, to report the event to CDIAC within ten days of the event.

This is the annual summary of the Marks-Roos bond pools fiscal status data reported to CDIAC in accordance with Government Code Section 6599.1.(b)

Appendix A provides the fiscal status information on those Marks-Roos bond pool participants who sold debt between January 1, 1996 and June 30, 2018, whose bonds remain outstanding and who submitted a yearly fiscal status report. Appendix B lists the defaults, draws on reserve or replenishment reported during Fiscal Year (FY) 2017-18 (July 1, 2017 through June 30, 2018). State law requires issuers of Marks-Roos, regardless of when the bonds were issued, to report to CDIAC within ten days of drawing on a bond reserve fund to make a payment to bondholders or defaulting on a payment. Notices of draw on reserve, a report of a default or replenishment filed with CDIAC are available online.<sup>2</sup> Appendix C contains a listing of abbreviations used throughout the report.

### **TYPES OF MARKS-ROOS FINANCINGS**

In this report, there are two types of Joint Powers Authorities (JPAs) that issue bonds under the Marks-Roos Bond Pooling Act of 1985: the multijurisdictional JPAs and captive JPAs.

Multijurisdictional JPAs are statewide or regional joint powers agencies that function as conduit issuers on behalf of their members or other local agencies. CDIAC has identified 47 multijurisdictional JPAs. Examples of multijurisdictional JPAs are Association of Bay Area Governments (ABAG), California Statewide Communities Development authority (CSCDA), and the Golden West Schools Financing authority.

Captive JPAs are created when a local agency forms a joint powers agency, usually a public financing authority (PFA), with a separate entity under its political control. Examples of a captive JPA include a city and its redevelopment agency, a county and its water district or a school district and its community financing district (CFD).

Illustrations of the multijurisdictional and captive JPA structures are depicted in Figures 1 and 2 on pages 2 and 3.

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<sup>1</sup> Government Code Section 6585 defines “Bonds” as including, but not limited to, assessment bonds, redevelopment bonds, government-issued mortgage bonds, industrial development bonds, bond notes, revenue notes, tax notes, grant anticipation notes, commercial paper, floating rate and variable maturity securities, certificates of participation, lease purchase agreements, or any other evidence of indebtedness.

<sup>2</sup> In 2011, CDIAC began accepting the voluntary filings of replenishments to reserve accounts.

Whether the JPA is multijurisdictional or captive, it uses the proceeds of the bonds to finance local agency capital improvement projects by using bond proceeds to purchase obligations from one or more local agencies make loans to one or more local agencies, or by transferring bond proceeds to one or more local agencies under the terms of a contract. The recipients of these proceeds may be local agencies from within their own jurisdictions or from agencies outside of their jurisdiction. These recipient agencies are referred to as local obligors (LOBs).

The types of debt purchased from LOBs include, but are not limited to, community facility district, assessment district, tax allocation, public enterprise, revenue bond, public lease revenue bond, pension obligation, tax allocation or general obligation bonds, or other types of bonds, certificates of participation and capital leases. Loans from PFAs to LOBs are repaid from varied sources such as special tax, assessments, tax increment, general fund, rental payments, installment payments and enterprise revenues.



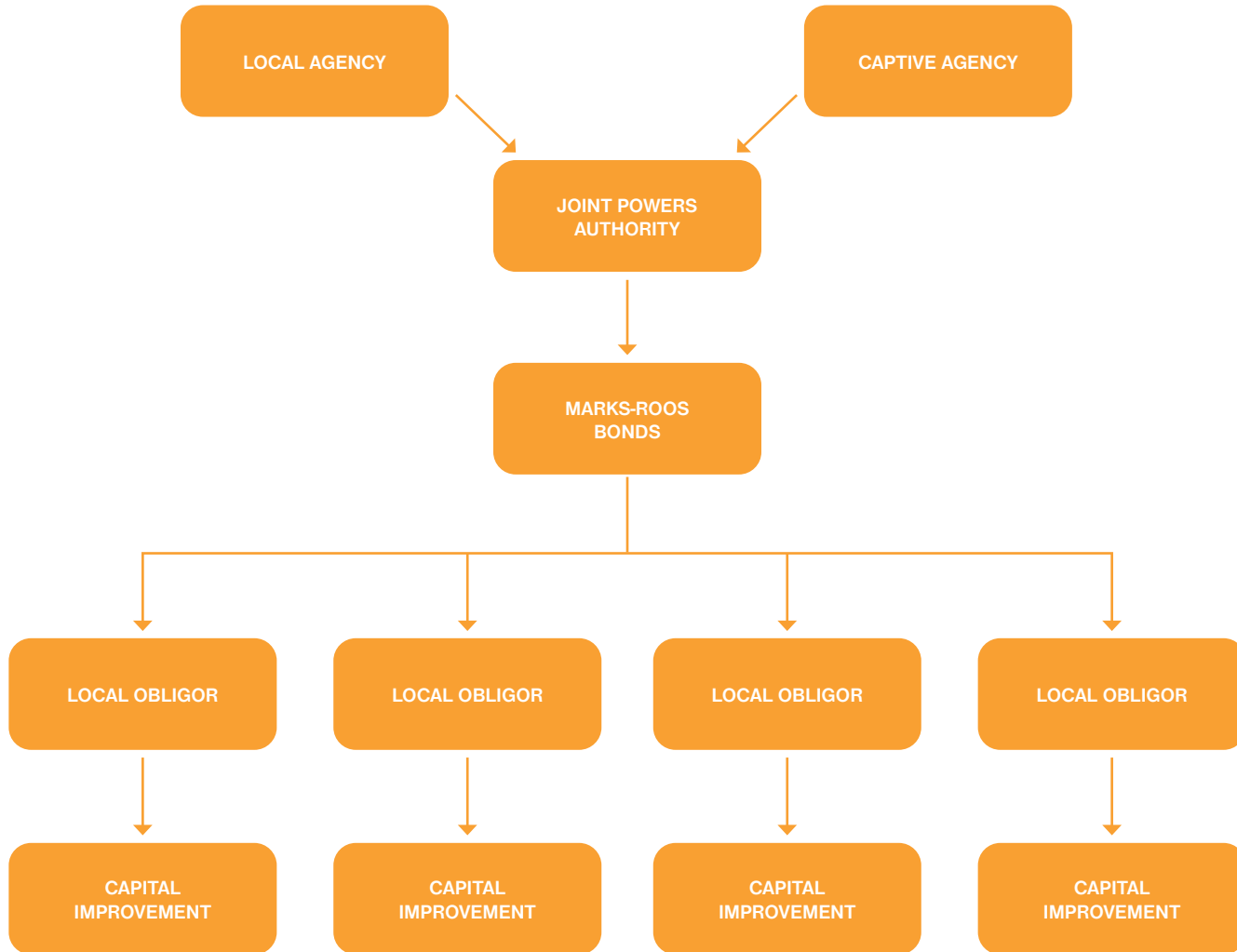
Figure 1

MULTIJURISDICTIONAL JPA - POOLED PROJECT FINANCING



Figure 2

CAPTIVE JPA - POOLED PROJECT FINANCING



## **DISCUSSION OF THE DETERMINATION OF REPORTABILITY UNDER THE MARKS-ROOS LOCAL BOND POOLING ACT**

Since 1982, CDIAC has been mandated to collect data on debt issued by California's public issuers since 1982. Although Government Code Sections 8855(i) and 8855(j) require issuers to report any proposed and sold debt to the Commission, there is no definition of what comprises a "debt issue". This lack of a definition has also affected whether or not JPAs which issue debt under the Act determine that the debt assumed by the local obligor is reported to CDIAC.

The Commission has been collecting annual data on outstanding Marks-Roos bonds since FY 1995-96, there have been several interpretations of what issues were deemed "reportable" under the statute. The statute states that only those JPAs (Authorities) who use the proceeds of their bond sale to "acquire" a local obligation must submit an annual report.<sup>3</sup> Since no definition of the term "acquire" is provided in statute, the Commission has relied on the opinions of staff counsel to determine which issuers were required to report.

From FY 1995-96, only those authority issuers who used their bond proceeds to purchase the bonds of more than one local agency were identified as 'Marks-Roos' reportable by Commission staff. In 2011, CDIAC again sought the opinion of counsel and it was determined that the reporting statute does apply to an Authority issuer which has acquired the debt of a single local agency, but that only Authorities who purchased the bonds of or made a loan to one or more satisfied the term of "acquire" and therefore were required to report. CDIAC staff informed issuers of

this new interpretation during the FY 2010-11 filing period and began to receive from Authority issuers and their LOBs.

Effective with the approval of CDIAC's regulatory package on April 1, 2017, the terms of "debt" and "acquire" were finally defined.<sup>4</sup> Effective 4-1-2017, if an Authority issues debt under the Marks-Roos statute and uses the proceeds from its bond issue to acquire local obligations or transfers funds to a local obligor under a debt contract, reports of proposed debt issuance and final sale must be submitted for both the Authority issuer and any LOBs. With the submission of these the reports, the "reportability" under the Marks-Roos Act is established.

Some JPAs maintain that even though they issue debt under the Mark-Roos statute and they use the proceeds to facilitate projects for local agency, that there is no "debt contract" between them and the local agency and therefore the debt is not reportable under the Act and no debt issuance reports should be submitted for the local agency.

This has led CDIAC's database to contain four types of debt issued under the Marks-Roos statute:

1. Non-reportable debt issued prior to January 1, 1996, prior to the requirement to submit annual reports,
2. Non-reportable debt issued between January 1, 1996 and April 1, 2017 where the LOBs were not deemed to meet the definition of "acquire",
3. Non reportable debt issued on or after April 1, 2017 for which Authority issuers have determined that there is no debt contract between the JPA and the LOB, and

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<sup>3</sup> Government Code Section 6599.1(b).

<sup>4</sup> California Code of Regulations Title 4, Division 9.6, Article 6, Sections 6000-6052.

- Debt which meets CDIAC’s determination of “reportability” under both the Marks-Roos statute and the regulatory package and those issues which have been voluntarily reported.

### REPORTABLE ISSUES – 1996 GOING FORWARD<sup>5</sup>

California’s JPAs have issued more than \$100 billion under the Act since FY 1986-87 of which \$80.9 billion has been issued since January 1, 1996, the year in which yearly fiscal status reporting went into effect. Issuers are currently submitting annual reports on only \$26.5 billion of that amount. Four issuers have chosen to voluntarily submit YFSRs for six financings. (Figure 3)

**Figure 3**  
 AUTHORITY ISSUERS, REPORTABLE VERSUS NON-REPORTABLE MARKS-ROOS DEBT, FY 1986-87 THROUGH FY 2017-18 BY PRINCIPAL AMOUNT (IN THOUSANDS)

Reportable		Non-Reportable					
Issued on or after 1/1/96 and Voluntary Submitters		Issued Prior to 1/1/96		Issued Between 1/1/96 and 4/1/17		Issued after 4/1/17	
Number of Issues	Principal Amount Issued	Number of Issues	Principal Amount	Number of Issues	Principal Amount	Number of Issues	Principal Amount
1,284	\$26,552,198	647	\$19,190,706	1,401	\$53,511,226	13	\$895,962
<b>Total Number of Marks-Roos Issues</b>		<b>3,345</b>		<b>Total Principal Issued</b>		<b>\$100,150,092</b>	

Each reportable Authority issue has one or more local obligations associated with it for with an YFSR must be submitted. Although only those Authority financings which occurred on or after January 1, 1996 are required to report under Marks-Roos, any local obligation which is the recipient of an Authority’s debt proceeds is reportable, regardless of the date of issue. JPAs have issued more than \$26.5 billion in debt of which \$18.5 billion was new money. (Figure 4a)

<sup>5</sup> The data going forward includes information voluntarily submitted.

**Figure 4a**  
 AUTHORITY ISSUERS, REPORTABLE ISSUES NUMBER OF ISSUES AND PRINCIPAL AMOUNT BY FISCAL YEAR (IN THOUSANDS)

FISCAL YEAR	TOTAL PRINCIPAL ISSUED	# OF ISSUES	NEW MONEY		REFUNDINGS		
			PRINCIPAL ISSUED	% OF TOTAL ISSUED	# OF ISSUES	PRINCIPAL REFUNDED	% OF TOTAL ISSUED
93/94*	12,285	2	12,285	100.0%	0	0	0.0%
94/95*	23,415	1	7,678	32.8%	1	15,737	67.2%
95/96**	176,605	14	82,782	46.9%	5	93,823	53.1%
96/97	501,301	37	142,340	28.4%	22	358,961	71.6%
97/98	901,724	40	312,954	34.7%	19	588,770	65.3%
98/99	1,008,930	48	634,587	62.9%	20	374,343	37.1%
99/00	576,480	39	547,103	94.9%	6	29,377	5.1%
00/01	360,188	33	327,886	91.0%	6	32,302	9.0%
01/02	680,648	35	545,185	80.1%	11	135,463	19.9%
02/03	1,268,444	49	827,099	65.2%	21	441,345	34.8%
03/04	1,775,445	71	1,107,594	62.4%	31	667,851	37.6%
04/05	1,716,134	70	1,402,921	81.7%	24	313,213	18.3%
05/06	2,610,051	84	2,374,013	91.0%	10	236,038	9.0%
06/07	2,559,407	75	2,160,783	84.4%	22	398,624	15.6%
07/08	995,059	35	818,762	82.3%	9	176,297	17.7%
08/09	145,065	16	133,719	92.2%	2	11,346	7.8%
09/10	687,436	60	629,886	91.6%	5	57,550	8.4%
10/11	668,485	52	464,521	69.5%	5	203,964	30.5%
11/12	515,399	54	284,553	55.2%	13	230,846	44.8%
12/13	855,603	59	485,352	56.7%	16	370,251	43.3%
13/14	993,777	64	568,331	57.2%	20	425,446	42.8%
14/15	2,352,219	90	1,609,466	68.4%	21	742,753	31.6%
15/16	865,698	57	408,461	47.2%	15	457,237	52.8%
16/17	1,706,340	73	1,085,038	63.6%	19	621,302	36.4%
17/18	2,596,060	126	1,567,810	60.4%	36	1,028,250	39.6%
<b>TOTALS</b>	<b>26,552,198</b>	<b>1,284</b>	<b>18,541,109</b>	<b>69.8%</b>	<b>359</b>	<b>8,011,089</b>	<b>30.2%</b>

\*Voluntary reports.  
 \*\*Includes three voluntary reports sold during FY95/96 prior to 1/1/96.

There are 2,421 LOB YFSR reportable issues totaling approximately \$24.9 billion. More than \$13 billion of that amount was new money. (Figure 4b)

**Figure 4b**

LOCAL OBLIGORS, REPORTABLE ISSUES  
NUMBER OF ISSUES AND PRINCIPAL AMOUNT  
BY FISCAL YEAR (IN THOUSANDS)

ALL MARKS-ROOS LOB ISSUES			NEW MONEY		REFUNDINGS		
FISCAL YEAR	TOTAL PRINCIPAL ISSUED	# OF ISSUES	PRINCIPAL ISSUED	% OF TOTAL ISSUED	# OF ISSUES	PRINCIPAL REFUNDED	% OF TOTAL ISSUED
86/87	\$37,055	3	\$7,425	20.0%	2	\$29,630	80.0%
88/89	4,540	1	0	0.0%	1	4,540	100.0%
89/90	67,531	4	25,790	38.2%	2	41,741	61.8%
90/91	33,967	5	33,009	97.2%	1	958	2.8%
91/92	3,822	2	1,942	50.8%	1	1,880	49.2%
92/93	4,163	1	4,163	100.0%		0	0.0%
93/94	421,080	4	678	0.2%	4	420,402	99.8%
94/95	248,548	13	50,925	20.5%	11	197,623	79.5%
95/96	156,106	25	39,862	25.5%	14	116,244	74.5%
96/97	417,293	65	103,891	24.9%	39	313,402	75.1%
97/98	736,251	76	228,824	31.1%	34	507,427	68.9%
98/99	822,468	75	325,951	39.6%	41	496,517	60.4%
99/00	386,679	50	140,656	36.4%	21	246,023	63.6%
00/01	339,345	50	218,218	64.3%	15	121,127	35.7%
01/02	493,931	58	294,976	59.7%	22	198,955	40.3%
02/03	1,065,520	79	817,559	76.7%	12	247,961	23.3%
03/04	1,556,895	116	1,287,481	82.7%	23	269,414	17.3%
04/05	1,608,613	157	1,246,222	77.5%	41	362,391	22.5%
05/06	2,495,134	161	1,360,384	54.5%	83	1,134,750	45.5%
06/07	2,357,798	198	1,531,028	64.9%	67	826,770	35.1%
07/08	959,130	77	660,020	68.8%	20	299,110	31.2%
08/09	134,912	34	121,164	89.8%	2	13,748	10.2%
09/10	569,383	101	460,680	80.9%	7	108,703	19.1%
10/11	664,661	108	553,616	83.3%	20	111,045	16.7%
11/12	382,054	64	197,936	51.8%	23	184,118	48.2%
12/13	849,257	165	212,434	25.0%	108	636,823	75.0%
13/14	933,759	93	29,539	3.2%	63	904,220	96.8%
14/15	2,169,305	180	274,645	12.7%	145	1,894,660	87.3%
15/16	770,967	135	172,561	22.4%	90	598,406	77.6%
16/17	1,588,192	118	744,026	46.8%	54	844,166	53.2%
17/18	2,556,400	203	2,287,566	89.5%	57	268,834	10.5%
<b>TOTALS</b>	<b>24,834,759</b>	<b>2,421</b>	<b>13,433,171</b>	<b>54.1%</b>	<b>1,016</b>	<b>11,401,588</b>	<b>45.9%</b>

**MARKS-ROOS YEARLY FISCAL STATUS REPORTING –  
FY 1995-96 THROUGH FY 2017-18**

For FY 2017-18 reporting period, 230 Authority issuers and 695 LOBs were required to submit YFSRs on a total of 2,379 separate outstanding debt issues totaling over \$32.9 billion<sup>6</sup>. CDIAC received 2,340 of the expected reports, with only 39

<sup>6</sup> This total includes new money issuances and refunding

reports (23 authority and 16 LOBs issues) un-submitted. To date the outstanding balance is close to \$22.7 billion. (Figures 5a and 5b)

**Figure 5a**

FY 1995-96 THROUGH 2017-18 YFSRS RECEIVED  
AUTHORITY ISSUERS, EXPECTED VS RECEIVED  
PRINCIPAL ISSUED VS OUTSTANDING (IN THOUSANDS)

FISCAL YEAR	RECEIVED BY CDIAC		YEARLY FISCAL STATUS REPORTS				
	NO. of ISSUES	TOTAL PRINCIPAL ISSUED	REPORTS DUE		REPORTS RECEIVED		
			NO. of ISSUES	PRINCIPAL ISSUED	NO. of ISSUES	PRINCIPAL ISSUED	PRINCIPAL OUTSTANDING
93/94*	2	\$12,285	\$0	\$0	0	\$0	\$0
94/95*	1	23,415	1	23,415	1	16,265	0
95/96**	14	176,605	1	16,265	0	0	0
96/97	37	501,301	6	63,910	5	61,055	4,185
97/98	40	901,724	10	355,030	10	355,030	33,870
98/99	48	1,008,930	6	118,490	6	118,490	10,080
99/00	39	576,480	5	124,571	5	124,571	17,375
00/01	33	360,188	5	79,080	4	73,365	25,235
01/02	35	680,648	7	161,910	7	161,910	35,167
02/03	49	1,268,444	8	316,538	8	316,538	118,970
03/04	71	1,775,445	16	557,160	14	507,380	227,848
04/05	70	1,716,134	18	358,914	19	351,679	140,053
05/06	84	2,610,051	30	1,042,863	29	1,024,458	556,688
06/07	75	2,559,407	43	1,691,484	40	1,561,084	658,646
07/08	35	995,059	22	868,019	22	868,019	440,614
08/09	16	145,065	12	108,300	11	98,585	27,649
09/10	60	687,436	46	545,399	45	487,943	251,234
10/11	52	668,485	42	541,337	42	541,337	297,291
11/12	54	515,399	45	397,167	45	397,167	245,803
12/13	59	855,603	54	855,442	54	855,442	623,472
13/14	64	993,777	63	993,741	63	993,741	811,197
14/15	90	2,352,219	88	2,352,169	87	2,338,914	1,998,911
15/16	57	865,698	56	865,682	55	864,978	806,071
16/17	73	1,706,340	73	1,706,340	72	1,690,851	1,572,534
17/18	126	\$2,596,060	126	2,596,060	123	2,533,276	2,515,720
<b>Totals</b>	<b>1,284</b>	<b>\$26,552,198</b>	<b>783</b>	<b>\$16,739,286</b>	<b>767</b>	<b>\$16,342,078</b>	<b>\$11,418,613</b>

\*Voluntary Reports.

\*\*Includes three voluntary reports sold FY 95/96 prior to 1/1/96.

**Figure 5b**

FY 1995-96 THROUGH 2017-18 YFSRS RECEIVED  
 LOCAL OBLIGORS, EXPECTED VS. RECEIVED  
 PRINCIPAL ISSUED VS. OUTSTANDING (IN THOUSANDS)

REPORTS OF FINAL SALE			YEARLY FISCAL STATUS REPORTS				
RECEIVED BY CDIAC			REPORTS DUE		REPORTS RECEIVED		
FISCAL YEAR	NO. of ISSUES	PRINCIPAL ISSUED	NO. of ISSUES	PRINCIPAL ISSUED	NO. of ISSUES	PRINCIPAL ISSUED	PRINCIPAL OUTSTANDING
86/87	3	\$37,055	0	\$0	0	\$0	\$0
88/89	1	\$4,540	0	0	0	0	0
89/90	4	67,531	1	4,895	1	4,895	985
90/91	5	33,967	1	14,088	0	0	0
91/92	2	3,822	1	1,605	1	1,605	235
92/93	1	4,163	0	0	0	0	0
93/94	4	421,080	2	11,060	0	0	0
94/95	13	248,548	7	170,078	7	170,078	10,657
95/96	28	163,456	6	23,293	6	23,293	5,154
96/97	66	421,543	23	109,194	21	103,775	16,134
97/98	74	736,251	20	168,817	20	168,817	36,098
98/99	75	822,469	21	154,760	21	154,760	49,358
99/00	50	386,679	16	112,866	16	112,866	19,440
00/01	50	339,345	9	89,958	8	74,958	36,404
01/02	58	493,931	15	160,491	15	160,551	46,109
02/03	79	1,065,520	18	329,911	18	329,911	138,609
03/04	116	1,556,895	30	479,909	26	427,639	213,665
04/05	158	1,612,188	54	369,247	52	326,252	169,662
05/06	160	2,476,729	69	1,230,714	69	1,216,237	640,148
06/07	200	2,461,415	122	1,723,794	120	1,713,624	849,959
07/08	77	959,130	59	855,535	59	855,535	442,093
08/09	34	134,912	27	96,231	27	96,231	27,861
09/10	101	569,383	80	473,057	79	428,056	221,998
10/11	108	664,661	86	533,553	86	533,553	289,581
11/12	65	382,165	47	267,519	48	267,630	156,692
12/13	164	849,147	158	840,191	157	840,080	595,980
13/14	93	933,758	92	933,723	92	933,723	764,805
14/15	180	2,169,305	178	2,169,255	178	2,169,255	1,849,821
15/16	135	\$770,967	133	\$770,247	133	\$770,247	\$724,302
16/17	118	1,588,192	118	1,588,192	115	1,572,704	1,517,400
17/18	203	2,556,400	203	2,556,400	198	2,485,836	2,466,655
<b>Totals</b>	<b>2,425</b>	<b>\$24,935,147</b>	<b>1,596</b>	<b>\$16,238,583</b>	<b>1,573</b>	<b>\$15,942,111</b>	<b>\$11,289,805</b>

**PROFILE OF ISSUERS**

Of the 188 captive JPAs in 34 counties reporting, five of the top ten issuers are located in southern california, when using principal amount issued as the measure. Using the number of financings as the measure, Sonoma County leads the way with 191 issues, more than 25 percent of the total number of sales. The large number of Sonoma County financings are due to their Energy Independence program issued under the Property Assessed Clean Energy Program (PACE).<sup>7</sup> PACE filings under the Marks-Roos statute is discussed later in this report.

**Figure 6a**

FY 1995-96 THROUGH 2017-18 YFSRS RECEIVED  
 TOP 10 COUNTIES OF ORIGIN,<sup>8</sup> CAPTIVE AUTHORITY ISSUERS  
 BY PRINCIPAL AMOUNT ISSUED (IN THOUSANDS)

County of Origin	Number of Issues	Principal Amount Issued	Principal Outstanding	Percent of Original Principal Outstanding	Percent of Total Principal Outstanding
Riverside	140	\$3,076,639	\$2,518,126	81.8%	25.6%
Los Angeles	69	3,073,349	2,179,118	70.9%	22.2%
San Diego	43	1,377,892	1,080,290	78.4%	11.0%
Orange*	26	1,108,695	599,080	54.0%	6.1%
Sacramento	23	691,972	524,875	75.9%	5.3%
San Francisco	14	667,465	206,288	30.9%	2.1%
San Bernardino	22	662,630	421,577	63.6%	4.3%
Contra Costa	23	596,742	253,197	42.4%	2.6%
Placer	31	468,921	315,260	67.2%	3.2%
Alameda	8	282,030	244,465	86.7%	2.5%
San Joaquin	12	278,381	202,629	72.8%	2.1%
Other	277	1,744,963	1,280,855	73.4%	13.0%
<b>Totals</b>	<b>688</b>	<b>\$14,029,679</b>	<b>\$9,825,760</b>	<b>70.0%</b>	<b>100.0%</b>

\*Includes one voluntary filing.

<sup>7</sup> Senate Bill 555 (Hancock), Chapter 493, Statutes of 2011.

<sup>8</sup> Excludes multijurisdictional JPAs.

**Figure 6b**

FY 1995-96 THROUGH 2017-18 YFSRS RECEIVED  
 TOP 10 COUNTIES OF ORIGIN,<sup>9</sup> CAPTIVE AUTHORITY ISSUERS  
 BY NUMBER OF FINANCINGS (IN THOUSANDS)

County of Origin	Number of Issues	Principal Amount Issued	Principal Outstanding	Percent of Original Principal Outstanding	Percent of Total Issues
Sonoma	191	\$76,931	\$29,802	38.7%	27.8%
Riverside	140	3,076,639	2,518,126	81.8%	20.3%
Los Angeles	69	3,073,349	2,179,118	70.9%	10.0%
San Diego	43	1,377,892	1,080,290	78.4%	6.3%
Placer	31	468,921	315,260	67.2%	4.5%
Orange*	26	1,108,695	599,080	54.0%	3.8%
Contra Costa	23	596,742	253,197	42.4%	3.3%
Sacramento	23	691,972	524,875	75.9%	3.3%
San Bernardino	22	662,630	421,577	63.6%	3.2%
San Francisco	14	667,465	206,288	30.9%	2.0%
Other	106	2,228,442	1,698,146	76.2%	15.4%
<b>Totals</b>	<b>688</b>	<b>\$14,029,678</b>	<b>\$9,825,759</b>	<b>70.0%</b>	<b>100.0%</b>

Thirty-four multijurisdictional JPAs submitted status reports on 79 outstanding issues for FY 2017-18. California Statewide Communities Development Authority (CSCDA), reported both the highest original principal issued and the greatest number of issues outstanding, \$682 million and 25, respectively. (Figure 6c)

<sup>9</sup> Ibid.

**Figure 6c**

FY 1995-96 THROUGH 2017-18 YFSRS RECEIVED  
 TOP 10 MULTIJURISDICTIONAL AUTHORITY ISSUERS  
 BY PRINCIPAL AMOUNT ISSUED (IN THOUSANDS)

Issuer	# of Issues	Principal Amount Issued	Principal Outstanding	Percent of Original Principal Outstanding	Percent of Total Principal Outstanding
California Statewide Communities Development Authority	25	\$682,604	\$557,131	81.6%	35.0%
Golden West Schools Financing Authority	6	223,693	116,094	51.9%	7.3%
California Qualified School Bond Joint Powers Authority	6	132,900	91,985	69.2%	5.8%
Association of Bay Area Governments	7	126,395	10,225	8.1%	0.6%
Gilroy School Facilities Financing Authority	1	110,670	110,345	99.7%	6.9%
Simi Valley School Financing Authority	1	95,675	0	0.0%	0.0%
ABAG Finance Authority for Nonprofit Corporations	3	91,690	88,205	96.2%	5.5%
San Mateo-Foster City School Facilities Financing Authority	1	79,975	10,565	13.2%	0.7%
Cucamonga Valley Water District Financing Authority	2	73,455	73,455	100.0%	4.6%
Livermore Valley Water Financing Authority	1	64,010	64,010	100.0%	4.0%
Other	26	631,333	470,839	74.6%	29.6%
<b>Totals</b>	<b>79</b>	<b>\$2,312,400</b>	<b>\$1,592,854</b>	<b>68.9%</b>	<b>100.0%</b>

Although all Authority issuers are joint powers authorities, local obligors (LOBs) may be any type of local agency. For FY 2017-18, Marks-Roos issuers reported that more than \$5.2 billion of debt issued was used to acquire the debt of community facilities districts in more than 600 transactions.<sup>10</sup> Figure 7 shows the ten top local agency types which received Marks-Roos proceeds.

<sup>10</sup> Acquiring debt consists of the purchase of debt, loaning of proceeds or the transfer of proceeds to the local obligor in accordance with California Code of Regulations Title 4, Division 9.6, Article 6, Sections 6000-6052.

**Figure 7**

FY 1995-96 THROUGH 2017-18 YFSRS RECEIVED  
 TOP TEN LOCAL OBLIGOR TYPES  
 MEASURED BY VOLUME (IN THOUSANDS)

Agency Type	Number of Issues	Principal Amount Issued	Percent of Total
Community Facilities District	619	5,175,737	32.5%
Redevelopment Agency	190	2,826,174	17.7%
City	198	2,544,863	16.0%
Successor Agency	57	1,613,432	10.1%
School District	50	1,391,165	8.7%
Sanitation District	64	671,447	4.2%
County	218	412,924	2.6%
Water District	25	375,707	2.4%
Joint Powers Authority	105	348,310	2.2%
Municipal Water District	27	217,313	1.4%
Other	20	365,039	2.3%
	1,573	15,942,111	100.0%

Of the 684 LOBs reporting, the San Francisco City & County Redevelopment Agency has received more than \$610.8 million in Marks-Roos proceeds from 55 financings between FY 1997-98 through FY 2010-11 of which approximately \$206.9 million remains outstanding. Figure shows the top ten LOBs which have received Marks-Roos funding between FYs 1995-96 and 2017-18.

**Figure 8**

FY 1995-96 THROUGH 2017-18 YFSRS RECEIVED  
 TOP TEN LOCAL OBLIGORS MEASURED BY VOLUME (IN THOUSANDS)

Agency Name	Number of Issues	Original Principal Issued	Principal Outstanding
San Francisco City & County Redevelopment Agency	55	\$ 610,830	\$ 206,878
Successor Agency to the Industry Urban-Development Agency	6	574,905	422,510
Successor Agency to the Los Angeles Community Redevelopment Agency	9	483,975	420,715
California Statewide Communities Development Authority	102	243,704	214,339
Successor Agency to the Riverside County Redevelopment Agency	8	224,490	215,995
Beaumont CFD No 93-1	30	212,369	88,525
Brentwood	23	206,243	122,881
Los Angeles County Sanitation District No 20	3	173,031	168,455
Santa Margarita Water District	15	166,750	74,380
Contra Costa County Redevelopment Agency	12	166,560	0
All Others	1,310	12,879,254	9,365,127
<b>TOTALS</b>	<b>1,573</b>	<b>\$15,942,111</b>	<b>\$11,289,805</b>

Multiple capital improvements and public works is the top purpose for local obligors have received proceeds with 30 percent of the total debt issued. (Figure 9)

**Figure 9**

FY 1995-96 THROUGH 2017-18 YFSRS RECEIVED  
 TOP TEN PURPOSES – LOCAL OBLIGORS  
 MEASURED BY VOLUME (IN THOUSANDS)

Purpose	Principal Amount Issued	Percent of Total Principal
Multiple Capital Improvements, Public Works	\$4,835,809,868	30.3%
Redevelopment, Multiple Purposes	4,166,922,773	26.1%
K-12 School Facility	3,098,110,720	19.4%
Wastewater Collection, Treatment	1,136,951,933	7.1%
Water Supply, Storage, Distribution	1,079,847,764	6.8%
Public Building	396,640,900	2.5%
Insurance and Pension Funds	380,693,540	2.4%
Residential Energy Conservation, Improvement	142,470,825	0.9%
Multifamily Housing	126,701,242	0.8%
Health Care Facilities	125,000,000	0.8%
Other	462,961,656	2.9%
<b>Totals</b>	<b>\$15,952,111,221</b>	<b>100.0%</b>

The source of repayment for all Marks-Roos JPA debt is the pledge of revenues or funds from the LOB. Figure 10 lists the types of revenues or funds pledged to the repayment of the Authority.



**Figure 10**

FY 1995-96 THROUGH 2017-18 YFSRS RECEIVED  
 SOURCES OF REPAYMENT – LOCAL OBLIGORS  
 MEASURED BY VOLUME (IN THOUSANDS)

Source of Repayment	Principal Amount Issued
Special tax revenues	\$5,170,612
Tax increment	4,381,300
Public enterprise revenues	1,885,620
General fund of issuing jurisdiction	1,754,625
Property tax revenues	1,271,424
Special assessments	1,271,103
Other sources	178,049
General Fund of Lessee	22,205
Local obligations	3,923
Private obligor payments	3,250
<b>Totals</b>	<b>\$15,942,111</b>

Reserve Balances – Aggregated by County –  
 Authority Issuers and Local Obligors

Reserves funds may be held at the Authority level or local obligor level. In some instances, an Authority may require the LOB(s) to contribute to the reserve fund. Of the five counties which reported reserves below the minimum required, Fresno County issuers reported the least percentage of reserve funds on hand, 81.1 percent. Seven counties, El Dorado, Humboldt, Mono, Nevada, San Luis Obispo, Santa Barbara, and Sonoma, reported that no reserve funds were required. Twenty counties reported reserve balance of 100 percent or greater. Marin County holds a reserve balance of 1,586 percent of the level required. Figure 11a shows counties in which aggregate reserves are below the minimum required.

**Figure 11a**

RESERVE BALANCES, AGGREGATED BY COUNTY  
 AUTHORITY ISSUERS BELOW BALANCE REQUIRED  
 FY 2017-18 (IN THOUSANDS)

County*	Total Reserve Balance Required	Total Reserve Balance On-Hand	% of Balance Required
Fresno	\$1,410.00	\$1,143.00	81.1%
Orange	\$46,575.00	\$42,231.00	90.7%
San Diego	\$90,465.00	\$83,045.00	91.8%
Los Angeles	\$101,772.00	\$95,401.00	93.7%
San Francisco	\$26,632.00	\$25,435.00	95.5%
San Bernardino	\$32,114.00	\$31,488.00	98.1%
Placer	\$28,084.00	\$27,906.00	99.4%

\*Does not include multijurisdictional authority issuers or those located in multiple counties.

Multijurisdictional authority issuers and those located in multiple counties reported balances on hand of 177.1 and 554.7 percent, respectively. All other counties, including those based in multiple counties reported balances of 100 percent or more. Monterey LOBs reported a reserve balance of 1,529 above the minimum required. Figure 11b shows counties in which the aggregate reserves are below the minimum required.

**Figure 11b**

RESERVE BALANCES, AGGREGATED BY COUNTY  
 LOCAL OBLIGORS BELOW BALANCE REQUIRED  
 FY 2017-18 (IN THOUSANDS)

County*	Total Reserve Balance Required	Total Reserve Balance On-Hand	% of Balance Required
Humboldt	\$816,000	\$0	0.0%
Tulare	\$2,228,600	\$0	0.0%
Ventura	\$2,707,950	\$0	0.0%
Stanislaus	\$3,040,341	\$2,940,424	96.7%
San Bernardino	\$39,115,220	\$37,858,112	96.8%
San Diego	\$32,766,008	\$31,787,828	97.0%

\*Does not include issuers located in multiple counties.

## Taxes Due – Taxes Unpaid –Local Obligors

The source of repayment for many local obligors is based on the payment of taxes, both special taxes and property taxes. LOBs are required to report on the amount of taxes due and unpaid on an annual basis as of the most recent tax roll.

Local obligors in 29 counties reported that the source of repayment was tax based. According to reports received, Santa Barbara agencies have 100% of expected \$50,000 in taxes outstanding.

### Figure 12

TOP TEN LOCAL OBLIGORS, TAXES DUE/TAXES UNPAID  
AGGREGATED BY COUNTY, PERCENT UNPAID

County	Taxes Due	Taxes Unpaid	% Unpaid
Santa Barbara	\$50,594	\$50,594	100.0%
Fresno	50,579,446	13,331,630	26.4%
Placer	34,578,482	5,859,309	16.9%
Nevada	278,228	14,998	5.4%
Santa Clara	58,950,653	2,304,552	3.9%
Marin	27,142,187	738,866	2.7%
San Francisco	1,809,001,690	37,501,156	2.1%
Riverside	518,189,281	10,585,572	2.0%
Calaveras	780,811	11,152	1.4%
Los Angeles	1,545,052,667	21,069,942	1.4%

## PROPERTY ASSESSED CLEAN ENERGY (PACE) PROGRAM

In 2008, legislation gave public agencies, typically JPAs, the ability to issue federally taxable debt to fund energy conservation and improvement to residential and commercial property owners.<sup>11</sup> In 2011, additional legislation authorized the

<sup>11</sup> Governed by Street and Highways Code Section 5898.28, PACE allows a local public agency to give loans to individual property owners for the purpose of making energy efficiency improvements.

creation of community facilities districts (CFDs) specifically for the purpose of financing PACE improvements.<sup>12</sup> Unlike traditional CFD formations, no public vote must be held and property owners “opt” into the CFD when they agree to pay special assessments to fund for energy improvements.

The property assessed clean energy (PACE) model is an innovative mechanism for financing energy efficiency and renewable energy improvements on private property. PACE programs exist for both residential properties (commonly referred to as Residential PACE or R-PACE) and commercial properties (commonly referred to as Commercial PACE or C-PACE). There are some key differences between commercial PACE and residential PACE, which has resulted in different rates of adoption and implementation across the U.S.

Commercial and residential PACE programs share a common foundation. PACE programs allow a property owner to finance the up-front cost of energy or other eligible improvements on a property and then pay the costs back over time through a voluntary assessment. The unique characteristic of PACE assessments is that the assessment is attached to the property rather than an individual.

PACE financing for clean energy projects is generally based on an existing structure known as a “land-secured financing district,” often referred to as an assessment district, a local improvement district, or other similar phrase. In a conventional assessment district, the local government issues bonds to fund projects with a public purpose such as streetlights, sewer systems, or underground utility lines.

The recent extension of this financing model to energy efficiency and renewable energy allows a property owner to implement improvements without a large up-front cash

<sup>12</sup> SB 555, Chapter 493, Statutes of 2011.

payment. Property owners that voluntarily choose to participate in a PACE program repay their improvement costs over a set time period - typically 10 to 20 years - through property assessments, which are secured by the property itself and paid as an addition to the owners' property tax bills. Nonpayment generally results in the same set of repercussions as the failure to pay any other portion of a property tax bill.

Two counties, Placer and Sonoma, currently issue debt under the Marks-Roos statute and use the proceeds of those issues to fund PACE improvements for residential and commercial property owners. While Placer County funds both commercial and residential projects, Sonoma County's Energy Independence Program solely funds residential energy improvements. Counties have formed a JPA which issues the debt and in turn loans the proceeds to the county to fund the energy improvements. Figure 13 shows the number of financings, principal issued and outstanding for both programs.

**Figure 13**

PROPERTY ASSESSED CLEAN ENERGY (PACE) PROGRAM BY ISSUER  
ORIGINAL PRINCIPAL AMOUNT AND OUTSTANDING PRINCIPAL AMOUNT

Issuer	Commercial Energy Conservation, Improvement		Residential Energy Conservation, Improvement	
	Original Principal	Principal Outstanding	Original Principal	Principal Outstanding
Pioneer Community Energy (Placer County) <sup>13</sup>	\$62,713	\$138,323	\$23,400	\$414,150
Placer County Public Financing Authority	196,738	32,471	67,587,884	52,659,386
Sonoma County Public Financing Authority	0	0	74,951,462	29,074,509
<b>Total</b>	<b>\$259,451</b>	<b>\$170,794</b>	<b>\$142,562,746</b>	<b>\$82,148,045</b>

<sup>13</sup> Pursuant to Government Code Section 8555(j), the Report of Final Sale reported on the principal amount of the financing, which is a loan structured as a draw-down loan. The County has the authority to increase the principal

amount of the loan, subject to compliance with certain conditions. Therefore, the outstanding principal amount of the loan has increased since the original issuance date pursuant to the terms of the loan agreement.

## ORGANIZATION OF APPENDIX TABLES

Appendix A, the Authority Issuers Fiscal Status Reports, contains data from the fiscal status reports filed with CDIAC for FY 2017-18 and is organized alphabetically by county, authority, and the local obligors associated with the authority issue. Authority issuers that have multicounty boundaries are placed at the end of the section. Authority issue data is displayed first and is followed by the information on each of the local obligors.

### Authority Issuer Fiscal Status Reports

The authority issuer fiscal status reports are divided into several sections. The first section includes debt issuance information from the issuer's Report of Final Sale originally submitted to CDIAC and published in CDIAC's monthly Debt Line newsletter.<sup>14</sup>

The next section which includes Parts A thru F, provides the fiscal status information for the authority bond issue. It is designed to show the use of funds over time and contains the following information:

- Part A - includes the final fiscal year figures for the following categories: principal amount outstanding, minimum reserve fund required, capitalized interest fund balance, bond reserve cash balance, the amount of reserve secured by a surety bond and total bond reserve.
- Part B - shows the original cost of issuance total for the bond issue. (Initial filing only).
- Part C - notes whether the agency participates in the county Teeter Plan program.
- Part D - shows the terms of any guaranteed investment contract for the bond funds, including the maturity date of

the contract, commission and fees paid and the investment earnings for the current fiscal year.

- Part E - contains information on annual professional services fees associated with the issue.
- Part F - is the list of local obligations purchased by the authority from the bond proceeds or the loans made by the authority from the bond proceeds to local obligors or transferred to a local obligor under the terms of a contract between the authority and the local obligor. The list includes the type of debt instrument used by the local obligor, the local obligor's name, its CDIAC reference number, the amount of the purchase or loan and any administrative fees charged to the local obligor, the local obligor's outstanding balance and the local obligor retired/repaid date and type.
- Miscellaneous section - includes any additional information reported by the authority to CDIAC. If blank, no additional information has been provided.

### Local Obligors Fiscal Status Reports

The local obligor fiscal status information will directly follow the authority report if a report was submitted. The fiscal information on each local obligation is divided into several sections. The first section includes information from the issuer's Report of Final Sale originally submitted to CDIAC and published in CDIAC's monthly Debt Line newsletter.

The next section, which includes Parts A thru D, contains the following local obligor's yearly fiscal status information:

- Part A - shows the principal amount outstanding and the minimum bond reserve required or the percentage of the

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<sup>14</sup> Copies of past monthly Debt Line newsletters may be found at:  
<https://www.treasurer.ca.gov/cdiac/debtpubs/debtlne.asp>.

authority's reserve fund apportioned to the local obligor, capitalized interest, any admin fees charged by the authority and bond reserve fund balance.

- Part B - shows the delinquency rate for taxes and assessments supporting the local obligation. This is reported as a percentage of the applicable tax increment, special tax or benefit assessment installment due, any taxes due or taxes unpaid.
- Part C - notes whether the agency participates in the county Teeter Plan program.
- Part D - lists the authority name, its CDIAC reference number and its principal amount.
- Miscellaneous section – includes any additional information reported by the local obligor to CDIAC. If blank, no additional information has been provided.

### **Draw on Reserve/Default/Replenishment Reports**

Appendix B summarizes the Draw on Reserve Fund/Default/Replenishment Report data filed with CDIAC during FY 2017-2018.

There are two parts of the Draw on Reserves/Default/Replenishment portion of this report:

The first section includes information from the issuer's Report of Final Sale originally submitted to CDIAC.

The next section, which includes Parts A and B, contains the following data on the draw on reserve, default or replenishment:

- Part A - contains the information reported on the draw or default or replenishment, including the date the report was received by CDIAC the date of the draw or default

or replenishment, and the amount withdrawn or not paid or replenished.

- Part B – contains a contact name and phone number for the organization reporting the draw on reserve or default or replenishment.
- Miscellaneous section – includes any additional information the issuer has submitted to CDIAC about the event. If blank, no information has been provided.

CDIAC reports information on issuance, fiscal status, and draws on reserve, defaults and replenishments from sources believed to be reliable, but does not attempt to verify or audit individual reports. Readers are encouraged to call the contacts listed on this report or the issuer of the financing with specific questions about the individual issues.

Draw on Reserve/Default/Replenishment Reports are distributed upon request to interested parties throughout the year as CDIAC Marks-Roos Draw on Reserve/Default/Replenishment report. The information also appears on CDIAC's website [www.treasurer.ca.gov/CDIAC](http://www.treasurer.ca.gov/CDIAC). No Draw on Reserve/Default/Replenishment Reports were received during FY 2017-18.

### **Abbreviation List in Alphabetical Order**

Appendix C serves as reference for the abbreviations used in this report in alphabetical order.



## APPENDIX A

### **Yearly Fiscal Status Reports**

This report is based on unaudited information reported to CDIAC on the Report of Final Sale and the Yearly Fiscal Status Report from sources considered to be reliable. CDIAC is not liable for errors that are contained in these reports.





## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-08-2018	\$10,000,000	Alameda County Joint Powers Authority Alameda CDIAC Number: 2018-0120 Commercial paper Project, Interim Financing Series A	S:A-1+	Neg	(BC)	Nixon Peabody	05-03-18	<u>TIC</u>	0
			M:P-1		(FA)	KNN Public Finance	Term	<u>NIC</u>	0
					(EN)	MUFG Union Bank		<u>TYPE:</u>	VAR
			LOC		(TR)	MUFG Union Bank			
					(UW)	MUFG Securities Americas			

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,000,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$127,500

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Alameda County	\$10,000,000.00	11/07/2018	2018-0220	\$0.00	\$10,000,000.00		
<b>Total</b>		<b>\$10,000,000.00</b>				<b>\$10,000,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-08-2018	\$10,000,000	Alameda County Alameda CDIAC Number: 2018-0220 Certificates of participation/leases Project, Interim Financing Series A	NR	Neg	(BC) (FA) (TR)	Nixon Peabody KNN Public Finance MUFG Union Bank	05-03-18 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,000,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0.850	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Alameda County Joint Powers Authority	2018-0120	\$10,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2018	\$73,495,000	Alameda County Joint Powers Authority Alameda CDIAC Number: 2018-0269 Public lease revenue bond Multiple Capital Improvements, Public Works Santa Rita Jail - Western Parcel Federally Taxable	S:AA+ M:Aa1 F:AA+	Neg	(BC) (DC) (FA) (TR) (UW)	Kutak Rock Curls Bartling PC Hilltop Securities Inc U.S. Bank NA Siebert Cisneros Shank &	06-01-28 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.377 3.379

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$67,400,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Alameda County	\$73,495,000.00	11/07/2018	2018-0279	\$0.00	\$67,400,000.00		
<b>Total</b>		<b>\$73,495,000.00</b>				<b>\$67,400,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2018	\$73,495,000	Alameda County Alameda CDIAC Number: 2018-0279 Certificates of participation/leases Multiple Capital Improvements, Public Works Santa Rita Jail -Western Parcel Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Kutak Rock Curls Bartling PC Hilltop Securities Inc U.S. Bank NA	06-01-28 Serial	<u>TIC</u> 3.377 <u>NIC</u> 3.379 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$67,400,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Alameda County Joint Powers Authority	2018-0269	\$73,495,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
06-30-2010	\$18,695,000	Alameda Public Financing Authority Alameda CDIAC Number: 2010-0708 Revenue bond Multiple Capital Improvements, Public Works Harbor Bay CFD No 1 & Marina Village AD No 10-1 Series A & B Refunding	S:A/BBB  F:A/BBB	Comp	(BC) Quint & Thimmig (FA) Sequoia Financial Group (TR) Union Bank NA (UW) Morgan Stanley	09-02-19 Serial	<u>TIC</u> <u>NIC</u> 3.954 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,940,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Bank	\$3,200
District Administration	\$8,386
Disclosure	\$1,413
Total:	\$12,999

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Alameda CFD No 1	\$10,675,000.00	10/30/2018	2010-0709 \$8,386.00	\$2,940,000.00		
SAB	Alameda	\$8,020,000.00		2010-0710		9/1/2014	Matured FY 14/15
		<b>Total</b>			<b>\$2,940,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-22-2010	\$10,675,000	Alameda CFD No 1 Alameda CDIAC Number: 2010-0709 Limited tax obligation bond Multiple Capital Improvements, Public Works Harbor Bay Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Quint & Thimmig Sequoia Financial Group Alameda PFA Union Bank NA	08-01-19 Serial	<u>TIC</u> <u>NIC</u> 4.134 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,940,000	\$294,000	\$0	\$8,386	\$295,545

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.120	\$1,661,519

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Alameda Public Financing Authority	2010-0708	\$18,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
06-25-1999	\$14,420,000	Emeryville Public Financing Authority Alameda CDIAC Number: 1999-0733 Revenue bond Multiple Capital Improvements, Public Works Bay-Shellmound, East & West Assessment Districts	NR	Neg	(BC) Quint & Thimmig (TR) Harris Trust Co (UW) Stone & Youngberg	09-02-21 Comb	<u>TIC</u> <u>NIC</u> 5.764 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,810,000	\$993,267	\$0	\$1,241,529	\$0	\$1,241,529

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2021	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$13,766
Trustee	\$4,300
Total:	\$18,066

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Emeryville	\$1,790,000.00		1999-1385			9/2/2012	Matured FY 12 13
SAB	Emeryville	\$6,000,000.00	10/23/2018	1999-1386	\$0.00	\$1,910,000.00		
SAB	Emeryville	\$5,505,000.00	10/23/2018	1999-1387	\$0.00	\$910,000.00		
<b>Total</b>		<b>\$13,295,000.00</b>				<b>\$2,820,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-25-1999	\$6,000,000	Emeryville Alameda CDIAC Number: 1999-1386 Special assessment bond Multiple Capital Improvements, Public Works E Baybridge Ctr AD No 1993-1 Federally Taxable Refunding	NR	Neg	(BC) (PU) (TR)	Quint & Thimmig Emeryville PFA Harris Trust Co	09-02-21 Comb	<u>TIC</u> <u>NIC</u> 7.198 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<b>B. DELINQUENCY</b>			<b>C. TEETER PLAN</b>	
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$1,910,000	\$0	\$0	\$0	\$0	0	0	N	\$574,937	\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Emeryville Public Financing Authority	1999-0733	\$14,420,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-25-1999	\$5,505,000	Emeryville Alameda CDIAC Number: 1999-1387 Special assessment bond Multiple Capital Improvements, Public Works Bay St-Shellmound St Ext AD Federally Taxable Refunding	NR	Neg	(BC) (PU) (TR)	Quint & Thimmig Emeryville PFA Harris Trust Co	09-02-19 Comb	<u>TIC</u> <u>NIC</u> 6.553 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$910,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$541,586

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,581	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Emeryville Public Financing Authority	1999-0733	\$14,420,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-01-2017	\$85,205,000	Fremont Public Financing Authority Alameda CDIAC Number: 2017-0895 Public lease revenue bond Multiple Capital Improvements, Public Works Series A	NR	Neg PP	(BC) (FA) (PU) (TR)	Jones Hall Public Resources Adv Gp U.S. Bank NA MUFG Union Bank	08-01-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$81,565,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	Fremont	\$85,205,000.00	10/02/2018	2017-2002	\$0.00	\$81,565,000.00		
<b>Total</b>		<b>\$85,205,000.00</b>				<b>\$81,565,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2017	\$85,205,000	Fremont Alameda CDIAC Number: 2017-2002 Capital Lease Multiple Capital Improvements, Public Works Series A Refunding	NR	Neg	(BC) (FA) (TR)	Jones Hall Public Resources Adv Gp MUFG Union Bank	08-01-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$81,565,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fremont Public Financing Authority	2017-0895	\$85,205,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-08-2017	\$19,725,000	Fremont Public Financing Authority Alameda CDIAC Number: 2017-1914 Public lease revenue bond Multiple Capital Improvements, Public Works Fire Station Nos 1, 4, 10 & 11 Series B Refunding	S:AA	Comp	(BC) Jones Hall (DC) Jones Hall (FA) Public Resources Adv Gp (TR) The Bank of NY Mellon (UW) Morgan Stanley	10-01-38 Serial	<u>TIC</u> 2.881 <u>NIC</u> 2.999 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$19,725,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$375,151

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	Fremont	\$19,725,000.00	10/02/2018	2017-1915	\$0.00	\$19,725,000.00		
		<b>Total</b>				<b>\$19,725,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-08-2017	\$19,725,000	Fremont Alameda CDIAC Number: 2017-1915 Capital Lease Multiple Capital Improvements, Public Works Fire Station Nos 1, 4, 10 & 11 Series B	NR	Comp	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall Public Resources Adv Gp The Bank of NY Mellon	10-01-38 Serial	<u>TIC</u> 2.881 <u>NIC</u> 2.999 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,725,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fremont Public Financing Authority	2017-1914	\$19,725,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>		<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-28-2018	\$64,010,000	Livermore Valley Water Financing Authority Alameda CDIAC Number: 2018-0443 Public enterprise revenue bond Water Supply, Storage, Distribution Zone 7 Series A Refunding	S:AA+		Neg	(BC) Orrick Herrington (DC) Stradling Yocca (FA) Sperry Capital (TR) U.S. Bank NA (UW) Wells Fargo Bank NA	07-01-48 Comb	<u>TIC</u> 3.537 <u>NIC</u> 3.802 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$64,010,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$425,730

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$64,010,000.00</b>				<b>\$64,010,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-28-2018	\$64,010,000	Alameda County Flood Control and Water Conservation District Alameda CDIAC Number: 2018-0507 Public enterprise revenue bond Water Supply, Storage, Distribution Zone 7 Series A	NR	Neg	(BC) (DC) (FA) (TR)	Orrick Herrington Stradling Yocca Sperry Capital U.S. Bank NA	07-01-48 Comb	<u>TIC</u> 3.537 <u>NIC</u> 3.802 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$64,010,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Livermore Valley Water Financing Authority	2018-0443	\$64,010,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-12-1996	\$465,000	Oakland Joint Powers Financing Authority Alameda CDIAC Number: 1996-1302 Revenue bond Other Capital Improvements, Public Works	NR	Neg	(BC) (FA) (TR) (UW)	Nossaman Guthner Stephens McCarthy First Trust of CA M L Stern & Co	09-02-20 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.330

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Oakland	\$335,000.00	10/30/2018	1999-1882	\$0.00	\$0.00	9/2/2017	Matured FY 17/18
SAB	Oakland	\$55,572.00		1999-1883			9/2/2003	Matured FY 03/04
SAB	Oakland	\$6,832.00		1999-1884			9/2/2003	Matured FY 03/04
SAB	Oakland	\$44,358.00		1999-1885			9/3/2000	Matured FY 00/01
<b>Total</b>		<b>\$465,000.00</b>				<b>\$0.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-1996	\$335,000	Oakland Alameda CDIAC Number: 1999-1882 Special assessment bond Other Capital Improvements, Public Works Skyline Sewer AD	NR	Neg	(PU)	Oakland JPFA	Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oakland Joint Powers Financing Authority	1996-1302	\$465,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2018	\$60,025,000	Oakland Joint Powers Financing Authority Alameda CDIAC Number: 2018-0494 Public lease revenue bond Public Building Oakland Admin Bldgs	S:AA- M:Aa3	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Alexis S M Chiu Backstrom McCarley The Bank of NY Mellon Siebert Cisneros Shank &	11-01-26 Serial	<u>TIC</u> 2.200 <u>NIC</u> 2.400 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$60,025,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$505,525

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Oakland	\$60,025,000.00	08/30/2018	2018-0495	\$0.00	\$60,025,000.00		
<b>Total</b>		<b>\$60,025,000.00</b>				<b>\$60,025,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2018	\$60,025,000	Oakland Alameda CDIAC Number: 2018-0495 Certificates of participation/leases Public Building Oakland Admin Bldgs	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Alexis S M Chiu Backstrom McCarley The Bank of NY Mellon Siebert Cisneros Shank &	11-01-26 Serial	<u>TIC</u> 2.232 <u>NIC</u> 2.426 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$60,025,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oakland Joint Powers Financing Authority	2018-0494	\$60,025,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-12-2006	\$9,200,000	Angels Public Financing Authority Calaveras CDIAC Number: 2005-2052 Revenue bond Multiple Capital Improvements, Public Works Greenhorn Creek ReAD No 2006-1 Series A & Sub Series B	S:AA     Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Radian Asset Assurance The Bank of NY Trust Co Westhoff Cone	09-02-21 Comb	<u>TIC</u> <u>NIC</u> 4.414 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,650,000	\$748,860	\$0	\$462,752	\$322,275	\$785,027

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Angels	\$8,781,144.00	09/25/2018	2005-2053	\$39,244.00	\$2,602,734.00		
<b>Total</b>		<b>\$8,781,144.00</b>				<b>\$2,602,734.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-12-2006	\$8,781,144	Angels Calaveras CDIAC Number: 2005-2053 Special assessment bond Multiple Capital Improvements, Public Works Greenhorn Creek ReAD No 2006-1 Refunding	NR	Neg PP	(BC) (PU) (TR)	Orrick Herrington Angels PFA Angels	09-02-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.175

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,602,734	\$0	\$0	\$39,244	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.430	Y	\$780,811

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,152	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Angels Public Financing Authority	2005-2052	\$9,200,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
10-16-2009	\$43,945,000	Brentwood Infrastructure Financing Authority Contra Costa CDIAC Number: 2009-1294 Public lease revenue bond Public Building Sr Activity, Civic, Community Ctrs, Library Imp & CFD Nos 3, 4 & 5 Series B Build America Bonds Federally Taxable	S:AA-	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Del Rio Advisors LLC U.S. Bank NA RBC Capital Mkts LLC	10-01-39 Comb	<u>TIC</u> <u>NIC</u> 4.856 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$42,820,000	\$1,866,504	\$0	\$1,882,642	\$0	\$1,882,642

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
PLRB	Brentwood Infrastructure Financing Authority	\$4,055,000.00		2009-1091			10/1/2016	Matured FY 16/17
LTOB	Brentwood CFD No 3	\$15,388,544.00	10/26/2018	2009-1092	\$0.00	\$13,727,863.29		
LTOB	Brentwood CFD No 4	\$3,691,998.00	10/26/2018	2009-1093	\$0.00	\$3,293,570.00		
LTOB	Brentwood CFD No 5	\$1,129,985.00	10/26/2018	2009-1094	\$0.00	\$1,008,040.40		
<b>Total</b>		<b>\$24,265,527.00</b>				<b>\$18,029,473.69</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-16-2009	\$15,388,544	Brentwood CFD No 3 Contra Costa CDIAC Number: 2009-1092 Limited tax obligation bond Multiple Capital Improvements, Public Works	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Del Rio Advisors LLC Brentwood Infra FA U.S. Bank NA	09-15-39 Comb	<u>TIC</u> <u>NIC</u> 4.819 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$13,727,863	\$1,033,585	\$0	\$0	\$1,124,279	100.000	1.910	Y	\$1,531,151	\$29,192	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2009-1294	\$43,945,000.00

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$13,727,863	\$1,033,585	\$0	\$0	\$1,124,279	100.000	1.910	Y	\$1,531,151	\$29,192	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2019-0407	\$32,210,000.00

### MISCELLANEOUS

Issues 2009-1294 will be removed from Issue(s) 2009-1092, 2009-1093 & 2009-1094 after the FY 19/20 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-16-2009	\$3,691,998	Brentwood CFD No 4 Contra Costa CDIAC Number: 2009-1093 Limited tax obligation bond Multiple Capital Improvements, Public Works	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Del Rio Advisors LLC Brentwood Infra FA U.S. Bank NA	09-15-39 Comb	<u>TIC</u> <u>NIC</u> 4.819 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$3,293,570	\$247,976	\$0	\$0	\$269,488	100.000	1.470	Y	\$2,410,598	\$35,525	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2009-1294	\$43,945,000.00

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$3,293,570	\$247,976	\$0	\$0	\$269,488	100.000	1.470	Y	\$2,410,598	\$35,525	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2019-0407	\$32,210,000.00

### MISCELLANEOUS

Issues 2009-1294 will be removed from Issue(s) 2009-1092, 2009-1093 & 2009-1094 after the FY 19/20 MKR Fiscal Status Report (Calendar) has been published.



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-16-2009	\$1,129,985	Brentwood CFD No 5 Contra Costa CDIAC Number: 2009-1094 Limited tax obligation bond Multiple Capital Improvements, Public Works	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Del Rio Advisors LLC Brentwood Infra FA U.S. Bank NA	09-15-39 Comb	<u>TIC</u> <u>NIC</u> 4.819 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$1,008,040	\$75,896	\$0	\$0	\$82,423	100.000	3.570	Y	\$923,419	\$32,976	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2009-1294	\$43,945,000.00

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$1,008,040	\$75,896	\$0	\$0	\$82,423	100.000	3.570	Y	\$923,419	\$32,976	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2019-0407	\$32,210,000.00

### MISCELLANEOUS

Issues 2009-1294 will be removed from Issue(s) 2009-1092, 2009-1093 & 2009-1094 after the FY 19/20 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-07-2012	\$22,395,000	Brentwood Infrastructure Financing Authority Contra Costa CDIAC Number: 2012-0810 Revenue bond Multiple Capital Improvements, Public Works Series A Refunding	S:AA-/A-      Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington Del Rio Advisors LLC Assured Guaranty Corp U.S. Bank NA RBC Capital Mkts LLC	09-02-29 Comb	<u>TIC</u> 3.531 <u>NIC</u> 3.559 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,290,000	\$2,063,923	\$0	\$548,537	\$1,518,923	\$2,067,460

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2029	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
City Government	\$7,999
County Government	\$1,885
Consultant	\$13,169
Registrar	\$3,515
<b>Total:</b>	<b>\$26,568</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Brentwood	\$19,620,000.00	09/21/2018	1996-1667	\$0.00	\$0.00	9/2/2017	Matured FY 17/18
SAB	Brentwood	\$9,995,000.00	09/21/2018	1998-1409	\$0.00	\$5,470,000.00		
SAB	Brentwood	\$8,865,000.00	09/21/2018	1999-1315	\$0.00	\$5,300,000.00		
<b>Total</b>		<b>\$38,480,000.00</b>				<b>\$10,770,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-20-1996	\$19,620,000	Brentwood Contra Costa CDIAC Number: 1996-1667 Special assessment bond Wastewater Collection, Treatment ReAD No 96-1 Refunding	NR	Neg	(BC) (PU) (TR)	Orrick Herrington Brentwood Infra FA First Trust of CA	09-02-17 Serial	<u>TIC</u> <u>NIC</u> 6.010 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,158	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2012-0810	\$22,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-1998	\$9,995,000	Brentwood Contra Costa CDIAC Number: 1998-1409 Special assessment bond Multiple Capital Improvements, Public Works AD No 98-2	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Brentwood Brentwood Infra FA	09-02-28 Comb	<u>TIC</u> <u>NIC</u> 5.947 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,470,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.340	\$695,885

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$16,284	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2012-0810	\$22,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-24-1999	\$8,865,000	Brentwood Contra Costa CDIAC Number: 1999-1315 Special assessment bond Multiple Capital Improvements, Public Works AD No 99-1	NR	Neg	(BC) (TR) (UW)	Orrick Herrington US Bank Corp Trust Brentwood Infra FA	09-02-29 Comb	<u>TIC</u> <u>NIC</u> 6.038 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,300,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.620	\$640,585

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,364	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2012-0810	\$22,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-24-2014	\$74,400,000	Brentwood Infrastructure Financing Authority Contra Costa CDIAC Number: 2014-1294 Revenue bond Multiple Capital Improvements, Public Works AD Nos 93-2, 93-3, 94-2, 94-3, 2000-1, 2005-1 & 2006-1 Series A & Sub Series B Refunding	S:AA/A-      Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington Del Rio Advisors LLC Assured Guaranty Corp U.S. Bank NA RBC Capital Mkts LLC	09-02-36 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.527 3.763

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$64,395,000	\$5,668,345	\$0	\$2,197,788	\$3,487,545	\$5,685,333

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
City Government	\$111,216
County Government	\$7,529
Consultant	\$61,788
Trustee	\$6,758
<b>Total:</b>	<b>\$187,291</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Brentwood	\$484,336.00	09/21/2018	1995-1456	\$0.00	\$233,945.26		
SAB	Brentwood	\$3,424,994.00	09/21/2018	1995-1457	\$0.00	\$1,387,744.28		
SAB	Brentwood	\$5,164,122.00	09/21/2018	1995-1458	\$0.00	\$2,369,886.79		
SAB	Brentwood	\$2,445,004.00	09/21/2018	1995-1459	\$0.00	\$1,162,876.65		
SAB	Brentwood	\$4,422,371.00	09/21/2018	1996-1516	\$0.00	\$1,914,291.26		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Brentwood	\$559,682.00	09/21/2018	1996-1517	\$0.00	\$210,192.50		
SAB	Brentwood	\$3,327,947.00	09/21/2018	1996-1518	\$0.00	\$1,619,932.83		
SAB	Brentwood	\$4,346,359.00	09/21/2018	1997-1628	\$0.00	\$2,238,155.26		
SAB	Brentwood	\$1,164,036.00	09/21/2018	1997-1629	\$0.00	\$515,900.48		
SAB	Brentwood	\$239,605.00	09/21/2018	1997-1632	\$0.00	\$118,949.09		
SAB	Brentwood	\$2,203,438.00	09/21/2018	1999-0066	\$0.00	\$1,205,469.86		
SAB	Brentwood	\$9,800,657.00	09/21/2018	1999-0067	\$0.00	\$4,787,059.89		
SAB	Brentwood	\$2,295,085.00	09/21/2018	1999-0068	\$0.00	\$1,354,571.41		
SAB	Brentwood	\$1,970,820.00	09/21/2018	1999-0069	\$0.00	\$1,138,206.97		
SAB	Brentwood	\$12,740,000.00	09/21/2018	2000-1758	\$0.00	\$8,264,268.00		
SAB	Brentwood	\$40,145,000.00	09/21/2018	2005-1364	\$0.00	\$30,325,000.00		
SAB	Brentwood	\$17,195,000.00	09/21/2018	2006-0877	\$0.00	\$13,225,000.00		
		<b>Total</b>				<b>\$72,071,450.53</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-1995	\$484,336	Brentwood Contra Costa CDIAC Number: 1995-1456 Special assessment bond Multiple Capital Improvements, Public Works AD No 94-2	NR	Neg	(BC) (TR) (UW)	Orrick Herrington First Trust of CA Brentwood Infra FA	09-02-25 Comb	<u>TIC</u> <u>NIC</u> 7.203 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$233,945	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.470	\$39,009

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$572	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-1995	\$3,424,994	Brentwood Contra Costa CDIAC Number: 1995-1457 Special assessment bond Multiple Capital Improvements, Public Works AD No 93-3	NR	Neg	(BC) (TR) (UW)	Orrick Herrington First Trust of CA Brentwood Infra FA	09-02-25 Comb	<u>TIC</u> <u>NIC</u> 7.203 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,387,744	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$231,384

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,204	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-1995	\$5,164,122	Brentwood Contra Costa CDIAC Number: 1995-1458 Special assessment bond Multiple Capital Improvements, Public Works AD No 94-3	NR	Neg	(BC) (TR) (UW)	Orrick Herrington First Trust of CA Brentwood Infra FA	09-02-25 Comb	<u>TIC</u> <u>NIC</u> 7.203 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,369,887	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.140	\$395,243

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,522	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-1995	\$2,445,004	Brentwood Contra Costa CDIAC Number: 1995-1459 Special assessment bond Multiple Capital Improvements, Public Works AD No 93-2	NR	Neg	(BC) (TR) (UW)	Orrick Herrington First Trust of CA Brentwood Infra FA	09-02-25 Comb	<u>TIC</u> <u>NIC</u> 7.203 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,162,877	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.640	\$193,904

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,060	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-18-1996	\$4,422,371	Brentwood Contra Costa CDIAC Number: 1996-1516 Special assessment bond Multiple Capital Improvements, Public Works AD No 93-3	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Kadie-Jensen Johnson First Trust of CA Brentwood Infra FA	09-02-26 Comb	<u>TIC</u> <u>NIC</u> 6.620 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,914,291	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.950	\$286,014

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,575	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-18-1996	\$559,682	Brentwood Contra Costa CDIAC Number: 1996-1517 Special assessment bond Multiple Capital Improvements, Public Works AD No 94-3	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Kadie-Jensen Johnson First Trust of CA Brentwood Infra FA	09-02-26 Comb	<u>TIC</u> <u>NIC</u> 6.620 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$210,193	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.750	\$31,395

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$237	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-18-1996	\$3,327,947	Brentwood Contra Costa CDIAC Number: 1996-1518 Special assessment bond Multiple Capital Improvements, Public Works AD No 93-2	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Kadie-Jensen Johnson First Trust of CA Brentwood Infra FA	09-02-26 Comb	<u>TIC</u> <u>NIC</u> 6.620 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,619,933	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.970	\$242,133

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,763	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-20-1997	\$4,346,359	Brentwood Contra Costa CDIAC Number: 1997-1628 Special assessment bond Multiple Capital Improvements, Public Works AD No 94-3	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Kadie-Jensen Johnson First Trust of CA Brentwood Infra FA	09-02-27 Comb	<u>TIC</u> <u>NIC</u> 6.042 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,238,155	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.390	\$302,519

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,205	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-20-1997	\$1,164,036	Brentwood Contra Costa CDIAC Number: 1997-1629 Special assessment bond Multiple Capital Improvements, Public Works AD No 93-3	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Kadie-Jensen Johnson First Trust of CA Brentwood Infra FA	09-02-27 Comb	<u>TIC</u> <u>NIC</u> 6.042 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$515,900	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.220	\$69,715

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,548	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-20-1997	\$239,605	Brentwood Contra Costa CDIAC Number: 1997-1632 Special assessment bond Water Supply, Storage, Distribution AD No 93-2	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Kadie-Jensen Johnson First Trust of CA Brentwood Infra FA	09-02-27 Comb	<u>TIC</u> <u>NIC</u> 6.042 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$118,949	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.790	\$16,078

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$448	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-1999	\$2,203,438	Brentwood Contra Costa CDIAC Number: 1999-0066 Special assessment bond Multiple Capital Improvements, Public Works AD No 93-2	NR	Neg	(BC) (TR) (UW)	Orrick Herrington US Bank Corp Trust Brentwood Infra FA	09-02-29 Comb	<u>TIC</u> <u>NIC</u> 5.578 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,205,470	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.030	\$139,665

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,226	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-1999	\$9,800,657	Brentwood Contra Costa CDIAC Number: 1999-0067 Special assessment bond Multiple Capital Improvements, Public Works AD No 93-3	NR	Neg	(BC) (TR) (UW)	Orrick Herrington US Bank Corp Trust Brentwood Infra FA	09-02-29 Comb	<u>TIC</u> <u>NIC</u> 5.578 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,787,060	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.340	Y	\$554,449

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$12,853	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-1999	\$2,295,085	Brentwood Contra Costa CDIAC Number: 1999-0068 Special assessment bond Multiple Capital Improvements, Public Works AD No 94-2	NR	Neg	(BC) (TR) (UW)	Orrick Herrington US Bank Corp Trust Brentwood Infra FA	09-02-29 Comb	<u>TIC</u> <u>NIC</u> 5.578 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,354,571	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.730	\$156,900

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,709	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-1999	\$1,970,820	Brentwood Contra Costa CDIAC Number: 1999-0069 Special assessment bond Multiple Capital Improvements, Public Works AD No 94-3	NR	Neg	(BC) (TR) (UW)	Orrick Herrington US Bank Corp Trust Brentwood Infra FA	09-02-29 Comb	<u>TIC</u> <u>NIC</u> 5.578 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,138,207	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.920	Y	\$131,892

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,854	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-30-2000	\$12,740,000	Brentwood Contra Costa CDIAC Number: 2000-1758 Special assessment bond Multiple Capital Improvements, Public Works AD No 2000-1 Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington US Bank Corp Trust Brentwood Infra FA	09-02-30 Comb	<u>TIC</u> <u>NIC</u> 6.493 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,264,268	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.980	Y	\$933,474

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$18,449	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
08-03-2005	\$40,145,000	Brentwood Contra Costa CDIAC Number: 2005-1364 Special assessment bond Multiple Capital Improvements, Public Works AD No 2005-1	NR	Neg	(BC) (TR) (UW)	Orrick Herrington U.S. Bank NA Brentwood Infra FA	09-02-35 Comb	TIC NIC 5.060 TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$30,325,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due
0	Y	0.840	\$2,590,731

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$21,843	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$17,195,000	Brentwood Contra Costa CDIAC Number: 2006-0877 Special assessment bond Multiple Capital Improvements, Public Works AD No 2006-1	NR	Neg	(BC) (TR) (UW)	Orrick Herrington U.S. Bank NA Brentwood Infra FA	09-02-36 Comb	<u>TIC</u> <u>NIC</u> 5.215 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,225,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.160	\$1,108,914

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$12,915	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2014-1294	\$74,400,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-06-2018	\$38,120,000	Brentwood Infrastructure Financing Authority Contra Costa CDIAC Number: 2018-0096 Revenue bond Multiple Capital Improvements, Public Works AD Nos 2002-1, 2003-1 & 2004-1 Series A Federally Taxable Refunding	S:AA/A-     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington Del Rio Advisors LLC Assured Guaranty Corp U.S. Bank NA RBC Capital Mkts LLC	09-02-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.863 3.868

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$38,120,000	\$3,271,266	\$0	\$0	\$3,271,266	\$3,271,266

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$954,662

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
City Government	\$42,304
County Government	\$3,446
Consultant	\$26,112
Registrar	\$7,530
<b>Total:</b>	<b>\$79,392</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Brentwood	\$16,585,000.00	09/21/2018	2002-1505	\$0.00	\$11,470,000.00		
SAB	Brentwood	\$17,335,000.00	09/21/2018	2003-1334	\$0.00	\$12,250,000.00		
SAB	Brentwood	\$21,915,000.00	09/21/2018	2004-1274	\$0.00	\$16,320,000.00		
<b>Total</b>		<b>\$55,835,000.00</b>				<b>\$40,040,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-16-2005	\$16,565,000	Brentwood Infrastructure Financing Authority Contra Costa CDIAC Number: 2005-0655 Revenue bond Multiple Capital Improvements, Public Works AD No 2002-1 Series A & Sub Series B Refunding	S:AAA  F:AAA  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Orrick Herrington Jones Hall FSA U.S. Bank NA RBC Dain Rauscher	09-02-32 Comb	<u>TIC</u> <u>NIC</u> 4.539 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
City Government	\$9,635
County Government	\$1,041
Consultant	\$9,197
Registrar	\$3,965
<b>Total:</b>	<b>\$23,839</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Brentwood	\$16,585,000.00	09/21/2018	2002-1505 \$0.00	\$11,470,000.00		
<b>Total</b>		<b>\$16,585,000.00</b>			<b>\$11,470,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-19-2006	\$28,800,000	Brentwood Infrastructure Financing Authority Contra Costa CDIAC Number: 2007-0026 Revenue bond Multiple Capital Improvements, Public Works AD Nos 2003-1 & 2004-1 Series A Refunding	S:AAA M:Aaa  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Orrick Herrington Jones Hall Ambac U.S. Bank NA RBC Capital Mkts LLC	09-02-34 Comb	<u>TIC</u> <u>NIC</u> 4.399 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
City Government	\$26,177
County Government	\$1,927
Consultant	\$13,554
Registrar	\$2,857
<b>Total:</b>	<b>\$44,515</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Brentwood	\$17,335,000.00	09/21/2018	2003-1334	\$0.00	\$12,250,000.00		
SAB	Brentwood	\$21,915,000.00	09/21/2018	2004-1274	\$0.00	\$16,320,000.00		
RB	Brentwood Infrastructure Financing Authority	\$6,950,000.00	09/21/2018	2007-0038		\$0.00	2/28/2818	Redeemed FY 17/18
<b>Total</b>		<b>\$46,200,000.00</b>				<b>\$28,570,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-19-2006	\$6,950,000	Brentwood Infrastructure Financing Authority Contra Costa CDIAC Number: 2007-0038 Revenue bond Multiple Capital Improvements, Public Works AD Nos 2003-1 & 2004-1 Sub Series B Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington U.S. Bank NA RBC Capital Mkts LLC	09-02-34 Comb	<u>TIC</u> <u>NIC</u> 4.399 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
City Government	\$6,491
County Government	\$478
Consultant	\$3,361
Registrar	\$708
Total:	\$11,038

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
08-01-2003	\$17,335,000	Brentwood Contra Costa CDIAC Number: 2003-1334 Special assessment bond Multiple Capital Improvements, Public Works AD No 2003-1	NR	Neg	(BC) (TR) (UW)	Orrick Herrington U.S. Bank NA Brentwood Infra FA	09-02-33 Serial	TIC NIC 6.374 TYPE:

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	Authority Reserve Fund (%)	B. DELINQUENCY		C. TEETER PLAN		
							Rate(%)	Del Taxes Reported YES/NO	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$12,250,000	\$0	\$0	\$0	\$0	0	2.230	Y	\$1,258,918	\$28,017	Y

**D. AUTHORITY**

Issuing Authority	CDIAC Nbr	Principal Amt
Brentwood Infrastructure Financing Authority	2007-0026	\$28,800,000.00

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	Authority Reserve Fund (%)	B. DELINQUENCY		C. TEETER PLAN		
							Rate(%)	Del Taxes Reported YES/NO	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$12,250,000	\$0	\$0	\$0	\$0	0	2.230	Y	\$1,258,918	\$28,017	Y

**D. AUTHORITY**

Issuing Authority	CDIAC Nbr	Principal Amt
Brentwood Infrastructure Financing Authority	2018-0096	\$38,120,000.00

**MISCELLANEOUS**

Issues 2007-0026 will be removed from Issues 2003-1334 & 2004-1274 after the FY 17/18 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-05-2004	\$21,915,000	Brentwood Contra Costa CDIAC Number: 2004-1274 Special assessment bond Multiple Capital Improvements, Public Works AD No 2004-1 Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington U.S. Bank NA Brentwood Infra FA	09-02-34 Comb	<u>TIC</u> <u>NIC</u> 5.898 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,320,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.940	Y	\$1,561,497

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$30,323	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2007-0026	\$28,800,000.00

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,320,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.940	Y	\$1,561,497

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$30,323	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brentwood Infrastructure Financing Authority	2018-0096	\$38,120,000.00

### MISCELLANEOUS

Issues 2007-0026 will be removed from Issues 2003-1334 & 2004-1274 after the FY 17/18 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
08-22-2002	\$16,585,000	Brentwood Contra Costa CDIAC Number: 2002-1505 Special assessment bond Multiple Capital Improvements, Public Works AD No 2002-1	NR	Neg	(BC) (PU) (TR)	Orrick Herrington Brentwood Infra FA U.S. Bank NA	09-02-32 Comb	TIC NIC 6.092 TYPE:

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	Authority Reserve Fund (%)	B. DELINQUENCY		C. TEETER PLAN		
							Rate(%)	Del Taxes Reported YES/NO	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$11,470,000	\$0	\$0	\$0	\$0	0	1.920	Y	\$1,211,746	\$23,245	Y

#### D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Brentwood Infrastructure Financing Authority	2018-0096	\$38,120,000.00

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	Authority Reserve Fund (%)	B. DELINQUENCY		C. TEETER PLAN		
							Rate(%)	Del Taxes Reported YES/NO	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$11,470,000	\$0	\$0	\$0	\$0	0	1.920	Y	\$1,211,746	\$23,245	Y

#### D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Brentwood Infrastructure Financing Authority	2005-0655	\$16,565,000.00

### MISCELLANEOUS

Issue 2005-0655 will be removed from Issue 2002-1505 after the FY 17/18 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-20-1999	\$44,615,000	Contra Costa County Public Financing Authority Contra Costa CDIAC Number: 1999-0105 Tax allocation bond Redevelopment, Multiple Purposes Pleasant Hill BART, N Richmond, Bay Pt, Oakley & Rodeo Refunding	S:BBB	Neg	(BC) (DC) (TR) (UW)	Quint & Thimmig Jones Hall US Bank Corp Trust Stone & Youngberg	08-01-28 Comb	<u>TIC</u> <u>NIC</u> 5.315 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$202
Total:	\$202

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Contra Costa County Redevelopment Agency	\$8,030,000.00	10/22/2018	2016-0761	\$4.92	\$0.00	9/7/2017	Redeemed FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$3,295,000.00		2016-0762		\$0.00	6/27/2007	Redeemed FY 06/07
MKRL	Contra Costa County Redevelopment Agency	\$21,675,000.00	10/22/2018	2016-0763	\$196.74	\$0.00	9/7/2017	Redeemed FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$7,645,000.00		2016-0814		\$0.00	6/25/2007	Redeemed FY 06/07
<b>Total</b>		<b>\$44,615,000.00</b>				<b>\$0.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-20-1999	\$8,030,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0761 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Bay Point	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA US Bank Corp Trust	08-01-28 Comb	<u>TIC</u> <u>NIC</u> 5.315 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$5	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	1999-0105	\$44,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-20-1999	\$21,675,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0763 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Pleasant Hill BART	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA US Bank Corp Trust	08-01-28 Comb	<u>TIC</u> <u>NIC</u> 5.315 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$197	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	1999-0105	\$44,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
08-22-2003	\$43,345,000	Contra Costa County Public Financing Authority Contra Costa CDIAC Number: 2003-1554 Tax allocation bond Redevelopment, Multiple Purposes N Richmond, Rodeo, Pleasant Hill BART & Bay Point Series A Refunding	S:BBB	Neg	(BC) (DC) (TR) (UW)	Quint & Thimmig McFarlin & Anderson U.S. Bank NA Stone & Youngberg	08-01-33 Comb	TIC NIC TYPE:	5.775

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type	
MKRL	Contra Costa County Redevelopment Agency	\$32,485,000.00	10/22/2018	2016-0764	\$0.00	\$0.00	9/7/2017	Redeemed	FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$3,395,000.00		2016-0765			5/30/2007	Redeemed	FY 06/07
MKRL	Contra Costa County Redevelopment Agency	\$3,255,000.00		2016-0766			5/30/2007	Redeemed	FY 06/07
MKRL	Contra Costa County Redevelopment Agency	\$4,210,000.00		2016-0767			5/30/2007	Redeemed	FY 06/07
<b>Total</b>		<b>\$43,345,000.00</b>				<b>\$0.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-22-2003	\$32,485,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0764 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Pleasant Hill BART Series A	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig McFarlin & Anderson Contra Costa Co PFA U.S. Bank NA	08-01-33 Comb	<u>TIC</u> 5.775 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2003-1554	\$43,345,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$25,500,000	Contra Costa County Public Financing Authority Contra Costa CDIAC Number: 2007-0567 Tax allocation bond Redevelopment, Multiple Purposes N Richmond, Bay Pt, Rodeo & Montalvin Manor Series A-T Federally Taxable	S:AAA/A-     Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Quint & Thimmig Jones Hall MBIA Corp U.S. Bank NA Stone & Youngberg	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 6.168 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$22
Total:	\$22

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u>	<u>Reporting</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
		<u>Loan Amount</u>	<u>Date</u>	<u>CDIAC Nbr</u>	<u>Admin Fees</u>			
MKRL	Contra Costa County Redevelopment Agency	\$2,830,000.00	10/23/2018	2016-0768	\$0.00	\$0.00	9/7/2017	Redeemed FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$18,885,000.00	10/23/2018	2016-0769	\$0.00	\$0.00	9/7/2017	Redeemed FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$2,995,000.00	10/23/2018	2016-0770	\$0.00	\$0.00	9/7/2017	Redeemed FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$790,000.00	10/23/2018	2016-0771	\$0.66	\$0.00	9/7/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$25,500,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$2,830,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0768 Marks-Roos Authority Loan Redevelopment, Multiple Purposes North Richmond Federally Taxable	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 6.168 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2007-0567	\$25,500,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$18,885,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0769 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Bay Point Federally Taxable	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 6.168 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2007-0567	\$25,500,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$2,995,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0770 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rodeo Federally Taxable	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 6.168 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2007-0567	\$25,500,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$790,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0771 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Montalvin Manor Federally Taxable	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 6.168 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$1	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2007-0567	\$25,500,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$78,870,000	Contra Costa County Public Financing Authority Contra Costa CDIAC Number: 2007-0568 Tax allocation bond Redevelopment, Multiple Purposes Contra Costa Ctr, N Richmond, Bay Pt, Rodeo & Montalvin Manor Series A & Sub Series B Refunding	S:AAA/A-/  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Quint & Thimmig Jones Hall MBIA Corp U.S. Bank NA Stone & Youngberg	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.604 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$54
Total:	\$54

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>				
MKRL	Contra Costa County Redevelopment Agency	\$10,710,000.00	10/24/2018	2016-0772	\$7.31		\$0.00	9/7/2017	Redeemed FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$2,195,000.00	10/24/2018	2016-0773	\$1.50		\$0.00	9/7/2017	Redeemed FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$37,775,000.00	10/24/2018	2016-0774	\$25.89		\$0.00	9/7/2017	Redeemed FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$17,865,000.00	10/24/2018	2016-0775	\$12.20		\$0.00	9/7/2017	Redeemed FY 17/18
MKRL	Contra Costa County Redevelopment Agency	\$10,325,000.00	10/24/2018	2016-0808	\$7.06		\$0.00	9/7/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$78,870,000.00</b>					<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$10,710,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0772 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rodeo Series A & Sub Series B	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.604 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$7	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2007-0568	\$78,870,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$2,195,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0773 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Montalvin Manor Series A & Sub Series B	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.604 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$2	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2007-0568	\$78,870,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$37,775,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0774 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Contra Costa Center Series A & Sub Series B	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.604 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$26	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2007-0568	\$78,870,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$17,865,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0775 Marks-Roos Authority Loan Redevelopment, Multiple Purposes North Richmond Series A & Sub Series B	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.604 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$12	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2007-0568	\$78,870,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-30-2007	\$10,325,000	Contra Costa County Redevelopment Agency Contra Costa CDIAC Number: 2016-0808 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Bay Point Series A & Sub Series B	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Jones Hall Contra Costa Co PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.604 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$7	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Contra Costa County Public Financing Authority	2007-0568	\$78,870,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2001	\$3,570,000	Danville Financing Authority Contra Costa CDIAC Number: 2001-1964 Revenue bond Redevelopment, Multiple Purposes Downtown Redevelopment Series A Federally Taxable	M:Baa1	Neg	(BC) (DC) (FA) (TR) (UW)	Quint & Thimmig Hawkins Delafield William Euphrat BNY Mellon Capital Stone & Youngberg	08-01-28 Comb	<u>TIC</u> <u>NIC</u> 8.461 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,605,000	\$376,385	\$0	\$376,385	\$0	\$376,385

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Danville Redevelopment Agency	\$3,570,000.00	11/07/2018	2016-0778	\$3,749.00	\$2,605,000.00		
<b>Total</b>		<b>\$3,570,000.00</b>				<b>\$2,605,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2001	\$3,570,000	Danville Redevelopment Agency Contra Costa CDIAC Number: 2016-0778 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Downtown Federally Taxable	NR	Neg	(BC) Quint & Thimmig (DC) Hawkins Delafield (FA) William Euphrat (LE) Danville FA (TR) BNY Mellon Capital	08-01-28 Comb	<u>TIC</u> <u>NIC</u> 8.461 <u>TYPE:</u>	

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,605,000	\$376,385	\$0	\$3,749	\$376,385

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Teeter Plan Participant</u>
Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Danville Financing Authority	2001-1964	\$3,570,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
04-06-2017	\$8,825,000	Discovery Bay Public Financing Authority Contra Costa CDIAC Number: 2016-4030 Public enterprise revenue bond Wastewater Collection, Treatment Water and Wastewater	S:AA	Neg	(BC) (DC) (FA) (TR) (UW)	Quint & Thimmig Quint & Thimmig Prager Sealy & Co LLC U.S. Bank NA Brandis Tallman LLC	12-01-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.776 3.849

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,795,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
COPL	Discovery Bay	\$1,335,000.00	12/05/2018	2017-2360	\$0.00	\$1,335,000.00		
COPL	Discovery Bay	\$7,490,000.00	12/05/2018	2017-2361	\$0.00	\$7,460,000.00		
		<b>Total</b>				<b>\$8,795,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-06-2017	\$1,335,000	Discovery Bay Contra Costa CDIAC Number: 2017-2360 Certificates of participation/leases Water Supply, Storage, Distribution Water	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Prager Sealy & Co LLC U.S. Bank NA	12-01-47 Comb	<u>TIC</u> 3.776 <u>NIC</u> 3.849 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,335,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Discovery Bay Public Financing Authority	2016-4030	\$8,825,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-06-2017	\$7,490,000	Discovery Bay Contra Costa CDIAC Number: 2017-2361 Certificates of participation/leases Wastewater Collection, Treatment Wastewater	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Prager Sealy & Co LLC U.S. Bank NA	12-01-47 Comb	<u>TIC</u> 3.776 <u>NIC</u> 3.849 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,460,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Discovery Bay Public Financing Authority	2016-4030	\$8,825,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-20-2012	\$14,775,000	Oakley Public Financing Authority Contra Costa CDIAC Number: 2012-0453 Revenue bond Multiple Capital Improvements, Public Works AD No 2004-1 Refunding	S:BBB-	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Orrick Herrington The PFM Group Wells Fargo Bank NA RBC Capital Mkts LLC	09-02-34 Comb	<u>TIC</u> 4.868 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,270,000	\$874,337	\$0	\$876,068	\$0	\$876,068

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Oakley	\$17,150,000.00	10/08/2018	2004-1276	\$23,977.00	\$12,005,000.00		
<b>Total</b>		<b>\$17,150,000.00</b>				<b>\$12,005,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2004	\$17,150,000	Oakley Contra Costa CDIAC Number: 2004-1276 Special assessment bond Multiple Capital Improvements, Public Works AD No 2004-1	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Jones Hall Bartle Wells Oakley PFA Wells Fargo Bank NA	09-02-34 Comb	<u>TIC</u> <u>NIC</u> 5.938 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,005,000	\$0	\$0	\$23,977	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.930	\$1,191,421

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,065	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oakley Public Financing Authority	2012-0453	\$14,775,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation</u>	<u>Bond</u>	<u>Interest</u>
10-15-2014	\$9,070,000	Oakley Public Financing Authority Contra Costa CDIAC Number: 2014-1522 Revenue bond Multiple Capital Improvements, Public Works AD No 2006-1 Refunding	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington The PFM Group Build America Wells Fargo Bank NA RBC Capital Mkts LLC	09-02-36 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.597 3.830

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,100,000	\$644,500	\$0	\$654,479	\$0	\$654,479

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Oakley	\$11,460,000.00	10/10/2018	2006-0276	\$17,027.00	\$8,685,000.00		
<b>Total</b>		<b>\$11,460,000.00</b>				<b>\$8,685,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2006	\$11,460,000	Oakley Contra Costa CDIAC Number: 2006-0276 Special assessment bond Multiple Capital Improvements, Public Works Pulte & Centex AD No 2006-1	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Jones Hall Oakley PFA Wells Fargo Bank NA	09-02-36 Comb	<u>TIC</u> <u>NIC</u> 5.226 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,685,000	\$0	\$0	\$17,027	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.200	\$759,051

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,495	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oakley Public Financing Authority	2014-1522	\$9,070,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-16-2011	\$17,840,000	Pittsburg Infrastructure Financing Authority Contra Costa CDIAC Number: 2011-1062 Revenue bond Multiple Capital Improvements, Public Works Senior Series A & Sub Series B Refunding	S:AA+/A     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Meyers Nave The PFM Group Assured Guaranty Corp The Bank of NY Mellon Piper Jaffray & Co	09-02-31 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.615

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,655,000	\$1,713,763	\$0	\$1,721,258	\$0	\$1,721,258

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$24,499
Audit	\$15,364
Consultant	\$31,082
City / County Government	\$3,598
Trustee	\$3,356
Total:	\$77,899

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Pittsburg	\$18,580,000.00	10/22/2018	2012-1776	\$78,366.71	\$12,025,245.19		
<b>Total</b>		<b>\$18,580,000.00</b>				<b>\$12,025,245.19</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-16-2011	\$18,580,000	Pittsburg Contra Costa CDIAC Number: 2012-1776 Special assessment bond Multiple Capital Improvements, Public Works Reassessment Dist No 2011-1 Refunding	NR	Neg PP	(BC) (PU) (TR)	Meyers Nave Pittsburg Infra FA Pittsburg	09-02-31 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,025,245	\$0	\$0	\$78,367	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,772,791

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,804	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pittsburg Infrastructure Financing Authority	2011-1062	\$17,840,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-29-2011	\$59,999,952	Pittsburg Unified School District Financing Authority Contra Costa CDIAC Number: 2011-0596 Revenue bond K-12 School Facility	S:AA+/A M:Aa3/Aa3  Ins	Neg	(BC) (FA) (EN) (UW)	Quint & Thimmig KNN Public Finance Assured Guaranty Corp George K Baum	09-01-46 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.168

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,337,193	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Pittsburg Unified School District	\$35,000,000.00	10/30/2018	2011-0595	\$0.00	\$3,711,000.00		
<b>Total</b>		<b>\$59,999,952.00</b>				<b>\$5,296,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$24,999,952	Pittsburg Unified School District Contra Costa CDIAC Number: 2011-0587 General obligation bond K-12 School Facility Series A Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Quint & Thimmig KNN Public Finance Pittsburg FA The Bank of NY Mellon	08-01-46 Serial	<u>TIC</u> 8.809 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,585,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$7,020,280

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$56,954	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pittsburg Unified School District Financing Authority	2011-0596	\$59,999,952.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-29-2011	\$35,000,000	Pittsburg Unified School District Contra Costa CDIAC Number: 2011-0595 General obligation bond K-12 School Facility Series C Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Quint & Thimmig KNN Public Finance Pittsburg FA The Bank of NY Mellon	08-01-46 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.915

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,711,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.810	\$7,020,280

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$56,954	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pittsburg Unified School District Financing Authority	2011-0596	\$59,999,952.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-14-2003	\$12,500,000	Richmond Joint Powers Financing Authority Contra Costa CDIAC Number: 2003-1283 Tax allocation bond Redevelopment, Multiple Purposes Series B Federally Taxable	S:AAA/A-  F:AAA  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington MBIA Corp Union Bank of CA RBC Dain Rauscher	09-01-25  Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.502

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,075,000	\$1,250,000	\$0	\$625,000	\$625,000	\$1,250,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2025	\$0	\$31,188

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$1,400
Trustee	\$3,635
<b>Total:</b>	<b>\$5,035</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Richmond Community Redevelopment Agency	\$12,500,000.00	10/30/2018	2016-1257	\$0.00	\$8,075,000.00		
<b>Total</b>		<b>\$12,500,000.00</b>				<b>\$8,075,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-14-2003	\$12,500,000	Richmond Community Redevelopment Agency Contra Costa CDIAC Number: 2016-1257 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Federally Taxable	NR    Ins	Neg	(BC) (DC) (EN) (LE) (TR)	Orrick Herrington Orrick Herrington MBIA Corp Richmond JPFA Union Bank of CA	09-01-25 Comb	<u>TIC</u> 5.502 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,075,000	\$1,250,000	\$0	\$0	\$1,250,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Richmond Joint Powers Financing Authority	2003-1283	\$12,500,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-15-2004	\$15,000,000	Richmond Joint Powers Financing Authority Contra Costa CDIAC Number: 2004-1515 Tax allocation bond Redevelopment, Multiple Purposes Series A Federally Taxable	S:AAA/A-  F:AAA  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington XL Capital Assurance Union Bank of CA RBC Dain Rauscher	09-01-26 Comb	<u>TIC</u> <u>NIC</u> 5.302 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,550,000	\$1,499,726	\$0	\$1,523,333	\$0	\$1,523,333

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$600
Trustee	\$1,958
<b>Total:</b>	<b>\$2,558</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
TAB	Richmond Joint Powers Financing Authority	\$2,000,000.00	10/30/2018	2004-1513		\$1,015,000.00		
MKRL	Richmond Community Redevelopment Agency	\$10,000,000.00	10/30/2018	2016-1259	\$0.00	\$8,366,666.67		
MKRL	Richmond Community Redevelopment Agency	\$5,000,000.00	10/30/2018	2016-1260	\$0.00	\$4,183,333.33		
<b>Total</b>		<b>\$17,000,000.00</b>				<b>\$13,565,000.00</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
10-15-2004	\$2,000,000	Richmond Joint Powers Financing Authority Contra Costa CDIAC Number: 2004-1513 Tax allocation bond Multifamily Housing Hsg Set-Aside Series B	S:AAA/A-  F:AAA  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington XL Capital Assurance Union Bank of CA RBC Dain Rauscher	09-01-26 Comb	TIC NIC 4.270 TYPE:

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$1,015,000	\$199,964	\$0	\$203,109	\$0	\$203,109

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant
Y

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Disclosure	\$600
Trustee	\$1,958
Total:	\$2,558

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Richmond Community Redevelopment Agency	\$2,000,000.00	10/30/2018	2016-1258	\$0.00	\$1,015,000.00		
<b>Total</b>		<b>\$2,000,000.00</b>				<b>\$1,015,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-15-2004	\$10,000,000	Richmond Community Redevelopment Agency Contra Costa CDIAC Number: 2016-1259 Marks-Roos Authority Loan Multifamily Housing Housing Set-Aside Federally Taxable	NR	Neg	(BC) (DC) (LE) (TR)	Orrick Herrington Orrick Herrington Richmond JPFA Union Bank of CA	09-01-26 Comb	<u>TIC</u> <u>NIC</u> 5.302 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,366,667	\$1,499,729	\$0	\$0	\$1,523,333

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
66.670	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Richmond Joint Powers Financing Authority	2004-1515	\$15,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-15-2004	\$5,000,000	Richmond Community Redevelopment Agency Contra Costa CDIAC Number: 2016-1260 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Non-Housing Federally Taxable	NR	Neg	(BC) (DC) (EN) (LE) (TR)	Orrick Herrington Orrick Herrington XL Capital Assurance Richmond JPFA Union Bank of CA	09-01-26 Comb	<u>TIC</u> <u>NIC</u> 5.302 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,183,333	\$1,499,729	\$0	\$0	\$1,523,333

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
33.330	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Richmond Joint Powers Financing Authority	2004-1515	\$15,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-15-2004	\$2,000,000	Richmond Community Redevelopment Agency Contra Costa CDIAC Number: 2016-1258 Marks-Roos Authority Loan Multifamily Housing Hsg Set-Aside	NR	Neg	(BC) (DC) (LE) (TR)	Orrick Herrington Orrick Herrington Richmond JPFA Union Bank of CA	09-01-26 Comb	<u>TIC</u> <u>NIC</u> 4.270 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,015,000	\$199,964	\$0	\$0	\$203,109

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Richmond Joint Powers Financing Authority	2004-1513	\$2,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-16-2011	\$5,640,000	Richmond Joint Powers Financing Authority Contra Costa CDIAC Number: 2011-1310 Revenue bond Multiple Capital Improvements, Public Works Series A Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Union Bank NA E J De La Rosa	09-02-19 Serial	<u>TIC</u> <u>NIC</u> 3.854 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,530,000	\$560,538	\$0	\$576,718	\$0	\$576,718

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$4,316
Disclosure	\$1,600
Trustee	\$2,495
<b>Total:</b>	<b>\$8,411</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Richmond	\$9,740,000.00	10/25/2018	2003-0825	\$14,917.84	\$1,640,000.00		
<b>Total</b>		<b>\$9,740,000.00</b>				<b>\$1,640,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2003	\$9,740,000	Richmond Contra Costa CDIAC Number: 2003-0825 Special assessment bond Street Construction and Improvements ReAD No 2003-01 Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Richmond Richmond JPFA	09-02-19 Serial	<u>TIC</u> <u>NIC</u> 5.769 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,640,000	\$0	\$0	\$14,918	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.450	Y	\$904,732

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,115	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Richmond Joint Powers Financing Authority	2011-1310	\$5,640,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2016	\$7,895,000	Richmond Joint Powers Financing Authority Contra Costa CDIAC Number: 2016-2264 Revenue bond Street Construction and Improvements Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Orrick Herrington Public Resources Adv Gp Stifel Nicolaus & Co Inc Cobiz Public Finance Inc MUFG Union Bank	09-02-30 Term	<u>TIC</u> 2.559 <u>NIC</u> 2.560 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,435,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$0
Consultant	\$6,429
Disclosure	\$1,600
Trustee	\$3,595
Total:	\$11,624

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Richmond	\$12,310,000.00	10/25/2018	2006-0021	\$19,847.44	\$8,045,000.00		
<b>Total</b>		<b>\$12,310,000.00</b>				<b>\$8,045,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2006	\$12,310,000	Richmond Contra Costa CDIAC Number: 2006-0021 Special assessment bond Multiple Capital Improvements, Public Works Country Club Vista ReAD No 1999-01 Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington RBC Capital Mkts LLC Union Bank of CA Richmond JPFA	09-02-30 Serial	<u>TIC</u> <u>NIC</u> 5.038 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,045,000	\$0	\$0	\$19,847	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.250	Y

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,049	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Richmond Joint Powers Financing Authority	2016-2264	\$7,895,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-03-2004	\$37,755,000	San Pablo Joint Powers Financing Authority Contra Costa CDIAC Number: 2004-0088 Tax allocation bond Redevelopment, Multiple Purposes Tenth Township & Legacy	S:AAA  F:AAA  Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Ambac Wells Fargo Bank NA Piper Jaffray & Co	12-01-32 Comb	<u>TIC</u> <u>NIC</u> 4.419 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,680,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>				
TAB	San Pablo Redevelopment Agency	\$5,795,000.00	10/31/2018	2004-0089	\$0.00		\$20,000.00		
TAB	San Pablo Redevelopment Agency	\$31,960,000.00	10/31/2018	2004-0090	\$0.00		\$3,680,000.00		
<b>Total</b>		<b>\$37,755,000.00</b>					<b>\$3,700,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-03-2004	\$5,795,000	San Pablo Redevelopment Agency Contra Costa CDIAC Number: 2004-0089 Tax allocation bond Redevelopment, Multiple Purposes Legacy	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA San Pablo JPFA	12-01-32 Serial	<u>TIC</u> <u>NIC</u> 4.650 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$20,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$1

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Pablo Joint Powers Financing Authority	2004-0088	\$37,755,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-03-2004	\$31,960,000	San Pablo Redevelopment Agency Contra Costa CDIAC Number: 2004-0090 Tax allocation bond Redevelopment, Multiple Purposes Tenth Township Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA San Pablo JPFA	12-01-30 Serial	<u>TIC</u> <u>NIC</u> 4.350 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,680,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$1

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Pablo Joint Powers Financing Authority	2004-0088	\$37,755,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-31-2018	\$15,325,000	San Pablo Joint Powers Financing Authority Contra Costa CDIAC Number: 2017-2414 Public lease revenue bond Multiple Capital Improvements, Public Works City Hall	S:AA/AA-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Norton Rose Fulbright Norton Rose Fulbright Steven Gortler Assured Guaranty Corp Wells Fargo Bank NA Stifel Nicolaus & Co Inc	11-01-52 Comb	<u>TIC</u> 3.615 <u>NIC</u> 3.611 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,325,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PLRB	San Pablo	\$15,325,000.00	11/28/2018	2018-1668	\$0.00	\$15,325,000.00		
<b>Total</b>		<b>\$15,325,000.00</b>				<b>\$15,325,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-31-2018	\$15,325,000	San Pablo Contra Costa CDIAC Number: 2018-1668 Public lease revenue bond Multiple Capital Improvements, Public Works City Hall	NR    Ins	Neg	(BC) (DC) (FA) (EN) (TR)	Norton Rose Fulbright Norton Rose Fulbright Steven Gortler Assured Guaranty Corp Wells Fargo Bank NA	11-01-52 Comb	<u>TIC</u> 3.615 <u>NIC</u> 3.611 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,325,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Teeter Plan Participant</u>	<u>Taxes Unpaid</u>
N	\$0

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Pablo Joint Powers Financing Authority	2017-2414	\$15,325,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-11-2006	\$31,866,570	San Ramon Public Financing Authority Contra Costa CDIAC Number: 2006-1139 Tax allocation bond Redevelopment, Multiple Purposes Crow Canyon & Alcosta Areas Series A	S:AAA/A- M:Aaa  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Jones Hall Gardner Underwood & Bacon Ambac The Bank of NY Trust Co RBC Capital Mkts LLC	02-01-38 Comb CAB	<u>TIC</u> <u>NIC</u> 5.878 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,331,570	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$1,227
Trustee	\$3,100
Total:	\$4,327

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	San Ramon Public Financing Authority	\$22,665,000.00		2006-1140			2/1/2016	Redeemed FY 15/16
MKRL	San Ramon Redevelopment Agency	\$31,866,570.00	10/08/2018	2016-1276	\$0.00	\$12,331,570.15		
<b>Total</b>		<b>\$54,531,570.00</b>				<b>\$12,331,570.15</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-11-2006	\$31,866,570	San Ramon Redevelopment Agency Contra Costa CDIAC Number: 2016-1276 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Crow Canyon & Alcosta Areas	NR	Neg	(BC) (DC) (FA) (EN) (LE) (TR)	Jones Hall Jones Hall Gardner Underwood & Bacon Ambac San Ramon PFA The Bank of NY Trust Co	02-01-38 Comb	<u>TIC</u> <u>NIC</u> 5.878 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,331,570	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>
0	Y

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Ramon Public Financing Authority	2006-1139	\$31,866,570.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-02-2007	\$20,360,000	South Tahoe Joint Powers Financing Authority El Dorado CDIAC Number: 2007-1029 Revenue bond Redevelopment, Multiple Purposes TA & TOT Area No 1 Series A Refunding	S:AAA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Richards Watson Fulbright & Jaworski The PFM Group Ambac The Bank of NY Trust Co Piper Jaffray & Co	10-01-37 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.836

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	South Tahoe Redevelopment Agency	\$10,180,000.00	07/27/2018	2016-1325	\$0.00	\$0.00	Redeemed	17/18
MKRL	South Tahoe Redevelopment Agency	\$10,180,000.00	07/27/2018	2018-2322	\$0.00	\$0.00	Redeemed	17/18
		<b>Total</b>				<b>\$0.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-02-2007	\$10,180,000	South Tahoe Redevelopment Agency El Dorado CDIAC Number: 2016-1325 Marks-Roos Authority Loan Redevelopment, Multiple Purposes TOT Area No 1	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Richards Watson Fulbright & Jaworski The PFM Group S Tahoe JPFA The Bank of NY Trust Co	10-01-37 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.836

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Tahoe Joint Powers Financing Authority	2007-1029	\$20,360,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-02-2007	\$10,180,000	South Tahoe Redevelopment Agency El Dorado CDIAC Number: 2018-2322 Marks-Roos Authority Loan Redevelopment, Multiple Purposes TA Area No 1	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Richards Watson Fulbright & Jaworski The PFM Group S Tahoe JPFA The Bank of NY Trust Co	10-01-37 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.836

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Tahoe Joint Powers Financing Authority	2007-1029	\$20,360,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-20-2014	\$29,230,000	South Tahoe Joint Powers Financing Authority El Dorado CDIAC Number: 2014-1495 Tax allocation bond Redevelopment, Multiple Purposes TA & TOT Area No 1 Series A Refunding	S:AA/BBB-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Richards Watson Jones Hall The PFM Group Assured Guaranty Corp The Bank of NY Mellon Stifel Nicolaus & Co Inc	10-01-34 Comb	<u>TIC</u> 3.883 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$27,375,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Successor Agency to the South Tahoe Redevelopment Agency	\$14,615,000.00	07/27/2018	2016-1326	\$0.00	\$13,687,500.00		
MKRL	Successor Agency to the South Tahoe Redevelopment Agency	\$14,615,000.00	07/27/2018	2016-2040	\$0.00	\$13,687,500.00		
		<b>Total</b>				<b>\$27,375,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-20-2014	\$14,615,000	Successor Agency to the South Tahoe Redevelopment Agency El Dorado CDIAC Number: 2016-1326 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Master Tax Allocation (TA) Area No 1	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Richards Watson Jones Hall The PFM Group S Tahoe JPFA The Bank of NY Mellon	10-01-34 Comb	<u>TIC</u> 3.883 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,687,500	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Tahoe Joint Powers Financing Authority	2014-1495	\$29,230,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-20-2014	\$14,615,000	Successor Agency to the South Tahoe Redevelopment Agency El Dorado CDIAC Number: 2016-2040 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Master Transient Occupancy Tax (TOT) Area No 1	NR	Neg	(BC) (DC) (FA) (EN) (LE) (TR)	Richards Watson Jones Hall The PFM Group Assured Guaranty Corp S Tahoe JPFA The Bank of NY Mellon	10-01-34 Comb	<u>TIC</u> 3.883 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,687,500	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Tahoe Joint Powers Financing Authority	2014-1495	\$29,230,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-27-2015	\$27,525,000	South Tahoe Joint Powers Financing Authority El Dorado CDIAC Number: 2015-1770 Tax allocation bond Redevelopment, Multiple Purposes Area No 1 Series A Refunding	S:AA-/BBB-  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Richards Watson Jones Hall The PFM Group National PFG Corp The Bank of NY Mellon Stifel Nicolaus & Co Inc	10-01-35 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.720 3.651

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$25,020,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired</u>
							<u>Receipt /</u>	<u>Type</u>
MKRL	Successor Agency to the South Tahoe Redevelopment Agency	\$13,762,500.00	07/27/2018	2016-2038	\$0.00	\$12,510,000.00		
MKRL	Successor Agency to the South Tahoe Redevelopment Agency	\$13,762,500.00	07/27/2018	2016-2039	\$0.00	\$12,510,000.00		
		<b>Total</b>				<b>\$25,020,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-27-2015	\$13,762,500	Successor Agency to the South Tahoe Redevelopment Agency El Dorado CDIAC Number: 2016-2038 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Master Tax Allocation Loan Agreement (TA)	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Richards Watson Jones Hall The PFM Group S Tahoe JPFA The Bank of NY Mellon	10-01-35 Comb	<u>TIC</u> 3.720 <u>NIC</u> 3.651 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$12,510,000	\$0	\$0	\$0	\$0	0	0	N	\$0	\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Tahoe Joint Powers Financing Authority	2015-1770	\$27,525,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-27-2015	\$13,762,500	Successor Agency to the South Tahoe Redevelopment Agency El Dorado CDIAC Number: 2016-2039 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Master Transient Occupancy Tax (TOT)	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Richards Watson Jones Hall The PFM Group S Tahoe JPFA The Bank of NY Mellon	10-01-35 Comb	<u>TIC</u> 3.720 <u>NIC</u> 3.651 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,510,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Tahoe Joint Powers Financing Authority	2015-1770	\$27,525,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-07-2017	\$17,640,000	South Tahoe Joint Powers Financing Authority El Dorado CDIAC Number: 2017-1815 Revenue bond Redevelopment, Multiple Purposes S Tahoe RDA No 1 Series A Refunding	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Richards Watson Jones Hall The PFM Group Build America The Bank of NY Mellon Stifel Nicolaus & Co Inc	10-01-37 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.316 3.232

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,640,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired</u>
							<u>Receipt /</u>	<u>Type</u>
MKRL	Successor Agency to the South Tahoe Redevelopment Agency	\$8,820,000.00	07/27/2018	2017-2739	\$0.00	\$8,820,000.00		
MKRL	Successor Agency to the South Tahoe Redevelopment Agency	\$8,820,000.00	07/27/2018	2017-2740	\$0.00	\$8,820,000.00		
		<b>Total</b>				<b>\$17,640,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-07-2017	\$8,820,000	Successor Agency to the South Tahoe Redevelopment Agency El Dorado CDIAC Number: 2017-2739 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Master Transient Occupancy Tax (TOT)	NR	Neg PP	(BC) (FA) (LE) (TR)	Richards Watson The PFM Group S Tahoe JPFA The Bank of NY Mellon	10-01-37 Term	<u>TIC</u> 3.316 <u>NIC</u> 3.232 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,820,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Tahoe Joint Powers Financing Authority	2017-1815	\$17,640,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-07-2017	\$8,820,000	Successor Agency to the South Tahoe Redevelopment Agency El Dorado CDIAC Number: 2017-2740 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Master Tax Allocation (TA) Series A	NR	Neg PP	(BC) (FA) (LE) (TR)	Richards Watson The PFM Group S Tahoe JPFA The Bank of NY Mellon	10-01-37 Serial	<u>TIC</u> 3.316 <u>NIC</u> 3.232 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,820,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Tahoe Joint Powers Financing Authority	2017-1815	\$17,640,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-1997	\$186,225,944	Central Valley School Districts Financing Authority Fresno CDIAC Number: 1997-1285 Revenue bond K-12 School Facility Central USD & Clovis USD Refunding	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Jones Hall Seidler-Fitzgerald MBIA Corp US Trust Co of CA Miller & Schroeder	02-01-18 Comb	<u>TIC</u> <u>NIC</u> 6.224 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
2/1/2018	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>	
GOB	Central Unified School District	\$21,294,069.00	10/07/2018	1997-1287	\$0.00	\$0.00	2/1/2018	Matured	FY 17/18
		<b>Total</b>				<b>\$0.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-1997	\$21,294,069	Central Unified School District Fresno CDIAC Number: 1997-1287 General obligation bond K-12 School Facility Refunding	S:A	Neg	(BC) (FA) (TR) (UW)	Jones Hall Seidler-Fitzgerald US Trust Co of CA Cent Vly SD FA	02-01-18 Serial	<u>TIC</u> <u>NIC</u> 6.410 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.400	\$50,393,583

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$13,331,630	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Central Valley School Districts Financing Authority	1997-1285	\$186,225,944.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-15-2011	\$39,770,000	Central Valley Support Services Joint Powers Agency Fresno CDIAC Number: 2011-1215 Revenue bond K-12 School Facility Fresno USD Qualified School Construction Bonds Federally Taxable	S:A+	Neg	(BC) (FA) (TR) (UW)	Jones Hall Dale Scott & Co Inc U.S. Bank NA Stone & Youngberg	08-01-29 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	1.366

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$39,770,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2029	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Fresno Unified School District	\$29,561,373.00	11/09/2018	2011-1216	\$0.00	\$28,861,373.08		
<b>Total</b>		<b>\$29,561,373.00</b>				<b>\$28,861,373.08</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-15-2011	\$29,561,373	Fresno Unified School District Fresno CDIAC Number: 2011-1216 General obligation bond K-12 School Facility Series A, Qualified School Construction Bonds Federally Taxable	NR	Neg	(BC) Jones Hall (FA) Dale Scott & Co Inc (TR) U.S. Bank NA (UW) Central Vly Sup Serv JPA	09-01-29 Serial	<u>TIC</u> <u>NIC</u> 4.000 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$28,861,373	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Central Valley Support Services Joint Powers Agency	2011-1215	\$39,770,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-16-2008	\$19,100,000	Clovis Public Financing Authority Fresno CDIAC Number: 2008-0211 Tax allocation bond Redevelopment, Multiple Purposes Clovis, Herndon & Hsg Refunding	S:AAA/A-  Ins	Neg	(BC) Jones Hall (DC) Jones Hall (FA) HdL Coren & Cone (EN) MBIA Ins Corp (TR) U.S. Bank NA (UW) RBC Capital Mkts LLC	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.686 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$13,570,000	\$1,018,188	\$0	\$1,018,188	\$0	\$1,018,188

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
8/1/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Bank	\$6,375
Total:	\$6,375

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Clovis Community Development Agency	\$9,450,871.00	11/08/2018	2016-0752	\$123,702.50	\$6,714,571.70		
MKRL	Clovis Community Development Agency	\$4,436,242.00	11/08/2018	2016-0753	\$58,066.00	\$3,151,822.48		
MKRL	Clovis Community Development Agency	\$5,212,887.00	11/08/2018	2016-0754	\$68,231.50	\$3,703,605.82		
<b>Total</b>		<b>\$19,100,000.00</b>				<b>\$13,570,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-16-2008	\$9,450,871	Clovis Community Development Agency Fresno CDIAC Number: 2016-0752 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Clovis Loan	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall HdL Coren & Cone Clovis PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.686 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,714,572	\$352,027	\$0	\$123,703	\$352,027

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Clovis Public Financing Authority	2008-0211	\$19,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-16-2008	\$4,436,242	Clovis Community Development Agency Fresno CDIAC Number: 2016-0753 Marks-Roos Authority Loan Multifamily Housing Housing Loan	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall HdL Coren & Cone Clovis PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.686 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,151,822	\$331,160	\$0	\$58,066	\$331,160

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Clovis Public Financing Authority	2008-0211	\$19,100,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-16-2008	\$5,212,887	Clovis Community Development Agency Fresno CDIAC Number: 2016-0754 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Herndon Loan	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall HdL Coren & Cone Clovis PFA U.S. Bank NA	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.686 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,703,606	\$334,962	\$0	\$68,232	\$334,962

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Clovis Public Financing Authority	2008-0211	\$19,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-19-2010	\$4,435,000	Fowler Public Financing Authority Fresno CDIAC Number: 2010-0901 Public enterprise revenue bond Recreation and Sports Facilities Refunding	NR	Neg	(BC) Jones Hall (DC) Jones Hall (TR) Union Bank NA (UW) Brandis Tallman LLC	09-15-23 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.176	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,330,000	\$354,700	\$0	\$88,012	\$0	\$88,012

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Fowler	\$318,606.00	11/09/2018	2000-0230	\$0.00	\$115,637.97		
SAB	Fowler	\$1,948,209.00	11/09/2018	2000-1132	\$0.00	\$650,554.24		
COPL	Fowler Redevelopment Agency	\$105,000.00		2016-0981			9/15/2013	O FY 13/14
MKRL	Fowler Redevelopment Agency	\$692,000.00	11/12/2018	2016-0982	\$0.00	\$409,000.00		
MKRL	Fowler Redevelopment Agency	\$145,000.00	11/12/2018	2016-0983	\$0.00	\$409,000.00		
COPL	Fowler Redevelopment Agency	\$845,000.00	11/12/2018	2016-3448	\$0.00	\$470,000.00		
MKRL	Fowler Redevelopment Agency	\$325,000.00	11/12/2018	2016-3449	\$0.00	\$125,000.00		
<b>Total</b>		<b>\$4,378,815.00</b>				<b>\$2,179,192.21</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
03-23-2000	\$318,606	Fowler Fresno CDIAC Number: 2000-0230 Special assessment bond Multiple Capital Improvements, Public Works Consolidated ReAD No 1993-1 Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Jones Hall Munisoft Union Bank of CA Fowler PFA	09-02-23 Serial	TIC NIC 8.250 TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$115,638	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$27,735

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Fowler Public Financing Authority	2010-0901	\$4,435,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-23-2000	\$1,948,209	Fowler Fresno CDIAC Number: 2000-1132 Special assessment bond Multiple Capital Improvements, Public Works Consolidated ReAD No 1994-1 Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Jones Hall Munisoft Union Bank of CA Fowler PFA	09-02-23 Serial	<u>TIC</u> <u>NIC</u> 7.850 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$650,554	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$158,128

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fowler Public Financing Authority	2010-0901	\$4,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-19-2010	\$692,000	Fowler Redevelopment Agency Fresno CDIAC Number: 2016-0982 Marks-Roos Authority Loan Multiple Capital Improvements, Public Works	NR	Neg	(BC) (LE) (TR)	Jones Hall Fowler PFA Union Bank NA	09-15-23	Comb	<u>TIC</u> 5.176 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$409,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fowler Public Financing Authority	2010-0901	\$4,435,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-18-2010	\$145,000	Fowler Redevelopment Agency Fresno CDIAC Number: 2016-0983 Marks-Roos Authority Loan Multiple Capital Improvements, Public Works 1993 Edwin Blayley Sr Center	NR	Neg	(BC) (LE) (TR)	Jones Hall Fowler PFA Union Bank NA	09-15-23 Comb	<u>TIC</u> 5.176 <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$409,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fowler Public Financing Authority	2010-0901	\$4,435,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-18-2010	\$845,000	Fowler Redevelopment Agency Fresno CDIAC Number: 2016-3448 Certificates of participation/leases Street Construction and Improvements 1993 Merced Street Imp Oblig	NR	Neg	(BC) (DC) (LE) (TR)	Jones Hall Jones Hall Fowler PFA Union Bank NA	09-15-23 Comb	<u>TIC</u> 5.176 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$470,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fowler Public Financing Authority	2010-0901	\$4,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-18-2010	\$325,000	Fowler Redevelopment Agency Fresno CDIAC Number: 2016-3449 Marks-Roos Authority Loan Water Supply, Storage, Distribution 1994 Water Obligation	NR	Neg	(BC) (DC) (LE) (TR)	Jones Hall Jones Hall Fowler PFA Union Bank NA	09-15-23 Comb	<u>TIC</u> 5.176 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$125,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fowler Public Financing Authority	2010-0901	\$4,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
03-02-2001	\$10,000,000	Fresno Joint Powers Financing Authority Fresno CDIAC Number: 2000-1751 Tax allocation bond Redevelopment, Multiple Purposes Merger No 2	S:AAA/A- M:Aaa  Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Ambac BNY Mellon Capital Sutro & Co	08-01-18 Comb	<u>TIC</u> <u>NIC</u> 4.733 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Arbitrage Calculation	\$500
Disclosure	\$675
Trustee	\$100
Total:	\$1,275

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Fresno Redevelopment Agency	\$10,000,000.00	06/25/2018	2016-0959	\$0.00	\$0.00	8/1/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$10,000,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-02-2001	\$10,000,000	Fresno Redevelopment Agency Fresno CDIAC Number: 2016-0959 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Merger No 2	NR	Neg	(BC) (LE) (TR)	Orrick Herrington Fresno JPFA BNY Mellon Capital	08-01-18 Comb	<u>TIC</u> <u>NIC</u> 4.733 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fresno Joint Powers Financing Authority	2000-1751	\$10,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-24-2014	\$955,000	Selma Public Financing Authority Fresno CDIAC Number: 2014-0539 Revenue bond Street Construction and Improvements AD 1991-2, 2; 92-1, 93-1, 94-1 & 97-1 Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Weist Law Firm P2 Capital Advisors Southwest Securities CoBiz Financial Svcs U.S. Bank NA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.248 3.250

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$425,000	\$37,041	\$0	\$37,194	\$0	\$37,194

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Accounting County	\$275
Government	\$536
Fiscal Agent	\$1,050
Trustee	\$1,925
<b>Total:</b>	<b>\$3,786</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Selma	\$296,000.00	10/25/2018	2000-0115	\$0.00	\$40,404.45		
SAB	Selma	\$460,000.00	10/25/2018	2016-2166	\$0.00	\$88,801.68		
SAB	Selma	\$465,000.00	10/25/2018	2016-2167	\$0.00	\$80,292.68		
SAB	Selma	\$1,400,000.00	10/25/2018	2016-2168	\$0.00	\$274,593.76		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Selma	\$747,000.00	10/25/2018	2016-2169	\$0.00	\$174,224.07		
SAB	Selma	\$315,000.00		2016-2170			6/30/2015	Repaid FY 14/15
SAB	Selma	\$346,000.00		2016-2171			6/17/2014	Repaid FY 14/15
SAB	Selma	\$3,030,000.00		2016-2172			6/18/2014	Repaid FY 14/15
SAB	Selma	\$1,100,000.00		2016-2173			6/30/2016	Repaid FY 14/15
<b>Total</b>		<b>\$8,159,000.00</b>				<b>\$658,316.64</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-1999	\$296,000	Selma Fresno CDIAC Number: 2000-0115 Special assessment bond Multiple Capital Improvements, Public Works AD 91-2S1/R Water Main	NR	Neg	(BC) (DC) (PU) (TR)	Hargrove & Costanzo Fulbright & Jaworski Selma PFA US Bank Corp Trust	09-02-23 Serial	<u>TIC</u> <u>NIC</u> 6.222 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$40,404	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Selma Public Financing Authority	2014-0539	\$955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-1999	\$460,000	Selma Fresno CDIAC Number: 2016-2166 Special assessment bond Multiple Capital Improvements, Public Works 92-1/R Dancer Meadow II	NR	Neg	(BC) (DC) (PU) (TR)	Hargrove & Costanzo Fulbright & Jaworski Selma PFA US Bank Corp Trust	09-02-23 Serial	<u>TIC</u> <u>NIC</u> 6.222 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$88,802	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Selma Public Financing Authority	2014-0539	\$955,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-1999	\$465,000	Selma Fresno CDIAC Number: 2016-2167 Special assessment bond Multiple Capital Improvements, Public Works AD 92-1S/R Dancer Meadows III, McCall Estates	NR	Neg	(BC) (DC) (PU) (TR)	Hargrove & Costanzo Fulbright & Jaworski Selma PFA US Bank Corp Trust	09-02-23 Serial	<u>TIC</u> <u>NIC</u> 6.222 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$80,293	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Selma Public Financing Authority	2014-0539	\$955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-1999	\$1,400,000	Selma Fresno CDIAC Number: 2016-2168 Special assessment bond Multiple Capital Improvements, Public Works AD 91-2/R Floral-Highland Interchange	NR	Neg	(BC) (DC) (PU) (TR)	Hargrove & Costanzo Fulbright & Jaworski Selma PFA US Bank Corp Trust	09-02-23 Serial	<u>TIC</u> <u>NIC</u> 6.222 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$274,594	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Selma Public Financing Authority	2014-0539	\$955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-1999	\$747,000	Selma Fresno CDIAC Number: 2016-2169 Special assessment bond Multiple Capital Improvements, Public Works AD 93-1S/R Briarwood Vineyards	NR	Neg	(BC) (DC) (PU) (TR)	Hargrove & Costanzo Fulbright & Jaworski Selma PFA US Bank Corp Trust	09-02-23 Serial	<u>TIC</u> <u>NIC</u> 6.222 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$174,224	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Selma Public Financing Authority	2014-0539	\$955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-16-2007	\$17,500,000	Fortuna Public Financing Authority Humboldt CDIAC Number: 2007-1364 Revenue bond Redevelopment, Multiple Purposes City's City Hall & River Lodge Conf Ctr Refunding	S:AAA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington The PFM Group Assured Guaranty Corp Deutsche Bank Natl Trust Piper Jaffray & Co	11-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.812 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
11/1/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
		<b>Total</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-16-2007	\$13,280,000	Fortuna Redevelopment Agency Humboldt CDIAC Number: 2016-0807 Marks-Roos Authority Loan Redevelopment, Multiple Purposes	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Orrick Herrington Orrick Herrington The PFM Group Fortuna PFA Deutsche Bank Natl Trust	11-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.812 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$816,000	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fortuna Public Financing Authority	2007-1364	\$17,500,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-20-2007	\$9,580,000	Calexico School Financing Authority Imperial CDIAC Number: 2007-0834 Revenue bond K-12 School Facility	S:AAA/BBB+    Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Jones Hall Jones Hall FSA The Bank of NY Trust Co Piper Jaffray & Co	08-01-33 Comb	<u>TIC</u> <u>NIC</u> 4.394 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$72,500

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
8/1/2017	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Calexico Unified School District	\$9,069,266.00	12/07/2018	2007-0836	\$0.00	\$8,751,854.00		
<b>Total</b>		<b>\$9,069,266.00</b>				<b>\$8,751,854.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-20-2007	\$9,069,266	Calexico Unified School District Imperial CDIAC Number: 2007-0836 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Calexico School FA The Bank of NY Trust Co	08-01-33 Comb	<u>TIC</u> <u>NIC</u> 4.781 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,751,854	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Calexico School Financing Authority	2007-0834	\$9,580,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
04-27-2011	\$11,095,000	El Centro Financing Authority Imperial CDIAC Number: 2011-0260 Tax allocation bond Redevelopment, Multiple Purposes	S:A	Neg	(BC) (TR) (UW)	Fulbright & Jaworski U.S. Bank NA Estrada Hinojosa & Co Inc	11-01-25 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.797

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,005,000	\$1,109,500	\$0	\$1,115,687	\$0	\$1,115,687

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	El Centro Redevelopment Agency	\$11,095,000.00	10/29/2018	2011-0365	\$0.00	\$6,005,000.00		
<b>Total</b>		<b>\$11,095,000.00</b>				<b>\$6,005,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-06-2011	\$11,095,000	El Centro Redevelopment Agency Imperial CDIAC Number: 2011-0365 Tax allocation bond Redevelopment, Multiple Purposes Series C	NR	Neg PP	(BC) (PU) (TR)	Fulbright & Jaworski Estrada Hinojosa & Co Inc U.S. Bank NA	11-01-25 Comb	<u>TIC</u> 7.007 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,005,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
El Centro Financing Authority	2011-0260	\$11,095,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-04-2018	\$125,000,000	El Centro Financing Authority Imperial CDIAC Number: 2018-0091 Public enterprise revenue bond Health Care Facilities El Centro Regional Medical Center Refunding	NR	Neg	(BC) Norton Rose Fulbright (DC) Norton Rose Fulbright (FA) Wulff Hansen & Co (TR) MUFG Union Bank (UW) KeyBanc Cap Mkt	07-01-58 Term	<u>TIC</u> 6.340 <u>NIC</u> 5.962 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$125,000,000	\$8,094,300	\$13,830,625	\$8,094,300	\$0	\$8,094,300

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$2,935,355

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	El Centro	\$125,000,000.00	11/20/2018	2018-0581	\$0.00	\$125,000,000.00		
<b>Total</b>		<b>\$125,000,000.00</b>				<b>\$125,000,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-04-2018	\$125,000,000	El Centro Imperial CDIAC Number: 2018-0581 Certificates of participation/leases Health Care Facilities El Centro Reg Med Ctr	NR	Neg	(BC) (DC) (FA) (TR)	Norton Rose Fulbright Norton Rose Fulbright Wulff Hansen & Co MUFG Union Bank	07-01-58 Term	<u>TIC</u> 6.340 <u>NIC</u> 5.962 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$125,000,000	\$8,094,300	\$13,830,625	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
El Centro Financing Authority	2018-0091	\$125,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-17-2018	\$99,665,000	Golden Empire Schools Financing Authority Kern CDIAC Number: 2018-0328 Public lease revenue bond K-12 School Facility Kern High School District Refunding	S:A+ M:A1	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Jones Hall Dale Scott & Co Inc The Bank of NY Mellon Morgan Stanley	05-01-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.124 2.217

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$99,665,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Kern High School District	\$99,665,000.00	10/07/2018	2018-0329	\$0.00	\$99,665,000.00		
<b>Total</b>		<b>\$99,665,000.00</b>				<b>\$99,665,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-17-2018	\$99,665,000	Kern High School District Kern CDIAC Number: 2018-0329 Certificates of participation/leases K-12 School Facility	NR	Neg	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall Dale Scott & Co Inc The Bank of NY Mellon	05-01-21 Serial	<u>TIC</u> 2.124 <u>NIC</u> 2.217 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$99,665,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.200	\$112,567,812

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,388,407	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden Empire Schools Financing Authority	2018-0328	\$99,665,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation</u>	<u>Bond</u>	<u>Interest</u>
10-01-2017	\$3,087,684	Inyo-Kern Schools Financing Authority Kern CDIAC Number: 2017-2310 Revenue bond K-12 School Facility Refunding	NR	Neg PP	(BC) (FA) (PA) (PU)	Parker & Covert Govt Financial Strategies Brandis Tallman LLC Opus Bank	05-01-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.380 2.380

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,870,450	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$88,000

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
5/1/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Sierra Sands Unified School District	\$3,087,684.00	10/08/2018	2017-2816	\$0.00	\$2,870,450.00		
<b>Total</b>		<b>\$3,087,684.00</b>				<b>\$2,870,450.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2017	\$3,087,684	Sierra Sands Unified School District Multiple Counties CDIAC Number: 2017-2816 Certificates of participation/leases K-12 School Facility Refunding Inyo-Kern Schools FA Lease RBs	NR	Neg PP	(BC) (FA) (PA)	Parker & Covert Govt Financial Strategies Brandis Tallman LLC	05-01-31 Term	<u>TIC</u> 2.380 <u>NIC</u> 2.380 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,870,450	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$259,285

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Inyo-Kern Schools Financing Authority	2017-2310	\$3,087,684.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-06-2017	\$4,450,000	McFarland Improvement Authority Kern CDIAC Number: 2017-0763 Public lease revenue bond Multiple Capital Improvements, Public Works	S:AA/A-    Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Richards Watson Richards Watson Assured Guaranty Corp MUFG Union Bank Ziegler	03-01-47 Term	<u>TIC</u> 3.400 <u>NIC</u> 0 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,450,000	\$272,800	\$374,187	\$272,800	\$0	\$272,800

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$196,936

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	McFarland	\$4,450,000.00		2017-2744			
<b>Total</b>		<b>\$4,450,000.00</b>			<b>\$0.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$9,995,000	Rosedale Financing Authority Kern CDIAC Number: 2018-0777 Public lease revenue bond K-12 School Facility	S:AA/A    Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Nixon Peabody Nixon Peabody Cooperative Strategies LL Build America ZB, NA Stifel Nicolaus & Co Inc	05-01-48 Comb	<u>TIC</u> 3.736 <u>NIC</u> 0 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,995,000	\$730,062	\$0	\$0	\$730,062	\$730,062

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$390,835

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Rosedale Union School District	\$9,995,000.00	11/28/2018	2018-0778	\$0.00	\$9,995,000.00		
<b>Total</b>		<b>\$9,995,000.00</b>				<b>\$9,995,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$9,995,000	Rosedale Union School District Kern CDIAC Number: 2018-0778 Certificates of participation/leases K-12 School Facility	NR    Ins	Neg	(BC) (DC) (FA) (EN) (TR)	Nixon Peabody Nixon Peabody Cooperative Strategies LL Build America ZB, NA	05-01-48 Comb	<u>TIC</u> 3.736 <u>NIC</u> 0 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,995,000	\$0	\$0	\$0	\$730,062

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.450	\$4,856,109

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$21,765	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rosedale Financing Authority	2018-0777	\$9,995,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-22-2005	\$4,775,000	Bell Gardens Financing Authority Los Angeles CDIAC Number: 2005-1144 Revenue bond Redevelopment, Multiple Purposes No 1 & Central City Federally Taxable	NR	Neg	(BC) (FA) (TR) (UW)	Quint & Thimmig Harrell & Co Advisors U.S. Bank NA Kinsell Newcomb	10-01-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.911

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,730,000	\$630,338	\$0	\$632,038	\$0	\$632,038

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
10/1/2029	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Bell Gardens Community Development Commission	\$3,025,000.00	10/29/2018	2016-0598	\$0.00	\$2,090,000.00		
MKRL	Bell Gardens Community Development Commission	\$1,750,000.00	10/29/2018	2016-0605	\$0.00	\$640,000.00		
<b>Total</b>		<b>\$4,775,000.00</b>				<b>\$2,730,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2005	\$3,025,000	Bell Gardens Community Development Commission Los Angeles CDIAC Number: 2016-0598 Marks-Roos Authority Loan Multiple Capital Improvements, Public Works Central City Series A	NR	Neg	(BC) (FA) (LE) (TR)	Quint & Thimmig Harrell & Co Advisors Bell Gardens FA U.S. Bank NA	10-01-29 Term	<u>TIC</u> 6.911 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,090,000	\$630,338	\$0	\$0	\$437,565

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
69.230	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Bell Gardens Financing Authority	2005-1144	\$4,775,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2005	\$1,750,000	Bell Gardens Community Development Commission Los Angeles CDIAC Number: 2016-0605 Marks-Roos Authority Loan Redevelopment, Multiple Purposes No 1 Series A Federally Taxable	NR	Neg	(BC) (LE) (TR)	Quint & Thimmig Bell Gardens FA U.S. Bank NA	10-01-29 Term	<u>TIC</u> 6.911 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$640,000	\$630,338	\$0	\$0	\$194,473

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
30.770	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Bell Gardens Financing Authority	2005-1144	\$4,775,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-01-2018	\$10,000,000	Bellflower Financing Authority Los Angeles CDIAC Number: 2017-3681 Certificates of participation/leases Multiple Capital Improvements, Public Works Regional Transit Ctr & Parking Structure	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Wolf Co Inc Stifel Nicolaus & Co Inc Capital One Pub Funding The Bank of NY Mellon	10-01-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.070 3.070

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,000,000	\$0	\$0	\$0	\$857,989	\$857,989

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$202,320

C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Bellflower	\$10,000,000.00	11/07/2018	2017-3682	\$0.00	\$10,000,000.00		
<b>Total</b>		<b>\$10,000,000.00</b>				<b>\$10,000,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-01-2018	\$10,000,000	Bellflower Los Angeles CDIAC Number: 2017-3682 Certificates of participation/leases Multiple Capital Improvements, Public Works Regional Transit Ctr & Parking Structure	NR	Neg PP	(BC) (FA) (PA) (TR)	Stradling Yocca Wolf Co Inc Stifel Nicolaus & Co Inc The Bank of NY Mellon	10-01-32 Term	<u>TIC</u> 3.070 <u>NIC</u> 3.070 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,000,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Bellflower Financing Authority	2017-3681	\$10,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>		
10-25-2006	\$32,955,000	Carson Public Financing Authority Los Angeles CDIAC Number: 2006-1396 Revenue bond Multiple Capital Improvements, Public Works Dominguez Technology Ctr W Ser A & Sub Series B	S:AA     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Fulbright & Jaworski C M de Crinis Radian Asset Assurance The Bank of NY Trust Co Stone & Youngberg	09-02-31 Comb    	<u>TIC</u> <u>NIC</u> 4.654 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,965,000	\$2,416,535	\$0	\$2,416,535	\$0	\$2,416,535

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$2,892
Fiscal Agent	\$3,540
Trustee	\$1,000
<b>Total:</b>	<b>\$7,432</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Carson	\$29,645,000.00	09/18/2018	2006-1397	\$0.00	\$21,740,000.00		
<b>Total</b>		<b>\$29,645,000.00</b>				<b>\$21,740,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-25-2006	\$29,645,000	Carson Los Angeles CDIAC Number: 2006-1397 Special assessment bond Multiple Capital Improvements, Public Works Dominguez Technology Ctr W ReAD No 2001-1 Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Fulbright & Jaworski C M de Crinis The Bank of NY Trust Co Carson PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> 5.680 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,740,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Carson Public Financing Authority	2006-1396	\$32,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
09-25-2002	\$96,260,000	Cerritos Public Financing Authority Los Angeles CDIAC Number: 2002-1626 Tax allocation bond Redevelopment, Multiple Purposes Series A Refunding	S:AAA  F:AAA  Ins	Neg	(BC) Jones Hall (FA) Urban Futures (EN) Ambac (TR) BNY Mellon Capital (UW) Kinsell Newcomb	11-01-24 Comb	<u>TIC</u> <u>NIC</u> 4.442 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$56,425,000	\$14,415,775	\$0	\$8,740,148	\$6,083,530	\$14,823,678

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
11/1/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Fiscal Agent	\$2,118
Total:	\$2,118

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Cerritos Redevelopment Agency	\$64,710,000.00	10/23/2018	2016-0747	\$0.00	\$46,250,000.00		
MKRL	Cerritos Redevelopment Agency	\$31,550,000.00	10/23/2018	2016-0748	\$0.00	\$10,175,000.00		
<b>Total</b>		<b>\$96,260,000.00</b>				<b>\$56,425,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-25-2002	\$64,710,000	Cerritos Redevelopment Agency Los Angeles CDIAC Number: 2016-0747 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Los Coyotes Area	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Urban Futures Cerritos PFA BNY Mellon Capital	11-01-24 Comb	<u>TIC</u> <u>NIC</u> 4.442 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$46,250,000	\$10,680,015	\$0	\$0	\$14,823,678

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
75.000	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cerritos Public Financing Authority	2002-1626	\$96,260,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-25-2002	\$31,550,000	Cerritos Redevelopment Agency Los Angeles CDIAC Number: 2016-0748 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Los Cerritos Area	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Urban Futures Cerritos PFA BNY Mellon Capital	11-01-24 Comb	<u>TIC</u> <u>NIC</u> 4.442 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,175,000	\$3,735,760	\$0	\$0	\$14,823,678

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
25.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cerritos Public Financing Authority	2002-1626	\$96,260,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
09-25-2002	\$19,775,000	Cerritos Public Financing Authority Los Angeles CDIAC Number: 2002-1627 Tax allocation bond Redevelopment, Multiple Purposes Cerritos RDA Series B	S:BBB	Neg	(BC) Jones Hall (FA) Urban Futures (TR) BNY Mellon Capital (UW) Kinsell Newcomb	11-01-24 Comb	<u>TIC</u> <u>NIC</u> 4.442 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,340,000	\$1,840,960	\$0	\$1,940,414	\$0	\$1,940,414

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
11/1/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Fiscal Agent	\$2,013
Total:	\$2,013

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Cerritos Redevelopment Agency	\$12,225,000.00	10/23/2018	2016-0936	\$0.00	\$7,680,000.00		
MKRL	Cerritos Redevelopment Agency	\$7,550,000.00	10/23/2018	2016-0937	\$0.00	\$1,660,000.00		
<b>Total</b>		<b>\$19,775,000.00</b>				<b>\$9,340,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-25-2002	\$12,225,000	Cerritos Redevelopment Agency Los Angeles CDIAC Number: 2016-0936 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Los Coyotes Area	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Urban Futures Cerritos PFA BNY Mellon Capital	11-01-24 Comb	<u>TIC</u> <u>NIC</u> 4.442 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,680,000	\$1,222,500	\$0	\$0	\$1,940,414

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
67.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cerritos Public Financing Authority	2002-1627	\$19,775,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-25-2002	\$7,550,000	Cerritos Redevelopment Agency Los Angeles CDIAC Number: 2016-0937 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Los Cerritos Area	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Urban Futures Cerritos PFA BNY Mellon Capital	11-01-24 Comb	<u>TIC</u> <u>NIC</u> 4.442 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,660,000	\$618,460	\$0	\$0	\$1,940,414

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
33.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cerritos Public Financing Authority	2002-1627	\$19,775,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-03-2007	\$64,805,000	Commerce Joint Powers Financing Authority Los Angeles CDIAC Number: 2007-1345 Revenue bond Redevelopment, Multiple Purposes No 1 Series A	S:AAA/BBB     Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Ambac Wells Fargo Bank NA E J De La Rosa	07-01-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.642

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$4,533,450	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$1,075
Trustee	\$3,500
Total:	\$4,575

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Commerce Community Development Commission	\$58,885,000.00	10/23/2018	2007-1343	\$0.00	\$0.00	3/28/2018	Redeemed FY 17/18
RB	Commerce Joint Powers Financing Authority	\$7,500,000.00		2007-1346			8/1/2011	Matured FY 11/12
<b>Total</b>		<b>\$66,385,000.00</b>				<b>\$0.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2007	\$58,885,000	Commerce Community Development Commission Los Angeles CDIAC Number: 2007-1343 Tax allocation bond Redevelopment, Multiple Purposes No 1 Series A Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA Commerce JPFA	07-01-27 Serial	<u>TIC</u> 5.875 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$4,533,450	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.580	Y	\$23,896,354

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$140,420	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Commerce Joint Powers Financing Authority	2007-1345	\$64,805,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-04-2005	\$38,230,000	Culver City School Facilities Financing Authority Los Angeles CDIAC Number: 2005-1730 Revenue bond K-12 School Facility	S:AAA/A+ M:Aaa/A1  Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington FSA U.S. Bank NA George K Baum	08-01-33 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.552

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$29,275,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Culver City Unified School District	\$37,795,000.00	08/30/2018	2005-1731	\$0.00	\$29,045,000.00		
<b>Total</b>		<b>\$37,795,000.00</b>				<b>\$29,045,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-04-2005	\$37,795,000	Culver City Unified School District Los Angeles CDIAC Number: 2005-1731 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (PU) (TR)	Orrick Herrington Culver City School Fac FA U.S. Bank NA	08-01-33 Serial	<u>TIC</u> 5.491 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$29,045,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$6,284,750

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$79,297	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Culver City School Facilities Financing Authority	2005-1730	\$38,230,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
12-05-2017	\$45,415,000	Downey Public Finance Authority Los Angeles CDIAC Number: 2017-2552 Public lease revenue bond Multiple Capital Improvements, Public Works	S:AA-	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	12-01-36 Serial	TIC NIC TYPE:	0 3.074

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$45,415,000	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

Issuance Cost
\$497,075

C. TEETER PLAN

Teeter Plan Participant
N

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
12/1/2036	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Trustee	\$2,000
Total:	\$2,000

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
CL	Downey	\$45,415,000.00	10/30/2018	2017-2553	\$0.00	\$45,415,000.00		
<b>Total</b>		<b>\$45,415,000.00</b>				<b>\$45,415,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-05-2017	\$45,415,000	Downey Los Angeles CDIAC Number: 2017-2553 Capital Lease Multiple Capital Improvements, Public Works	NR	Neg	(BC) (DC) (FA)	Jones Hall Jones Hall Fieldman Rolapp	12-01-36 Serial	<u>TIC</u> 0 <u>NIC</u> 3.074 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$45,415,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Downey Public Finance Authority	2017-2552	\$45,415,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-04-2007	\$21,030,000	Downey Unified School District Los Angeles CDIAC Number: 2007-0350 General obligation bond K-12 School Facility Refunding	S:AAA/A+	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Dale Scott & Co Inc (PU) Downey School FFA (TR) Los Angeles Co	08-01-26 Comb	<u>TIC</u> <u>NIC</u> 5.305 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$16,720,000	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.240	\$24,477,892

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$303,805	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Downey School Facilities Financing Authority	2007-0351	\$21,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
05-09-2007	\$16,905,000	East Whittier City School Facilities Financing Authority Los Angeles CDIAC Number: 2007-0527 Revenue bond K-12 School Facility GO Bond Program	M:Aaa/A1    Ins	Neg	(BC) Orrick Herrington (EN) FSA (TR) U.S. Bank NA (UW) George K Baum	08-01-17 Serial	<u>TIC</u> <u>NIC</u> 3.621 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$3,818
Trustee	\$250
Total:	\$4,068

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	East Whittier City School District	\$16,960,000.00	10/16/2018	2007-0528	\$250.00	\$0.00	8/01/2017	Matured FY 17/18
<b>Total</b>		<b>\$16,960,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-09-2007	\$16,960,000	East Whittier City School District Los Angeles CDIAC Number: 2007-0528 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (PU) (TR)	Orrick Herrington E Whittier City Sch FFA U.S. Bank NA	08-01-17 Serial	<u>TIC</u> <u>NIC</u> 4.761 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$250	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
East Whittier City School Facilities Financing Authority	2007-0527	\$16,905,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-24-2003	\$11,255,000	Glendora Public Financing Authority Los Angeles CDIAC Number: 2003-1716 Tax allocation bond Redevelopment, Multiple Purposes No One Series A Refunding	S:AAA/A-  F:AAA  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Stradling Yocca Harrell & Co Advisors MBIA Corp U.S. Bank NA Henderson Capital	09-01-24     Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.027

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,920,000	\$1,125,500	\$0	\$0	\$1,125,500	\$1,125,500

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$125
Disclosure	\$700
Trustee	\$3,300
<b>Total:</b>	<b>\$4,125</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Glendora Community Redevelopment Agency	\$11,255,000.00	10/24/2018	2016-0987	\$0.00	\$7,920,000.00		
<b>Total</b>		<b>\$11,255,000.00</b>				<b>\$7,920,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-24-2003	\$11,255,000	Glendora Community Redevelopment Agency Los Angeles CDIAC Number: 2016-0987 Marks-Roos Authority Loan Redevelopment, Multiple Purposes No One Series A	NR	Neg	(BC) (FA) (LE) (TR)	Stradling Yocca Harrell & Co Advisors Glendora PFA U.S. Bank NA	09-01-24 Comb	<u>TIC</u> 5.027 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,920,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Glendora Public Financing Authority	2003-1716	\$11,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-24-2003	\$4,815,000	Glendora Public Financing Authority Los Angeles CDIAC Number: 2003-1717 Tax allocation bond Redevelopment, Multiple Purposes No One Series B Federally Taxable	S:AAA/A-  F:AAA  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Stradling Yocca Harrell & Co Advisors MBIA Corp U.S. Bank NA Henderson Capital	09-01-19  Comb	<u>TIC</u> 5.792 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$935,000	\$481,500	\$0	\$0	\$481,500	\$481,500

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$125
Disclosure	\$700
Trustee	\$3,300
Total:	\$4,125

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Glendora Community Redevelopment Agency	\$4,815,000.00	10/24/2018	2016-0988	\$0.00	\$935,000.00		
<b>Total</b>		<b>\$4,815,000.00</b>				<b>\$935,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-24-2003	\$4,815,000	Glendora Community Redevelopment Agency Los Angeles CDIAC Number: 2016-0988 Marks-Roos Authority Loan Redevelopment, Multiple Purposes No One Series B Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Stradling Yocca Harrell & Co Advisors Glendora PFA U.S. Bank NA	09-01-19 Comb	<u>TIC</u> 5.792 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$935,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Glendora Public Financing Authority	2003-1717	\$4,815,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-19-2007	\$55,485,000	Hacienda La Puente Unified School District Facilities Financing Authority Los Angeles CDIAC Number: 2007-1211 Revenue bond K-12 School Facility	S:AAA/A+ M:Aaa/A2  Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington FSA U.S. Bank NA George K Baum	08-01-27 Serial	<u>TIC</u> <u>NIC</u> 4.203 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$53,930,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Hacienda La Puente Unified School District	\$55,428,805.00	11/15/2018	2007-1212	\$0.00	\$53,930,000.00		
<b>Total</b>		<b>\$55,428,805.00</b>				<b>\$53,930,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-19-2007	\$55,428,805	Hacienda La Puente Unified School District Los Angeles CDIAC Number: 2007-1212 General obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (PU) (TR)	Orrick Herrington Hacienda La Puente USD FA U.S. Bank NA	08-01-27 Serial	<u>TIC</u> <u>NIC</u> 5.009 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$53,930,000	\$0	\$0	\$0	\$0	0	0.850	N	\$7,837,395	\$67,001	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hacienda La Puente Unified School District Facilities Financing Authority	2007-1211	\$55,485,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-25-2015	\$239,525,000	Industry Public Facilities Authority Los Angeles CDIAC Number: 2015-1154 Tax allocation bond Redevelopment, Multiple Purposes Civic Recreational-Industrial RDA No 1 Series A Federally Taxable	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	07-01-24 Serial	TIC NIC TYPE:	3.544 3.553

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$171,345,000	\$23,952,500	\$0	\$23,952,500	\$0	\$23,952,500

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
TAB	Successor Agency to the Industry Urban-Development Agency	\$239,525,000.00	11/14/2018	2015-1162	\$0.00	\$171,345,000.00		
<b>Total</b>		<b>\$239,525,000.00</b>				<b>\$171,345,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-25-2015	\$239,525,000	Successor Agency to the Industry Urban-Development Agency Los Angeles CDIAC Number: 2015-1162 Tax allocation bond Redevelopment, Multiple Purposes Civic Rec-Industrial Redevelopment Agency No 1 Series A Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Assured Guaranty Corp Industry PFA U.S. Bank NA	07-01-24 Serial	<u>TIC</u> 3.544 <u>NIC</u> 3.553 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$171,345,000	\$0	\$0	\$0	\$0	0	0.220	Y	\$27,771,820	\$60,941	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Industry Public Facilities Authority	2015-1154	\$239,525,000.00



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-25-2015	\$7,140,000	Industry Public Facilities Authority Los Angeles CDIAC Number: 2015-1155 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Industrial Redevelopment Agency No 2 Series A	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	01-01-25 Serial	TIC NIC TYPE:	3.354 3.549

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$5,945,000	\$781,227	\$0	\$781,227	\$0	\$781,227

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant
N

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
TAB	Successor Agency to the Industry Urban-Development Agency	\$7,140,000.00	11/14/2018	2015-1163	\$0.00	\$5,945,000.00		
	<b>Total</b>	<b>\$7,140,000.00</b>				<b>\$5,945,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-25-2015	\$7,140,000	Successor Agency to the Industry Urban-Development Agency Los Angeles CDIAC Number: 2015-1163 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Industrial Redevelopment Agency No 2 Series A Refunding	NR     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Assured Guaranty Corp Industry PFA U.S. Bank NA	01-01-25 Serial	<u>TIC</u> 3.354 <u>NIC</u> 3.549 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	B. DELINQUENCY			C. TEETER PLAN		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$5,945,000	\$0	\$0	\$0	\$0	0	0.220	Y	\$7,524,060	\$16,510	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Industry Public Facilities Authority	2015-1155	\$7,140,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-25-2015	\$33,815,000	Industry Public Facilities Authority Los Angeles CDIAC Number: 2015-1156 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Industrial Redevelopment Agency No 2 Series A Federally Taxable	NR	Neg	(BC)	Norton Rose Fulbright	01-01-24	<u>TIC</u>	6.367
					(DC)	Norton Rose Fulbright	Comb	<u>NIC</u>	6.262
					(FA)	NHA Advisors		<u>TYPE:</u>	
					(TR)	U.S. Bank NA			
					(UW)	Stifel Nicolaus & Co Inc			

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt <u>Outstanding</u>	Minimum Bond <u>Reserve</u>	Capitalized <u>Interest</u>	<u>Bond</u> <u>Reserve Cash</u>	Bond Reserve <u>Surety Bond</u>	Total Bond <u>Reserve Fund</u>
17/18	\$0	\$3,327,404	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity</u> <u>Date</u>	<u>Commission</u> <u>Amount</u>	<u>Interest</u> <u>Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service</u> <u>Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
TAB	Successor Agency to the Industry Urban-Development Agency	\$33,815,000.00	11/14/2018	2015-1165	\$0.00	\$0.00	1/1/2018	Redeemed FY 17/18
		<b>Total</b>				<b>\$0.00</b>		

#### MISCELLANEOUS

An Email has been sent regarding the Outstanding balance & Redemption Dates.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-25-2015	\$33,815,000	Successor Agency to the Industry Urban-Development Agency Los Angeles CDIAC Number: 2015-1165 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Indus Redevelopment Agency No 2 Series A Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Industry PFA U.S. Bank NA	01-01-24 Comb	<u>TIC</u> 6.367 <u>NIC</u> 6.262 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$0	\$0	\$0	\$0	\$0	0	0.220	Y	\$7,524,060	\$16,510	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Industry Public Facilities Authority	2015-1156	\$33,815,000.00

### MISCELLANEOUS

An Email has been sent regarding the Outstanding balance & Redemption Dates.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-25-2015	\$249,770,000	Industry Public Facilities Authority Los Angeles CDIAC Number: 2015-1157 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Industrial Redevelopment Agency No 2 Series B Federally Taxable	S:AA/A      Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	01-01-27 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.659 4.670

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$207,485,000	\$24,977,000	\$0	\$24,977,000	\$0	\$24,977,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Industry Urban-Development Agency	\$249,770,000.00	11/14/2018	2015-1164	\$0.00	\$207,485,000.00		
<b>Total</b>		<b>\$249,770,000.00</b>				<b>\$207,485,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation Bond</u>	<u>Interest</u>
06-25-2015	\$249,770,000	Successor Agency to the Industry Urban-Development Agency Los Angeles CDIAC Number: 2015-1164 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Industrial Redevelopment Agency No 2 Series B Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Assured Guaranty Corp Industry PFA U.S. Bank NA	01-01-27 Comb	<u>TIC</u> 4.659 <u>NIC</u> 4.670 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$207,485,000	\$0	\$0	\$0	\$0	0	0.220	Y	\$7,524,060	\$16,510	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Industry Public Facilities Authority	2015-1157	\$249,770,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-25-2015	\$37,425,000	Industry Public Facilities Authority Los Angeles CDIAC Number: 2015-1159 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Industrial No 3 Series B Federally Taxable	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	01-01-27 Comb	TIC NIC TYPE:	4.859 4.868

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$31,720,000	\$3,742,500	\$0	\$3,742,500	\$0	\$3,742,500

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant
N

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
TAB	Successor Agency to the Industry Urban-Development Agency	\$37,425,000.00	11/14/2018	2015-1167	\$0.00	\$31,720,000.00		
<b>Total</b>		<b>\$37,425,000.00</b>				<b>\$31,720,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-25-2015	\$37,425,000	Successor Agency to the Industry Urban-Development Agency Los Angeles CDIAC Number: 2015-1167 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Industrial RDA No 3 Series B Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Industry PFA U.S. Bank NA	01-01-27 Comb	<u>TIC</u> 4.859 <u>NIC</u> 4.868 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$31,720,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.220	\$3,882,423

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,519	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Industry Public Facilities Authority	2015-1159	\$37,425,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-25-2015	\$7,230,000	Industry Public Facilities Authority Los Angeles CDIAC Number: 2015-1160 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Industrial No 3 Series A	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	01-01-25 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.369 3.563

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,015,000	\$781,716	\$0	\$781,716	\$0	\$781,716

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Industry Urban-Development Agency	\$7,230,000.00	11/14/2018	2015-1166	\$0.00	\$6,015,000.00		
<b>Total</b>		<b>\$7,230,000.00</b>				<b>\$6,015,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-25-2015	\$7,230,000	Successor Agency to the Industry Urban-Development Agency Los Angeles CDIAC Number: 2015-1166 Tax allocation bond Redevelopment, Multiple Purposes Trans-Distrib-Industrial RDA No 3 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Norton Rose Fulbright Norton Rose Fulbright NHA Advisors Industry PFA U.S. Bank NA	01-01-25 Serial	<u>TIC</u> 3.369 <u>NIC</u> 3.563 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,015,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.220	\$3,882,423

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,519	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Industry Public Facilities Authority	2015-1160	\$7,230,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2007	\$57,645,000	Inglewood Unified School District School Facilities Financing Authority Los Angeles CDIAC Number: 2007-0037 Revenue bond K-12 School Facility	S:AAA/A- M:Aaa/A3  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington Backstrom McCarley FSA U.S. Bank NA Siebert Brandford	10-15-26 Comb	<u>TIC</u> <u>NIC</u> 4.382 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$37,355,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Inglewood Unified School District	\$57,645,000.00	08/16/2018	2006-1284	\$0.00	\$37,355,000.00		
<b>Total</b>		<b>\$57,645,000.00</b>				<b>\$37,355,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2007	\$57,645,000	Inglewood Unified School District Los Angeles CDIAC Number: 2006-1284 General obligation bond K-12 School Facility Measure K Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Backstrom McCarley Inglewood USD School FFA U.S. Bank NA	10-01-26 Comb	<u>TIC</u> <u>NIC</u> 5.007 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$37,355,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.080	Y	\$267,938

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$267,938	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Inglewood Unified School District School Facilities Financing Authority	2007-0037	\$57,645,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
12-22-1997	\$3,740,000	Lancaster Financing Authority Los Angeles CDIAC Number: 1997-1769 Revenue bond Water Supply, Storage, Distribution Sr Lien Series A	NR	Neg	(BC) Stradling Yocca (DC) Fulbright & Jaworski (FA) Urban Futures (TR) First Trust of WA (UW) OConnor & Co Sec	09-01-20 Term	<u>TIC</u> <u>NIC</u> 6.344 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$695,000	\$265,000	\$0	\$266,756	\$0	\$266,756

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$26,500
Legal, Law Firm	\$7,000
Trustee	\$1,000
<b>Total:</b>	<b>\$34,500</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Lancaster CFD No 89-1	\$4,895,000.00	10/24/2018	1990-0149	\$0.00	\$985,000.00		
RB	Lancaster Financing Authority	\$2,643,890.00	10/24/2018	1997-1770		\$1,747,089.71		
LTOB	Lancaster CFD No 89-1	\$360,000.00	10/24/2018	1997-1771	\$0.00	\$360,000.00		
<b>Total</b>		<b>\$7,898,890.00</b>				<b>\$3,092,089.71</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale Private Placement</u>	<u>Role Participant</u>	<u>Maturity Date, Type Capital Appreciation Bond Interest</u>
12-30-1997	\$2,643,890	Lancaster Financing Authority Los Angeles CDIAC Number: 1997-1770 Revenue bond Water Supply, Storage, Distribution Jr Lien Series B Federally Taxable	NR	Neg	(BC) Stradling Yocca (DC) Fulbright & Jaworski (FA) Urban Futures (TR) First Trust of WA (UW) OConnor & Co Sec	09-01-20 Comb CAB <u>TIC</u> <u>NIC</u> 10.384 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,747,090	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$26,500
Legal, Law Firm	\$7,000
Trustee	\$1,000
Total:	\$34,500

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-03-1990	\$4,895,000	Lancaster CFD No 89-1 Los Angeles CDIAC Number: 1990-0149 Limited tax obligation bond Water Supply, Storage, Distribution East Lancaster Water Storage Tank & Supply Fac	NR	Neg	(BC) (DC) (FA) (UW)	Stradling Yocca Fulbright & Jaworski Urban Futures Chilton & O'Connor	09-01-20 Comb	<u>TIC</u> <u>NIC</u> 7.701 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$985,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	4.070	\$383,814

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$15,628	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lancaster Financing Authority	1997-1769	\$3,740,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-22-1997	\$360,000	Lancaster CFD No 89-1 Los Angeles CDIAC Number: 1997-1771 Limited tax obligation bond Water Supply, Storage, Distribution E Lancaster Water Storage Tank Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Fulbright & Jaworski Urban Futures Lancaster FA First Trust of WA	09-01-20 Term	<u>TIC</u> <u>NIC</u> 7.263 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<b>B. DELINQUENCY</b>			<b>C. TEETER PLAN</b>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$360,000	\$0	\$0	\$0	\$0	0	4.070	Y	\$383,814	\$15,628	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lancaster Financing Authority	1997-1769	\$3,740,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-19-2002	\$77,715,000	Long Beach Bond Finance Authority Los Angeles CDIAC Number: 2002-0506 Tax allocation bond Redevelopment, Multiple Purposes Downtown, N Long Beach, Poly High & W Beach Areas Series A	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Quint & Thimmig Montague DeRose Ambac BNY Mellon Capital UBS PaineWebber	08-01-31 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.926

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	<u>Bond</u> Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$26,635,000	\$0	\$0	\$0	\$0	\$0

#### Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
N		\$0	\$0

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year	Outstanding Balance	Retired / Receipt /	Retired
					Admin Fees		Repay Date	Type
TAB	Long Beach Redevelopment Agency	\$26,820,000.00	10/25/2018	2002-0507	\$0.00	\$5,105,000.00		
TAB	Long Beach Redevelopment Agency	\$40,290,000.00	10/25/2018	2002-0508	\$0.00	\$21,530,000.00		
TAB	Long Beach Redevelopment Agency	\$1,710,000.00		2002-0509			8/1/2012	Matured FY 12/13
TAB	Long Beach Redevelopment Agency	\$8,895,000.00		2002-0510			7/24/2015	Redeemed FY 15-16
TAB	Long Beach Bond Finance Authority	\$35,045,000.00	10/25/2018	2006-0090		\$26,635,000.00		
<b>Total</b>		<b>\$112,760,000.00</b>				<b>\$53,270,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-10-2006	\$35,045,000	Long Beach Bond Finance Authority Los Angeles CDIAC Number: 2006-0090 Tax allocation bond Redevelopment, Multiple Purposes Downtown & N Long Beach Series C Refunding	S:AAA M:Aaa  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Kutak Rock Gardner Underwood & Bacon Ambac The Bank of NY Trust Co E J De La Rosa	08-01-31 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.242

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$26,635,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,250
Total:	\$1,250

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Long Beach Redevelopment Agency	\$26,820,000.00	10/25/2018	2002-0507	\$0.00	\$5,105,000.00		
TAB	Long Beach Redevelopment Agency	\$40,290,000.00	10/25/2018	2002-0508	\$0.00	\$21,530,000.00		
<b>Total</b>		<b>\$67,110,000.00</b>				<b>\$26,635,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)		Type of Sale			Maturity Date, Type	
			Enhancement		Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-19-2002	\$26,820,000	Long Beach Redevelopment Agency Los Angeles CDIAC Number: 2002-0507 Tax allocation bond Redevelopment, Multiple Purposes Downtown Refunding	S:AAA M:Aaa		Neg	(BC) (FA) (PU) (TR)	Quint & Thimmig Montague DeRose Long Beach Bond FA BNY Mellon Capital	08-01-25 Comb	TIC 4.891 NIC TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$5,105,000	\$305,152	\$0	\$0	\$305,152

B. DELINQUENCY

Authority Reserve Fund (%)	Rate(%)	Del Taxes Reported YES/NO	Taxes Due
0	1.100	Y	\$27,068,596

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$297,903	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Long Beach Bond Finance Authority	2006-0090	\$35,045,000.00

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$5,105,000	\$305,152	\$0	\$0	\$305,152

B. DELINQUENCY

Authority Reserve Fund (%)	Rate(%)	Del Taxes Reported YES/NO	Taxes Due
0	1.100	Y	\$27,068,596

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$297,903	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Long Beach Bond Finance Authority	2002-0506	\$77,715,000.00

MISCELLANEOUS

Issue 2002-0507 was partially refunded by issues 2006-0090 on 8-1-2012 FY 12/13 & 2015-1304 on 7-24-2015 FY 15/16 .

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)		Type of Sale			Maturity Date, Type		
			Enhancement		Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-19-2002	\$40,290,000	Long Beach Redevelopment Agency Los Angeles CDIAC Number: 2002-0508 Tax allocation bond Redevelopment, Multiple Purposes N Long Beach	S:AAA M:Aaa		Neg	(BC) (FA) (PU) (TR)	Quint & Thimmig Montague DeRose Long Beach Bond FA BNY Mellon Capital	08-01-31 Comb	TIC NIC TYPE:	5.208

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$21,530,000	\$2,650,018	\$0	\$0	\$2,650,018

#### B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due
0	Y	1.800	\$61,278,132

#### C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$1,078,214	N

#### D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Long Beach Bond Finance Authority	2006-0090	\$35,045,000.00

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$21,530,000	\$2,650,018	\$0	\$0	\$2,650,018

#### B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due
0	Y	1.800	\$61,278,132

#### C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$1,078,214	N

#### D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Long Beach Bond Finance Authority	2002-0506	\$77,715,000.00

### MISCELLANEOUS

Issue 2002-0507 was partially refunded by issues 2006-0090 on 8-1-2012 FY 12/13 & 2015-1304 on 7-24-2015 FY 15/16 .

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond Interest	
11-13-2002	\$47,780,000	Long Beach Bond Finance Authority Los Angeles CDIAC Number: 2002-1809 Tax allocation bond Redevelopment, Multiple Purposes Downtown & W Long Beach Industrial Series B	S:AAA M:Aaa  Ins	Neg	(BC) Quint & Thimmig (FA) Gardner Underwood & Bacon (EN) Ambac (TR) U.S. Bank NA (UW) E J De La Rosa	11-01-24 Comb	TIC 4.539 NIC TYPE:

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$34,670,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Arbitrage Calculation	\$4,000
Trustee	\$1,815
Total:	\$5,815

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
TAB	Long Beach Redevelopment Agency	\$21,860,000.00	10/25/2018	2002-1810	\$0.00	\$12,055,000.00		
TAB	Long Beach Redevelopment Agency	\$25,920,000.00	10/25/2018	2002-1811	\$0.00	\$22,615,000.00		
<b>Total</b>		<b>\$47,780,000.00</b>				<b>\$34,670,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2002	\$21,860,000	Long Beach Redevelopment Agency Los Angeles CDIAC Number: 2002-1810 Tax allocation bond Redevelopment, Multiple Purposes W Long Beach Industrial Series A Federally Taxable Refunding	S:AAA M:Aaa	Neg	(BC) (FA) (TR) (UW)	Quint & Thimmig Gardner Underwood & Bacon U.S. Bank NA Long Beach Bond FA	11-01-24 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.250

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,055,000	\$305,152	\$0	\$0	\$305,152

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.600	\$18,232,675

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$110,906	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Long Beach Bond Finance Authority	2002-1809	\$47,780,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2002	\$25,920,000	Long Beach Redevelopment Agency Los Angeles CDIAC Number: 2002-1811 Tax allocation bond Redevelopment, Multiple Purposes Downtown Series B Federally Taxable Refunding	S:AAA M:Aaa	Neg	(BC) (FA) (TR) (UW)	Quint & Thimmig Gardner Underwood & Bacon U.S. Bank NA Long Beach Bond FA	11-01-22 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.250

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$22,615,000	\$0	\$0	\$0	\$0	0	1.100	Y	\$27,068,596	\$297,903	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Long Beach Bond Finance Authority	2002-1809	\$47,780,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
02-09-2005	\$77,447,306	Long Beach Bond Finance Authority Los Angeles CDIAC Number: 2005-0040 Revenue bond Redevelopment, Multiple Purposes Hsg, Gas Utility Series A-1 & A-2	S:AAA/BBB M:Aaa/Baa2  Ins	Neg	(BC) Quint & Thimmig (DC) Kutak Rock (FA) Gardner Underwood & Bacon (EN) Ambac (TR) The Bank of NY Trust Co (UW) E J De La Rosa	08-01-40 Comb CAB	<u>TIC</u> <u>NIC</u> 5.034 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,116,285	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$3,498
Total:	\$3,498

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PERB	Long Beach	\$7,675,000.00		2005-0041			8/1/2013	Matured FY 13/14
TAB	Long Beach Redevelopment Agency	\$22,690,000.00		2005-0042			8/1/2015	Redeemed FY 15/16
TAB	Long Beach Redevelopment Agency	\$39,000,000.00		2005-0043			8/1/2015	Redeemed FY 15/16
TAB	Long Beach Redevelopment Agency	\$2,557,753.00	10/25/2018	2005-0044	\$0.00	\$1,276,731.30		
TAB	Long Beach Redevelopment Agency	\$839,553.00	10/25/2018	2005-0045	\$0.00	\$839,553.30		
TAB	Long Beach Redevelopment Agency	\$4,685,000.00		2005-0046			8/1/2015	Redeemed FY 15/16
TAB	Long Beach Redevelopment Agency	\$55,665,000.00		2005-0047			8/1/2015	Redeemed FY 15/16
RB	Long Beach Bond Finance Authority	\$114,985,000.00		2005-0316			8/1/2015	Redeemed FY 15/16
<b>Total</b>		<b>\$248,097,306.00</b>				<b>\$2,116,284.60</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-09-2005	\$2,557,753	Long Beach Redevelopment Agency Los Angeles CDIAC Number: 2005-0044 Tax allocation bond Redevelopment, Multiple Purposes Poly High	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Quint & Thimmig Kutak Rock Gardner Underwood & Bacon Long Beach Bond FA The Bank of NY Trust Co	08-01-24 Serial	<u>TIC</u> <u>NIC</u> 6.307 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,276,731	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.700	\$907,620

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$15,810	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Long Beach Bond Finance Authority	2005-0040	\$77,447,306.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-09-2005	\$839,553	Long Beach Redevelopment Agency Los Angeles CDIAC Number: 2005-0045 Tax allocation bond Redevelopment, Multiple Purposes W Beach	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Quint & Thimmig Kutak Rock Gardner Underwood & Bacon Long Beach Bond FA The Bank of NY Trust Co	08-01-20 Serial	<u>TIC</u> <u>NIC</u> 6.594 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$839,553	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.070	\$2,900,853

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,932	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Long Beach Bond Finance Authority	2005-0040	\$77,447,306.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-20-2008	\$14,250,000	Los Angeles Community RDA Community RDA FA Los Angeles CDIAC Number: 2008-0542 Revenue bond Redevelopment, Multiple Purposes Mid-City Recovery, Pico Union No 2 & Vermont/Manchester Recovery Series P Federally Taxable	S:A-	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Elizabeth C Green Law Off U.S. Bank NA DEPFA First Albany Sec	09-01-38 Term	<u>TIC</u> <u>NIC</u> 8.200 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,005,000	\$1,017,947	\$0	\$1,029,994	\$0	\$1,029,994

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Fiscal Agent	\$2,200
Total:	\$2,200

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Los Angeles Community Redevelopment Agency	\$2,250,000.00	10/30/2018	2016-1018	\$0.00	\$2,135,000.00		
MKRL	Los Angeles Community Redevelopment Agency	\$5,500,000.00		2016-1019			9/6/2016	Redeemed FY 16/17
MKRL	Los Angeles Community Redevelopment Agency	\$6,500,000.00	10/30/2018	2016-1020	\$0.00	\$5,870,000.00		
<b>Total</b>		<b>\$14,250,000.00</b>				<b>\$8,005,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-20-2008	\$2,250,000	Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2016-1018 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Vermont/Manchester Federally Taxable	NR	Neg	(BC) (DC) (LE) (TR)	Orrick Herrington Elizabeth C Green Law Off Los Angeles CRDA CRDA FA U.S. Bank NA	09-01-38 Term	<u>TIC</u> <u>NIC</u> 8.200 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,135,000	\$261,758	\$0	\$0	\$264,856

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.790	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles Community RDA Community RDA FA	2008-0542	\$14,250,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-20-2008	\$6,500,000	Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2016-1020 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Mid City Recovery Federally Taxable	NR	Neg	(BC) (DC) (LE) (TR)	Orrick Herrington Elizabeth C Green Law Off Los Angeles CRDA CRDA FA U.S. Bank NA	09-01-38 Term	<u>TIC</u> <u>NIC</u> 8.200 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,870,000	\$756,189	\$0	\$0	\$765,138

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles Community RDA Community RDA FA	2008-0542	\$14,250,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-27-1996	\$21,095,000	Los Angeles County Public Works Financing Authority Los Angeles CDIAC Number: 1996-1490 Revenue bond Street Construction and Improvements Series A Refunding	S:AAA M:Aaa     Ins	Neg	(BC) (EN) (TR) (UW)	Buchalter Nemer FSA BNY Mellon Capital OConnor & Co Sec	10-01-18 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.776

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>	<u>Issuance Cost</u>
17/18	\$1,570,000	\$515,800	\$0	\$523,727	\$0	\$523,727	\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>	<u>Type of Service</u>	<u>Service Amount</u>
N		\$0	\$0		

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
RB	Los Angeles County Public Works Financing Authority	\$3,795,000.00	10/22/2018	1996-1901		\$300,000.00		
SAB	Los Angeles County	\$10,265,000.00	10/18/2018	1997-0009	\$0.00	\$813,900.00		
LTOB	Los Angeles County CFD No 2	\$14,180,000.00	10/18/2018	1997-0010	\$0.00	\$1,122,000.00		
<b>Total</b>		<b>\$28,240,000.00</b>				<b>\$2,235,900.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-27-1996	\$3,795,000	Los Angeles County Public Works Financing Authority Los Angeles CDIAC Number: 1996-1901 Revenue bond Street Construction and Improvements Series B Refunding	NR	Neg	(BC) Buchalter Nemer (TR) BNY Mellon Capital (UW) OConnor & Co Sec	10-01-18 Comb	<u>TIC</u> <u>NIC</u> 5.776 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$300,000	\$175,000	\$0	\$177,688	\$0	\$177,688

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-27-1996	\$10,265,000	Los Angeles County Los Angeles CDIAC Number: 1997-0009 Special assessment bond Street Construction and Improvements Co Imp No 2656M Harbor Blvd-Rowland Hght Refunding	NR	Neg	(BC) (TR) (UW)	Buchalter Nemer Los Angeles Co Los Angeles Co PWFA	10-01-18 Comb	<u>TIC</u> <u>NIC</u> 6.741 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$813,900	\$163,257	\$0	\$0	\$165,337

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$713,449

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,577	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Public Works Financing Authority	1996-1490	\$21,095,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-27-1996	\$14,180,000	Los Angeles County CFD No 2 Los Angeles CDIAC Number: 1997-0010 Limited tax obligation bond Street Construction and Improvements Rowland Heights Refunding	NR	Neg	(BC) (PU) (TR)	Buchalter Nemer Los Angeles Co PWFA Los Angeles Co	10-01-18 Comb	<u>TIC</u> <u>NIC</u> 6.712 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,122,000	\$1,199,963	\$0	\$0	\$1,215,250

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Public Works Financing Authority	1996-1490	\$21,095,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-12-2013	\$31,650,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2013-2190 Tax allocation bond Redevelopment, Multiple Purposes CRA/LA North Hollywood & Hollywood Series A	S:AA-	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Orrick Herrington KNN Public Finance U.S. Bank NA De La Rosa & Co	07-01-24 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.726 2.954

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,415,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Los Angeles Community Redevelopment Agency	\$14,975,000.00	10/23/2018	2013-2191	\$0.00	\$11,000,000.00		
TAB	Successor Agency to the Los Angeles Community Redevelopment Agency	\$16,675,000.00	10/23/2018	2013-2192	\$0.00	\$13,415,000.00		
<b>Total</b>		<b>\$31,650,000.00</b>				<b>\$24,415,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2013	\$14,975,000	Successor Agency to the Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2013-2191 Tax allocation bond Redevelopment, Multiple Purposes Hollywood Series G Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	07-01-22 Serial	<u>TIC</u> 2.613 <u>NIC</u> 2.829 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,000,000	\$1,497,500	\$0	\$0	\$1,497,500

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.100	\$85,728,196

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$953,355	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2190	\$31,650,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2013	\$16,675,000	Successor Agency to the Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2013-2192 Tax allocation bond Redevelopment, Multiple Purposes North Hollywood Series I Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	07-01-24 Serial	<u>TIC</u> 2.810 <u>NIC</u> 3.044 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,415,000	\$2,059,659	\$0	\$0	\$2,059,659

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.100	\$26,885,376

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$288,442	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2190	\$31,650,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2013	\$11,875,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2013-2193 Tax allocation bond Redevelopment, Multiple Purposes CRA/LA Hollywood Series B Federally Taxable	S:AA-	Neg	(BC) Orrick Herrington (DC) Orrick Herrington (FA) KNN Public Finance (TR) U.S. Bank NA (UW) De La Rosa & Co	07-01-22 Serial	<u>TIC</u> 3.577 <u>NIC</u> 3.599 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,005,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Los Angeles Community Redevelopment Agency	\$11,875,000.00	10/23/2018	2013-2194	\$0.00	\$7,005,000.00		
<b>Total</b>		<b>\$11,875,000.00</b>				<b>\$7,005,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2013	\$11,875,000	Successor Agency to the Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2013-2194 Tax allocation bond Redevelopment, Multiple Purposes Hollywood Series H Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	07-01-22 Serial	<u>TIC</u> 3.577 <u>NIC</u> 5.599 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$7,005,000	\$1,187,500	\$0	\$0	\$1,187,500	0	1.100	Y	\$85,728,196	\$953,355	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2193	\$11,875,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-12-2013	\$10,120,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2013-2195 Tax allocation bond Redevelopment, Multiple Purposes CRA/LA Adelante Eastside & Mid-City Series C Federally Taxable	S:AA-/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington KNN Public Finance Assured Guaranty Corp U.S. Bank NA De La Rosa & Co	09-01-32 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.701 5.700

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,225,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Los Angeles Community Redevelopment Agency	\$5,515,000.00	10/23/2018	2013-2196	\$0.00	\$4,365,000.00		
TAB	Successor Agency to the Los Angeles Community Redevelopment Agency	\$4,605,000.00	10/23/2018	2013-2197	\$0.00	\$3,860,000.00		
<b>Total</b>		<b>\$10,120,000.00</b>				<b>\$8,225,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2013	\$5,515,000	Successor Agency to the Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2013-2196 Tax allocation bond Redevelopment, Multiple Purposes Mid-City Recovery Series D Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-32 Comb	<u>TIC</u> 5.680 <u>NIC</u> 5.685 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$4,365,000	\$523,505	\$0	\$0	\$523,505	0	4.700	Y	\$11,732,056	\$546,913	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2195	\$10,120,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2013	\$4,605,000	Successor Agency to the Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2013-2197 Tax allocation bond Redevelopment, Multiple Purposes Adelante Eastside Series E Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-32 Comb	<u>TIC</u> 5.725 <u>NIC</u> 5.719 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$3,860,000	\$479,732	\$0	\$0	\$479,732	0	1.800	Y	\$13,261,129	\$233,292	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2195	\$10,120,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$78,405,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2013-2228 Tax allocation bond Redevelopment, Multiple Purposes Claremont, Lynwood, Monterey Park, CRA/LA Little Tokyo, W Hollywood & Alhambra, Series D	S:AA-/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington KNN Public Finance Assured Guaranty Corp U.S. Bank NA De La Rosa & Co	09-01-33 Comb	<u>TIC</u> 3.602 <u>NIC</u> 3.788 <u>TYPE:</u>

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>	<u>Issuance Cost</u>
17/18	\$52,660,000	\$0	\$0	\$0	\$0	\$0	\$0

C. TEETER PLAN

D. INVESTMENT CONTRACT (Current Year Only)

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>	<u>Type of Service</u>	<u>Service Amount</u>
N		\$0	\$0		

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Successor Agency to the Los Angeles Community Redevelopment Agency	\$7,965,000.00	10/23/2018	2013-2227	\$0.00	\$3,780,000.00		
LTOB	Successor Agency to the Claremont Redevelopment Agency	\$5,200,000.00	10/23/2018	2013-2232	\$0.00	\$3,450,000.00		
LTOB	Successor Agency to the Monterey Park Redevelopment Agency	\$13,825,000.00	10/23/2018	2013-2233	\$0.00	\$10,180,000.00		
LTOB	Successor Agency to the Monterey Park Redevelopment Agency	\$7,080,000.00	10/23/2018	2013-2234	\$0.00	\$5,555,000.00		
LTOB	Successor Agency to the W Hollywood Cmnty Development Comm	\$9,370,000.00	10/23/2018	2013-2235	\$0.00	\$8,010,000.00		
LTOB	Successor Agency to the Lynwood Redevelopment Agency	\$9,785,000.00	10/23/2018	2013-2237	\$0.00	\$7,715,000.00		
LTOB	Successor Agency to the Alhambra Redevelopment Agency	\$25,180,000.00	10/23/2018	2013-2238	\$0.00	\$13,970,000.00		
<b>Total</b>		<b>\$78,405,000.00</b>				<b>\$52,660,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$7,965,000	Successor Agency to the Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2013-2227 Limited tax obligation bond Redevelopment, Multiple Purposes Little Tokyo Series F Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-20 Serial	<u>TIC</u> 2.462 <u>NIC</u> 2.619 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,780,000	\$804,297	\$0	\$0	\$804,297

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.800	\$9,377,986

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$351,675	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2228	\$78,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$5,200,000	Successor Agency to the Claremont Redevelopment Agency Los Angeles CDIAC Number: 2013-2232 Limited tax obligation bond Redevelopment, Multiple Purposes Consolidated Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority Union Bank NA	09-01-23 Serial	<u>TIC</u> 3.218 <u>NIC</u> 3.415 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,450,000	\$520,000	\$0	\$0	\$520,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.300	Y	\$5,898,996

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$14,895	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2228	\$78,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$13,825,000	Successor Agency to the Monterey Park Redevelopment Agency Los Angeles CDIAC Number: 2013-2233 Limited tax obligation bond Redevelopment, Multiple Purposes Atlantic-Garvey No 1 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-26 Serial	<u>TIC</u> 3.696 <u>NIC</u> 3.832 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,180,000	\$1,382,500	\$0	\$0	\$1,382,500

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$6,913,929

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$139,568	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2228	\$78,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$7,080,000	Successor Agency to the Monterey Park Redevelopment Agency Los Angeles CDIAC Number: 2013-2234 Limited tax obligation bond Redevelopment, Multiple Purposes Merged Area Series B Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-28 Serial	<u>TIC</u> 4.023 <u>NIC</u> 4.156 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,555,000	\$665,615	\$0	\$0	\$665,615

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.500	Y	\$8,466,373

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$44,175	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2228	\$78,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$9,370,000	Successor Agency to the W Hollywood Cmnty Development Comm Los Angeles CDIAC Number: 2013-2235 Limited tax obligation bond Redevelopment, Multiple Purposes Eastside Area Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority The Bank of NY Mellon	09-01-33 Comb	<u>TIC</u> 4.526 <u>NIC</u> 4.618 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,010,000	\$4,002,800	\$0	\$0	\$4,002,800

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.100	\$14,892,473

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$315,725	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2228	\$78,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$9,785,000	Successor Agency to the Lynwood Redevelopment Agency Los Angeles CDIAC Number: 2013-2237 Limited tax obligation bond Redevelopment, Multiple Purposes Area A Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-28 Serial	<u>TIC</u> 4.035 <u>NIC</u> 4.166 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,715,000	\$701,533	\$0	\$0	\$701,533

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$7,017,995

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$235,990	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2228	\$78,405,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$25,180,000	Successor Agency to the Alhambra Redevelopment Agency Los Angeles CDIAC Number: 2013-2238 Limited tax obligation bond Redevelopment, Multiple Purposes Industrial Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-21 Serial	<u>TIC</u> 2.728 <u>NIC</u> 2.904 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,970,000	\$2,518,000	\$0	\$0	\$2,518,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.500	\$14,376,191

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$73,003	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2228	\$78,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-18-2013	\$810,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2013-2229 Tax allocation bond Redevelopment, Multiple Purposes Lynwood Series F	S:AA-/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington KNN Public Finance Assured Guaranty Corp U.S. Bank NA De La Rosa & Co	09-01-24 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.465 3.490

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$540,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Lynwood Redevelopment Agency	\$810,000.00	10/23/2018	2013-2236	\$0.00	\$540,000.00		
<b>Total</b>		<b>\$810,000.00</b>				<b>\$540,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$810,000	Successor Agency to the Lynwood Redevelopment Agency Los Angeles CDIAC Number: 2013-2236 Tax allocation bond Redevelopment, Multiple Purposes Alameda Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-24 Serial	<u>TIC</u> 3.465 <u>NIC</u> 3.490 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$540,000	\$81,000	\$0	\$0	\$81,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,433,668

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$41,920	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2229	\$810,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-18-2013	\$12,151,600	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2013-2230 Tax allocation bond Redevelopment, Multiple Purposes Covina Series E	S:AA-/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington KNN Public Finance Assured Guaranty Corp U.S. Bank NA De La Rosa & Co	12-01-23 Serial CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.620

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,186,623	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Covina Redevelopment Agency	\$12,151,600.00	10/23/2018	2013-2231	\$0.00	\$11,186,622.69		
<b>Total</b>		<b>\$12,151,600.00</b>				<b>\$11,186,622.69</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-18-2013	\$12,151,600	Successor Agency to the Covina Redevelopment Agency Los Angeles CDIAC Number: 2013-2231 Tax allocation bond Redevelopment, Multiple Purposes Covina Revitalization No One Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington KNN Public Finance LA Co Redev Ref Authority The Bank of NY Mellon	12-01-23 Serial	<u>TIC</u> 3.620 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,186,623	\$1,215,160	\$0	\$0	\$1,215,160

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.600	Y	\$7,924,531

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$125,045	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2013-2230	\$12,151,600.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-17-2014	\$3,920,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2014-1081 Tax allocation bond Redevelopment, Multiple Purposes South Gate No 1 Series B Federally Taxable	S:AA/A     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington KNN Public Finance Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-24 Serial	<u>TIC</u> 3.478 <u>NIC</u> 3.480 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,835,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the South Gate Community Development Commission	\$3,920,000.00	10/24/2018	2014-1079	\$0.00	\$2,835,000.00		
<b>Total</b>		<b>\$3,920,000.00</b>				<b>\$2,835,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-17-2014	\$3,920,000	Successor Agency to the South Gate Community Development Commission Los Angeles CDIAC Number: 2014-1079 Tax allocation bond Redevelopment, Multiple Purposes No 1 Series B Federally Taxable Refunding	S:A	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-24 Serial	<u>TIC</u> 3.478 <u>NIC</u> 3.480 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$2,835,000	\$335,060	\$0	\$0	\$335,060	0	2.400	Y	\$14,190,367	\$338,275	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2014-1081	\$3,920,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-17-2014	\$33,215,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2014-1082 Tax allocation bond Redevelopment, Multiple Purposes South Gate Redev No 1 & Claremont Series A	S:AA/A     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington KNN Public Finance Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-25 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.185 2.407

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,830,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Claremont Redevelopment Agency	\$3,380,000.00	10/24/2018	2014-1077	\$0.00	\$22,215,000.00		
TAB	Successor Agency to the South Gate Community Development Commission	\$29,835,000.00	10/24/2018	2014-1078	\$0.00	\$2,615,000.00		
<b>Total</b>		<b>\$33,215,000.00</b>				<b>\$24,830,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-17-2014	\$3,380,000	Successor Agency to the Claremont Redevelopment Agency Los Angeles CDIAC Number: 2014-1077 Tax allocation bond Redevelopment, Multiple Purposes Series A Refunding	S:A	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington KNN Public Finance LA Co Redev Ref Authority MUFG Union Bank	09-01-25 Serial	<u>TIC</u> 2.427 <u>NIC</u> 2.667 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,215,000	\$335,060	\$0	\$0	\$335,060

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.300	Y	\$5,898,996

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$14,895	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2014-1082	\$33,215,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-10-2014	\$29,835,000	Successor Agency to the South Gate Community Development Commission Los Angeles CDIAC Number: 2014-1078 Tax allocation bond Redevelopment, Multiple Purposes No 1 Series A Refunding	S:A	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	09-01-25 Serial	<u>TIC</u> 2.156 <u>NIC</u> 2.374 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,615,000	\$3,040,440	\$0	\$0	\$3,040,440

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.400	Y	\$14,190,367

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$388,275	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2014-1082	\$33,215,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-22-2014	\$22,440,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2014-1497 Tax allocation bond Redevelopment, Multiple Purposes Bunker Hill Series D Federally Taxable Refunding	S:AA	Neg	(BC) Orrick Herrington (FA) KNN Public Finance (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	12-01-17 Serial	<u>TIC</u> 1.409 <u>NIC</u> 1.406 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$22,440,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s) Enhancement	Type of Sale			Maturity Date, Type	
				Private Placement	Role Participant	Capital Appreciation Bond	Interest	
10-22-2014	\$22,440,000	Successor Agency to the Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2014-1452 Tax allocation bond Redevelopment, Multiple Purposes Bunker Hill Series N Federally Taxable Refunding	S:AA	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	12-01-17 Serial	<u>TIC</u> 1.409 <u>NIC</u> 1.406 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$0	\$0	\$0	\$0	\$0	0	0.040	Y	\$52,283,411	\$22,625	N

#### D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Los Angeles County Redevelopment Refunding Authority	2014-1497	\$22,440,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-22-2014	\$148,640,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2014-1499 Tax allocation bond Redevelopment, Multiple Purposes Bunker Hill Series C Refunding	S:AA/A+     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington KNN Public Finance Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	12-01-28 Comb	<u>TIC</u> 2.734 <u>NIC</u> 3.045 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$139,315,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Los Angeles Community Redevelopment Agency	\$148,640,000.00	10/24/2018	2014-1498	\$0.00	\$139,315,000.00		
<b>Total</b>		<b>\$148,640,000.00</b>				<b>\$139,315,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-22-2014	\$148,640,000	Successor Agency to the Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2014-1498 Tax allocation bond Redevelopment, Multiple Purposes Bunker Hill Series M Refunding	S:AA	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington KNN Public Finance LA Co Redev Ref Authority U.S. Bank NA	12-01-28 Comb	<u>TIC</u> 2.734 <u>NIC</u> 3.045 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$139,315,000	\$14,864,000	\$0	\$0	\$14,864,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.040	\$52,283,411

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$22,625	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2014-1499	\$148,640,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-17-2014	\$6,985,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2014-2009 Tax allocation bond Redevelopment, Multiple Purposes Covina Series E	S:AA/A-     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington KNN Public Finance Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	12-15-23 Serial	<u>TIC</u> 1.601 <u>NIC</u> 1.699 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,385,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Covina Redevelopment Agency	\$6,985,000.00	10/24/2018	2014-2008	\$0.00	\$2,385,000.00		
<b>Total</b>		<b>\$6,985,000.00</b>				<b>\$2,385,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-17-2014	\$6,985,000	Successor Agency to the Covina Redevelopment Agency Los Angeles CDIAC Number: 2014-2008 Tax allocation bond Redevelopment, Multiple Purposes Revitalization Nos 1 & 2 Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington KNN Public Finance LA Co Redev Ref Authority The Bank of NY Mellon	12-15-23 Serial	<u>TIC</u> 1.601 <u>NIC</u> 1.699 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,385,000	\$698,500	\$0	\$0	\$698,500

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.400	\$10,398,666

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$145,047	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2014-2009	\$6,985,000.00



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-23-2015	\$74,375,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2015-1302 Tax allocation bond Redevelopment, Multiple Purposes Long Beach Series A	S:AA-	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington KNN Public Finance U.S. Bank NA Stifel Nicolaus & Co Inc	08-01-40 Comb	TIC 4.000 NIC 4.181 TYPE:

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$74,375,000	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant
N

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Trustee	\$375
Total:	\$375

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
TAB	Successor Agency to the Long Beach Redevelopment Agency	\$74,375,000.00	10/25/2018	2015-1304	\$0.00	\$74,375,000.00		
<b>Total</b>		<b>\$74,375,000.00</b>				<b>\$74,375,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-23-2015	\$74,375,000	Successor Agency to the Long Beach Redevelopment Agency Los Angeles CDIAC Number: 2015-1304 Tax allocation bond Redevelopment, Multiple Purposes Series A Refunding	NR	Neg	(BC) (FA) (EN) (PU) (TR)	Orrick Herrington KNN Public Finance Assured Guaranty Corp LA Co Redev Ref Authority U.S. Bank NA	08-01-40 Comb	<u>TIC</u> 4.001 <u>NIC</u> 4.181 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$74,375,000	\$12,021,476	\$0	\$0	\$12,021,476

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.500	Y	\$144,194,829

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,105,383	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2015-1302	\$74,375,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-23-2015	\$81,445,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2015-1303 Tax allocation bond Redevelopment, Multiple Purposes Long Beach Agency Series B Federally Taxable	S:AA-	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington KNN Public Finance U.S. Bank NA Stifel Nicolaus & Co Inc	08-01-28 Comb	<u>TIC</u> 3.948 <u>NIC</u> 3.972 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$69,780,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$375
Total:	\$375

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Long Beach Redevelopment Agency	\$81,445,000.00	10/25/2018	2015-1305	\$0.00	\$69,780,000.00		
<b>Total</b>		<b>\$81,445,000.00</b>				<b>\$69,780,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-23-2015	\$81,445,000	Successor Agency to the Long Beach Redevelopment Agency Los Angeles CDIAC Number: 2015-1305 Tax allocation bond Redevelopment, Multiple Purposes Series B Federally Taxable Refunding	NR	Neg	(BC) (FA) (EN) (PU) (TR)	Orrick Herrington KNN Public Finance Assured Guaranty Corp LA Co Redev Ref Authority U.S. Bank NA	08-01-28 Comb	<u>TIC</u> 3.948 <u>NIC</u> 3.972 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$69,780,000	\$12,021,476	\$0	\$0	\$12,021,476	0	1.500	Y	\$144,194,829	\$2,105,383	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2015-1303	\$81,445,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-04-2016	\$251,285,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2016-2363 Tax allocation bond Redevelopment, Multiple Purposes CRA/LA Series A Federally Taxable	S:AA M:Aa3  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca KNN Public Finance Build America U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-40 Comb	<u>TIC</u> 3.019 <u>NIC</u> 3.018 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$237,975,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Los Angeles Community Redevelopment Agency	\$251,285,000.00	10/24/2018	2016-2412	\$0.00	\$237,975,000.00		
<b>Total</b>		<b>\$251,285,000.00</b>				<b>\$237,975,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-04-2016	\$251,285,000	Successor Agency to the Los Angeles Community Redevelopment Agency Los Angeles CDIAC Number: 2016-2412 Tax allocation bond Redevelopment, Multiple Purposes Series A Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca KNN Public Finance Build America LA Co Redev Ref Authority U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 3.019 <u>NIC</u> 3.018 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$237,975,000	\$17,126,649	\$0	\$0	\$17,126,649	0	1.700	Y	\$454,475,061	\$7,707,457	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2016-2363	\$251,285,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-24-2017	\$4,725,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2016-3988 Revenue bond Redevelopment, Multiple Purposes SA West Covina Series A Refunding	S:AA-    Oth	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca KNN Public Finance National PFG Corp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-25 Serial	<u>TIC</u> 2.275 <u>NIC</u> 2.337 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,095,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the West Covina Redevelopment Agency	\$4,725,000.00	10/24/2018	2016-3990	\$0.00	\$4,095,000.00		
<b>Total</b>		<b>\$4,725,000.00</b>				<b>\$4,095,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-24-2017	\$4,725,000	Successor Agency to the West Covina Redevelopment Agency Los Angeles CDIAC Number: 2016-3990 Tax allocation bond Redevelopment, Multiple Purposes Series A Refunding	S:AA-     Oth	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca KNN Public Finance National PFG Corp LA Co Redev Ref Authority U.S. Bank NA	09-01-25 Serial	<u>TIC</u> 2.275 <u>NIC</u> 2.337 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,095,000	\$1,537,074	\$0	\$0	\$1,537,074

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.900	Y	\$28,900,674

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$262,808	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2016-3988	\$4,725,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-24-2017	\$10,655,000	Los Angeles County Redevelopment Refunding Authority Los Angeles CDIAC Number: 2016-3989 Revenue bond Redevelopment, Multiple Purposes SA West Covina Series B Federally Taxable Refunding	S:AA-     Oth	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca KNN Public Finance National PFG Corp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-31 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.304 3.272

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,805,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the West Covina Redevelopment Agency	\$10,655,000.00	10/24/2018	2016-3991	\$0.00	\$9,805,000.00		
<b>Total</b>		<b>\$10,655,000.00</b>				<b>\$9,805,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-24-2017	\$10,655,000	Successor Agency to the West Covina Redevelopment Agency Los Angeles CDIAC Number: 2016-3991 Tax allocation bond Redevelopment, Multiple Purposes Series B Federally Taxable Refunding	S:AA-     Oth	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca KNN Public Finance National PFG Corp LA Co Redev Ref Authority U.S. Bank NA	09-01-31 Comb	<u>TIC</u> 3.304 <u>NIC</u> 3.272 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$9,805,000	\$1,537,074	\$0	\$0	\$1,537,074	0	0.900	Y	\$28,900,674	\$262,808	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Redevelopment Refunding Authority	2016-3989	\$10,655,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
11-07-2008	\$19,661,583	Los Angeles County Sanitation Districts Financing Authority Los Angeles CDIAC Number: 2008-1097 Revenue bond Power Generation/Transmission Series A Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Orrick Herrington First Southwest Banc of Am Lease & Cap U.S. Bank NA	12-15-21 Serial	<u>TIC</u> <u>NIC</u> 1.250 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$5,617,595	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or	Reporting	Current Year		Outstanding Balance	Retired /	Retired
		Loan Amount	Date	CDIAC Nbr	Admin Fees		Receipt / Repay Date	
CL	Los Angeles County Sanitation District No 2	\$2,715,321.00	10/25/2018	2017-2559	\$0.00	\$775,806.00		
CL	Los Angeles County Sanitation District No 3	\$1,962,456.00	10/25/2018	2017-3125	\$0.00	\$560,701.72		
CL	Los Angeles County Sanitation District No 1	\$1,555,783.00	10/25/2018	2017-3126	\$0.00	\$444,509.43		
CL	Los Angeles County Sanitation District No 5	\$1,858,181.00	10/25/2018	2017-3127	\$0.00	\$530,908.86		
CL	Los Angeles County Sanitation District No 8	\$558,914.00	10/25/2018	2017-3134	\$0.00	\$159,689.71		
CL	Los Angeles County Sanitation District No 15	\$2,500,000.00	10/25/2018	2017-3135	\$0.00	\$714,285.72		
CL	Los Angeles County Sanitation District No 16	\$3,044,830.00	10/25/2018	2017-3137	\$0.00	\$869,951.43		
CL	Los Angeles County Sanitation District No 17	\$237,747.00	10/25/2018	2017-3138	\$0.00	\$67,927.71		
CL	Los Angeles County Sanitation District No 18	\$2,467,147.00	10/25/2018	2017-3139	\$0.00	\$704,899.15		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	Los Angeles County Sanitation District No 19	\$114,703.00	10/25/2018	2017-3140	\$0.00	\$32,772.29		
CL	Los Angeles County Sanitation District No 21	\$681,959.00	10/25/2018	2017-3141	\$0.00	\$194,845.43		
CL	Los Angeles County Sanitation District No 22	\$1,003,126.00	10/25/2018	2017-3142	\$0.00	\$286,607.43		
CL	Los Angeles County Sanitation District No 23	\$362,877.00	10/25/2018	2017-3158	\$0.00	\$103,679.14		
CL	Los Angeles County Sanitation District No 29	\$154,327.00	10/25/2018	2017-3159	\$0.00	\$44,093.43		
CL	Los Angeles County South Bay Cities Sanitation District	\$444,212.00	10/25/2018	2017-3160	\$0.00	\$126,917.71		
<b>Total</b>		<b>\$19,661,583.00</b>				<b>\$5,617,595.16</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$2,715,321	Los Angeles County Sanitation District No 2 Los Angeles CDIAC Number: 2017-2559 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Term	<u>TIC</u> 0 <u>NIC</u> 1.250 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$775,806	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$1,962,456	Los Angeles County Sanitation District No 3 Los Angeles CDIAC Number: 2017-3125 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> 0 <u>NIC</u> 1.250 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$560,702	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-07-2008	\$1,555,783	Los Angeles County Sanitation District No 1 Los Angeles CDIAC Number: 2017-3126 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 1.250

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$444,509	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$1,858,181	Los Angeles County Sanitation District No 5 Los Angeles CDIAC Number: 2017-3127 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> 0 <u>NIC</u> 1.250 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$530,909	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$558,914	Los Angeles County Sanitation District No 8 Los Angeles CDIAC Number: 2017-3134 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> 0 <u>NIC</u> 1.250 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$159,690	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-07-2008	\$2,500,000	Los Angeles County Sanitation District No 15 Los Angeles CDIAC Number: 2017-3135 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 1.250

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$714,286	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$3,044,830	Los Angeles County Sanitation District No 16 Los Angeles CDIAC Number: 2017-3137 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 1.250

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$869,951	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$237,747	Los Angeles County Sanitation District No 17 Los Angeles CDIAC Number: 2017-3138 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 1.250

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$67,928	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-07-2008	\$2,467,147	Los Angeles County Sanitation District No 18 Los Angeles CDIAC Number: 2017-3139 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 1.250

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$704,899	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-07-2008	\$114,703	Los Angeles County Sanitation District No 19 Los Angeles CDIAC Number: 2017-3140 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 1.250

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$32,772	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$681,959	Los Angeles County Sanitation District No 21 Los Angeles CDIAC Number: 2017-3141 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 1.250

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$194,845	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$1,003,126	Los Angeles County Sanitation District No 22 Los Angeles CDIAC Number: 2017-3142 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> 0 <u>NIC</u> 1.250 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$286,607	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$362,877	Los Angeles County Sanitation District No 23 Los Angeles CDIAC Number: 2017-3158 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> 0 <u>NIC</u> 1.250 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$103,679	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-07-2008	\$154,327	Los Angeles County Sanitation District No 29 Los Angeles CDIAC Number: 2017-3159 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> 0 <u>NIC</u> 1.250 <u>TYPE:</u>	

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$44,093	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2008	\$444,212	Los Angeles County South Bay Cities Sanitation District Los Angeles CDIAC Number: 2017-3160 Capital Lease Power Generation/Transmission Calabasas Landfill Gas-to-Energy	NR	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	12-15-21 Serial	<u>TIC</u> 0 <u>NIC</u> 1.250 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$126,918	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2008-1097	\$19,661,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-29-2011	\$130,615,000	Los Angeles County Sanitation Districts Financing Authority Los Angeles CDIAC Number: 2011-0435 Public enterprise revenue bond Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A Refunding	S:AA+ M:Aa1	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington First Southwest The Bank of NY Mellon Banc of America Sec	10-01-23 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.563

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$100,360,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>				
CL	Los Angeles County Sanitation District No 2	\$13,273,096.30	10/16/2018	2017-2551	\$0.00		\$10,198,583.20		
CL	Los Angeles County Sanitation District No 1	\$8,597,079.30	10/16/2018	2017-3262	\$0.00		\$6,605,695.20		
CL	Los Angeles County Sanitation District No 3	\$10,082,172.00	10/16/2018	2017-3289	\$0.00		\$7,746,788.40		
CL	Los Angeles County Sanitation District No 4	\$1,282,639.00	10/16/2018	2017-3291	\$0.00		\$985,535.20		
CL	Los Angeles County Sanitation District No 5	\$21,696,458.00	10/16/2018	2017-3293	\$0.00		\$16,670,799.60		
CL	Los Angeles County Sanitation District No 8	\$5,242,886.10	10/16/2018	2017-3295	\$0.00		\$4,028,450.40		
CL	Los Angeles County Sanitation District No 9	\$180,248.70	10/16/2018	2017-3296	\$0.00		\$138,496.80		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	Los Angeles County Sanitation District No 14	\$1,819,466.95	10/16/2018	2017-3297	\$0.00	\$1,398,014.80		
CL	Los Angeles County Sanitation District No 15	\$13,577,429.25	10/16/2018	2017-3298	\$0.00	\$10,432,422.00		
CL	Los Angeles County Sanitation District No 16	\$8,461,239.70	10/16/2018	2017-3299	\$0.00	\$6,501,320.80		
CL	Los Angeles County Sanitation District No 17	\$1,082,798.35	10/16/2018	2017-3300	\$0.00	\$831,984.40		
CL	Los Angeles County Sanitation District No 18	\$7,421,544.30	10/16/2018	2017-3307	\$0.00	\$5,702,455.20		
CL	Los Angeles County Sanitation District No 19	\$2,392,866.80	10/16/2018	2017-3308	\$0.00	\$1,838,595.20		
CL	Los Angeles County Sanitation District No 20	\$1,516,440.15	10/16/2018	2017-3317	\$0.00	\$1,165,179.60		
CL	Los Angeles County Sanitation District No 21	\$8,004,087.20	10/16/2018	2017-3318	\$0.00	\$6,150,060.80		
CL	Los Angeles County Sanitation District No 22	\$6,926,513.45	10/16/2018	2017-3319	\$0.00	\$5,322,090.80		
CL	Los Angeles County Sanitation District No 23	\$1,462,888.00	10/16/2018	2017-3320	\$0.00	\$1,124,032.00		
CL	Los Angeles County Sanitation District No 27	\$252,086.95	10/16/2018	2017-3321	\$0.00	\$193,694.80		
CL	Los Angeles County Sanitation District No 28	\$382,701.95	10/16/2018	2017-3322	\$0.00	\$294,054.80		
CL	Los Angeles County Sanitation District No 29	\$120,165.80	10/16/2018	2017-3323	\$0.00	\$92,331.20		
CL	Los Angeles County South Bay Cities Sanitation District	\$3,700,322.95	10/16/2018	2017-3324	\$0.00	\$2,843,198.80		
CL	Los Angeles County Santa Clarita Valley Sanitation District	\$13,139,869.00	10/16/2018	2017-3325	\$0.00	\$10,096,216.00		
<b>Total</b>		<b>\$130,615,000.20</b>				<b>\$100,360,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$13,273,096	Los Angeles County Sanitation District No 2 Los Angeles CDIAC Number: 2017-2551 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,198,583	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$8,597,079	Los Angeles County Sanitation District No 1 Los Angeles CDIAC Number: 2017-3262 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,605,695	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$10,082,172	Los Angeles County Sanitation District No 3 Los Angeles CDIAC Number: 2017-3289 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,746,788	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$1,282,639	Los Angeles County Sanitation District No 4 Los Angeles CDIAC Number: 2017-3291 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$985,535	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$21,696,458	Los Angeles County Sanitation District No 5 Los Angeles CDIAC Number: 2017-3293 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,670,800	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$5,242,886	Los Angeles County Sanitation District No 8 Los Angeles CDIAC Number: 2017-3295 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,028,450	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$180,249	Los Angeles County Sanitation District No 9 Los Angeles CDIAC Number: 2017-3296 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$138,497	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$1,819,467	Los Angeles County Sanitation District No 14 Los Angeles CDIAC Number: 2017-3297 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,398,015	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>		<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$13,577,429	Los Angeles County Sanitation District No 15 Los Angeles CDIAC Number: 2017-3298 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,432,422	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$8,461,240	Los Angeles County Sanitation District No 16 Los Angeles CDIAC Number: 2017-3299 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,501,321	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$1,082,798	Los Angeles County Sanitation District No 17 Los Angeles CDIAC Number: 2017-3300 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$831,984	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$7,421,544	Los Angeles County Sanitation District No 18 Los Angeles CDIAC Number: 2017-3307 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,702,455	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$2,392,867	Los Angeles County Sanitation District No 19 Los Angeles CDIAC Number: 2017-3308 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,838,595	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$1,516,440	Los Angeles County Sanitation District No 20 Los Angeles CDIAC Number: 2017-3317 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,165,180	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$8,004,087	Los Angeles County Sanitation District No 21 Los Angeles CDIAC Number: 2017-3318 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,150,061	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$6,926,513	Los Angeles County Sanitation District No 22 Los Angeles CDIAC Number: 2017-3319 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,322,091	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$1,462,888	Los Angeles County Sanitation District No 23 Los Angeles CDIAC Number: 2017-3320 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,124,032	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-29-2011	\$252,087	Los Angeles County Sanitation District No 27 Los Angeles CDIAC Number: 2017-3321 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$193,695	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

#### C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	N

#### D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-29-2011	\$382,702	Los Angeles County Sanitation District No 28 Los Angeles CDIAC Number: 2017-3322 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	TIC 2.563 NIC 0 TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$294,055	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$120,166	Los Angeles County Sanitation District No 29 Los Angeles CDIAC Number: 2017-3323 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$92,331	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2011	\$3,700,323	Los Angeles County South Bay Cities Sanitation District Los Angeles CDIAC Number: 2017-3324 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,843,199	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-29-2011	\$13,139,869	Los Angeles County Santa Clarita Valley Sanitation District Los Angeles CDIAC Number: 2017-3325 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-23 Serial	<u>TIC</u> 2.563 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$10,096,216	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

#### C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	N

#### D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Los Angeles County Sanitation Districts Financing Authority	2011-0435	\$130,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$107,620,000	Los Angeles County Sanitation Districts Financing Authority Los Angeles CDIAC Number: 2013-1184 Public enterprise revenue bond Wastewater Collection, Treatment Series A Refunding	S:AA+ M:Aa1	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington First Southwest The Bank of NY Mellon Merrill Lynch Pierce	10-01-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0.982 1.068

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,870,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired Type</u>
							<u>Receipt / Repay Date</u>	
CL	Los Angeles County Sanitation District No 2	\$10,936,344.40	10/25/2018	2017-2550	\$0.00	\$1,307,849.40		
CL	Los Angeles County Sanitation District No 1	\$7,083,548.40	10/24/2018	2017-3355	\$0.00	\$847,103.40		
CL	Los Angeles County Sanitation District No 3	\$8,307,187.80	10/24/2018	2017-3356	\$0.00	\$993,435.30		
CL	Los Angeles County Sanitation District No 4	\$1,056,828.40	10/24/2018	2017-3357	\$0.00	\$126,383.40		
CL	Los Angeles County Sanitation District No 5	\$17,876,758.20	10/24/2018	2017-3358	\$0.00	\$2,137,835.70		
CL	Los Angeles County Sanitation District No 8	\$4,319,866.80	10/24/2018	2017-3359	\$0.00	\$516,601.80		
CL	Los Angeles County Sanitation District No 9	\$148,515.60	10/24/2018	2017-3382	\$0.00	\$17,760.60		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	Los Angeles County Sanitation District No 14	\$1,499,146.60	10/24/2018	2017-3383	\$0.00	\$179,279.10		
CL	Los Angeles County Sanitation District No 15	\$11,187,099.00	10/24/2018	2017-3384	\$0.00	\$1,337,836.50		
CL	Los Angeles County Sanitation District No 16	\$6,971,623.60	10/24/2018	2017-3385	\$0.00	\$833,718.60		
CL	Los Angeles County Sanitation District No 17	\$892,169.80	10/24/2018	2017-3386	\$0.00	\$106,692.30		
CL	Los Angeles County Sanitation District No 18	\$6,114,968.40	10/24/2018	2017-3387	\$0.00	\$731,273.40		
CL	Los Angeles County Sanitation District No 19	\$1,971,598.40	10/24/2018	2017-3388	\$0.00	\$235,778.40		
CL	Los Angeles County Sanitation District No 20	\$1,249,468.20	10/24/2018	2017-3389	\$0.00	\$149,420.70		
CL	Los Angeles County Sanitation District No 21	\$6,594,953.60	10/24/2018	2017-3390	\$0.00	\$788,673.60		
CL	Los Angeles County Sanitation District No 22	\$5,707,088.60	10/24/2018	2017-3391	\$0.00	\$682,496.10		
CL	Los Angeles County Sanitation District No 23	\$1,205,344.00	10/24/2018	2017-3392	\$0.00	\$144,144.00		
CL	Los Angeles County Sanitation District No 27	\$207,706.60	10/24/2018	2017-3393	\$0.00	\$24,839.10		
CL	Los Angeles County Sanitation District No 28	\$315,326.60	10/24/2018	2017-3394	\$0.00	\$37,709.10		
CL	Los Angeles County Sanitation District No 29	\$99,010.40	10/24/2018	2017-3395	\$0.00	\$11,840.40		
CL	Los Angeles County South Bay Cities Sanitation District	\$3,048,874.60	10/24/2018	2017-3396	\$0.00	\$364,607.10		
CL	Los Angeles County Santa Clarita Valley Sanitation District	\$10,826,572.00	10/24/2018	2017-3397	\$0.00	\$1,294,722.00		
<b>Total</b>		<b>\$107,620,000.00</b>				<b>\$12,870,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>		<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$10,936,344	Los Angeles County Sanitation District No 2 Los Angeles CDIAC Number: 2017-2550 Capital Lease Wastewater Collection, Treatment S Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,307,849	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$7,083,548	Los Angeles County Sanitation District No 1 Los Angeles CDIAC Number: 2017-3355 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$847,103	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$8,307,188	Los Angeles County Sanitation District No 3 Los Angeles CDIAC Number: 2017-3356 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$993,435	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,056,828	Los Angeles County Sanitation District No 4 Los Angeles CDIAC Number: 2017-3357 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$126,383	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$17,876,758	Los Angeles County Sanitation District No 5 Los Angeles CDIAC Number: 2017-3358 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,137,836	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>		<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$4,319,867	Los Angeles County Sanitation District No 8 Los Angeles CDIAC Number: 2017-3359 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$516,602	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$148,516	Los Angeles County Sanitation District No 9 Los Angeles CDIAC Number: 2017-3382 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,761	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,499,147	Los Angeles County Sanitation District No 14 Los Angeles CDIAC Number: 2017-3383 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation BondsSeries A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$179,279	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$11,187,099	Los Angeles County Sanitation District No 15 Los Angeles CDIAC Number: 2017-3384 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation BondsSeries A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,337,837	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$6,971,624	Los Angeles County Sanitation District No 16 Los Angeles CDIAC Number: 2017-3385 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation BondsSeries A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$833,719	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$892,170	Los Angeles County Sanitation District No 17 Los Angeles CDIAC Number: 2017-3386 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$106,692	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$6,114,968	Los Angeles County Sanitation District No 18 Los Angeles CDIAC Number: 2017-3387 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$731,273	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,971,598	Los Angeles County Sanitation District No 19 Los Angeles CDIAC Number: 2017-3388 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$235,778	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,249,468	Los Angeles County Sanitation District No 20 Los Angeles CDIAC Number: 2017-3389 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$149,421	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$6,594,954	Los Angeles County Sanitation District No 21 Los Angeles CDIAC Number: 2017-3390 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$788,674	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$5,707,089	Los Angeles County Sanitation District No 22 Los Angeles CDIAC Number: 2017-3391 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:AA+	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$682,496	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,205,344	Los Angeles County Sanitation District No 23 Los Angeles CDIAC Number: 2017-3392 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$144,144	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$207,707	Los Angeles County Sanitation District No 27 Los Angeles CDIAC Number: 2017-3393 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	Neg	(BC)	Orrick Herrington	10-01-21	<u>TIC</u>	0.982
			M:Aa1		(FA)	First Southwest	Term	<u>NIC</u>	1.068
					(TR)	The Bank of NY Mellon		<u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$24,839	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$315,327	Los Angeles County Sanitation District No 28 Los Angeles CDIAC Number: 2017-3394 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$37,709	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$99,010	Los Angeles County Sanitation District No 29 Los Angeles CDIAC Number: 2017-3395 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+ M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,840	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$3,048,875	Los Angeles County South Bay Cities Sanitation District Los Angeles CDIAC Number: 2017-3396 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$364,607	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$10,826,572	Los Angeles County Santa Clarita Valley Sanitation District Los Angeles CDIAC Number: 2017-3397 Capital Lease Wastewater Collection, Treatment Sr Ad Valorem Obligation Bonds Series A	S:AA+	M:Aa1	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest The Bank of NY Mellon	10-01-21 Term	<u>TIC</u> 0.982 <u>NIC</u> 1.068 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,294,722	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2013-1184	\$107,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-01-2015	\$149,940,000	Los Angeles County Sanitation Districts Financing Authority Los Angeles CDIAC Number: 2015-0431 Public enterprise revenue bond Wastewater Collection, Treatment District No 14 Series A Refunding	S:A+  F:AA-	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington First Southwest U.S. Bank NA Merrill Lynch Pierce	10-01-35 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.574 3.920

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$139,535,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Los Angeles County Sanitation District No 14	\$149,940,000.00	10/12/2018	2017-2544	\$0.00	\$139,535,000.00		
<b>Total</b>		<b>\$149,940,000.00</b>				<b>\$139,535,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2015	\$149,940,000	Los Angeles County Sanitation District No 14 Los Angeles CDIAC Number: 2017-2544 Certificates of participation/leases Wastewater Collection, Treatment Series A	F:-	Neg	(BC) (FA) (TR)	Orrick Herrington First Southwest U.S. Bank NA	10-01-35 Comb	<u>TIC</u> 3.574 <u>NIC</u> 3.920 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$139,535,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2015-0431	\$149,940,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-12-2016	\$170,265,000	Los Angeles County Sanitation Districts Financing Authority Los Angeles CDIAC Number: 2016-3077 Public enterprise revenue bond Wastewater Collection, Treatment District No 20 Series A Refunding	S:A+  F:AA-	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Stradling Yocca Montague DeRose U.S. Bank NA Bank of America Merrill	10-01-42 Comb	<u>TIC</u> 3.217 <u>NIC</u> 3.467 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$167,140,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Los Angeles County Sanitation District No 20	\$170,265,000.00	10/23/2018	2017-2545	\$0.00	\$167,140,000.00		
<b>Total</b>		<b>\$170,265,000.00</b>				<b>\$167,140,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-12-2016	\$170,265,000	Los Angeles County Sanitation District No 20 Los Angeles CDIAC Number: 2017-2545 Certificates of participation/leases Wastewater Collection, Treatment Series A	NR	Neg	(BC) (DC) (FA) (TR)	Orrick Herrington Stradling Yocca Montague DeRose U.S. Bank NA	10-01-42 Comb	<u>TIC</u> 3.217 <u>NIC</u> 3.467 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$167,140,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Los Angeles County Sanitation Districts Financing Authority	2016-3077	\$170,265,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-07-2017	\$17,875,000	Lynwood Utility Authority Los Angeles CDIAC Number: 2017-1820 Public enterprise revenue bond Multiple Capital Improvements, Public Works Water Wells Series B	S:AA/A+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Nossaman LLP Nossaman LLP Wolf Co Inc Build America The Bank of NY Mellon Stifel Nicolaus & Co Inc	06-01-47 Comb	<u>TIC</u> 3.490 <u>NIC</u> 3.440 <u>TYPE:</u>

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,835,000	\$1,298,815	\$0	\$0	\$1,298,815	\$1,298,815

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$462,467

C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,500
Total:	\$1,500

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PERB	Lynwood	\$17,875,000.00	10/30/2018	2017-2176	\$0.00	\$17,835,000.00		
<b>Total</b>		<b>\$17,875,000.00</b>				<b>\$17,835,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-07-2017	\$17,875,000	Lynwood Los Angeles CDIAC Number: 2017-2176 Public enterprise revenue bond Multiple Capital Improvements, Public Works Water Wells Series B	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Nossaman LLP Nossaman LLP Wolf Co Inc Lynwood Utility Authority The Bank of NY Mellon	06-01-47 Comb	<u>TIC</u> 3.490 <u>NIC</u> 3.440 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,835,000	\$1,298,815	\$0	\$0	\$1,298,815

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lynwood Utility Authority	2017-1820	\$17,875,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
08-01-2017	\$5,750,000	Lynwood Utility Authority Los Angeles CDIAC Number: 2017-1821 Public enterprise revenue bond Multiple Capital Improvements, Public Works Series A Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Nossaman LLP Wolf Co Inc Samuel A Ramirez ZB, NA The Bank of NY Mellon	06-01-29 Term	TIC 2.420 NIC 2.420 TYPE:

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$5,535,000	\$575,000	\$0	\$580,573	\$0	\$580,573

B. ISSUANCE FEE

Issuance Cost
\$148,869

C. TEETER PLAN

Teeter Plan Participant
N

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Trustee	\$1,500
Total:	\$1,500

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	Current Year CDIAC Nbr	Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
CL	Lynwood	\$5,750,000.00	10/30/2018	2017-2145	\$0.00	\$5,535,000.00		
<b>Total</b>		<b>\$5,750,000.00</b>				<b>\$5,535,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2017	\$5,750,000	Lynwood Los Angeles CDIAC Number: 2017-2145 Capital Lease Multiple Capital Improvements, Public Works Enterprise Lease Agreement w/Lynwood Util Auth	NR	Neg PP	(BC) (FA) (PA) (TR)	Nossaman LLP Wolf Co Inc Samuel A Ramirez The Bank of NY Mellon	06-01-29 Term	<u>TIC</u> 2.420 <u>NIC</u> 2.420 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,535,000	\$575,000	\$0	\$0	\$580,573

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lynwood Utility Authority	2017-1821	\$5,750,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-21-2017	\$17,475,000	Palmdale Financing Authority Los Angeles CDIAC Number: 2017-0399 Public lease revenue bond Multiple Capital Improvements, Public Works Refunding	S:AA/A+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Harrell & Co Advisors Assured Guaranty Corp U.S. Bank NA Piper Jaffray & Co	11-01-32 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.997 3.139

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,495,000	\$1,747,500	\$0	\$0	\$1,747,500	\$1,747,500

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$3,300
Total:	\$3,300

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
CL	Palmdale	\$17,475,000.00	10/24/2018	2017-2386	\$0.00	\$16,495,000.00		
<b>Total</b>		<b>\$17,475,000.00</b>				<b>\$16,495,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-21-2017	\$17,475,000	Palmdale Los Angeles CDIAC Number: 2017-2386 Capital Lease Multiple Capital Improvements, Public Works	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Harrell & Co Advisors U.S. Bank NA	10-25-32 Serial	<u>TIC</u> 2.997 <u>NIC</u> 3.139 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,495,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Palmdale Financing Authority	2017-0399	\$17,475,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-19-2018	\$12,805,000	Palmdale Water District Public Financing Authority Los Angeles CDIAC Number: 2018-0952 Public enterprise revenue bond Water Supply, Storage, Distribution Series A	S:AA/A-  F:A+  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca NHA Advisors Build America The Bank of NY Mellon Piper Jaffray & Co	10-01-48 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.847 4.016

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,805,000	\$823,544	\$0	\$0	\$823,544	\$823,544

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$376,461

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Palmdale Water District	\$12,805,000.00	11/27/2018	2018-0959	\$0.00	\$12,805,000.00		
<b>Total</b>		<b>\$12,805,000.00</b>				<b>\$12,805,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2018	\$12,805,000	Palmdale Water District Los Angeles CDIAC Number: 2018-0959 Certificates of participation/leases Water Supply, Storage, Distribution	NR    Ins	Neg	(BC) (DC) (FA) (EN) (TR)	Stradling Yocca Stradling Yocca NHA Advisors Build America The Bank of NY Mellon	10-01-48 Comb	<u>TIC</u> 3.847 <u>NIC</u> 4.016 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,805,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Palmdale Water District Public Financing Authority	2018-0952	\$12,805,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
05-17-2006	\$3,985,000	Pasadena Public Financing Authority Los Angeles CDIAC Number: 2005-2046 Revenue bond Redevelopment, Multiple Purposes Fair Oaks, Villa-Parke, Lake/Washington	NR	Neg	(BC) Quateman LLP (TR) The Bank of NY Trust Co (UW) Wulff Hansen & Co	07-01-21 Serial	<u>TIC</u> <u>NIC</u> 4.777 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$830,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$1,600
Disclosure	\$811
Fiscal Agent	\$1,807
<b>Total:</b>	<b>\$4,218</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
TAB	Pasadena Community Development Commission	\$805,000.00	10/30/2018	2005-2042	\$0.00	\$0.00	7/1/2017	Matured FY 17/18
TAB	Pasadena Community Development Commission	\$710,000.00		2005-2043			6/1/2014	Matured FY 13/14
TAB	Pasadena Community Development Commission	\$2,470,000.00	10/30/2018	2005-2045	\$0.00	\$830,000.00		
<b>Total</b>		<b>\$3,985,000.00</b>				<b>\$830,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2006	\$805,000	Pasadena Community Development Commission Los Angeles CDIAC Number: 2005-2042 Tax allocation bond Redevelopment, Multiple Purposes Lake/Washington Refunding	NR	Neg	(BC) (TR) (UW)	Quateman LLP The Bank of NY Trust Co Pasadena PFA	07-01-17 Serial	<u>TIC</u> 4.732 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$80,500	\$0	\$0	\$83,339

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pasadena Public Financing Authority	2005-2046	\$3,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2006	\$2,470,000	Pasadena Community Development Commission Los Angeles CDIAC Number: 2005-2045 Tax allocation bond Redevelopment, Multiple Purposes Fair Oaks Refunding	NR	Neg	(BC) (TR) (UW)	Quateman LLP The Bank of NY Trust Co Pasadena PFA	07-01-21 Serial	<u>TIC</u> 4.854 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$830,000	\$240,829	\$0	\$0	\$249,359

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pasadena Public Financing Authority	2005-2046	\$3,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-24-2001	\$40,710,000	Pico Rivera Water Authority Los Angeles CDIAC Number: 2001-0014 Revenue bond Redevelopment, Multiple Purposes No 1 Series A	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn State Street Bank Corp OConnor & Co Sec	12-01-32 Comb	<u>TIC</u> <u>NIC</u> 6.438 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,270,000	\$3,134,762	\$0	\$3,134,762	\$0	\$3,134,762

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$5,170
Total:	\$5,170

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Pico Rivera Redevelopment Agency	\$40,710,000.00	11/28/2018	2001-0027	\$0.00	\$25,315,000.00		
<b>Total</b>		<b>\$40,710,000.00</b>				<b>\$25,315,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-30-2001	\$40,710,000	Pico Rivera Redevelopment Agency Los Angeles CDIAC Number: 2001-0027 Tax allocation bond Redevelopment, Multiple Purposes No 1 Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Fulbright & Jaworski Rod Gunn State Street Bank Corp Pico Rivera WA	12-01-32 Term	<u>TIC</u> <u>NIC</u> 7.650 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$25,315,000	\$2,800,000	\$0	\$0	\$2,800,000

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pico Rivera Water Authority	2001-0014	\$40,710,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
12-04-2003	\$46,650,000	Pomona Public Financing Authority Los Angeles CDIAC Number: 2003-2118 Tax allocation bond Redevelopment, Multiple Purposes Merged Series AH Refunding	S:AAA/A M:Aaa  Ins	Neg	(BC) Jones Hall (FA) Structured Finance (EN) Ambac (TR) BNY Mellon Capital (UW) E J De La Rosa	02-01-34 Comb	<u>TIC</u> <u>NIC</u> 4.592 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$14,205,000	\$2,305,938	\$0	\$2,386,258	\$0	\$2,386,258

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$5,235
Audit	\$125
Disclosure	\$1,850
Trustee	\$1,465
Total:	\$8,675

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Pomona Redevelopment Agency	\$46,650,000.00	10/30/2018	2016-1211	\$0.00	\$14,205,000.00		
<b>Total</b>		<b>\$46,650,000.00</b>				<b>\$14,205,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-04-2003	\$46,650,000	Pomona Redevelopment Agency Los Angeles CDIAC Number: 2016-1211 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Merged Series AH	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Structured Finance Pomona PFA BNY Mellon Capital	02-01-34 Comb	<u>TIC</u> <u>NIC</u> 4.592 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,205,000	\$2,305,938	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pomona Public Financing Authority	2003-2118	\$46,650,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-17-2005	\$11,370,000	Pomona Public Financing Authority Los Angeles CDIAC Number: 2005-0378 Revenue bond Multiple Capital Improvements, Public Works AD No 294 Rio Rancho/Old Pomona Rds Sers AL Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Jones Hall Structured Finance The Bank of NY Trust Co E J De La Rosa	09-02-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.143

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,400,000	\$1,118,304	\$0	\$1,123,727	\$0	\$1,123,727

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$2,929
Trustee	\$2,500
<b>Total:</b>	<b>\$5,429</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Pomona	\$9,524,000.00	10/25/2018	2005-0379	\$12,468.58	\$2,139,000.00		
<b>Total</b>		<b>\$9,524,000.00</b>				<b>\$2,139,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2005	\$9,524,000	Pomona Los Angeles CDIAC Number: 2005-0379 Special assessment bond Multiple Capital Improvements, Public Works AD No 294 Rio Rancho/Old Pomona Rds Sers AM Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Jones Hall Structured Finance Pomona PFA The Bank of NY Trust Co	09-02-21 Serial	<u>TIC</u> 7.220 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,139,000	\$0	\$0	\$12,469	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.630	Y
		\$647,731

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,573	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pomona Public Financing Authority	2005-0378	\$11,370,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
12-20-2005	\$10,065,000	Pomona Public Financing Authority Los Angeles CDIAC Number: 2005-1884 Tax allocation bond Multifamily Housing Merged Series AQ Federally Taxable	S:AAA/A M:Aaa  Ins	Neg	(BC) Jones Hall (FA) Structured Finance (EN) Ambac (TR) The Bank of NY Trust Co (UW) Chilton & Assoc	02-01-31 Comb	<u>TIC</u> <u>NIC</u> 6.081 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,010,000	\$796,300	\$0	\$794,738	\$0	\$794,738

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$5,235
Audit	\$125
Disclosure	\$1,850
Trustee	\$2,385
Total:	\$9,595

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Pomona Redevelopment Agency	\$10,065,000.00	10/30/2018	2016-1213	\$0.00	\$7,010,000.00		
<b>Total</b>		<b>\$10,065,000.00</b>				<b>\$7,010,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-20-2005	\$10,065,000	Pomona Redevelopment Agency Los Angeles CDIAC Number: 2016-1213 Marks-Roos Authority Loan Multifamily Housing Merged Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Structured Finance Pomona PFA The Bank of NY Trust Co	02-01-31 Comb	<u>TIC</u> <u>NIC</u> 6.081 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,010,000	\$796,300	\$0	\$0	\$810,932

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pomona Public Financing Authority	2005-1884	\$10,065,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
06-28-2007	\$8,375,000	Pomona Public Financing Authority Los Angeles CDIAC Number: 2007-1052 Tax allocation bond Redevelopment, Multiple Purposes Merged Series AW	S:BBB-	Neg	(BC) Jones Hall (FA) Structured Finance (TR) The Bank of NY Trust Co (UW) E J De La Rosa	02-01-33 Comb	<u>TIC</u> <u>NIC</u> 5.198 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,515,000	\$549,312	\$0	\$549,533	\$0	\$549,533

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$5,235
Audit	\$125
Disclosure	\$1,850
Trustee	\$2,410
<b>Total:</b>	<b>\$9,620</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Pomona Redevelopment Agency	\$8,375,000.00	10/30/2018	2016-1214	\$0.00	\$6,515,000.00		
<b>Total</b>		<b>\$8,375,000.00</b>				<b>\$6,515,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-28-2007	\$8,375,000	Pomona Redevelopment Agency Los Angeles CDIAC Number: 2016-1214 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Merged	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Structured Finance Pomona PFA The Bank of NY Trust Co	02-01-33 Comb	<u>TIC</u> <u>NIC</u> 5.198 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,515,000	\$549,312	\$0	\$0	\$549,533

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Taxes Unpaid</u>
N	\$0

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pomona Public Financing Authority	2007-1052	\$8,375,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-20-2017	\$50,475,000	Pomona Public Financing Authority Los Angeles CDIAC Number: 2017-0812 Public lease revenue bond Public Building Series BG Federally Taxable Refunding	S:AA/A+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca Urban Futures Assured Guaranty Corp ZB, NA BC Ziegler & Co	04-01-45 Comb	<u>TIC</u> 4.125 <u>NIC</u> 4.025 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$50,475,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$5,235
Audit	\$125
Disclosure	\$2,450
Total:	\$7,810

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	Pomona	\$50,475,000.00	10/30/2018	2017-1311	\$0.00	\$50,475,000.00		
<b>Total</b>		<b>\$50,475,000.00</b>				<b>\$50,475,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-20-2017	\$50,475,000	Pomona Los Angeles CDIAC Number: 2017-1311 Capital Lease Public Building Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Urban Futures ZB, NA	04-01-45 Comb	<u>TIC</u> 4.125 <u>NIC</u> 4.025 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$50,475,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pomona Public Financing Authority	2017-0812	\$50,475,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation Bond</u>	<u>Interest</u>	
01-29-2008	\$7,645,000	Redondo Beach Public Financing Authority Los Angeles CDIAC Number: 2007-1632 Revenue bond Redevelopment, Multiple Purposes South Bay Center Refunding	S:AA- M:Aa3	Neg	(BC) (FA) (TR) (UW)	Quint & Thimmig Gardner Underwood & Bacon U.S. Bank NA Citigroup Global Markets	07-01-19 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.560

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,250,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Redondo Beach Redevelopment Agency	\$8,660,000.00	11/27/2018	1996-0949	\$0.00	\$4,640,000.00		
<b>Total</b>		<b>\$8,660,000.00</b>				<b>\$4,640,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-1996	\$8,660,000	Redondo Beach Redevelopment Agency Los Angeles CDIAC Number: 1996-0949 Tax allocation bond Redevelopment, Multiple Purposes South Bay Center Federally Taxable	NR	Neg	(BC) (FA) (PU) (TR)	Jones Hall Hill HdL Coren and Cone Redondo Beach PFA First Trust of CA	07-01-26 Serial	<u>TIC</u> <u>NIC</u> 8.545 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,640,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Redondo Beach Public Financing Authority	2007-1632	\$7,645,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$11,295,000	Santa Clarita Public Financing Authority Los Angeles CDIAC Number: 2018-1129 Revenue bond Multiple Capital Improvements, Public Works Streetlights Acquisition & Retrofit Series A	S:AA+	Neg	(BC) (DC) (FA) (TR) (UW)	Norton Rose Fulbright Norton Rose Fulbright CM De Crinis & Co Inc The Bank of NY Mellon Piper Jaffray & Co	09-01-47 Comb	<u>TIC</u> 3.808 <u>NIC</u> 3.367 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,295,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$188,272

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Miscellaneous	\$24,205
Trustee	\$3,580
<b>Total:</b>	<b>\$27,785</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
COPL	Santa Clarita	\$11,295,000.00	05/30/2019	2018-1604	\$0.00	\$11,295,000.00		
<b>Total</b>		<b>\$11,295,000.00</b>				<b>\$11,295,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$11,295,000	Santa Clarita Los Angeles CDIAC Number: 2018-1604 Certificates of participation/leases Multiple Capital Improvements, Public Works Streetlights Acquisition & Retrofit Series A	NR	Neg	(BC) (DC) (FA) (TR)	Norton Rose Fulbright Norton Rose Fulbright CM De Crinis & Co Inc The Bank of NY Mellon	09-01-47 Comb	<u>TIC</u> 3.808 <u>NIC</u> 3.367 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,295,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.870	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Clarita Public Financing Authority	2018-1129	\$11,295,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$4,005,000	Santa Clarita Public Financing Authority Los Angeles CDIAC Number: 2018-1130 Revenue bond Multiple Capital Improvements, Public Works Streetlights Acquisition & Retrofit Series B Federally Taxable	S:AA+	Neg	(BC) (DC) (FA) (TR) (UW)	Norton Rose Fulbright Norton Rose Fulbright CM De Crinis & Co Inc The Bank of NY Mellon Piper Jaffray & Co	09-01-28 Serial	<u>TIC</u> 3.668 <u>NIC</u> 3.641 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,005,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$66,758

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Miscellaneous	\$8,583
Trustee	\$1,270
<b>Total:</b>	<b>\$9,852</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Santa Clarita	\$4,005,000.00	05/30/2019	2018-1605	\$0.00	\$4,005,000.00		
<b>Total</b>		<b>\$4,005,000.00</b>				<b>\$4,005,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$4,005,000	Santa Clarita Los Angeles CDIAC Number: 2018-1605 Certificates of participation/leases Multiple Capital Improvements, Public Works Streetlights Acquisition & Retrofit Series B Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Norton Rose Fulbright Norton Rose Fulbright CM De Crinis & Co Inc The Bank of NY Mellon	09-01-28 Serial	<u>TIC</u> 3.668 <u>NIC</u> 3.641 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,005,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.870	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Clarita Public Financing Authority	2018-1130	\$4,005,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-18-2018	\$1,800,000	Santa Fe Springs Water Utility Authority Los Angeles CDIAC Number: 2018-0045 Public enterprise revenue bond Water Supply, Storage, Distribution Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Jones Hall Urban Futures Stifel Nicolaus & Co Inc Opus Bank U.S. Bank NA	05-01-26 Term	<u>TIC</u> 2.390 <u>NIC</u> 2.390 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,620,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$95,232

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
5/1/2016	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
COPL	Santa Fe Springs	\$1,800,000.00	01/28/2019	2018-0119	\$0.00	\$1,620,000.00		
<b>Total</b>		<b>\$1,800,000.00</b>				<b>\$1,620,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-18-2018	\$1,800,000	Santa Fe Springs Los Angeles CDIAC Number: 2018-0119 Certificates of participation/leases Water Supply, Storage, Distribution	NR	Neg PP	(BC) (FA) (PA) (TR)	Jones Hall Urban Futures Stifel Nicolaus & Co Inc U.S. Bank NA	05-01-26 Term	<u>TIC</u> 2.390 <u>NIC</u> 2.390 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,620,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Fe Springs Water Utility Authority	2018-0045	\$1,800,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>		<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-22-2017	\$68,565,000	Santa Monica Public Financing Authority Los Angeles CDIAC Number: 2017-1956 Public lease revenue bond Public Building City Services Building Green Bonds	S:AA+		Neg	(BC) Orrick Herrington (DC) Orrick Herrington (FA) Public Resources Adv Gp (TR) The Bank of NY Mellon (UW) Morgan Stanley	07-01-47 Comb	<u>TIC</u> 3.404 <u>NIC</u> 3.662 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$68,565,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$559,002

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Santa Monica	\$68,565,000.00	12/05/2018	2017-2714	\$0.00	\$68,565,000.00		
<b>Total</b>		<b>\$68,565,000.00</b>				<b>\$68,565,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-22-2017	\$68,565,000	Santa Monica Los Angeles CDIAC Number: 2017-2714 Certificates of participation/leases Public Building City Services Building Green Bonds	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Public Resources Adv Gp Santa Monica PFA The Bank of NY Mellon	07-01-47 Comb	<u>TIC</u> 3.404 <u>NIC</u> 3.662 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$68,565,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Monica Public Financing Authority	2017-1956	\$68,565,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>		<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$34,220,000	Santa Monica Public Financing Authority Los Angeles CDIAC Number: 2018-0779 Public lease revenue bond Multiple Capital Improvements, Public Works Downtown Fire Station & Pub Safety Fac	S:AA+		Neg	(BC) Orrick Herrington (DC) Orrick Herrington (FA) Public Resources Adv Gp (TR) The Bank of NY Mellon (UW) Stifel Nicolaus & Co Inc	07-01-48 Comb	<u>TIC</u> 3.661 <u>NIC</u> 3.844 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$34,220,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$400,401

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Santa Monica	\$34,220,000.00	12/05/2018	2018-0780	\$0.00	\$34,220,000.00		
<b>Total</b>		<b>\$34,220,000.00</b>				<b>\$34,220,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$34,220,000	Santa Monica Los Angeles CDIAC Number: 2018-0780 Certificates of participation/leases Multiple Capital Improvements, Public Works Downtown Fire Station & Public Safety Facility	NR	Neg	(BC) (DC) (FA) (TR)	Orrick Herrington Orrick Herrington Public Resources Adv Gp The Bank of NY Mellon	07-01-48 Comb	<u>TIC</u> 3.661 <u>NIC</u> 3.844 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$34,220,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Monica Public Financing Authority	2018-0779	\$34,220,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
01-28-2016	\$45,645,000	Saugus/Hart School Facilities Financing Authority Los Angeles CDIAC Number: 2015-2482 Revenue bond K-12 School Facility CFD No 2006-1 IA s 1, 2 & 3	NR	Neg	(BC) Bowie Arneson Wiles (DC) Jones Hall (FA) Keygent Advisors LLC (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-46 Comb	<u>TIC</u> 3.968 <u>NIC</u> 4.240 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$44,280,000	\$4,295,044	\$0	\$4,298,402	\$0	\$4,298,402

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$90,000
Total:	\$90,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Saugus Union School District CFD No 2006-1	\$20,040,000.00	10/24/2018	2015-2486	\$30,000.00	\$19,485,000.00		
LTOB	Saugus Union School District CFD No 2006-1	\$21,815,000.00	10/24/2018	2015-2487	\$30,000.00	\$21,165,000.00		
LTOB	Saugus Union School District CFD No 2006-1	\$3,790,000.00	10/24/2018	2015-2488	\$30,000.00	\$3,630,000.00		
<b>Total</b>		<b>\$45,645,000.00</b>				<b>\$44,280,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-28-2016	\$20,040,000	Saugus Union School District CFD No 2006-1 Los Angeles CDIAC Number: 2015-2486 Limited tax obligation bond K-12 School Facility IA No 1 Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles Jones Hall Keygent Advisors LLC Saugus/Hart Sch Fac FA U.S. Bank NA	09-01-46 Comb	<u>TIC</u> 3.984 <u>NIC</u> 3.984 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$19,485,000	\$1,794,656	\$0	\$30,000	\$1,795,984	0	0.620	Y	\$1,822,906	\$11,369	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Saugus/Hart School Facilities Financing Authority	2015-2482	\$45,645,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation Bond Interest</u>	
01-28-2016	\$21,815,000	Saugus Union School District CFD No 2006-1 Los Angeles CDIAC Number: 2015-2487 Limited tax obligation bond K-12 School Facility IA No 2 West Creek ES (West Creek Village) Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles Jones Hall Keygent Advisors LLC Saugus/Hart Sch Fac FA U.S. Bank NA	09-01-46 Comb	<u>TIC</u> 3.999 <u>NIC</u> 4.269 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,165,000	\$1,364,063	\$0	\$30,000	\$1,362,889

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.500	\$1,391,681

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,902	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Saugus/Hart School Facilities Financing Authority	2015-2482	\$45,645,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-28-2016	\$3,790,000	Saugus Union School District CFD No 2006-1 Los Angeles CDIAC Number: 2015-2488 Limited tax obligation bond K-12 School Facility IA No 3 West Creek ES (West Creek Village) Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles Jones Hall Keygent Advisors LLC Saugus/Hart Sch Fac FA U.S. Bank NA	09-01-38 Serial	<u>TIC</u> 3.633 <u>NIC</u> 3.894 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,630,000	\$1,136,325	\$0	\$30,000	\$1,139,528

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.670	\$1,396,937

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,297	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Saugus/Hart School Facilities Financing Authority	2015-2482	\$45,645,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-10-2018	\$8,640,000	Signal Hill Municipal Financing Authority Los Angeles CDIAC Number: 2017-2844 Public lease revenue bond Public Building Library	S:AA	Comp	(BC) Aleshire & Wynder (DC) Jones Hall (FA) Harrell & Co Advisors (TR) U.S. Bank NA (UW) SAMCO Capital Mkts	12-01-32 Serial	<u>TIC</u> 2.553 <u>NIC</u> 2.626 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,640,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$185,729

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$2,000
Total:	\$2,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Signal Hill	\$8,640,000.00	10/24/2018	2018-0219	\$0.00	\$8,640,000.00		
<b>Total</b>		<b>\$8,640,000.00</b>				<b>\$8,640,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-10-2018	\$8,640,000	Signal Hill Los Angeles CDIAC Number: 2018-0219 Certificates of participation/leases Public Building Library	NR	Comp	(BC) (DC) (FA) (TR)	Aleshire & Wynder Jones Hall Harrell & Co Advisors U.S. Bank NA	12-01-32 Serial	<u>TIC</u> 2.553 <u>NIC</u> 2.626 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,640,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Signal Hill Municipal Financing Authority	2017-2844	\$8,640,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation</u>	<u>Bond</u>	<u>Interest</u>
08-26-2011	\$52,290,000	Upper Santa Clara Valley Joint Powers Authority Los Angeles CDIAC Number: 2011-1048 Public enterprise revenue bond Water Supply, Storage, Distribution Santa Clarita Wtr Division Series A Refunding	S:AA M:Aa3	Neg	(BC) (FA) (TR) (UW)	Stradling Yocca Fieldman Rolapp U.S. Bank NA Citigroup Global Markets	08-01-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.522

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$40,840,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Castaic Lake Water Agency	\$52,290,000.00	10/30/2018	2016-1335	\$0.00	\$40,840,000.00		
<b>Total</b>		<b>\$52,290,000.00</b>				<b>\$40,840,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-26-2011	\$52,290,000	Castaic Lake Water Agency Los Angeles CDIAC Number: 2016-1335 Certificates of participation/leases Water Supply, Storage, Distribution	NR	Neg	(BC) (FA) (TR)	Stradling Yocca Fieldman Rolapp U.S. Bank NA	08-01-27	Serial	<u>TIC</u> 3.522 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$40,840.00	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Upper Santa Clara Valley Joint Powers Authority	2011-1048	\$52,290,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-11-2017	\$50,745,000	Upper Santa Clara Valley Joint Powers Authority Los Angeles CDIAC Number: 2017-2015 Public enterprise revenue bond Water Supply, Storage, Distribution Santa Clarita Water Division Series A Refunding	S:AA+	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Wells Fargo Bank NA	08-01-40 Serial	<u>TIC</u> 1.957 <u>NIC</u> 2.192 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$50,745,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$302,756
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Miscellaneous	\$24,556
Other agency type	\$32,500
<b>Total:</b>	<b>\$57,055</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Castaic Lake Water Agency	\$50,745,000.00	10/30/2018	2017-2016	\$0.00	\$50,745,000.00		
<b>Total</b>		<b>\$50,745,000.00</b>				<b>\$50,745,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-11-2017	\$50,745,000	Castaic Lake Water Agency Los Angeles CDIAC Number: 2017-2016 Certificates of participation/leases Water Supply, Storage, Distribution Santa Clarita Water Division	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp U.S. Bank NA	08-01-40 Serial	<u>TIC</u> 1.957 <u>NIC</u> 2.192 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$50,745,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Upper Santa Clara Valley Joint Powers Authority	2017-2015	\$50,745,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-23-2018	\$26,735,000	Upper Santa Clara Valley Joint Powers Authority Los Angeles CDIAC Number: 2017-3694 Public enterprise revenue bond Water Supply, Storage, Distribution XYZ Retail System Series A Federally Taxable	S:AA	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Citigroup Global Markets	08-01-48 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.854 3.838

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$26,735,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$254,043

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$5,000
Other agency type	\$24,716
<b>Total:</b>	<b>\$29,715</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Santa Clarita Valley Water Agency	\$26,735,000.00	10/30/2018	2017-3695	\$0.00	\$26,735,000.00		
<b>Total</b>		<b>\$26,735,000.00</b>				<b>\$26,735,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-23-2018	\$26,735,000	Santa Clarita Valley Water Agency Santa Clara CDIAC Number: 2017-3695 Certificates of participation/leases Water Supply, Storage, Distribution XYZ Retail System Series A Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp U.S. Bank NA	08-01-48 Comb	<u>TIC</u> 3.854 <u>NIC</u> 3.838 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$26,735,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	4.060	\$28,046,423

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,161,851	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Upper Santa Clara Valley Joint Powers Authority	2017-3694	\$26,735,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
06-27-2018	\$22,845,000	Valley County Water District Financing Authority Los Angeles CDIAC Number: 2018-1166 Public enterprise revenue bond Water Supply, Storage, Distribution Series A	S:AA-	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) ZB, NA (UW) Citigroup Global Markets	01-01-48 Comb	<u>TIC</u> 3.710 <u>NIC</u> 3.964 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,845,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$229,535

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Valley County Water District	\$22,845,000.00	10/29/2018	2018-1167	\$0.00	\$22,845,000.00		
<b>Total</b>		<b>\$22,845,000.00</b>				<b>\$22,845,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-27-2018	\$22,845,000	Valley County Water District Los Angeles CDIAC Number: 2018-1167 Certificates of participation/leases Water Supply, Storage, Distribution	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp ZB, NA	01-01-48 Comb	<u>TIC</u> 3.710 <u>NIC</u> 3.964 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,845,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$302,606

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Valley County Water District Financing Authority	2018-1166	\$22,845,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-17-2005	\$15,020,000	William S Hart Joint School Financing Authority Los Angeles CDIAC Number: 2004-1360 Revenue bond K-12 School Facility CFD Nos 87-1, 88-4 & 89-2 Refunding	S:AAA/A-      Ins	Neg	(BC) (EN) (TR) (UW)	Bowie Arneson Wiles Ambac The Bank of NY Trust Co Prager Sealy & Co LLC	09-01-18 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.341

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$335,000	\$350,913	\$0	\$342,839	\$0	\$342,839

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>
							<u>Repay Date</u>	<u>Type</u>
LTOB	William S Hart Union High School District CFD No 87-1	\$4,705,000.00	10/26/2018	1995-0257	\$0.00	\$375,000.00		
LTOB	William S Hart Union High School District CFD No 89-2	\$1,790,000.00		1995-0258			9/1/2014	Matured FY 14/15
LTOB	William S Hart Union High School District CFD No 88-4	\$14,200,000.00		1995-0259			8/15/2014	Matured FY 14/15
<b>Total</b>		<b>\$20,695,000.00</b>				<b>\$375,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-21-1995	\$4,705,000	William S Hart Union High School District CFD No 87-1 Los Angeles CDIAC Number: 1995-0257 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (PU) (TR)	Bowie Arneson Kadi Wm S Hart Jt SFA First Interstate CA	08-15-18 Comb	<u>TIC</u> <u>NIC</u> 6.575 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$375,000	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
23.500	0	Y	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$343	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
William S Hart Joint School Financing Authority	2004-1360	\$15,020,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-21-2013	\$7,840,000	William S Hart Joint School Financing Authority Los Angeles CDIAC Number: 2012-1890 Revenue bond K-12 School Facility CFD Nos 2000-1, 99-1, 90-1 Refunding	S:A-	Neg	(BC) Bowie Arneson Wiles (DC) Jones Hall (FA) Dolinka Group LLC (TR) ZB, NA (UW) Stone Youngberg/Stifel	09-01-34 Comb	<u>TIC</u> 3.837 <u>NIC</u> 4.016 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,295,000	\$620,100	\$0	\$633,536	\$0	\$633,536

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
							<u>Repay Date</u>	
LTOB	Saugus/Hart School Facilities Financing Authority CFD No 2000-1	\$5,825,000.00	10/26/2018	2012-1887	\$0.00	\$4,750,000.00		
LTOB	William S Hart Union High School District CFD No 99-1	\$1,460,000.00	10/26/2018	2012-1888	\$0.00	\$1,225,000.00		
LTOB	William S Hart Union High School District CFD No 90-1	\$555,000.00	10/26/2018	2016-1614	\$0.00	\$320,000.00		
<b>Total</b>		<b>\$7,840,000.00</b>				<b>\$6,295,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$5,825,000	Saugus/Hart School Facilities Financing Authority CFD No 2000-1 Los Angeles CDIAC Number: 2012-1887 Limited tax obligation bond K-12 School Facility Federally Taxable Refunding	S:A-	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles Jones Hall Dolinka Group LLC Wm S Hart Jt SFA ZB, NA	09-01-34 Comb	<u>TIC</u> 3.852 <u>NIC</u> 4.025 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	Authority Reserve Fund (%)	B. DELINQUENCY			C. TEETER PLAN	
							Rate(%)	Del Taxes Reported YES/NO	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$4,750,000	\$467,907	\$0	\$0	\$0	74.300	1.010	Y	\$524,235	\$5,306	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
William S Hart Joint School Financing Authority	2012-1890	\$7,840,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$1,460,000	William S Hart Union High School District CFD No 99-1 Los Angeles CDIAC Number: 2012-1888 Limited tax obligation bond K-12 School Facility Refunding	S:A-	Neg	(BC) Bowie Arneson Wiles (DC) Jones Hall (FA) Dolinka Group LLC (PU) Wm S Hart Jt SFA (TR) ZB, NA	09-01-34 Comb	<u>TIC</u> 3.970 <u>NIC</u> 4.163 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$1,225,000	\$120,671	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
18.620	Y	\$139,988

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,331	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
William S Hart Joint School Financing Authority	2012-1890	\$7,840,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-21-2013	\$555,000	William S Hart Union High School District CFD No 90-1 Los Angeles CDIAC Number: 2016-1614 Limited tax obligation bond K-12 School Facility	NR	Neg	(BC) (PU) (TR)	Bowie Arneson Wiles Wm S Hart Jt SFA ZB, NA	09-01-34 Comb	<u>TIC</u> 3.837 <u>NIC</u> 4.016 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$320,000	\$31,522	\$0	\$0	\$31,522

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
7.080	Y	0.190	\$101,495

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$188	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
William S Hart Joint School Financing Authority	2012-1890	\$7,840,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation</u>	<u>Bond</u>	<u>Interest</u>
05-23-2017	\$2,910,000	Chowchilla Public Financing Authority Madera CDIAC Number: 2017-1156 Revenue bond Wastewater Collection, Treatment New Clean Renewable Energy Bonds Series A Federally Taxable	S:AA/BBB+	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Build America U.S. Bank NA Brandis Tallman LLC	06-01-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.561 4.541

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,910,000	\$246,161	\$0	\$0	\$246,161	\$246,161

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Chowchilla	\$2,910,000.00	09/19/2018	2017-2976	\$0.00	\$2,910,000.00		
<b>Total</b>		<b>\$2,910,000.00</b>				<b>\$2,910,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2017	\$2,910,000	Chowchilla Madera CDIAC Number: 2017-2976 Certificates of participation/leases Wastewater Collection, Treatment Installment Sale Agreement w/Chowchilla PFA (Series A NCREBs) Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co U.S. Bank NA	06-01-47 Comb	<u>TIC</u> 4.561 <u>NIC</u> 4.541 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,910,000	\$246,161	\$0	\$0	\$246,161

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chowchilla Public Financing Authority	2017-1156	\$2,910,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-23-2017	\$165,000	Chowchilla Public Financing Authority Madera CDIAC Number: 2017-1157 Public lease revenue bond Wastewater Collection, Treatment Series B Federally Taxable	S:AA/BBB+      Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Build America U.S. Bank NA Brandis Tallman LLC	06-01-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.044 3.025

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$165,000	\$7,886	\$0	\$0	\$7,886	\$7,886

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Chowchilla	\$165,000.00	09/19/2018	2017-2389	\$0.00	\$165,000.00		
<b>Total</b>		<b>\$165,000.00</b>				<b>\$165,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2017	\$165,000	Chowchilla Madera CDIAC Number: 2017-2389 Certificates of participation/leases Wastewater Collection, Treatment Installment Sale Agmt w/Chowchilla PFA (2017 Series B Rev Bonds) Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co U.S. Bank NA	06-01-21 Serial	<u>TIC</u> 3.044 <u>NIC</u> 3.025 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$165,000	\$7,886	\$0	\$0	\$7,886

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chowchilla Public Financing Authority	2017-1157	\$165,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-23-2017	\$235,000	Chowchilla Public Financing Authority Madera CDIAC Number: 2017-1158 Public lease revenue bond Wastewater Collection, Treatment Series C	S:AA/BBB+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Build America U.S. Bank NA Brandis Tallman LLC	06-01-26 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.323 2.464

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$235,000	\$11,828	\$0	\$0	\$11,828	\$11,828

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Chowchilla	\$235,000.00	09/19/2018	2017-2388	\$0.00	\$235,000.00		
<b>Total</b>		<b>\$235,000.00</b>				<b>\$235,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2017	\$235,000	Chowchilla Madera CDIAC Number: 2017-2388 Certificates of participation/leases Wastewater Collection, Treatment Installment Sale Agmt w/Chowchilla PFA (2017 Series C Rev Bonds)	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co U.S. Bank NA	06-01-26 Serial	<u>TIC</u> 2.323 <u>NIC</u> 2.464 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$235,000	\$11,828	\$0	\$0	\$11,828

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chowchilla Public Financing Authority	2017-1158	\$235,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-15-2017	\$2,515,000	Chowchilla Public Financing Authority Madera CDIAC Number: 2017-1218 Public enterprise revenue bond Water Supply, Storage, Distribution New Clean Renewable Energy Bonds Series A Federally Taxable	S:AA/BBB+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Assured Guaranty Corp U.S. Bank NA Brandis Tallman LLC	06-01-47 Comb	TIC 4.374 NIC 4.350 TYPE:

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$2,515,000	\$213,980	\$0	\$0	\$213,980	\$213,980

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
COPL	Chowchilla	\$2,515,000.00	09/19/2018	2017-2977	\$0.00	\$2,515,000.00		
<b>Total</b>		<b>\$2,515,000.00</b>				<b>\$2,515,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2017	\$2,515,000	Chowchilla Madera CDIAC Number: 2017-2977 Certificates of participation/leases Water Supply, Storage, Distribution Installment Sale Agreement w/Chowchilla PFA (Series A Rev Bonds) Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co U.S. Bank NA	06-01-47 Comb	<u>TIC</u> 4.374 <u>NIC</u> 4.350 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,515,000	\$213,980	\$0	\$0	\$213,980

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chowchilla Public Financing Authority	2017-1218	\$2,515,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-15-2017	\$100,000	Chowchilla Public Financing Authority Madera CDIAC Number: 2017-1219 Public enterprise revenue bond Water Supply, Storage, Distribution Series B Federally Taxable	S:AA/BBB+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Assured Guaranty Corp U.S. Bank NA Brandis Tallman LLC	06-01-23 Serial	TIC NIC TYPE:	3.222 3.202

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$100,000	\$4,729	\$0	\$0	\$4,729	\$4,729

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
COPL	Chowchilla	\$100,000.00	09/19/2018	2017-2978	\$0.00	\$100,000.00		
<b>Total</b>		<b>\$100,000.00</b>				<b>\$100,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2017	\$100,000	Chowchilla Madera CDIAC Number: 2017-2978 Certificates of participation/leases Water Supply, Storage, Distribution Installment Sale Agreement w/Chowchilla PFA (Series B Rev Bonds) Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co U.S. Bank NA	06-01-23 Serial	<u>TIC</u> 3.222 <u>NIC</u> 3.202 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$100,000	\$4,729	\$0	\$0	\$4,729

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chowchilla Public Financing Authority	2017-1219	\$100,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-15-2017	\$5,545,000	Chowchilla Public Financing Authority Madera CDIAC Number: 2017-1220 Public enterprise revenue bond Water Supply, Storage, Distribution Series C	S:AA/BBB+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Assured Guaranty Corp U.S. Bank NA Brandis Tallman LLC	06-01-45 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.940 4.206

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,545,000	\$468,177	\$0	\$0	\$468,177	\$468,177

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Chowchilla	\$5,545,000.00	09/19/2018	2017-2979	\$0.00	\$5,545,000.00		
<b>Total</b>		<b>\$5,545,000.00</b>				<b>\$5,545,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2017	\$5,545,000	Chowchilla Madera CDIAC Number: 2017-2979 Certificates of participation/leases Water Supply, Storage, Distribution Installment Sale Agreement w/Chowchilla PFA (Series C Rev Bonds)	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co U.S. Bank NA	06-01-45 Comb	<u>TIC</u> 3.940 <u>NIC</u> 4.206 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,545,000	\$468,177	\$0	\$0	\$468,177

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chowchilla Public Financing Authority	2017-1220	\$5,545,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-19-2017	\$1,970,000	Chowchilla Public Financing Authority Madera CDIAC Number: 2017-1221 Public lease revenue bond Multiple Capital Improvements, Public Works New Clean Renewable Energy Bonds Series A Federally Taxable	S:AA/A      Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Assured Guaranty Corp U.S. Bank NA Brandis Tallman LLC	06-01-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.352 4.329

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,970,000	\$167,261	\$0	\$0	\$167,261	\$167,261

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$699

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Chowchilla	\$1,970,000.00	09/19/2018	2017-2980	\$0.00	\$1,970,000.00		
<b>Total</b>		<b>\$1,970,000.00</b>				<b>\$1,970,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2017	\$1,970,000	Chowchilla Madera CDIAC Number: 2017-2980 Certificates of participation/leases Multiple Capital Improvements, Public Works CREBs Series A Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co U.S. Bank NA	06-01-47 Comb	<u>TIC</u> 4.352 <u>NIC</u> 4.329 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,970,000	\$167,261	\$0	\$0	\$167,261

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chowchilla Public Financing Authority	2017-1221	\$1,970,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-19-2017	\$105,000	Chowchilla Public Financing Authority Madera CDIAC Number: 2017-1273 Public lease revenue bond Multiple Capital Improvements, Public Works Series B Federally Taxable	S:AA/A      Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Assured Guaranty Corp U.S. Bank NA Brandis Tallman LLC	06-01-25 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.249 3.232

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$105,000	\$8,915	\$0	\$0	\$8,915	\$8,915

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$87,296

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Chowchilla	\$105,000.00	09/19/2018	2017-2981	\$0.00	\$105,000.00		
<b>Total</b>		<b>\$105,000.00</b>				<b>\$105,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2017	\$105,000	Chowchilla Madera CDIAC Number: 2017-2981 Certificates of participation/leases Multiple Capital Improvements, Public Works Series B Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co U.S. Bank NA	06-01-25 Serial	<u>TIC</u> 3.249 <u>NIC</u> 3.232 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$105,000	\$8,915	\$0	\$0	\$8,915

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chowchilla Public Financing Authority	2017-1273	\$105,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2017	\$1,555,000	Chowchilla Public Financing Authority Madera CDIAC Number: 2017-1274 Public lease revenue bond Multiple Capital Improvements, Public Works Series C	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Assured Guaranty Corp U.S. Bank NA Brandis Tallman LLC	06-01-46 Comb	<u>TIC</u> 3.984 <u>NIC</u> 4.264 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,555,000	\$132,026	\$0	\$0	\$132,026	\$132,026

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$61,366

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Chowchilla	\$1,555,000.00	09/19/2018	2017-2982	\$0.00	\$1,555,000.00		
<b>Total</b>		<b>\$1,555,000.00</b>				<b>\$1,555,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2017	\$1,555,000	Chowchilla Madera CDIAC Number: 2017-2982 Certificates of participation/leases Multiple Capital Improvements, Public Works Series C	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co U.S. Bank NA	06-01-46 Comb	<u>TIC</u> 3.984 <u>NIC</u> 4.264 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,555,000	\$132,026	\$0	\$0	\$132,026

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chowchilla Public Financing Authority	2017-1274	\$1,555,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-05-2018	\$895,900	Madera Public Financing Authority Madera CDIAC Number: 2017-3709 Public lease revenue bond Public Building Police Station Lease	NR	Neg PP	(BC) (FA) (PA) (PU)	Orrick Herrington Del Rio Advisors LLC Hilltop Securities Inc ZB, NA	11-01-25 Term	<u>TIC</u> 2.761 <u>NIC</u> 2.760 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$841,400	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$26,339

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Madera	\$895,900.00	12/04/2018	2018-0005	\$0.00	\$841,400.00		
<b>Total</b>		<b>\$895,900.00</b>				<b>\$841,400.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-05-2018	\$895,900	Madera Madera CDIAC Number: 2018-0005 Certificates of participation/leases Public Building Police Station	NR	Neg PP	(BC) (FA) (PA)	Orrick Herrington Del Rio Advisors LLC Hilltop Securities Inc	11-01-25 Term	<u>TIC</u> 2.761 <u>NIC</u> 2.760 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$841,400	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Madera Public Financing Authority	2017-3709	\$895,900.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-05-2018	\$1,657,100	Madera Public Financing Authority Madera CDIAC Number: 2017-3710 Public lease revenue bond Recreation and Sports Facilities 1993 VRD Bonds Madera Municipal Golf Course Federally Taxable Refunding	NR	Neg PP	(BC) (FA) (PA) (PU)	Orrick Herrington Del Rio Advisors LLC Hilltop Securities Inc ZB, NA	11-01-23 Term	<u>TIC</u> 3.191 <u>NIC</u> 3.190 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,646,500	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$60,605

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
COPL	Madera	\$1,657,100.00	12/04/2018	2018-0004	\$0.00	\$1,646,500.00		
<b>Total</b>		<b>\$1,657,100.00</b>				<b>\$1,646,500.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-05-2018	\$1,657,100	Madera Madera CDIAC Number: 2018-0004 Certificates of participation/leases Recreation and Sports Facilities Madera Municipal Golf Course Federally Taxable Refunding	NR	Neg PP	(BC) (FA) (PA)	Orrick Herrington Del Rio Advisors LLC Hilltop Securities Inc	11-01-25 Term	<u>TIC</u> 3.191 <u>NIC</u> 3.190 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,646,500	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Madera Public Financing Authority	2017-3710	\$1,657,100.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-15-2016	\$9,475,000	Belvedere Public Financing Authority Marin CDIAC Number: 2016-2191 Special assessment bond Multiple Capital Improvements, Public Works Consolidated Util Undergrounding Reassessment	NR	Neg	(BC) Jones Hall (DC) Jones Hall (TR) U.S. Bank NA (UW) Wulff Hansen & Co	09-02-43 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.085 3.092

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,140,000	\$95,591	\$0	\$95,897	\$0	\$95,897

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$2,130
Consultant	\$28,271
Trustee	\$1,000
<b>Total:</b>	<b>\$31,401</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Belvedere	\$9,011,209.00	10/30/2018	2016-2192	\$31,400.68	\$8,745,677.00		
<b>Total</b>		<b>\$9,011,209.00</b>				<b>\$8,745,677.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-15-2016	\$9,011,209	Belvedere Marin CDIAC Number: 2016-2192 Special assessment bond Multiple Capital Improvements, Public Works Consolidated Utility Undergrounding ReAD Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Belvedere PFA U.S. Bank NA	09-02-43 Term	<u>TIC</u> 3.520 <u>NIC</u> 3.520 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,745,677	\$0	\$0	\$31,401	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.020	Y	\$639,573

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$12,891	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Belvedere Public Financing Authority	2016-2191	\$9,475,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-21-2008	\$2,231,000	Fairfax Financing Authority Marin CDIAC Number: 2008-0927 Revenue bond Multiple Capital Improvements, Public Works	S:AA-	Neg	(BC) (TR) (UW)	Jones Hall U.S. Bank NA Wulff Hansen & Co	08-01-25 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.527

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,417,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$1,155
Total:	\$1,155

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Fairfax	\$1,977,600.00	01/15/2019	2008-1122	\$0.00	\$1,348,400.00		
<b>Total</b>		<b>\$1,977,600.00</b>				<b>\$1,348,400.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
08-21-2008	\$1,977,600	Fairfax Marin CDIAC Number: 2008-1122 General obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (TR) (UW)	Jones Hall U.S. Bank NA Fairfax FA	08-01-25	TIC Serial NIC TYPE:	5.810

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,348,400	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.060	\$182,145

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,754	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fairfax Financing Authority	2008-0927	\$2,231,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-15-2012	\$2,880,000	Fairfax Financing Authority Marin CDIAC Number: 2012-0085 Revenue bond Multiple Capital Improvements, Public Works	S:AA	Comp	(BC) (FA) (TR) (UW)	Quint & Thimmig Wulff Hansen & Co U.S. Bank NA Mitsubishi UFJ Securities	08-01-27 Serial	<u>TIC</u> 2.119 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,145,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Fairfax	\$2,772,800.00	01/15/2019	2012-0027	\$0.00	\$2,079,200.00		
<b>Total</b>		<b>\$2,772,800.00</b>				<b>\$2,079,200.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2012	\$2,772,800	Fairfax Marin CDIAC Number: 2012-0027 General obligation bond Multiple Capital Improvements, Public Works Federally Taxable Refunding	NR	Comp	(BC) (FA) (PU) (TR)	Quint & Thimmig Wulff Hansen & Co Fairfax FA U.S. Bank NA	08-01-27 Term	<u>TIC</u> 2.950 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,079,200	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.060	\$203,706

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,199	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fairfax Financing Authority	2012-0085	\$2,880,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-26-2018	\$25,160,000	Larkspur Public Financing Authority Marin CDIAC Number: 2018-1113 Public lease revenue bond Multiple Capital Improvements, Public Works	S:AA+	Comp	(BC) (DC) (FA) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co MUFG Union Bank UBS Financial Services	06-01-42 Comb	<u>TIC</u> 3.304 <u>NIC</u> 3.363 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$25,160,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$475,471

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	Larkspur	\$25,160,000.00	10/22/2018	2018-1287	\$0.00	\$25,160,000.00		
<b>Total</b>		<b>\$25,160,000.00</b>				<b>\$25,160,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-26-2018	\$25,160,000	Larkspur Marin CDIAC Number: 2018-1287 Capital Lease Multiple Capital Improvements, Public Works	NR	Comp	(BC) (DC) (FA) (TR) (UW)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co MUFG Union Bank UBS Financial Services	06-01-42 Comb	<u>TIC</u> 3.304 <u>NIC</u> 3.363 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$25,160,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Larkspur Public Financing Authority	2018-1113	\$25,160,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-16-2013	\$6,163,324	Marin County Open Space Financing Authority Marin CDIAC Number: 2013-1616 Revenue bond Parks, Open Space Refunding	NR	Neg PP	(BC) (PA) (PU) (TR)	Quint & Thimmig Wulff Hansen & Co Compass Bank The Bank of NY Mellon	09-15-27 Term	<u>TIC</u> <u>NIC</u> 3.060 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,359,095	\$30,484	\$0	\$30,484	\$0	\$30,484

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$11,782
Arbitrage Calculation	\$1,250
Consultant	\$17,553
Disclosure	\$2,000
Trustee	\$2,100
Total:	\$34,685

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Marin County Open Space District	\$598,000.00	10/29/2018	2002-1118	\$6,222.36	\$139,000.00		
LTOB	Marin County Open Space District CFD No 1993-1	\$3,975,000.00	10/29/2018	2002-1119	\$11,653.17	\$1,695,000.00		
LTOB	Marin County Open Space District CFD No 1997-1	\$3,916,000.00	10/29/2018	2002-1120	\$31,001.30	\$2,097,000.00		
		<b>Total</b>				<b>\$8,489,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-24-2002	\$598,000	Marin County Open Space District Marin CDIAC Number: 2002-1118 Special assessment bond Parks, Open Space Consolidated ReAD Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Wulff Hansen & Co BNY Mellon Capital Marin Co Open Space FA	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 5.897 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$139,000	\$0	\$0	\$6,222	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.150	Y	\$57,853

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,246	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Marin County Open Space Financing Authority	2013-1616	\$6,163,324.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-24-2002	\$3,975,000	Marin County Open Space District CFD No 1993-1 Marin CDIAC Number: 2002-1119 Limited tax obligation bond Parks, Open Space Old St Hilary's Open Space Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Wulff Hansen & Co Marin Co Open Space FA BNY Mellon Capital	09-02-24 Serial	<u>TIC</u> <u>NIC</u> 5.161 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,695,000	\$0	\$0	\$11,653	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.610	Y

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,998	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Marin County Open Space Financing Authority	2013-1616	\$6,163,324.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-24-2002	\$3,916,000	Marin County Open Space District CFD No 1997-1 Marin CDIAC Number: 2002-1120 Limited tax obligation bond Parks, Open Space Old St Hilary's Open Space Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Wulff Hansen & Co Marin Co Open Space FA BNY Mellon Capital	09-02-27 Serial	<u>TIC</u> <u>NIC</u> 5.305 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,097,000	\$0	\$0	\$31,001	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.610 Y	\$310,268

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,998	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Marin County Open Space Financing Authority	2013-1616	\$6,163,324.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-20-2017	\$36,120,000	Marin Municipal Water District Financing Authority Marin CDIAC Number: 2017-1414 Public enterprise revenue bond Water Supply, Storage, Distribution	S:AA  F:AA	Neg	(BC) (DC) (FA) (TR) (UW)	Quint & Thimmig Quint & Thimmig Sperry Capital U.S. Bank NA Stifel Nicolaus & Co Inc	07-01-47 Comb	<u>TIC</u> 3.519 <u>NIC</u> 3.940 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$36,120,000	\$0	\$0	\$29,129,814	\$0	\$29,129,814

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$305,962

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
6/1/2019	\$0	\$559,089

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Marin Municipal Water District	\$36,120,000.00	10/12/2018	2017-2395	\$0.00	\$36,120,000.00		
<b>Total</b>		<b>\$36,120,000.00</b>				<b>\$35,385,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-20-2017	\$36,120,000	Marin Municipal Water District Marin CDIAC Number: 2017-2395 Certificates of participation/leases Water Supply, Storage, Distribution	NR	Neg	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Sperry Capital U.S. Bank NA	07-01-47 Comb	<u>TIC</u> 3.519 <u>NIC</u> 3.940 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$36,120,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Marin Municipal Water District Financing Authority	2017-1414	\$36,120,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-11-2017	\$38,365,000	Marin Public Financing Authority Marin CDIAC Number: 2017-0400 Public enterprise revenue bond Wastewater Collection, Treatment Las Gallinas Valley Sanitary District	S:AAA     Oth	Comp	(BC) (DC) (FA) (EN) (TR) (UW)	Hawkins Delafield Hawkins Delafield Bartle Wells Build America U.S. Bank NA Morgan Stanley	04-01-42 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.370 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$37,415,000	\$0	\$0	\$0	\$2,715,000	\$2,715,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Las Gallinas Valley Sanitary District	\$38,365,000.00	11/09/2018	2017-3638	\$0.00	\$37,415,000.00		
<b>Total</b>		<b>\$38,365,000.00</b>				<b>\$37,415,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-11-2017	\$38,365,000	Las Gallinas Valley Sanitary District Marin CDIAC Number: 2017-3638 Certificates of participation/leases Wastewater Collection, Treatment	NR	Comp	(BC) (DC) (FA) (EN) (TR)	Hawkins Delafield Hawkins Delafield Bartle Wells Build America U.S. Bank NA	04-01-42 Comb	<u>TIC</u> 3.370 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$37,415,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Marin Public Financing Authority	2017-0400	\$38,365,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation</u>	<u>Bond</u>	<u>Interest</u>
12-22-2015	\$2,885,000	Mill Valley Public Financing Authority Marin CDIAC Number: 2015-2885 Revenue bond Public Building CFD No 1996-1 Public Library Series A Refunding	NR	Neg PP	(BC) (FA) (PU) (TR)	Quint & Thimmig Wulff Hansen & Co Westamerica Bank The Bank of NY Mellon	08-01-27 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 2.750

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,567,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr</u>	<u>Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Mill Valley CFD No 1996-1	\$4,600,000.00	09/24/2018	1996-1909	\$21,963.61	\$2,235,000.00		
		<b>Total</b>				<b>\$2,235,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-22-1997	\$4,600,000	Mill Valley CFD No 1996-1 Marin CDIAC Number: 1996-1909 Limited tax obligation bond Public Building Library Renovation/Expansion	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Mark Pressman Dai-Ichi Kangyo Piper Jaffray Inc	08-01-27 Comb	<u>TIC</u> <u>NIC</u> 5.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,235,000	\$0	\$0	\$21,964	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.940	\$330,318

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,423	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Mill Valley Public Financing Authority	2015-2885	\$2,885,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-14-2017	\$21,870,000	Ross Valley Public Financing Authority Marin CDIAC Number: 2017-3404 Revenue bond Wastewater Collection, Treatment Ross Valley SD (San Dist No 1 of Marin Co)	S:AA-     Oth	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Hawkins Delafield Hawkins Delafield Sperry Capital Build America The Bank of NY Mellon Raymond James	01-01-43 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.627 3.988

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,870,000	\$1,547,100	\$0	\$0	\$1,547,100	\$1,547,100

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$270,854

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$1,800
Total:	\$1,800

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Ross Valley Sanitary District	\$21,870,000.00	12/19/2018	2017-3639	\$0.00	\$21,870,000.00		
<b>Total</b>		<b>\$21,870,000.00</b>				<b>\$21,870,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2017	\$21,870,000	Ross Valley Sanitary District Marin CDIAC Number: 2017-3639 Certificates of participation/leases Wastewater Collection, Treatment San Dist No 1 of Marin Co	S:AA-	Neg	(BC) (DC) (FA) (EN) (TR)	Hawkins Delafield Hawkins Delafield Sperry Capital Build America The Bank of NY Mellon	01-01-43 Comb	<u>TIC</u> 3.627 <u>NIC</u> 3.988 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,870,000	\$1,547,100	\$0	\$0	\$1,547,100

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Ross Valley Public Financing Authority	2017-3404	\$21,870,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-14-2018	\$45,485,000	San Rafael Joint Powers Financing Authority Marin CDIAC Number: 2018-0233 Public lease revenue bond Public Building Public Safety Facilities-Green Bonds	S:AA	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Jones Hall The PFM Group MUFG Union Bank Raymond James	06-01-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.978 3.308

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$45,485,000	\$0	\$4,594,575	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$354,694

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	San Rafael	\$45,485,000.00	10/26/2018	2018-0493	\$0.00	\$45,485,000.00		
<b>Total</b>		<b>\$45,485,000.00</b>				<b>\$45,485,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2018	\$45,485,000	San Rafael Marin CDIAC Number: 2018-0493 Certificates of participation/leases Public Building Public Safety Facilities-Green Bonds	NR	Neg	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall The PFM Group MUFG Union Bank	06-01-34 Serial	<u>TIC</u> 2.978 <u>NIC</u> 3.308 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$45,485,000	\$0	\$4,594,575	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Rafael Joint Powers Financing Authority	2018-0233	\$45,485,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-02-2016	\$10,505,000	Tiburon Public Facilities Financing Authority Marin CDIAC Number: 2016-2311 Revenue bond Multiple Capital Improvements, Public Works Consolidated ReAD	NR	Neg	(BC) Quint & Thimmig (DC) Quint & Thimmig (TR) U.S. Bank NA (UW) Wulff Hansen & Co	09-02-40 Comb	<u>TIC</u> 3.390 <u>NIC</u> 0 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,120,000	\$56,574	\$0	\$56,574	\$0	\$56,574

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Tiburon	\$9,889,321.00	09/18/2018	2016-2312	\$43,694.31	\$9,600,174.67		
<b>Total</b>		<b>\$9,889,321.00</b>				<b>\$9,600,174.67</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2016	\$9,889,321	Tiburon Marin CDIAC Number: 2016-2312 Special assessment bond Multiple Capital Improvements, Public Works Consolidated ReAD Federally Taxable Refunding	NR	Neg PP	(BC) (DC) (PU) (TR)	Quint & Thimmig Quint & Thimmig Tiburon PFFA U.S. Bank NA	09-02-40 Term	<u>TIC</u> 3.516 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,600,175	\$0	\$0	\$43,694	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.810	\$556,982

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$546,898	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tiburon Public Facilities Financing Authority	2016-2311	\$10,505,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2017	\$19,820,000	Merced Irrigation District Financing Authority Merced CDIAC Number: 2017-2094 Public enterprise revenue bond Power Generation/Transmission Electric System Imp Series A	S:AA     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp The Bank of NY Mellon Citigroup Global Markets	10-01-47 Comb	<u>TIC</u> 3.214 <u>NIC</u> 3.458 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$19,820,000	\$1,352,104	\$0	\$1,352,104	\$0	\$1,352,104

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$391,909

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Other agency type	\$19,250
Trustee	\$5,200
<b>Total:</b>	<b>\$24,450</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Merced Irrigation District	\$19,820,000.00	10/29/2018	2017-1672	\$0.00	\$19,820,000.00		
<b>Total</b>		<b>\$19,820,000.00</b>				<b>\$19,820,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2017	\$19,820,000	Merced Irrigation District Merced CDIAC Number: 2017-1672 Certificates of participation/leases Power Generation/Transmission Installment Purchase Agreement w/Merced Irrigation Dist FA	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Merced Irrigation Dist FA The Bank of NY Mellon	10-01-47 Comb	<u>TIC</u> 3.214 <u>NIC</u> 3.458 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,820,000	\$1,352,104	\$0	\$0	\$1,352,104

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Merced Irrigation District Financing Authority	2017-2094	\$19,820,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-15-2003	\$1,550,000	June Lake Public Financing Authority Mono CDIAC Number: 2003-1318 Revenue bond Wastewater Collection, Treatment June Lake PUD Refunding	NR	Neg	(BC) Cameron Weist (DC) Weist Law Firm (FA) Delta Public Finance (TR) U.S. Bank NA (UW) M L Stern & Co	09-02-20 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.690

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$345,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$5,051
Trustee	\$2,860
<b>Total:</b>	<b>\$7,911</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	June Lake Public Utility District	\$927,925.00	10/22/2018	2001-1695	\$4,083.76	\$225,000.00		
SAB	June Lake Public Utility District	\$559,000.00	10/22/2018	2016-0997	\$3,826.92	\$125,000.00		
<b>Total</b>		<b>\$1,486,925.00</b>				<b>\$350,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-12-2001	\$927,925	June Lake Public Utility District Mono CDIAC Number: 2001-1695 Special assessment bond Water Supply, Storage, Distribution The West Village	NR	Neg	(BC) (FA) (PU)	Cameron Weist Delta Public Finance June Lake Pub Financing A	09-02-20 Comb	<u>TIC</u> 6.550 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$225,000	\$22,500	\$0	\$4,084	\$22,513

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0.910	Y	\$88,258

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$800	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
June Lake Public Financing Authority	2003-1318	\$1,550,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-15-2003	\$559,000	June Lake Public Utility District Mono CDIAC Number: 2016-0997 Special assessment bond Wastewater Collection, Treatment Williams Tract Assessment Distnct No 1	NR	Neg	(BC) (FA) (PU) (TR)	Cameron Weist Delta Public Finance June Lake Pub Financing A U.S. Bank NA	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 4.690 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$125,000	\$12,500	\$0	\$3,827	\$12,507

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.640	\$40,916

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$263	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
June Lake Public Financing Authority	2003-1318	\$1,550,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2018	\$22,890,000	Monterey County Financing Authority Monterey CDIAC Number: 2018-1095 Public enterprise revenue bond Water Supply, Storage, Distribution Salinas Valley Water Refunding	S:AA-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Norton Rose Fulbright Norton Rose Fulbright KNN Public Finance Build America U.S. Bank NA Raymond James	09-01-37 Serial	<u>TIC</u> 3.074 <u>NIC</u> 3.403 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,860,000	\$2,189,250	\$0	\$2,197,977	\$0	\$2,197,977

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
6/30/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Monterey County Water Resources Agency	\$22,890,000.00	11/09/2018	2018-1508	\$0.00	\$22,890,000.00		
<b>Total</b>		<b>\$22,890,000.00</b>				<b>\$22,890,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2018	\$22,890,000	Monterey County Water Resources Agency Monterey CDIAC Number: 2018-1508 Certificates of participation/leases Water Supply, Storage, Distribution Salinas Valley Water	NR	Neg	(BC) (DC) (FA) (EN) (TR)	Norton Rose Fulbright Norton Rose Fulbright KNN Public Finance Build America U.S. Bank NA	09-01-37 Serial	<u>TIC</u> 3.074 <u>NIC</u> 3.403 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,890,000	\$0	\$0	\$0	\$2,197,977

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Monterey County Financing Authority	2018-1095	\$22,890,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-25-2013	\$3,780,000	Soledad Public Financing Authority Monterey CDIAC Number: 2013-1590 Special assessment bond Multiple Capital Improvements, Public Works Diamond Ridge AD No 2002-01	NR	Neg	(BC) Stradling Yocca (DC) Richards Watson (FA) Isom Advis Urban Futures (TR) U.S. Bank NA (UW) E J De La Rosa	09-02-33 Comb	<u>TIC</u> 5.341 <u>NIC</u> 5.290 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,245,000	\$153,766	\$0	\$154,363	\$0	\$154,363

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$20,413
Total:	\$20,413

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Soledad	\$3,540,000.00	10/30/2018	2013-1613	\$21,069.62	\$3,245,000.00		
<b>Total</b>		<b>\$3,540,000.00</b>				<b>\$3,245,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-25-2013	\$3,540,000	Soledad Monterey CDIAC Number: 2013-1613 Special assessment bond Multiple Capital Improvements, Public Works Diamond Ridge AD No 2002-01 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Richards Watson Isom Advis Urban Futures Soledad PFA U.S. Bank NA	09-02-33 Serial	<u>TIC</u> 5.989 <u>NIC</u> 5.936 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,245,000	\$153,766	\$0	\$21,070	\$153,766

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$322,470

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Soledad Public Financing Authority	2013-1590	\$3,780,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-01-2013	\$5,795,200	American Canyon Financing Authority Napa CDIAC Number: 2013-1787 Revenue bond Water Supply, Storage, Distribution Refunding	NR	Neg PP	(BC) (PA) (PU)	Jones Hall Brandis Tallman LLC City National Bank	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.720 3.720

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,776,200	\$289,760	\$0	\$298,864	\$0	\$298,864

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$4,812
Consultant	\$5,755
Disclosure	\$1,500
Registrar	\$7,440
Total:	\$19,507

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	American Canyon	\$6,595,000.00	10/22/2018	2004-1857	\$26,149.04	\$4,664,128.00		
<b>Total</b>		<b>\$6,595,000.00</b>				<b>\$4,664,128.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-17-2004	\$6,595,000	American Canyon Napa CDIAC Number: 2004-1857 Special assessment bond Water Supply, Storage, Distribution ReAD No 2004-01 La Vigne Subdivision Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington American Canyon American Canyon FA	09-02-31 Serial	<u>TIC</u> <u>NIC</u> 5.598 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,664,128	\$0	\$0	\$26,149	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.430	Y	\$518,275

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,460	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
American Canyon Financing Authority	2013-1787	\$5,795,200.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
09-26-2017	\$8,500,000	Yountville Financing Authority Napa CDIAC Number: 2017-2362 Public lease revenue bond Public Building Town Center Refunding	S:AA	Comp	(BC) Quint & Thimmig (DC) Quint & Thimmig (FA) Columbia Capital (TR) The Bank of NY Mellon (UW) Morgan Stanley	12-01-39 Serial	TIC 2.857 NIC 2.916 TYPE:	

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$8,500,000	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

Issuance Cost
\$227,990

C. TEETER PLAN

Teeter Plan Participant
Y

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
12/1/2039	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
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F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	Current Year CDIAC Nbr	Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
CL	Yountville	\$8,500,000.00	10/10/2018	2017-2363	\$0.00	\$8,500,000.00		
<b>Total</b>		<b>\$8,500,000.00</b>				<b>\$8,500,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-26-2017	\$8,500,000	Yountville Napa CDIAC Number: 2017-2363 Capital Lease Public Building Lease Agreement w/Yountville FA (Town Center)	NR	Comp	(BC) (DC) (FA) (TR)	Quint & Thimmig Quint & Thimmig Columbia Capital The Bank of NY Mellon	12-01-39 Serial	<u>TIC</u> 2.857 <u>NIC</u> 2.916 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,500,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Yountville Financing Authority	2017-2362	\$8,500,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2010	\$9,385,000	Truckee Public Financing Authority Nevada CDIAC Number: 2010-0007 Tax allocation bond Redevelopment, Multiple Purposes Series A	S:AAA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington JNA Consulting Group Assured Guaranty Corp Wells Fargo Bank NA Piper Jaffray & Co	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.206 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,005,000	\$0	\$91	\$900,471	\$0	\$900,471

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Truckee Redevelopment Agency	\$9,385,000.00	08/09/2018	2016-1332	\$0.00	\$9,005,000.00		
<b>Total</b>		<b>\$9,385,000.00</b>				<b>\$9,005,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2010	\$9,385,000	Truckee Redevelopment Agency Nevada CDIAC Number: 2016-1332 Marks-Roos Authority Loan Redevelopment, Multiple Purposes	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Orrick Herrington Orrick Herrington JNA Consulting Group Truckee PFA Wells Fargo Bank NA	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.206 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,005,000	\$0	\$91	\$0	\$900,471

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Truckee Public Financing Authority	2010-0007	\$9,385,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2010	\$3,355,000	Truckee Public Financing Authority Nevada CDIAC Number: 2010-0113 Tax allocation bond Redevelopment, Multiple Purposes Recovery Zone Series B Federally Taxable	S:A	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Orrick Herrington JNA Consulting Group Wells Fargo Bank NA Piper Jaffray & Co	09-01-40 Term	<u>TIC</u> <u>NIC</u> 8.192 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,355,000	\$0	\$0	\$321,905	\$0	\$321,905

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Truckee Redevelopment Agency	\$3,355,000.00	08/09/2018	2016-1333	\$0.00	\$3,355,000.00		
<b>Total</b>		<b>\$3,355,000.00</b>				<b>\$3,355,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2010	\$3,355,000	Truckee Redevelopment Agency Nevada CDIAC Number: 2016-1333 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Recovery Zone Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Orrick Herrington Orrick Herrington JNA Consulting Group Truckee PFA Wells Fargo Bank NA	09-01-40 Term	<u>TIC</u> <u>NIC</u> 8.192 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,355,000	\$0	\$0	\$0	\$321,905

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Truckee Public Financing Authority	2010-0113	\$3,355,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-07-2017	\$4,265,000	Truckee Public Financing Authority Nevada CDIAC Number: 2017-2275 Public lease revenue bond Public Building Service Center Refunding	S:AA+	Neg	(BC) Orrick Herrington (DC) Orrick Herrington (FA) JNA Consulting Group (TR) Wells Fargo Bank NA (UW) Piper Jaffray & Co	12-01-39 Serial	<u>TIC</u> 2.842 <u>NIC</u> 0 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,200,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$185,995

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Miscellaneous	\$31,595
Disclosure	\$35,000
<b>Total:</b>	<b>\$66,595</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Truckee	\$4,265,000.00	08/09/2018	2018-0237	\$0.00	\$4,200,000.00		
<b>Total</b>		<b>\$4,265,000.00</b>				<b>\$4,200,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-07-2017	\$4,265,000	Truckee Nevada CDIAC Number: 2018-0237 Certificates of participation/leases Public Building Service Center	NR	Neg	(BC) (DC) (FA) (TR)	Orrick Herrington Orrick Herrington JNA Consulting Group Wells Fargo Bank NA	12-01-39 Serial	<u>TIC</u> 2.842 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,200,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Truckee Public Financing Authority	2017-2275	\$4,265,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
01-11-2018	\$45,705,000	Anaheim Housing and Public Improvements Authority Orange CDIAC Number: 2017-3706 Public enterprise revenue bond Wastewater Collection, Treatment Refunding	S:AA+	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Orrick Herrington The PFM Group U.S. Bank NA Wells Fargo Bank NA	02-01-48 Comb	<u>TIC</u> 3.218 <u>NIC</u> 3.649 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$45,705,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repav Date	Retired Type
COPL	Anaheim	\$45,705,000.00	10/26/2018	2017-3707	\$0.00	\$45,705,000.00		
<b>Total</b>		<b>\$45,705,000.00</b>				<b>\$45,705,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-11-2018	\$45,705,000	Anaheim Orange CDIAC Number: 2017-3707 Certificates of participation/leases Wastewater Collection, Treatment	NR	Neg	(BC) (DC) (FA) (TR)	Orrick Herrington Orrick Herrington The PFM Group U.S. Bank NA	02-01-48 Comb	<u>TIC</u> 3.218 <u>NIC</u> 3.649 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$45,705,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Anaheim Housing and Public Improvements Authority	2017-3706	\$45,705,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2005	\$15,405,000	Brea Public Financing Authority Orange CDIAC Number: 2005-0636 Revenue bond K-12 School Facility Brea Olinda USD CFD No 95-1 & Brea CFD No 1997-1 Series A	S:AAA/BBB+      Ins	Neg	(BC) (EN) (TR) (UW)	Quint & Thimmig Ambac The Bank of NY Trust Co Stone & Youngberg	09-01-35 Comb	<u>TIC</u> <u>NIC</u> 4.278 <u>TYPE:</u>

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,915,000	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

D. INVESTMENT CONTRACT (Current Year Only)

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$5,259
Trustee	\$5,289
Total:	\$10,549

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Brea Olinda Unified School District CFD No 95-1	\$2,300,000.00	10/26/2018	2005-0634	\$0.00	\$2,300,000.00		
LTOB	Brea Olinda Unified School District CFD No 95-1	\$6,440,000.00	10/26/2018	2005-0635	\$0.00	\$3,740,000.00		
LTOB	Brea CFD No 1997-1	\$6,665,000.00	10/23/2018	2005-0637	\$0.00	\$3,875,000.00		
<b>Total</b>		<b>\$15,405,000.00</b>				<b>\$9,915,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2005	\$2,300,000	Brea Olinda Unified School District CFD No 95-1 Orange CDIAC Number: 2005-0634 Limited tax obligation bond K-12 School Facility Olinda Heights Series B	NR	Neg	(BC) (PU) (TR)	Quint & Thimmig Brea PFA The Bank of NY Trust Co	09-01-35 Serial	<u>TIC</u> <u>NIC</u> 4.278 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,300,000	\$103,144	\$0	\$0	\$103,736

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.260	\$596,412

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,565	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brea Public Financing Authority	2005-0636	\$15,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2005	\$6,440,000	Brea Olinda Unified School District CFD No 95-1 Orange CDIAC Number: 2005-0635 Limited tax obligation bond K-12 School Facility Olinda Heights Series A Refunding	NR	Neg	(BC) (PU) (TR)	Quint & Thimmig Brea PFA The Bank of NY Trust Co	09-01-28 Serial	<u>TIC</u> <u>NIC</u> 4.182 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,740,000	\$434,506	\$0	\$0	\$437,789

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.260	\$596,412

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,565	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brea Public Financing Authority	2005-0636	\$15,405,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2005	\$6,665,000	Brea CFD No 1997-1 Orange CDIAC Number: 2005-0637 Limited tax obligation bond Multiple Capital Improvements, Public Works Olinda Heights Refunding	NR	Neg	(BC) (PU) (TR)	Quint & Thimmig Brea PFA The Bank of NY Trust Co	09-01-28 Serial	<u>TIC</u> <u>NIC</u> 4.182 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,875,000	\$450,494	\$0	\$0	\$456,623

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.310	\$499,929

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,218	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brea Public Financing Authority	2005-0636	\$15,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-22-2008	\$2,025,000	Brea Public Financing Authority Orange CDIAC Number: 2008-0529 Tax allocation bond Redevelopment, Multiple Purposes Area C Series B Federally Taxable	S:BBB+	Neg	(BC) (TR) (UW)	Jones Hall The Bank of NY Mellon Stone & Youngberg	09-01-26 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	9.174

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,355,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Brea Redevelopment Agency	\$2,025,000.00	11/06/2018	2016-0646	\$0.00	\$1,355,000.00		
<b>Total</b>		<b>\$2,025,000.00</b>				<b>\$1,355,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-22-2008	\$2,025,000	Brea Redevelopment Agency Orange CDIAC Number: 2016-0646 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Housing Federally Taxable	NR	Neg	(BC) (DC) (LE) (TR)	Jones Hall Richards Watson Brea PFA The Bank of NY Mellon	09-01-26 Comb	<u>TIC</u> 9.174 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,355,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Brea Public Financing Authority	2008-0529	\$2,025,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-27-2013	\$7,155,000	Buena Park Public Financing Authority Orange CDIAC Number: 2013-0718 Public enterprise revenue bond Multiple Capital Improvements, Public Works Buena Park Refunding	NR	Neg	(BC) Jones Hall (DC) Richards Watson (FA) Harrell & Co Advisors (TR) Union Bank NA (UW) Stone Youngberg/Stifel	09-01-33 Comb	<u>TIC</u> 4.266 <u>NIC</u> 4.264 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,865,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$5,000
Total:	\$5,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Buena Park CFD No 2001-1	\$7,655,000.00	10/26/2018	2003-1477	\$0.00	\$5,705,000.00		
<b>Total</b>		<b>\$7,655,000.00</b>				<b>\$5,705,000.00</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-28-2003	\$7,655,000	Buena Park CFD No 2001-1 Orange CDIAC Number: 2003-1477 Limited tax obligation bond Multiple Capital Improvements, Public Works Mall	NR	Neg	(BC) (FA) (TR) (UW)	Jones Hall Mark Briggs Union Bank of CA Stone & Youngberg	09-01-33 Comb	<u>TIC</u> 6.431 <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,705,000	\$570,175	\$0	\$0	\$572,441

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Buena Park Public Financing Authority	2013-0718	\$7,155,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-04-2017	\$29,735,000	Costa Mesa Financing Authority Orange CDIAC Number: 2017-2465 Public lease revenue bond Multiple Capital Improvements, Public Works Refunding	S:AA+	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	10-01-42 Comb	<u>TIC</u> 2.764 <u>NIC</u> 2.963 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$29,735,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$392,049

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$2,750
Bank	\$2,800
Trustee	\$2,750

Total: \$8,300

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Costa Mesa	\$29,735,000.00	10/25/2018	2017-2464	\$0.00	\$29,735,000.00		
<b>Total</b>		<b>\$29,735,000.00</b>				<b>\$29,735,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-04-2017	\$29,735,000	Costa Mesa Orange CDIAC Number: 2017-2464 Certificates of participation/leases Multiple Capital Improvements, Public Works	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp U.S. Bank NA	10-01-42 Comb	<u>TIC</u> 2.764 <u>NIC</u> 2.963 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$29,735,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Costa Mesa Financing Authority	2017-2465	\$29,735,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond Interest	
12-07-2005	\$74,600,000	Fullerton Public Financing Authority Orange CDIAC Number: 2005-1848 Revenue bond Redevelopment, Multiple Purposes Orangefair, Central & East	S:AAA/A- M:Aaa  Ins	Neg	(BC) Jones Hall (EN) Ambac (TR) U.S. Bank NA (UW) RBC Capital Mkts LLC	09-01-27 Comb	TIC NIC 4.706 TYPE:

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$50,220,000	\$0	\$0	\$7,337,378	\$0	\$7,337,378

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant
N

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Trustee	\$2,279
Total:	\$2,279

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Fullerton Redevelopment Agency	\$30,485,000.00	11/29/2018	2016-0984	\$0.00	\$18,860,000.00		
MKRL	Fullerton Redevelopment Agency	\$18,090,000.00	11/29/2018	2016-0985	\$0.00	\$11,845,000.00		
MKRL	Fullerton Redevelopment Agency	\$26,025,000.00	11/29/2018	2016-0986	\$0.00	\$19,515,000.00		
<b>Total</b>		<b>\$74,600,000.00</b>				<b>\$50,220,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2005	\$30,485,000	Fullerton Redevelopment Agency Orange CDIAC Number: 2016-0984 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Central Fullerton	NR	Neg	(BC) (LE) (TR)	Jones Hall Fullerton PFA U.S. Bank NA	09-01-27 Comb	<u>TIC</u> <u>NIC</u> 4.706 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,860,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.810	Y	\$24,908,567

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$134,553	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fullerton Public Financing Authority	2005-1848	\$74,600,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2005	\$18,090,000	Fullerton Redevelopment Agency Orange CDIAC Number: 2016-0985 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Fullerton RDA Orangefair Business District	NR	Neg	(BC) (LE) (TR)	Jones Hall Fullerton PFA U.S. Bank NA	09-01-27 Comb	<u>TIC</u> <u>NIC</u> 4.706 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,845,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.810	\$24,908,567

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$134,553	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fullerton Public Financing Authority	2005-1848	\$74,600,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2005	\$26,025,000	Fullerton Redevelopment Agency Orange CDIAC Number: 2016-0986 Marks-Roos Authority Loan Redevelopment, Multiple Purposes East Fullerton	NR	Neg	(BC) (LE) (TR)	Jones Hall Fullerton PFA U.S. Bank NA	09-01-27 Comb	<u>TIC</u> <u>NIC</u> 4.706 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,515,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.810	Y	\$24,908,567

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$134,553	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fullerton Public Financing Authority	2005-1848	\$74,600,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-10-2013	\$15,400,000	Fullerton School District Financing Authority Orange CDIAC Number: 2013-1108 Revenue bond K-12 School Facility Sr Lien Bonds Series A	S:AA-/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca Dolinka Group LLC Assured Guaranty Corp U.S. Bank NA Piper Jaffray & Co	09-01-31 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.550 4.539

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,835,000	\$1,274,500	\$0	\$1,276,730	\$0	\$1,276,730

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Fullerton School District CFD No 2000-1	\$960,000.00	10/17/2018	2013-1346	\$0.00	\$800,000.00		
LTOB	Fullerton School District CFD No 2001-1	\$15,515,000.00	10/17/2018	2013-1347	\$0.00	\$12,935,000.00		
<b>Total</b>		<b>\$16,475,000.00</b>				<b>\$13,735,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
07-10-2013	\$1,075,000	Fullerton School District Financing Authority Orange CDIAC Number: 2013-1109 Revenue bond K-12 School Facility Jr Lien Bonds Series B	NR	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Dolinka Group LLC (EN) Assured Guaranty Corp (TR) U.S. Bank NA (UW) Piper Jaffray & Co	09-01-31 Comb	<u>TIC</u> 4.550 <u>NIC</u> 4.539 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$900,000	\$93,475	\$0	\$93,751	\$0	\$93,751

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-10-2013	\$960,000	Fullerton School District CFD No 2000-1 Orange CDIAC Number: 2013-1346 Limited tax obligation bond K-12 School Facility Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca Dolinka Group LLC Assured Guaranty Corp Fullerton SD FA U.S. Bank NA	09-01-31 Comb	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$800,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.830	0	N	\$124,321

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fullerton School District Financing Authority	2013-1108	\$15,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-10-2013	\$15,515,000	Fullerton School District CFD No 2001-1 Orange CDIAC Number: 2013-1347 Limited tax obligation bond K-12 School Facility Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca Dolinka Group LLC Assured Guaranty Corp Fullerton SD FA U.S. Bank NA	09-01-31 Comb	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,935,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
94.170	0.520	Y	\$1,690,750

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,759	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fullerton School District Financing Authority	2013-1108	\$15,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
04-20-2006	\$82,860,000	Irvine Unified School District Financing Authority Orange CDIAC Number: 2006-0328 Revenue bond Multiple Capital Improvements, Public Works Group II Northwood & Woodbury	NR	Neg	(BC) Orrick Herrington (FA) Fieldman Rolapp (TR) The Bank of NY Trust Co (UW) Banc of America Sec	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 5.147 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$42,025,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr</u>	<u>Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Irvine Unified School District CFD No 04-1	\$9,000,000.00		2006-0249			3/1/2017	Redeemed FY 16/17
LTOB	Irvine Unified School District CFD No 04-2	\$38,000,000.00	11/08/2018	2006-0329	\$0.00	\$34,727,731.00		
LTOB	Irvine Unified School District CFD No 04-2	\$23,935,000.00		2006-0330			3/1/2017	Redeemed FY 16/17
<b>Total</b>		<b>\$70,935,000.00</b>				<b>\$34,727,731.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-20-2006	\$38,000,000	Irvine Unified School District CFD No 04-2 Orange CDIAC Number: 2006-0329 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Woodbury	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Fieldman Rolapp Irvine USD FA The Bank of NY Trust Co	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 5.147 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$34,727,731	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Irvine Unified School District Financing Authority	2006-0328	\$82,860,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>		<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-30-2016	\$61,660,000	Irvine Unified School District Financing Authority Orange CDIAC Number: 2016-3228 Revenue bond K-12 School Facility CFD Nos 04-1 & 04-2 Refunding	S:AA/BBB		Neg	(BC) Orrick Herrington (DC) Orrick Herrington (FA) Fieldman Rolapp (EN) Assured Guaranty Corp (TR) The Bank of NY Mellon (UW) Stifel Nicolaus & Co Inc	09-01-36 Comb	<u>TIC</u> 4.104 <u>NIC</u> 4.202 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$58,705,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired Type</u>
							<u>Receipt / Repay Date</u>	
LTOB	Irvine Unified School District CFD No 04-2	\$16,300,000.00	11/08/2018	2016-3229	\$0.00	\$15,415,000.00		
LTOB	Irvine Unified School District CFD No 04-2	\$38,885,000.00	11/08/2018	2016-3230	\$0.00	\$37,175,000.00		
LTOB	Irvine Unified School District CFD No 04-1	\$6,475,000.00	11/08/2018	2016-3232	\$0.00	\$6,115,000.00		
<b>Total</b>		<b>\$61,660,000.00</b>				<b>\$58,705,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-30-2016	\$16,300,000	Irvine Unified School District CFD No 04-2 Orange CDIAC Number: 2016-3229 Limited tax obligation bond K-12 School Facility IA B Woodbury Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU)	Orrick Herrington Orrick Herrington Fieldman Rolapp Irvine USD FA	09-01-36 Comb	<u>TIC</u> 4.106 <u>NIC</u> 4.203 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,415,000	\$1,518,400	\$0	\$0	\$1,518,400

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.350	\$1,120,694

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,033	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Irvine Unified School District Financing Authority	2016-3228	\$61,660,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-30-2016	\$38,885,000	Irvine Unified School District CFD No 04-2 Orange CDIAC Number: 2016-3230 Limited tax obligation bond K-12 School Facility IA A Woodbury Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU)	Orrick Herrington Orrick Herrington Fieldman Rolapp Irvine USD FA	09-01-36 Comb	<u>TIC</u> 4.104 <u>NIC</u> 4.202 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$37,175,000	\$3,624,400	\$0	\$0	\$3,624,400

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.310	Y	\$2,664,900

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,476	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Irvine Unified School District Financing Authority	2016-3228	\$61,660,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-30-2016	\$6,475,000	Irvine Unified School District CFD No 04-1 Orange CDIAC Number: 2016-3232 Limited tax obligation bond K-12 School Facility Northwood Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU)	Orrick Herrington Orrick Herrington Fieldman Rolapp Irvine USD FA	09-01-36 Comb	<u>TIC</u> 4.106 <u>NIC</u> 4.203 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,115,000	\$603,200	\$0	\$0	\$603,200

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$446,952

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,492	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Irvine Unified School District Financing Authority	2016-3228	\$61,660,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-08-1997	\$29,484,918	Saddleback Valley Unified School District Public Financing Authority Orange CDIAC Number: 1996-1505 Revenue bond K-12 School Facility CFD Nos 88-1, 89-2 & 89-3 Sr Lien Series A Refunding	S:AAA M:Aaa    Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Rutan & Tucker LLP Fieldman Rolapp FSA Dai-Ichi Kangyo Stone & Youngberg	09-01-20 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.923	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,315,491	\$2,063,944	\$0	\$2,063,944	\$0	\$2,063,944

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$24,375
Audit	\$9,000
County Government	\$13,907
Consultant	\$20,090
Trustee	\$17,490
<b>Total:</b>	<b>\$84,861</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Saddleback Valley Unified School District CFD No 89-2	\$15,686,602.00	10/29/2018	1996-1503	\$31,134.35	\$1,136,475.50		
LTOB	Saddleback Valley Unified School District CFD No 89-3	\$12,213,718.00	10/29/2018	1996-1504	\$26,775.46	\$886,321.50		
LTOB	Saddleback Valley Unified School District CFD No 88-1	\$2,365,000.00	10/29/2018	1996-1506	\$26,951.56	\$690,000.00		
<b>Total</b>		<b>\$30,265,320.00</b>				<b>\$2,712,797.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-08-1997	\$15,686,602	Saddleback Valley Unified School District CFD No 89-2 Orange CDIAC Number: 1996-1503 Limited tax obligation bond K-12 School Facility Rancho Trabuco South Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP Fieldman Rolapp Saddleback Vy USDPFA Dai-Ichi Kangyo	09-01-20 Comb	<u>TIC</u> 6.392 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,136,476	\$458,372	\$0	\$31,134	\$458,372

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.090	\$1,471,768

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,351	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Saddleback Valley Unified School District Public Financing Authority	1996-1505	\$29,484,918.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-08-1997	\$12,213,718	Saddleback Valley Unified School District CFD No 89-3 Orange CDIAC Number: 1996-1504 Limited tax obligation bond K-12 School Facility Rancho Trabuco North Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP Fieldman Rolapp Saddleback Vy USDPFA Dai-Ichi Kangyo	09-01-20 Comb	<u>TIC</u> 6.388 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$886,322	\$357,006	\$0	\$26,775	\$357,006

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.050	Y	\$1,152,651

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$614	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Saddleback Valley Unified School District Public Financing Authority	1996-1505	\$29,484,918.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-08-1997	\$2,365,000	Saddleback Valley Unified School District CFD No 88-1 Orange CDIAC Number: 1996-1506 Limited tax obligation bond K-12 School Facility Town Center	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP Fieldman Rolapp Saddleback Vy USDPFA Dai-Ichi Kangyo	09-01-20 Comb	<u>TIC</u> 6.601 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$690,000	\$69,170	\$0	\$26,952	\$69,170

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.120	\$231,445

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$285	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Saddleback Valley Unified School District Public Financing Authority	1996-1505	\$29,484,918.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-02-1998	\$13,705,000	Saddleback Valley Unified School District Public Financing Authority Orange CDIAC Number: 1998-1634 Revenue bond K-12 School Facility CFD Nos 88-1, 89-2, 89-3 & 89-4	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Rutan & Tucker LLP Fieldman Rolapp FSA Dai-Ichi Kangyo Stone & Youngberg	09-01-20 Comb	<u>TIC</u> 5.313 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$3,175,000	\$959,350	\$0	\$959,350	\$0	\$959,350

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Administration	\$24,375
Audit	\$9,000
County Government	\$13,907
Consultant	\$20,090
Trustee	\$17,490
<b>Total:</b>	<b>\$84,861</b>

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	Current Year CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
LTOB	Saddleback Valley Unified School District CFD No 88-1	\$8,635,000.00	10/29/2018	1998-1630	\$26,951.56	\$2,390,000.00		
LTOB	Saddleback Valley Unified School District CFD No 89-2	\$3,208,398.00	10/29/2018	1998-1631	\$31,134.35	\$860,000.00		
LTOB	Saddleback Valley Unified School District CFD No 89-3	\$891,282.00	10/29/2018	1998-1632	\$26,775.46	\$240,000.00		
LTOB	Saddleback Valley Unified School District CFD No 89-4	\$970,000.00		1998-1633			9/1/2015	Matured
<b>Total</b>		<b>\$13,704,680.00</b>				<b>\$3,490,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-02-1998	\$8,635,000	Saddleback Valley Unified School District CFD No 88-1 Orange CDIAC Number: 1998-1630 Limited tax obligation bond K-12 School Facility Town Center	S:AAA M:Aaa    Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Rutan & Tucker LLP Fieldman Rolapp FSA Dai-Ichi Kangyo Saddleback Vy USDPFA	09-01-20 Comb	<u>TIC</u> 5.910 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,390,000	\$216,134	\$0	\$26,952	\$216,134

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.120	\$790,165

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$973	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Saddleback Valley Unified School District Public Financing Authority	1998-1634	\$13,705,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-02-1998	\$3,208,398	Saddleback Valley Unified School District CFD No 89-2 Orange CDIAC Number: 1998-1631 Limited tax obligation bond K-12 School Facility Rancho Trabuco South	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Rutan & Tucker LLP Fieldman Rolapp FSA Dai-Ichi Kangyo Saddleback Vy USDPFA	09-01-20 Comb	<u>TIC</u> 5.900 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$860,000	\$80,306	\$0	\$31,134	\$80,306

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
0	Y	0.090

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$287,182	\$264	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Saddleback Valley Unified School District Public Financing Authority	1998-1634	\$13,705,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-02-1998	\$891,282	Saddleback Valley Unified School District CFD No 89-3 Orange CDIAC Number: 1998-1632 Limited tax obligation bond K-12 School Facility Rancho Trabuco North	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Rutan & Tucker LLP Fieldman Rolapp FSA Dai-Ichi Kangyo Saddleback Vy USDPFA	09-01-20 Comb	<u>TIC</u> 5.915 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$240,000	\$22,309	\$0	\$26,775	\$22,309

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.050	\$76,646

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$41	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Saddleback Valley Unified School District Public Financing Authority	1998-1634	\$13,705,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
01-22-2009	\$38,490,000	Santa Margarita/Dana Point Authority Orange CDIAC Number: 2008-1261 Revenue bond Water Supply, Storage, Distribution ID Nos 2, 3 & 4	S:AA  F:AA	Neg	(BC) Brownstein Hyatt Farber (DC) Best Best & Krieger (FA) Fieldman Rolapp (TR) The Bank of NY Mellon (UW) Stone & Youngberg	08-01-38 Comb	<u>TIC</u> 5.091 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$885,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
8/1/2018	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Santa Margarita Water District	\$6,365,000.00	10/12/2018	2008-1260	\$0.00	\$145,000.00		
GOB	Santa Margarita Water District	\$4,240,000.00	10/12/2018	2008-1262	\$0.00	\$95,000.00		
GOB	Santa Margarita Water District	\$27,885,000.00	10/12/2018	2008-1263	\$0.00	\$645,000.00		
<b>Total</b>		<b>\$38,490,000.00</b>				<b>\$885,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-22-2009	\$6,365,000	Santa Margarita Water District Orange CDIAC Number: 2008-1260 General obligation bond Water Supply, Storage, Distribution ID No 2 Reliability Enhancement	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Brownstein Hyatt Farber Best Best & Krieger Fieldman Rolapp Sta Mgta / Dana Pt Auth The Bank of NY Mellon	08-01-38 Comb	<u>TIC</u> 5.091 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$145,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.780	\$260,508

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,031	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2008-1261	\$38,490,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-22-2009	\$4,240,000	Santa Margarita Water District Orange CDIAC Number: 2008-1262 General obligation bond Water Supply, Storage, Distribution ID No 3 Reliability Enhancement	NR	Neg	(BC) (FA) (PU) (TR)	Brownstein Hyatt Farber Fieldman Rolapp Sta Mgta / Dana Pt Auth The Bank of NY Mellon	08-01-38 Comb	<u>TIC</u> 5.091 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$95,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$2,404,687

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,189	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2008-1261	\$38,490,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-22-2009	\$27,885,000	Santa Margarita Water District Orange CDIAC Number: 2008-1263 General obligation bond Water Supply, Storage, Distribution ID No 4 Reliability Enhancement	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Brownstein Hyatt Farber Best Best & Krieger Fieldman Rolapp Sta Mgta / Dana Pt Auth The Bank of NY Mellon	08-01-38 Comb	<u>TIC</u> 5.091 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$645,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.490	\$6,762,759

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$33,134	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2008-1261	\$38,490,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-10-2009	\$36,205,000	Santa Margarita/Dana Point Authority Orange CDIAC Number: 2009-1193 Revenue bond Water Supply, Storage, Distribution ID Nos 2, 2A, 3 & 4 Series B	S:AA  F:AA	Neg	(BC) (DC) (FA) (TR) (UW)	Brownstein Hyatt Farber Best Best & Krieger Fieldman Rolapp Union Bank NA Stone & Youngberg	08-01-18 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.545

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,195,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
8/1/2018	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Santa Margarita Water District	\$2,105,000.00		2009-1196		\$4,625,000.00	8/1/2011	Matured FY 11/12
GOB	Santa Margarita Water District	\$3,680,000.00	10/12/2018	2009-1197	\$0.00			
GOB	Santa Margarita Water District	\$29,865,000.00	10/12/2018	2009-1198	\$0.00			
<b>Total</b>		<b>\$36,205,000.00</b>				<b>\$5,195,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-10-2009	\$3,680,000	Santa Margarita Water District Orange CDIAC Number: 2009-1197 General obligation bond Water Supply, Storage, Distribution ID No 3 Refunding	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Brownstein Hyatt Farber Best Best & Krieger Fieldman Rolapp Union Bank NA Sta Mgta / Dana Pt Auth	08-01-18 Serial	<u>TIC</u> 2.574 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$570,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.300	Y	\$2,404,687

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,189	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2009-1193	\$36,205,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-10-2009	\$29,865,000	Santa Margarita Water District Orange CDIAC Number: 2009-1198 General obligation bond Water Supply, Storage, Distribution ID No 4 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Brownstein Hyatt Farber Best Best & Krieger Fieldman Rolapp Sta Mgta / Dana Pt Auth Union Bank NA	08-01-18 Serial	<u>TIC</u> 2.575 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,625,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.490	Y	\$6,762,759

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$33,134	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2009-1193	\$36,205,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
06-03-2014	\$65,340,000	Santa Margarita/Dana Point Authority Orange CDIAC Number: 2014-0878 Revenue bond Water Supply, Storage, Distribution ID Nos 2,2A,3,3A,4,4A & 4B Series A	S:AA  F:AA+	Neg	(BC) (DC) (FA) (TR) (UW)	Nossaman LLP Nossaman LLP The PFM Group Wells Fargo Bank NA Stifel Nicolaus & Co Inc	08-01-32 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	1.583 1.762

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$38,925,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
8/1/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Santa Margarita Water District	\$445,000.00	10/12/2018	2014-0872	\$0.00	\$0.00 8/1/2017	Matured FY 17/18	
GOB	Santa Margarita Water District	\$1,690,000.00	10/12/2018	2014-0873	\$0.00	\$946,000.00		
GOB	Santa Margarita Water District	\$6,810,000.00	10/12/2018	2014-0874	\$0.00	\$3,784,000.00		
GOB	Santa Margarita Water District	\$32,280,000.00	10/12/2018	2014-0875	\$0.00	\$17,955,000.00		
GOB	Santa Margarita Water District	\$14,375,000.00	10/12/2018	2014-0876	\$0.00	\$7,995,000.00		
GOB	Santa Margarita Water District	\$9,355,000.00	10/12/2018	2014-0877	\$0.00	\$8,245,000.00		
<b>Total</b>		<b>\$65,340,000.00</b>				<b>\$38,925,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2014	\$385,000	Santa Margarita Water District Orange CDIAC Number: 2014-0871 General obligation bond Water Supply, Storage, Distribution Imp Dist No 2 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Nossaman LLP Nossaman LLP The PFM Group Sta Mgta / Dana Pt Auth Wells Fargo Bank NA	08-01-17 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.780	Y	\$260,508

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,031	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2014-0878	\$65,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2014	\$445,000	Santa Margarita Water District Orange CDIAC Number: 2014-0872 General obligation bond Water Supply, Storage, Distribution Imp Dist No 2A Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Nossaman LLP Nossaman LLP The PFM Group Sta Mgta / Dana Pt Auth Wells Fargo Bank NA	08-01-17 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2014-0878	\$65,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2014	\$1,690,000	Santa Margarita Water District Orange CDIAC Number: 2014-0873 General obligation bond Water Supply, Storage, Distribution Imp Dist No 3 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Nossaman LLP Nossaman LLP The PFM Group Sta Mgta / Dana Pt Auth Wells Fargo Bank NA	08-01-20 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$946,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.300	Y	\$480,937

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,438	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2014-0878	\$65,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2014	\$6,810,000	Santa Margarita Water District Orange CDIAC Number: 2014-0874 General obligation bond Water Supply, Storage, Distribution Imp Dist No 3A Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Nossaman LLP Nossaman LLP The PFM Group Sta Mgta / Dana Pt Auth Wells Fargo Bank NA	08-01-20 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,784,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.300	Y	\$1,923,749

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,751	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2014-0878	\$65,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2014	\$32,280,000	Santa Margarita Water District Orange CDIAC Number: 2014-0875 General obligation bond Water Supply, Storage, Distribution Imp Dist No 4 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Nossaman LLP Nossaman LLP The PFM Group Sta Mgta / Dana Pt Auth Wells Fargo Bank NA	08-01-20 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,955,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$6,762,759

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$33,134	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2014-0878	\$65,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2014	\$14,375,000	Santa Margarita Water District Orange CDIAC Number: 2014-0876 General obligation bond Water Supply, Storage, Distribution Imp Dist No 4A Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Nossaman LLP Nossaman LLP The PFM Group Sta Mgta / Dana Pt Auth Wells Fargo Bank NA	08-01-20 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,995,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$4,869,605

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$18,907	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2014-0878	\$65,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2014	\$9,355,000	Santa Margarita Water District Orange CDIAC Number: 2014-0877 General obligation bond Water Supply, Storage, Distribution Imp Dist No 4B Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Nossaman LLP Nossaman LLP The PFM Group Sta Mgta / Dana Pt Auth Wells Fargo Bank NA	08-01-32 Comb	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,245,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.280	Y	\$1,263,122

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,522	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2014-0878	\$65,340,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>
04-06-2017	\$29,375,000	Santa Margarita/Dana Point Authority Orange CDIAC Number: 2017-0389 Revenue bond Water Supply, Storage, Distribution ID Nos 2, 3 & 4 Series A	S:AA+  F:AA	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Public Financial Managemem (TR) The Bank of NY Mellon (UW) Stifel Nicolaus & Co Inc	08-01-38 Serial	<u>TIC</u> 3.192 <u>NIC</u> 3.451 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$29,375,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
8/1/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or	Reporting	Current Year	Outstanding	Retired /	Retired
		<u>Loan Amount</u>	<u>Date</u>	<u>CDIAC Nbr</u>	<u>Admin Fees</u>	<u>Balance</u>	<u>Repay Date</u>
GOB	Santa Margarita Water District	\$4,860,000.00	10/12/2018	2017-0390	\$0.00	\$4,860,000.00	
GOB	Santa Margarita Water District	\$3,230,000.00	10/12/2018	2017-0391	\$0.00	\$3,230,000.00	
GOB	Santa Margarita Water District	\$21,285,000.00	10/12/2018	2017-0392	\$0.00	\$21,285,000.00	
<b>Total</b>		<b>\$29,375,000.00</b>				<b>\$29,375,000.00</b>	

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-06-2017	\$4,860,000	Santa Margarita Water District Orange CDIAC Number: 2017-0390 General obligation bond Water Supply, Storage, Distribution ID No 2 Series A Refunding	S:AA+  F:AA	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Public Financial Managemen Sta Mgta / Dana Pt Auth The Bank of NY Mellon	08-01-38 Serial	<u>TIC</u> 3.192 <u>NIC</u> 3.451 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,860,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.780	Y	\$260,508

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,031	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2017-0389	\$29,375,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-06-2017	\$3,230,000	Santa Margarita Water District Orange CDIAC Number: 2017-0391 General obligation bond Water Supply, Storage, Distribution ID No 3 Series A Refunding	S:AA+  F:AA	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Public Financial Managemen Sta Mgta / Dana Pt Auth The Bank of NY Mellon	08-01-38 Serial	<u>TIC</u> 3.192 <u>NIC</u> 3.451 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,230,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.300	Y	\$2,404,687

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,189	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2017-0389	\$29,375,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-06-2017	\$21,285,000	Santa Margarita Water District Orange CDIAC Number: 2017-0392 General obligation bond Water Supply, Storage, Distribution ID No 4 Series A Refunding	S:AA+  F:AA	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Public Financial Managemen Sta Mgta / Dana Pt Auth The Bank of NY Mellon	08-01-38 Serial	<u>TIC</u> 3.192 <u>NIC</u> 3.451 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,285,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$6,762,759

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$33,134	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Margarita/Dana Point Authority	2017-0389	\$29,375,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
07-09-1999	\$75,920,000	South Orange County Public Financing Authority Orange CDIAC Number: 1999-1417 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 86-1, 87-5E, 87-6 87-7 & 87-9 Series A	S:AAA M:Aaa  Ins	Neg	(BC) Stradling Yocca (FA) Fieldman Rolapp (EN) FSA (TR) US Bank Corp Trust (UW) Stone & Youngberg	08-15-18 Comb	<u>TIC</u> <u>NIC</u> 5.123 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,015,000	\$607,720	\$0	\$609,736	\$0	\$609,736

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$90,021
Arbitrage Calculation	\$400
Tax Consultant	\$4,647
Trustee	\$3,200
<b>Total:</b>	<b>\$98,267</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Orange County CFD No 87-9	\$4,050,000.00		1999-1418		2/15/2014	O FY 13/14
LTOB	Orange County CFD No 86-1	\$32,335,000.00	10/29/2018	1999-1419 \$47,408.39	\$0.00	8/15/2017	Matured FY 17/18
LTOB	Orange County CFD No 87-5E	\$12,780,000.00	10/29/2018	1999-1420 \$38,223.08	\$1,075,000.00		
LTOB	Orange County CFD No 87-6	\$9,330,000.00		1999-1421		2/15/2014	O FY 13/14
LTOB	Orange County CFD No 87-7	\$17,425,000.00		1999-1422		8/15/2014	Matured FY 13/14
<b>Total</b>		<b>\$75,920,000.00</b>			<b>\$1,075,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-1999	\$32,335,000	Orange County CFD No 86-1 Orange CDIAC Number: 1999-1419 Limited tax obligation bond Multiple Capital Improvements, Public Works Rancho Santa Margarita Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA US Bank Corp Trust	08-15-17 Comb	<u>TIC</u> <u>NIC</u> 5.153 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$47,408	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	1999-1417	\$75,920,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-1999	\$12,780,000	Orange County CFD No 87-5E Orange CDIAC Number: 1999-1420 Limited tax obligation bond Multiple Capital Improvements, Public Works Rancho Santa Margarita Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA US Bank Corp Trust	08-15-18 Comb	<u>TIC</u> <u>NIC</u> 5.187 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,075,000	\$607,720	\$0	\$38,223	\$609,736

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.190	\$411,479

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$811	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	1999-1417	\$75,920,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>
10-15-1999	\$23,835,000	South Orange County Public Financing Authority Orange CDIAC Number: 1999-1888 Revenue bond Multiple Capital Improvements, Public Works Newport Coast ReAD 99-1R	M:Aaa F:AAA  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington Fieldman Rolapp FSA State Street Bank NY Stone & Youngberg	09-02-18 Comb	<u>TIC</u> <u>NIC</u> 5.516 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,900,000	\$0	\$0	\$0	\$1,005,100	\$1,005,100

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$23,538
Arbitrage Calculation	\$400
Tax Consultant	\$3,206
Trustee	\$3,380
Total:	\$30,525

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Orange County	\$23,835,000.00	10/27/2018	1999-1889	\$23,538.40	\$2,060,000.00		
<b>Total</b>		<b>\$23,835,000.00</b>				<b>\$2,060,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-15-1999	\$23,835,000	Orange County Orange CDIAC Number: 1999-1889 Special assessment bond Multiple Capital Improvements, Public Works Newport Coast ReAD No 99-1R Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Fieldman Rolapp So Orange Co PFA State Street Bank NY	09-02-18 Serial	<u>TIC</u> <u>NIC</u> 6.518 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,060,000	\$0	\$0	\$23,538	\$1,005,100

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$422,014

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,833	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	1999-1888	\$23,835,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>
08-08-2001	\$10,785,000	South Orange County Public Financing Authority Orange CDIAC Number: 2001-1417 Revenue bond Multiple Capital Improvements, Public Works Newport Coast ReAD No 01-1R	M:Aaa F:AAA  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington Fieldman Rolapp FSA State Street Bank NY Stone & Youngberg	09-02-18 Serial	<u>TIC</u> <u>NIC</u> 4.431 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$360,000	\$0	\$0	\$0	\$496,108	\$496,108

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$22,075
Arbitrage Calculation	\$400
Tax Consultant	\$2,877
Trustee	\$3,300
<b>Total:</b>	<b>\$28,652</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr</u>	<u>Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Orange County	\$10,785,000.00	10/27/2018	2001-1560	\$22,075.17	\$393,686.00		
<b>Total</b>		<b>\$10,785,000.00</b>				<b>\$393,686.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-08-2001	\$10,785,000	Orange County Orange CDIAC Number: 2001-1560 Special assessment bond Multiple Capital Improvements, Public Works Newport Coast ReAD No 01-1R Refunding	NR     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Orrick Herrington Fieldman Rolapp FSA So Orange Co PFA State Street Bank Corp	09-02-18 Serial	<u>TIC</u> <u>NIC</u> 5.645 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$393,686	\$0	\$0	\$22,075	\$496,108

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$111,591

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2001-1417	\$10,785,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-17-2004	\$92,370,000	South Orange County Public Financing Authority Orange CDIAC Number: 2004-0845 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 87-1,87-3,87-4,87-5A,B,C,D & 87-8 Fthill Area Ser A Refunding	S:AAA/A+ M:Aaa/A1  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Stradling Yocca The PFM Group FGIC U.S. Bank NA UBS Financial Services	08-15-19 Serial	<u>TIC</u> <u>NIC</u> 4.155 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,265,000	\$20,232,791	\$0	\$6,980,000	\$0	\$6,980,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$245,663
Arbitrage Calculation	\$1,500
Consultant	\$2,082
Tax Consultant	\$19,677
Trustee	\$4,574
<b>Total:</b>	<b>\$273,496</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Orange County CFD No 87-8	\$30,412,976.00	10/29/2018	1994-1427	\$39,076.68	\$3,040,000.00		
LTOB	Orange County CFD No 87-5D	\$12,042,509.00	10/29/2018	1994-1428	\$37,332.60	\$1,155,000.00		
LTOB	Orange County CFD No 87-5C	\$15,221,979.00	10/29/2018	1994-1429	\$37,620.51	\$1,445,000.00		
LTOB	Orange County CFD No 87-5B	\$27,396,720.00	10/29/2018	1994-1430	\$41,523.70	\$590,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Orange County CFD No 87-5A	\$8,863,770.00	10/29/2018	1994-1431	\$36,756.97	\$115,000.00		
LTOB	Orange County CFD No 87-4	\$71,435,333.00	10/29/2018	1994-1432	\$51,017.78	\$3,936,990.00		
LTOB	Orange County CFD No 87-3	\$49,697,035.00		1994-1433			8/15/2015	Matured FY 15/16
LTOB	Orange County CFD No 87-1	\$7,632,808.00		1994-1434			8/15/2015	Matured FY 15/16
<b>Total</b>		<b>\$222,703,130.00</b>				<b>\$10,281,990.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-05-1994	\$30,412,976	Orange County CFD No 87-8 Orange CDIAC Number: 1994-1427 Limited tax obligation bond Street Construction and Improvements Coto de Caza Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA First Trust of CA	08-15-19 Comb	<u>TIC</u> 7.754 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,040,000	\$0	\$0	\$39,077	\$4,080

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$902,688

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,457	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2004-0845	\$92,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-05-1994	\$12,042,509	Orange County CFD No 87-5D Orange CDIAC Number: 1994-1428 Limited tax obligation bond Multiple Capital Improvements, Public Works Rancho Santa Margarita Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA First Trust of CA	08-15-19 Comb	<u>TIC</u> 7.755 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,155,000	\$0	\$0	\$37,333	\$2,018

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$400,448

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$741	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2004-0845	\$92,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-05-1994	\$15,221,979	Orange County CFD No 87-5C Orange CDIAC Number: 1994-1429 Limited tax obligation bond Multiple Capital Improvements, Public Works Rancho Santa Margarita Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA First Trust of CA	08-15-19 Comb	<u>TIC</u> 7.653 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,445,000	\$0	\$0	\$37,621	\$2,263

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$576,722

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,120	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2004-0845	\$92,370,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-05-1994	\$27,396,720	Orange County CFD No 87-5B Orange CDIAC Number: 1994-1430 Limited tax obligation bond Multiple Capital Improvements, Public Works Rancho Santa Margarita Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA First Trust of CA	08-15-19 Comb	<u>TIC</u> 7.365 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$590,000	\$0	\$0	\$41,524	\$2,454

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2004-0845	\$92,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-05-1994	\$8,863,770	Orange County CFD No 87-5A Orange CDIAC Number: 1994-1431 Limited tax obligation bond Multiple Capital Improvements, Public Works Rancho Santa Margarita Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA First Trust of CA	08-15-19 Comb	<u>TIC</u> 7.804 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$115,000	\$0	\$0	\$36,757	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2004-0845	\$92,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-05-1994	\$71,435,333	Orange County CFD No 87-4 Orange CDIAC Number: 1994-1432 Limited tax obligation bond Multiple Capital Improvements, Public Works Foothill Ranch Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA First Trust of CA	08-15-19 Comb	<u>TIC</u> 7.402 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,936,990	\$0	\$0	\$51,018	\$17,417

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2004-0845	\$92,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
11-16-2005	\$84,015,000	South Orange County Public Financing Authority Orange CDIAC Number: 2005-1877 Revenue bond Multiple Capital Improvements, Public Works Ladera Ranch CFD Nos 99-1, 2000-1 & 2001-1	S:AAA/BBB+ M:Aaa  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Stradling Yocca Fieldman Rolapp Ambac U.S. Bank NA Stone & Youngberg	08-15-32 Comb    TIC NIC 4.661 TYPE:

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$92,598
Tax Consultant	\$13,205
Trustee	\$709
<b>Total:</b>	<b>\$106,511</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Orange County CFD No 99-1	\$22,560,000.00	10/28/2018	2005-1933	\$30,105.02	\$0.00	2/16/2018	Redeemed FY 17/18
LTOB	Orange County CFD No 2000-1	\$28,890,000.00	10/28/2018	2005-1934	\$30,349.46	\$0.00	2/16/2018	Redeemed FY 17/18
LTOB	Orange County CFD No 2001-1	\$32,565,000.00	10/28/2018	2005-1935	\$32,143.09	\$0.00	2/16/2018	Redeemed FY 17/18
<b>Total</b>		<b>\$84,015,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2005	\$22,560,000	Orange County CFD No 99-1 Orange CDIAC Number: 2005-1933 Limited tax obligation bond Multiple Capital Improvements, Public Works Ladera Ranch Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA Orange Co	08-15-32 Serial	<u>TIC</u> <u>NIC</u> 4.747 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$30,105	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2005-1877	\$84,015,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2005	\$28,890,000	Orange County CFD No 2000-1 Orange CDIAC Number: 2005-1934 Limited tax obligation bond Multiple Capital Improvements, Public Works Ladera Ranch Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA Orange Co	08-15-32 Serial	<u>TIC</u> <u>NIC</u> 4.761 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$30,349	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2005-1877	\$84,015,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2005	\$32,565,000	Orange County CFD No 2001-1 Orange CDIAC Number: 2005-1935 Limited tax obligation bond Multiple Capital Improvements, Public Works Ladera Ranch Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp So Orange Co PFA Orange Co	08-15-32 Serial	<u>TIC</u> <u>NIC</u> 4.809 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$32,143	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2005-1877	\$84,015,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-15-2014	\$64,545,000	South Orange County Public Financing Authority Orange CDIAC Number: 2014-0006 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2002-1 & 2003-1 Ladera Ranch Ser A	S:BBB+	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Fieldman Rolapp U.S. Bank NA Piper Jaffray & Co	08-15-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.221 4.427

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$58,955,000	\$6,277,000	\$0	\$6,337,511	\$0	\$6,337,511

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$49,981
Arbitrage Calculation	\$248
Tax Consultant	\$5,998
Trustee	\$3,100
<b>Total:</b>	<b>\$59,327</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Orange County CFD No 2002-1	\$57,585,000.00	10/29/2018	2014-0008	\$40,911.89	\$52,490,000.00		
<b>Total</b>		<b>\$57,585,000.00</b>				<b>\$52,490,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2014	\$57,585,000	Orange County CFD No 2002-1 Orange CDIAC Number: 2014-0008 Limited tax obligation bond Multiple Capital Improvements, Public Works Ladera Ranch Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp So Orange Co PFA U.S. Bank NA	08-15-33 Comb	<u>TIC</u> 4.148 <u>NIC</u> 4.277 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$52,490,000	\$3,655,370	\$0	\$40,912	\$3,690,609

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
55.000	0.520	Y	\$4,213,196

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$22,255	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2014-0006	\$64,545,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
01-15-2014	\$40,000,000	South Orange County Public Financing Authority Orange CDIAC Number: 2014-0007 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2002-1 & 2003-1 Ladera Ranch Ser B	NR	Neg PP	(BC) (DC) (FA) (PA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Piper Jaffray & Co Western Alliance U.S. Bank NA	08-15-34 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.090 4.090

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$36,755,000	\$364,470	\$0	\$367,984	\$0	\$367,984

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$30,634
Arbitrage Calculation	\$152
Tax Consultant	\$3,676
Trustee	\$1,900
<b>Total:</b>	<b>\$36,362</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Orange County CFD No 2003-1	\$46,960,000.00	10/29/2018	2014-0009	\$39,702.83	\$43,220,000.00		
<b>Total</b>		<b>\$46,960,000.00</b>				<b>\$43,220,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2014	\$46,960,000	Orange County CFD No 2003-1 Orange CDIAC Number: 2014-0009 Limited tax obligation bond Multiple Capital Improvements, Public Works Ladera Ranch Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp So Orange Co PFA U.S. Bank NA	08-15-34 Comb	<u>TIC</u> 4.202 <u>NIC</u> 4.324 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$43,220,000	\$2,986,100	\$0	\$39,703	\$3,014,887

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
45.000	0.470	Y

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$15,705	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2014-0007	\$40,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-24-2018	\$51,940,000	South Orange County Public Financing Authority Orange CDIAC Number: 2018-0014 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 99-1, 2000-1 & 2001-1 Ladera Ranch Series A	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca Fieldman Rolapp Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	08-15-32 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.501 2.712

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$51,940,000	\$5,813,400	\$0	\$0	\$5,813,400	\$5,813,400

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$640,905

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$28,464
Total:	\$28,464

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Orange County CFD No 99-1	\$13,235,000.00	10/27/2018	2018-0015	\$9,457.28	\$13,235,000.00		
LTOB	Orange County CFD No 2000-1	\$17,225,000.00	10/27/2018	2018-0016	\$9,522.21	\$17,225,000.00		
LTOB	Orange County CFD No 2001-1	\$21,480,000.00	10/27/2018	2018-0017	\$9,484.64	\$21,480,000.00		
<b>Total</b>		<b>\$51,940,000.00</b>				<b>\$51,940,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-24-2018	\$13,235,000	Orange County CFD No 99-1 Orange CDIAC Number: 2018-0015 Limited tax obligation bond Multiple Capital Improvements, Public Works Ladera Ranch Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp So Orange Co PFA U.S. Bank NA	08-15-29 Serial	<u>TIC</u> 2.324 <u>NIC</u> 2.535 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,235,000	\$1,481,331	\$0	\$9,457	\$1,481,331

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
25.480	0.240	Y	\$1,652,026

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,078	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2018-0014	\$51,940,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-24-2018	\$17,225,000	Orange County CFD No 2000-1 Orange CDIAC Number: 2018-0016 Limited tax obligation bond Multiple Capital Improvements, Public Works Ladera Ranch Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp So Orange Co PFA U.S. Bank NA	08-15-30 Serial	<u>TIC</u> 2.427 <u>NIC</u> 2.648 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,225,000	\$1,927,913	\$0	\$9,522	\$1,927,913

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
33.160	Y	0.510	\$2,051,687

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,598	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2018-0014	\$51,940,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-24-2018	\$21,480,000	Orange County CFD No 2001-1 Orange CDIAC Number: 2018-0017 Limited tax obligation bond Multiple Capital Improvements, Public Works Ladera Ranch Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp So Orange Co PFA U.S. Bank NA	08-15-32 Serial	<u>TIC</u> 2.645 <u>NIC</u> 2.843 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,480,000	\$2,404,155	\$0	\$9,485	\$2,404,155

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
41.360	Y	0.430	\$2,263,546

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,945	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Orange County Public Financing Authority	2018-0014	\$51,940,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-28-2014	\$100,800,000	Tustin Unified School District Financing Authority Orange CDIAC Number: 2014-0211 Revenue bond K-12 School Facility CFD Nos 07-1 & 14-1 Refunding	NR	Neg PP	(BC) (FA) (PU) (TR)	Bowie Arneson Wiles RBC Capital Mkts LLC Diversified Real Estate U.S. Bank NA	09-01-48 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.165

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$100,760,000	\$8,887,946	\$0	\$8,889,833	\$0	\$8,889,833

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Tustin Unified School District CFD No 07-1	\$15,745,000.00	08/24/2018	2014-0077	\$0.00	\$15,745,000.00		
LTOB	Tustin Unified School District CFD No 14-1	\$85,055,000.00	08/24/2018	2014-0103	\$0.00	\$85,015,000.00		
		<b>Total</b>				<b>\$100,800,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-28-2014	\$15,745,000	Tustin Unified School District CFD No 07-1 Orange CDIAC Number: 2014-0077 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Bowie Arneson Wiles RBC Capital Mkts LLC Tustin USD FA U.S. Bank NA	09-01-48 Comb	<u>TIC</u> 5.165 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,745,000	\$1,388,293	\$0	\$0	\$1,388,588

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.620	0	Y	\$808,995

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tustin Unified School District Financing Authority	2014-0211	\$100,800,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-28-2014	\$85,055,000	Tustin Unified School District CFD No 14-1 Orange CDIAC Number: 2014-0103 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Bowie Arneson Wiles RBC Capital Mkts LLC Tustin USD FA U.S. Bank NA	09-01-48 Comb	<u>TIC</u> 5.165 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$85,015,000	\$7,499,653	\$0	\$0	\$7,501,245

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
84.380	Y	0.650	\$2,639,259

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$17,155	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tustin Unified School District Financing Authority	2014-0211	\$100,800,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>		<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2017	\$29,335,000	Yorba Linda Water District Financing Authority Orange CDIAC Number: 2017-0931 Revenue bond Water Supply, Storage, Distribution Series A Refunding	S:AA+		Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Citigroup Global Markets	10-01-38 Comb	<u>TIC</u> 3.211 <u>NIC</u> 3.548 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$28,390,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Yorba Linda Water District	\$29,335,000.00	10/29/2018	2017-1928	\$0.00	\$28,390,000.00		
<b>Total</b>		<b>\$29,335,000.00</b>				<b>\$28,390,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2017	\$29,335,000	Yorba Linda Water District Orange CDIAC Number: 2017-1928 Certificates of participation/leases Water Supply, Storage, Distribution Series A	S:AA+  F:AA	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Yorba Linda Wtr Dist FA U.S. Bank NA	10-01-38 Comb	<u>TIC</u> 3.211 <u>NIC</u> 3.548 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$28,390,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.550	\$1,711,323

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$26,575	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Yorba Linda Water District Financing Authority	2017-0931	\$29,335,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-09-2003	\$3,985,000	Auburn Valley Public Financing Authority Placer CDIAC Number: 2003-1319 Revenue bond Wastewater Collection, Treatment Auburn Valley Community Services District Refunding	NR	Neg	(BC) (TR) (UW)	Cameron Weist U.S. Bank NA US Bancorp Piper	10-01-27 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.913

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,565,000	\$118,014	\$0	\$118,014	\$0	\$118,014

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$2,878
Total:	\$2,878

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Auburn Valley Community Services District	\$1,495,000.00	11/09/2018	2004-0051	\$0.00	\$883,500.00		
MKRL	Auburn Valley Community Services District	\$2,490,000.00		2016-0601				
<b>Total</b>		<b>\$3,985,000.00</b>				<b>\$883,500.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2003	\$1,495,000	Auburn Valley Community Services District Placer CDIAC Number: 2004-0051 Special assessment bond Wastewater Collection, Treatment Spyglass Circle ReAD Refunding	NR	Neg	(BC) (PU) (TR)	Cameron Weist Auburn Vly PFA U.S. Bank NA	10-01-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$883,500	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	3.700	Y	\$108,304

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,087	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Auburn Valley Public Financing Authority	2003-1319	\$3,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-05-2000	\$12,275,000	Lincoln Public Finance Authority Placer CDIAC Number: 2000-1756 Revenue bond Multiple Capital Improvements, Public Works ReAD 1994-2, Sewer & Wtr System & Airport Sublease & 89 Loan Refunding	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Stradling Yocca The PFM Group US Bank Corp Trust US Bancorp Piper	09-15-17 Serial	<u>TIC</u> <u>NIC</u> 5.713 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/15/2017	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Lincoln	\$2,470,000.00		2016-0930		9/15/2012	Matured FY 12/13	
COPL	Lincoln	\$2,890,000.00		2016-0931		9/15/2015	Matured FY 15/16	
SAB	Lincoln	\$660,000.00		2016-0932		9/2/2001	Matured FY 01/02	
COPL	Lincoln	\$1,035,000.00		2016-0933		9/15/2015	Matured FY 15/16	
MKRL	Lincoln	\$1,640,000.00	11/15/2018	2016-0934	\$0.00	\$0.00 9/15/2017	Matured FY 17/18	

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u>	<u>Reporting</u>	<u>Current Year</u>	<u>Outstanding</u>	<u>Retired /</u>	<u>Retired</u>
		<u>Loan Amount</u>	<u>Date</u>	<u>Admin Fees</u>	<u>Balance</u>	<u>Repay Date</u>	<u>Type</u>
MKRL	Lincoln	\$3,155,000.00	11/15/2018	\$0.00	\$0.00	9/15/2017	Matured FY 17/18
<b>Total</b>		<b>\$12,275,000.00</b>			<b>\$0.00</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
12-05-2000	\$1,640,000	Lincoln Placer CDIAC Number: 2016-0934 Marks-Roos Authority Loan Multiple Capital Improvements, Public Works LPFA 2000	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Orrick Herrington Stradling Yocca The PFM Group Lincoln PFA US Bank Corp Trust	09-15-17 Serial	<u>TIC</u> <u>NIC</u> 5.713 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Lincoln Public Finance Authority	2000-1756	\$12,275,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-05-2000	\$3,155,000	Lincoln Placer CDIAC Number: 2016-0935 Marks-Roos Authority Loan Multiple Capital Improvements, Public Works 1994 Loan Sub Agreement	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Orrick Herrington Stradling Yocca The PFM Group Lincoln PFA US Bank Corp Trust	09-15-17 Serial	<u>TIC</u> <u>NIC</u> 5.713 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lincoln Public Finance Authority	2000-1756	\$12,275,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2007	\$102,870,000	Lincoln Public Finance Authority Placer CDIAC Number: 2007-0377 Revenue bond Multiple Capital Improvements, Public Works CFD No 2003-1 Series A & Sub Series B	S:AAA M:Aaa/Baa2  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington The PFM Group Ambac U.S. Bank NA Piper Jaffray & Co	09-01-34 Comb	<u>TIC</u> <u>NIC</u> 4.621 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$87,250,000	\$6,857,427	\$0	\$7,392,472	\$0	\$7,392,472

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lincoln CFD No 2003-1	\$102,870,000.00	11/09/2018	2007-0378	\$0.00	\$87,092,300.00		
<b>Total</b>		<b>\$102,870,000.00</b>				<b>\$87,092,300.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2007	\$102,870,000	Lincoln CFD No 2003-1 Placer CDIAC Number: 2007-0378 Limited tax obligation bond Multiple Capital Improvements, Public Works Lincoln Crossing Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington The PFM Group Lincoln PFA U.S. Bank NA	09-01-34 Term	<u>TIC</u> <u>NIC</u> 4.954 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$87,092,300	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.010	Y	\$7,381,041

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$124,483	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lincoln Public Finance Authority	2007-0377	\$102,870,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-25-2011	\$41,800,000	Lincoln Public Finance Authority Placer CDIAC Number: 2011-1224 Revenue bond Multiple Capital Improvements, Public Works Twelve Bridges Series A & Sub Series B Refunding	S:AA+/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington The PFM Group Assured Guaranty Corp U.S. Bank NA Piper Jaffray & Co	09-02-27 Comb	<u>TIC</u> <u>NIC</u> 4.627 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$27,014,000	\$3,912,138	\$0	\$3,915,692	\$0	\$3,915,692

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>
							<u>Repay Date</u>	<u>Type</u>
SAB	Lincoln	\$47,800,000.00	10/30/2018	1999-1164	\$0.00	\$19,415,000.00		
SAB	Lincoln	\$15,550,000.00	10/30/2018	2001-1446	\$0.00	\$8,155,000.00		
		<b>Total</b>				<b>\$27,570,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-23-1999	\$47,800,000	Lincoln Placer CDIAC Number: 1999-1164 Special assessment bond Multiple Capital Improvements, Public Works Twelve Bridges AD No 95-1	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington The PFM Group Lincoln PFA US Bank Corp Trust	09-02-25 Comb	<u>TIC</u> <u>NIC</u> 6.174 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,415,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.020	\$3,178,170

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$72,723	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lincoln Public Finance Authority	2011-1224	\$41,800,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2001	\$15,550,000	Lincoln Placer CDIAC Number: 2001-1446 Special assessment bond Multiple Capital Improvements, Public Works Twelve Bridges AD No 95-1	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington The PFM Group Lincoln PFA US Bank Corp Trust	09-02-27 Comb	<u>TIC</u> <u>NIC</u> 6.127 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,155,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.000	\$1,233,286

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$24,605	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lincoln Public Finance Authority	2011-1224	\$41,800,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-26-2017	\$23,400	Pioneer Community Energy Placer CDIAC Number: 2018-1806 Special assessment bond Residential Energy Conservation, Improvement Series R 2016-17 No 1 Federally Taxable	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 3.000 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$414,150	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Pioneer Community Energy	\$23,400.00	10/31/2018	2018-1807	\$0.00	\$414,149.53		
<b>Total</b>		<b>\$23,400.00</b>				<b>\$414,149.53</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2017	\$23,400	Pioneer Community Energy Placer CDIAC Number: 2018-1807 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Series R 2016-17 No 1 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Pioneer Comm Energy	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 3.000 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$414,150	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$32,723

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pioneer Community Energy	2018-1806	\$23,400.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-28-2017	\$62,713	Pioneer Community Energy Placer CDIAC Number: 2018-1808 Special assessment bond Commercial Energy Conservation Improvement Series NR 2017-18 No 1 Federally Taxable	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 3.000 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$138,323	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Pioneer Community Energy	\$62,712.71	10/31/2018	2018-1809	\$0.00	\$138,322.57		
		<b>Total</b>				<b>\$138,322.57</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-28-2017	\$62,713	Pioneer Community Energy Placer CDIAC Number: 2018-1809 Marks-Roos Authority Loan Commercial Energy Conservation Improvement Series NR 2017-18 No 1 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Pioneer Comm Energy	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 3.000 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$138,323	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	Y	\$12,110

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pioneer Community Energy	2018-1808	\$62,712.71

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-01-2015	\$10,000,000	Placer County Public Financing Authority Placer CDIAC Number: 2015-1387 Special assessment bond Residential Energy Conservation, Improvement mPower Series R-A Federally Taxable Refunding	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.174

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,415,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$10,000,000.00	10/30/2018	2016-0375	\$0.00	\$9,415,000.00		
<b>Total</b>		<b>\$10,000,000.00</b>				<b>\$9,415,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation</u>	<u>Bond</u>	<u>Interest</u>
06-01-2015	\$10,000,000	Placer County Placer CDIAC Number: 2016-0375 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower Series R-A Federally Taxable Refunding	NR	Neg	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Placer Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.174

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,415,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$880,389

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2015-1387	\$10,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-01-2015	\$2,495,839	Placer County Public Financing Authority Placer CDIAC Number: 2015-1388 Special assessment bond Residential Energy Conservation, Improvement mPower Series NR-A Federally Taxable Refunding	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.999

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>	<u>Issuance Cost</u>
17/18	\$2,318,790	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$2,495,839.00	10/30/2018	2016-0376	\$0.00	\$2,318,789.62		
<b>Total</b>		<b>\$2,495,839.00</b>				<b>\$2,318,789.62</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2015	\$2,495,839	Placer County Placer CDIAC Number: 2016-0376 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower Series NR-A Federally Taxable Refunding	NR	Neg	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Placer Co PFA	09-02-35 Term	<u>TIC</u> 3.999 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,318,790	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.150	\$221,552

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,387	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2015-1388	\$2,495,839.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2015	\$13,097,310	Placer County Public Financing Authority Placer CDIAC Number: 2015-1389 Special assessment bond Residential Energy Conservation, Improvement mPower Series R-C Federally Taxable Refunding	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-35 Term	<u>TIC</u> <u>NIC</u> 3.999 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$13,097,310.00	10/30/2018	2016-0377	\$0.00	\$0.00	6/14/2018	Redeemed FY 17/18
<b>Total</b>		<b>\$13,097,310.00</b>				<b>\$0.00</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2015	\$13,097,310	Placer County Placer CDIAC Number: 2016-0377 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower Series R-C Federally Taxable Refunding	NR	Neg	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Placer Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> 3.999 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2015-1389	\$13,097,310.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2015	\$750,000	Placer County Public Financing Authority Placer CDIAC Number: 2015-1390 Special assessment bond Residential Energy Conservation, Improvement mPower Series R-B Federally Taxable Refunding	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-35 Term	<u>TIC</u> <u>NIC</u> 5.208 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$715,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$750,000.00	10/30/2018	2016-0378	\$0.00	\$715,000.00		
<b>Total</b>		<b>\$750,000.00</b>				<b>\$715,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2015	\$750,000	Placer County Placer CDIAC Number: 2016-0378 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower Series R-B Federally Taxable Refunding	NR	Neg	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Placer Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> 5.208 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$715,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.070	\$66,859

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,215	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2015-1390	\$750,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-18-2015	\$654,673	Placer County Public Financing Authority Placer CDIAC Number: 2015-1533 Special assessment bond Residential Energy Conservation, Improvement mPower Series 14-15 No 2 Federally Taxable	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-35 Term	<u>TIC</u> <u>NIC</u> 4.000 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$654,673.21	10/30/2018	2016-0379	\$0.00	\$0.00	6/14/2018	Redeemed FY 17/18
		<b>Total</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-04-2015	\$654,673	Placer County Placer CDIAC Number: 2016-0379 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower Series 14-15 No 2 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Del Rio Advisors LLC Placer Co PFA Placer Co	09-02-35 Term	<u>TIC</u> <u>NIC</u> 4.000 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2015-1533	\$654,673.21

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-02-2015	\$360,535	Placer County Public Financing Authority Placer CDIAC Number: 2015-1735 Special assessment bond Residential Energy Conservation, Improvement mPower Series R-2015-16 No 1 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Jones Hall Del Rio Advisors LLC Placer Co Placer Co	09-02-36 Term	<u>TIC</u> <u>NIC</u> 4.000 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$360,535.00	10/29/2018	2016-0380	\$0.00	\$0.00	6/14/2018	Redeemed FY 17/18
<b>Total</b>		<b>\$360,535.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2015	\$360,535	Placer County Placer CDIAC Number: 2016-0380 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower Series R-2015-16 No 1 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Del Rio Advisors LLC Placer Co PFA Placer Co	09-02-36 Term	<u>TIC</u> <u>NIC</u> 4.000 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2015-1735	\$360,535.03

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-17-2015	\$65,270	Placer County Public Financing Authority Placer CDIAC Number: 2015-2893 Special assessment bond Residential Energy Conservation, Improvement m Power Series NR-2015-16 No 1 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Jones Hall Del Rio Advisors LLC Placer Co Placer Co	09-02-26 Term	<u>TIC</u> 0 <u>NIC</u> 4.000 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$60,318	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$65,270.00	10/30/2018	2016-0177	\$0.00	\$60,318.01		
<b>Total</b>		<b>\$65,270.00</b>				<b>\$60,318.01</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-17-2015	\$65,270	Placer County Placer CDIAC Number: 2016-0177 Marks-Roos Authority Loan Residential Energy Conservation, Improvement m Power Series NR-2015-16 No 1 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Del Rio Advisors LLC Placer Co PFA Placer Co	09-02-26 Term	<u>TIC</u> 0 <u>NIC</u> 4.000 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$60,318	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	Y	\$266,175

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2015-2893	\$65,270.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-07-2016	\$28,403	Placer County Public Financing Authority Placer CDIAC Number: 2016-2812 Special assessment bond Residential Energy Conservation, Improvement mPOWER Series R-2016-17A No 1 Federally Taxable	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.000 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$28,403.00	10/30/2018	2017-2987	\$0.00	\$0.00	6/14/2018	Redeemed FY 17/18
<b>Total</b>		<b>\$28,403.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-07-2016	\$28,403	Placer County Placer CDIAC Number: 2017-2987 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower PACE Ser R 2016-17 A Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Placer Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 3.000 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2016-2812	\$28,403.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-04-2016	\$32,471	Placer County Public Financing Authority Placer CDIAC Number: 2016-3114 Special assessment bond Commercial Energy Conservation Improvement NR-2016-17 A mPOWER Federally Taxable	NR	Neg	(BC) Jones Hall (FA) Del Rio Advisors LLC (PU) Placer Co	09-02-37 Term	<u>TIC</u> 3.000 <u>NIC</u> 0 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$32,471	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$32,471.00	10/30/2018	2017-2988	\$0.00	\$32,471.00		
<b>Total</b>		<b>\$32,471.00</b>				<b>\$32,471.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-04-2016	\$32,471	Placer County Placer CDIAC Number: 2017-2988 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower PACE Ser NR 2016-17 A Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Placer Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 3.000 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$32,471	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$338,885

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2016-3114	\$32,471.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
07-06-2017	\$164,267	Placer County Public Financing Authority Placer CDIAC Number: 2017-2155 Special assessment bond Commercial Energy Conservation Improvement NR-2017-18 A mPOWER Federally Taxable	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-38 Term	TIC NIC TYPE:	0 3.000

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Placer County	\$164,267.06	10/30/2018	2017-2990	\$0.00	\$0.00		
<b>Total</b>		<b>\$164,267.06</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-06-2017	\$164,267	Placer County Placer CDIAC Number: 2017-2990 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower PACE Ser NR 2017-18 A Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Placer Co PFA	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 3.000 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	Y	\$196,663

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2017-2155	\$164,267.06

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-06-2017	\$277,857	Placer County Public Financing Authority Placer CDIAC Number: 2017-2188 Special assessment bond Residential Energy Conservation, Improvement R-2017-18 A mPOWER Federally Taxable	NR	Neg PP	(BC) (FA) (PU)	Jones Hall Del Rio Advisors LLC Placer Co	09-02-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.000 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$292,282	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$277,857.01	10/30/2018	2017-2989	\$0.00	\$292,281.81		
		<b>Total</b>				<b>\$292,281.81</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	<u>TIC</u>	<u>NIC</u>
07-06-2017	\$277,857	Placer County Placer CDIAC Number: 2017-2989 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPower PACE Ser R 2017-18 A Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Jones Hall Del Rio Advisors LLC Placer Co PFA	09-02-38 Term		0 3.000

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$292,282	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$26,131

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2017-2188	\$277,857.01

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-14-2018	\$35,085,000	Placer County Public Financing Authority Placer CDIAC Number: 2018-1017 Special assessment bond Residential Energy Conservation, Improvement mPOWER Green Bonds Series A Federally Taxable Refunding	S:AA M:A2   Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Jones Hall Del Rio Advisors LLC Build America Placer Co Stifel Nicolaus & Co Inc	10-01-38 Term	<u>TIC</u> 0 <u>NIC</u> 4.356 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$35,085,000	\$2,632,188	\$190,799	\$0	\$2,632,188	\$2,632,188

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,084,321

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$35,085,000.00	10/30/2018	2018-1036	\$250,000.00	\$34,628,989.31		
<b>Total</b>		<b>\$35,085,000.00</b>				<b>\$34,628,989.31</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-14-2018	\$35,085,000	Placer County Placer CDIAC Number: 2018-1036 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPOWER Green Bonds Ser A Federally Taxable	NR    Ins	Neg	(BC) (DC) (FA) (EN) (LE) (TR)	Jones Hall Jones Hall Del Rio Advisors LLC Build America Placer Co PFA Placer Co	10-01-38 Term	<u>TIC</u> 0 <u>NIC</u> 4.356 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$34,628,989	\$0	\$0	\$250,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0	\$3,112,979

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2018-1017	\$35,085,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-14-2018	\$4,772,997	Placer County Public Financing Authority Placer CDIAC Number: 2018-1018 Special assessment bond Residential Energy Conservation, Improvement mPOWER Green Bonds Ser B Federally Taxable Refunding	NR	Neg PP	(BC) (DC) (FA) (PA) (PU) (TR)	Jones Hall Jones Hall Del Rio Advisors LLC Stifel Nicolaus & Co Inc Placer Co Placer Co	10-01-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 4.356

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,772,997	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$21,765

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Placer County	\$4,772,996.95	10/30/2018	2018-1037	\$0.00	\$4,846,692.02		
<b>Total</b>		<b>\$4,772,996.95</b>				<b>\$4,846,692.02</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-14-2018	\$4,772,997	Placer County Placer CDIAC Number: 2018-1037 Marks-Roos Authority Loan Residential Energy Conservation, Improvement mPOWER Green Bonds Series B Federally Taxable	NR	Neg PP	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall Del Rio Advisors LLC Placer Co PFA Placer Co	10-01-38 Term	<u>TIC</u> 0 <u>NIC</u> 4.356 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,846,692	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.190	\$435,694

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,012	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Placer County Public Financing Authority	2018-1018	\$4,772,996.95

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
01-15-2004	\$12,575,000	Rocklin Public Financing Authority Placer CDIAC Number: 2004-0044 Revenue bond Multiple Capital Improvements, Public Works Sr Series CFD Nos 6, 8 & 9 Refunding	S:AAA  F:AAA  Ins	Neg	(BC) Jones Hall (DC) Nixon Peabody (FA) Northcross Hill Ach (EN) FSA (TR) U.S. Bank NA (UW) RBC Dain Rauscher	09-01-25 Comb	<u>TIC</u> <u>NIC</u> 4.299 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Rocklin CFD No 9	\$6,890,000.00	10/24/2018	1999-1564	\$0.00	\$0.00 9/1/2017	Redeemed FY 17/18	
LTOB	Rocklin CFD No 6	\$2,240,000.00	10/24/2018	1999-1565	\$0.00	\$0.00 9/1/2017	Redeemed FY 17/18	
RB	Rocklin Public Financing Authority	\$1,455,000.00	10/24/2018	2004-0045		\$0.00 9/1/2017	Redeemed FY 17/18	
<b>Total</b>		<b>\$16,365,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2004	\$1,455,000	Rocklin Public Financing Authority Placer CDIAC Number: 2004-0045 Revenue bond Multiple Capital Improvements, Public Works Sub Series CFD Nos 6, 8 & 9 Refunding	NR	Neg	(BC) Jones Hall (DC) Nixon Peabody (FA) Northcross Hill Ach (TR) U.S. Bank NA (UW) RBC Dain Rauscher	09-01-21 Serial	<u>TIC</u> <u>NIC</u> 5.194 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-1999	\$5,780,000	Rocklin CFD No 8 Placer CDIAC Number: 1999-1563 Limited tax obligation bond Multiple Capital Improvements, Public Works Sunset West-Park Dr	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Schuering Zimmerman Project Fin Assoc Rocklin PFA US Bank Corp Trust	09-01-25 Serial	<u>TIC</u> <u>NIC</u> 6.103 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.170	\$266,214

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$457	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rocklin Public Financing Authority	2004-0044	\$12,575,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-1999	\$6,890,000	Rocklin CFD No 9 Placer CDIAC Number: 1999-1564 Limited tax obligation bond Multiple Capital Improvements, Public Works Sunset West-West/Blue Oaks	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Schuering Zimmerman Project Fin Assoc Rocklin PFA US Bank Corp Trust	09-01-25 Serial	<u>TIC</u> <u>NIC</u> 6.103 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.170	\$308,574

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$522	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rocklin Public Financing Authority	2004-0044	\$12,575,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-1999	\$2,240,000	Rocklin CFD No 6 Placer CDIAC Number: 1999-1565 Limited tax obligation bond Multiple Capital Improvements, Public Works Sunset West-Drainage	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Schuering Zimmerman Project Fin Assoc Rocklin PFA US Bank Corp Trust	09-01-25 Serial	<u>TIC</u> <u>NIC</u> 6.103 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.210	\$98,163

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$211	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rocklin Public Financing Authority	2004-0044	\$12,575,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2017	\$5,000,077	Rocklin Public Financing Authority Placer CDIAC Number: 2017-1383 Revenue bond Multiple Capital Improvements, Public Works	NR	Neg PP	(BC) (FA) (PA) (PU)	Jones Hall NHA Advisors Hilltop Securities Inc Opus Bank	09-01-25 Term	<u>TIC</u> 2.200 <u>NIC</u> 2.200 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,151,706	\$66,999	\$0	\$67,213	\$0	\$67,213

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$162,001

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$29,412
County Government	\$5,648
Consultant	\$9,087
Disclosure	\$667
Total:	\$44,814

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Rocklin CFD No 6	\$749,689.78	10/24/2018	2017-2170	\$466.27	\$622,488.72		
LTOB	Rocklin CFD No 8	\$1,940,184.31	10/24/2018	2017-2171	\$21,916.15	\$1,610,990.16		
LTOB	Rocklin CFD No 9	\$2,310,202.91	10/24/2018	2017-2172	\$22,431.49	\$1,918,227.12		
<b>Total</b>		<b>\$5,000,077.00</b>				<b>\$4,151,706.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2017	\$749,690	Rocklin CFD No 6 Placer CDIAC Number: 2017-2170 Limited tax obligation bond Multiple Capital Improvements, Public Works Sunset West-Drainage Refunding	NR	Neg PP	(BC) (FA) (PA) (PU)	Jones Hall NHA Advisors Hilltop Securities Inc Rocklin PFA	09-01-25 Term	<u>TIC</u> 2.200 <u>NIC</u> 2.200 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$622,489	\$0	\$0	\$466	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$98,163

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$211	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rocklin Public Financing Authority	2017-1383	\$5,000,077.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2017	\$1,940,184	Rocklin CFD No 8 Placer CDIAC Number: 2017-2171 Limited tax obligation bond Multiple Capital Improvements, Public Works Sunset West-Park Drive Refunding	NR	Neg PP	(BC) (FA) (PA) (PU)	Jones Hall NHA Advisors Hilltop Securities Inc Rocklin PFA	09-01-25 Term	<u>TIC</u> 2.200 <u>NIC</u> 2.200 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,610,990	\$0	\$0	\$21,916	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$266,214

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$457	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rocklin Public Financing Authority	2017-1383	\$5,000,077.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2017	\$2,310,203	Rocklin CFD No 9 Placer CDIAC Number: 2017-2172 Limited tax obligation bond Multiple Capital Improvements, Public Works Sunset West-West/Blue Oaks Refunding	NR	Neg PP	(BC) (FA) (PA) (PU)	Jones Hall NHA Advisors Hilltop Securities Inc Rocklin PFA	09-01-25 Term	<u>TIC</u> 2.200 <u>NIC</u> 2.200 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,918,227	\$0	\$0	\$22,431	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$308,574

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$522	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rocklin Public Financing Authority	2017-1383	\$5,000,077.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-25-2012	\$21,000,000	Roseville Finance Authority Placer CDIAC Number: 2012-0803 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 1 & 2 Refunding	S:A	Neg	(BC) (FA) (TR) (UW)	Jones Hall The PFM Group The Bank of NY Mellon Stone Youngberg/Stifel	09-01-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.329

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,965,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$3,900
Trustee	\$5,000
<b>Total:</b>	<b>\$8,900</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Roseville Northwest CFD No 1	\$15,730,000.00	10/29/2018	2012-1501	\$0.00	\$6,270,000.00		
LTOB	Roseville Northeast CFD No 2	\$6,460,000.00	11/27/2018	2012-1503	\$0.00	\$3,045,000.00		
<b>Total</b>		<b>\$22,190,000.00</b>				<b>\$9,315,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-25-2012	\$15,730,000	Roseville Northwest CFD No 1 Placer CDIAC Number: 2012-1501 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg PP	(BC) Jones Hall (DC) Jones Hall (FA) The PFM Group (PU) Roseville FA (TR) The Bank of NY Mellon	09-01-20 Serial	<u>TIC</u> 2.450 <u>NIC</u> 2.450 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$6,270,000	\$1,573,000	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.200	\$2,302,116

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,184	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Roseville Finance Authority	2012-0803	\$21,000,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-25-2012	\$6,460,000	Roseville Northeast CFD No 2 Placer CDIAC Number: 2012-1503 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) The PFM Group (PU) Roseville FA (TR) The Bank of NY Mellon	09-01-21 Serial	<u>TIC</u> 2.450 <u>NIC</u> 2.450 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,045,000	\$646,000	\$0	\$0	\$659,858

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.030	\$850,660

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$272	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Roseville Finance Authority	2012-0803	\$21,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2016	\$18,555,000	Roseville Finance Authority Placer CDIAC Number: 2016-1323 Revenue bond Multiple Capital Improvements, Public Works CFD No 1 Refunding	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Jones Hall First Southwest Assured Guaranty Corp The Bank of NY Mellon RBC Capital Mkts LLC	09-01-27 Serial	<u>TIC</u> 1.796 <u>NIC</u> 1.944 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,050,000	\$2,095,776	\$0	\$0	\$2,095,776	\$2,095,776

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$3,900
Trustee	\$9,500
<b>Total:</b>	<b>\$13,400</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Roseville CFD No 1	\$6,245,000.00	10/29/2018	2006-0312	\$0.00	\$3,649,000.00		
LTOB	Roseville CFD No 1	\$33,120,000.00	10/29/2018	2006-0313	\$0.00	\$17,451,000.00		
<b>Total</b>		<b>\$39,365,000.00</b>				<b>\$21,100,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-23-2006	\$6,245,000	Roseville CFD No 1 Placer CDIAC Number: 2006-0312 Limited tax obligation bond Multiple Capital Improvements, Public Works Woodcreek East Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Jones Hall The PFM Group Roseville FA The Bank of NY Trust Co	09-01-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$3,649,000	\$0	\$0	\$0	\$0	0	0	Y	\$537,368	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Roseville Finance Authority	2016-1323	\$18,555,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale Private Placement</u>	<u>Role Participant</u>	<u>Maturity Date, Type Capital Appreciation Bond Interest</u>
03-23-2006	\$33,120,000	Roseville CFD No 1 Placer CDIAC Number: 2006-0313 Limited tax obligation bond Multiple Capital Improvements, Public Works Highland Reserve North Federally Taxable Refunding	NR	Neg	(BC) Jones Hall (FA) The PFM Group (PU) Roseville FA (TR) The Bank of NY Trust Co	09-01-27 Serial <u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

A. FUND BALANCES					B. DELINQUENCY				C. TEETER PLAN		
<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$17,451,000	\$0	\$0	\$0	\$0	0	0.030	Y	\$2,805,353	\$974	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Roseville Finance Authority	2016-1323	\$18,555,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
07-13-2017	\$10,420,000	Roseville Finance Authority Placer CDIAC Number: 2017-1281 Revenue bond Multiple Capital Improvements, Public Works Series B	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) First Southwest (TR) The Bank of NY Mellon (UW) Piper Jaffray & Co	09-01-36 Serial	<u>TIC</u> 3.370 <u>NIC</u> 3.434 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,420,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$370,368

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$4,000
Disclosure	\$7,200
Trustee	\$7,500
<b>Total:</b>	<b>\$18,700</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Roseville CFD No 1	\$5,660,000.00	10/29/2018	2017-1947	\$0.00	\$5,660,000.00		
LTOB	Roseville CFD No 5	\$4,760,000.00	10/29/2018	2017-1948	\$0.00	\$4,760,000.00		
<b>Total</b>		<b>\$10,420,000.00</b>				<b>\$10,420,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-13-2017	\$5,660,000	Roseville CFD No 1 Placer CDIAC Number: 2017-1947 Limited tax obligation bond Multiple Capital Improvements, Public Works Stone Point Series B Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Jones Hall First Southwest Roseville FA The Bank of NY Mellon	09-01-28 Serial	<u>TIC</u> 2.981 <u>NIC</u> 3.019 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,660,000	\$543,245	\$0	\$0	\$548,972

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	Y	\$716,951

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Roseville Finance Authority	2017-1281	\$10,420,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-13-2017	\$4,760,000	Roseville CFD No 5 Placer CDIAC Number: 2017-1948 Limited tax obligation bond Multiple Capital Improvements, Public Works Stone Point Series B Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Jones Hall First Southwest Roseville FA The Bank of NY Mellon	09-01-36 Serial	<u>TIC</u> 3.658 <u>NIC</u> 3.716 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,760,000	\$344,225	\$0	\$0	\$347,783

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	Y	\$401,407

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Roseville Finance Authority	2017-1281	\$10,420,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
05-03-2007	\$44,075,000	Roseville Finance Authority Placer CDIAC Number: 2007-0395 Revenue bond Multiple Capital Improvements, Public Works Sr Series A, CFD No 1	S:AAA     Ins	Comp	(BC) Jones Hall (DC) Jones Hall (FA) The PFM Group (EN) Ambac (TR) The Bank of NY Trust Co (UW) Piper Jaffray & Co	09-01-33 Comb	<u>TIC</u> 4.479 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
RB	Roseville Finance Authority	\$10,350,000.00	10/29/2018	2007-0621		\$0.00	7/12/2017	Redeemed FY 17/18
LTOB	Roseville CFD No 1	\$2,025,000.00	10/29/2018	2007-0622	\$0.00	\$1,355,000.00		
LTOB	Roseville CFD No 1	\$13,530,000.00	10/29/2018	2007-0623	\$0.00	\$9,315,000.00		
LTOB	Roseville CFD No 1	\$17,285,000.00	10/29/2018	2007-0624	\$0.00	\$11,910,000.00		
LTOB	Roseville CFD No 1	\$20,435,000.00	10/29/2018	2007-0625	\$0.00	\$15,035,500.00		
<b>Total</b>		<b>\$63,625,000.00</b>				<b>\$37,615,500.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2007	\$10,350,000	Roseville Finance Authority Placer CDIAC Number: 2007-0621 Revenue bond Multiple Capital Improvements, Public Works Jr Series B, CFD No 1	NR	Comp	(BC) Jones Hall (DC) Jones Hall (FA) The PFM Group (TR) The Bank of NY Trust Co (UW) Piper Jaffray & Co	09-01-33 Comb	<u>TIC</u> 4.959 <u>NIC</u> <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2017	\$30,820,000	Roseville Finance Authority Placer CDIAC Number: 2017-1310 Revenue bond Multiple Capital Improvements, Public Works Series A CFD No 1 Refunding	S:AA/BBB+	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Hilltop Securities Inc (EN) Assured Guaranty Corp (TR) The Bank of NY Mellon (UW) RBC Capital Mkts LLC	09-01-33 Serial	<u>TIC</u> 2.917 <u>NIC</u> 3.177 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$30,820,000	\$2,988,256	\$0	\$0	\$2,988,256	\$2,988,256

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$785,884

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$4,000
Disclosure	\$8,750
Trustee	\$10,500
<b>Total:</b>	<b>\$23,250</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Roseville CFD No 1	\$2,025,000.00	10/29/2018	2007-0622	\$0.00	\$1,355,000.00		
LTOB	Roseville CFD No 1	\$13,530,000.00	10/29/2018	2007-0623	\$0.00	\$9,315,000.00		
LTOB	Roseville CFD No 1	\$17,285,000.00	10/29/2018	2007-0624	\$0.00	\$11,910,000.00		
LTOB	Roseville CFD No 1	\$20,435,000.00	10/29/2018	2007-0625	\$0.00	\$15,035,500.00		
<b>Total</b>		<b>\$53,275,000.00</b>				<b>\$37,615,500.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
05-03-2007	\$2,025,000	Roseville CFD No 1 Placer CDIAC Number: 2007-0622 Limited tax obligation bond Multiple Capital Improvements, Public Works Stoneridge Parcel 1 Federally Taxable Refunding	NR	Comp	(BC) (FA) (PU) (TR)	Jones Hall The PFM Group Roseville FA The Bank of NY Trust Co	09-01-30 Serial	TIC 4.547 NIC TYPE:

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$1,355,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

Authority Reserve Fund (%)	Rate(%)	Del Taxes Reported YES/NO	Taxes Due
0	0	Y	\$164,442

**C. TEETER PLAN**

Taxes Unpaid	Teeter Plan Participant
\$0	N

**D. AUTHORITY**

Issuing Authority	CDIAC Nbr	Principal Amt
Roseville Finance Authority	2007-0395	\$44,075,000.00

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$1,355,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

Authority Reserve Fund (%)	Rate(%)	Del Taxes Reported YES/NO	Taxes Due
0	0	Y	\$164,442

**C. TEETER PLAN**

Taxes Unpaid	Teeter Plan Participant
\$0	N

**D. AUTHORITY**

Issuing Authority	CDIAC Nbr	Principal Amt
Roseville Finance Authority	2017-1310	\$30,820,000.00

**MISCELLANEOUS**

Issue 2007-0395 will be removed from Issues 2007-0622, 2007-0623, 2007-0624 & 2007-0625 after the FY 17/18 MKR Fiscal Status Report (Calendar) has been published.

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
05-03-2007	\$13,530,000	Roseville CFD No 1 Placer CDIAC Number: 2007-0623 Limited tax obligation bond Multiple Capital Improvements, Public Works Stoneridge West Federally Taxable Refunding	NR	Comp	(BC) (FA) (PU) (TR)	Jones Hall The PFM Group Roseville FA The Bank of NY Trust Co	09-01-31 Serial	TIC 4.490 NIC TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$9,315,000	\$0	\$0	\$0	\$0	0	0.030	Y	\$1,017,300	\$3,015	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Roseville Finance Authority	2007-0395	\$44,075,000.00

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$9,315,000	\$0	\$0	\$0	\$0	0	0.030	Y	\$1,017,300	\$3,015	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Roseville Finance Authority	2017-1310	\$30,820,000.00

MISCELLANEOUS

Issue 2007-0395 will be removed from Issues 2007-0622, 2007-0623, 2007-0624 & 2007-0625 after the FY 17/18 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2007	\$17,285,000	Roseville CFD No 1 Placer CDIAC Number: 2007-0624 Limited tax obligation bond Multiple Capital Improvements, Public Works Stoneridge East Federally Taxable Refunding	NR	Comp	(BC) (FA) (PU) (TR)	Jones Hall The PFM Group Roseville FA The Bank of NY Trust Co	09-01-31 Serial	<u>TIC</u> 4.593 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<b>B. DELINQUENCY</b>			<b>C. TEETER PLAN</b>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$11,910,000	\$0	\$0	\$0	\$0	0	0.100	Y	\$1,329,956	\$1,200	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Roseville Finance Authority	2007-0395	\$44,075,000.00

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<b>B. DELINQUENCY</b>			<b>C. TEETER PLAN</b>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$11,910,000	\$0	\$0	\$0	\$0	0	0.100	Y	\$1,329,956	\$1,200	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Roseville Finance Authority	2017-1310	\$30,820,000.00

**MISCELLANOUS**

Issue 2007-0395 will be removed from Issues 2007-0622, 2007-0623, 2007-0624 & 2007-0625 after the FY 17/18 MKR Fiscal Status Report (Calendar) has been published.

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
05-03-2007	\$20,435,000	Roseville CFD No 1 Placer CDIAC Number: 2007-0625 Limited tax obligation bond Multiple Capital Improvements, Public Works Crocker Ranch Federally Taxable Refunding	NR	Comp	(BC) (FA) (PU) (TR)	Jones Hall The PFM Group Roseville FA The Bank of NY Trust Co	09-01-33 Serial	TIC 5.257 NIC TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$15,035,500	\$0	\$0	\$0	\$0	0	0.200	Y	\$16,068	\$2,771	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Roseville Finance Authority	2007-0395	\$44,075,000.00

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$15,035,500	\$0	\$0	\$0	\$0	0	0.200	Y	\$16,068	\$2,771	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Roseville Finance Authority	2017-1310	\$30,820,000.00

MISCELLANEOUS

Issue 2007-0395 will be removed from Issues 2007-0622, 2007-0623, 2007-0624 & 2007-0625 after the FY 17/18 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-06-2017	\$74,780,000	South Placer Wastewater Authority Placer CDIAC Number: 2017-2146 Revenue bond Wastewater Collection, Treatment Roseville, Placer County & South Placer Municipal Utility District Refunding	S:A+	Neg	(BC) Jones Hall (DC) Jones Hall (FA) First Southwest (TR) The Bank of NY Mellon (UW) Morgan Stanley	11-01-37 Serial	<u>TIC</u> 2.581 <u>NIC</u> 2.970 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$74,780,000	\$8,543,070	\$0	\$8,696,332	\$0	\$8,696,332

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$726,970

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Accounting	\$22,193
Administration	\$78,779
Legal, Law Firm	\$28,894
<b>Total:</b>	<b>\$129,866</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr</u>	<u>Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PERB	Roseville	\$46,109,348.00	10/29/2018	2017-2846	\$88,256.00	\$46,109,348.00		
PERB	South Placer Municipal Utility District	\$16,773,154.00	10/29/2018	2017-2847	\$32,105.00	\$16,773,154.00		
PERB	Placer County	\$11,897,498.00	10/29/2018	2017-2848	\$22,773.00	\$11,897,498.00		
<b>Total</b>		<b>\$74,780,000.00</b>				<b>\$74,780,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-06-2017	\$46,109,348	Roseville Placer CDIAC Number: 2017-2846 Public enterprise revenue bond Wastewater Collection, Treatment Dry Creek and Pleasant Grove Plants Refunding	NR	Neg	(BC) (DC) (PU)	Jones Hall Jones Hall So Placer Wastewater Auth	11-01-37 Serial	<u>TIC</u> 2.581 <u>NIC</u> 2.970 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$46,109,348	\$0	\$0	\$88,256	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
61.660	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Placer Wastewater Authority	2017-2146	\$74,780,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-06-2017	\$16,773,154	South Placer Municipal Utility District Placer CDIAC Number: 2017-2847 Public enterprise revenue bond Wastewater Collection, Treatment Sewer Collection Service Refunding	NR	Neg	(BC) (DC) (PU)	Jones Hall Jones Hall So Placer Wastewater Auth	11-01-37 Serial	<u>TIC</u> 2.581 <u>NIC</u> 2.970 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,773,154	\$0	\$0	\$32,105	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
22.430	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Placer Wastewater Authority	2017-2146	\$74,780,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-06-2017	\$11,897,498	Placer County Placer CDIAC Number: 2017-2848 Public enterprise revenue bond Wastewater Collection, Treatment SMD Nos 2 & 3 and CSA Nos 2A & 173 Refunding	NR	Neg	(BC) (DC) (PU)	Jones Hall Jones Hall So Placer Wastewater Auth	11-01-37 Serial	<u>TIC</u> 2.581 <u>NIC</u> 2.970 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,897,498	\$0	\$0	\$22,773	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.910	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South Placer Wastewater Authority	2017-2146	\$74,780,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2005	\$8,685,000	Beaumont Financing Authority Riverside CDIAC Number: 2004-1592 Revenue bond Water Supply, Storage, Distribution CFD No 93-1	NR	Neg	(BC) McFarlin & Anderson (DC) Fulbright & Jaworski (FA) Rod Gunn (TR) Union Bank of CA (UW) Southwest Securities	09-01-35 Comb	<u>TIC</u> <u>NIC</u> 5.427 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$8,685,000.00</b>				<b>\$0.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2005	\$8,685,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2004-1593 Limited tax obligation bond Water Supply, Storage, Distribution IA No 8A Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Beaumont FA Union Bank of CA	09-01-35 Comb	<u>TIC</u> <u>NIC</u> 5.427 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2004-1592	\$8,685,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2005	\$19,155,000	Beaumont Financing Authority Riverside CDIAC Number: 2005-1600 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1, IA No 6A1	NR	Neg	(BC) (DC) (FA) (TR) (UW)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Union Bank of CA Southwest Securities	09-01-35 Comb	<u>TIC</u> <u>NIC</u> 5.475 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$19,155,000.00	10/30/2018	2005-1601	\$0.00	\$0.00	8/25/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$19,155,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2005	\$19,155,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2005-1601 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 6A1 Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Beaumont FA Union Bank of CA	09-01-35 Comb	<u>TIC</u> <u>NIC</u> 5.475 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2005-1600	\$19,155,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2006	\$14,220,000	Beaumont Financing Authority Riverside CDIAC Number: 2006-0507 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1, IA No 19C	NR	Neg	(BC) (DC) (FA) (TR) (UW)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Union Bank of CA Southwest Securities	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 5.324 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$14,220,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2006	\$14,220,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2006-0508 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 19C Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Beaumont FA Union Bank of CA	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 5.324 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2006-0507	\$14,220,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2006	\$7,110,000	Beaumont Financing Authority Riverside CDIAC Number: 2006-1345 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1, IA No 8B Sundance	NR	Neg	(BC) (DC) (FA) (TR) (UW)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Union Bank of CA Southwest Securities	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.025 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$7,110,000.00</b>				<b>\$0.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2006	\$7,110,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2006-1346 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 8B Sundance Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Beaumont FA Union Bank of CA	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.025 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2006-1345	\$7,110,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-18-2007	\$21,175,000	Beaumont Financing Authority Riverside CDIAC Number: 2006-1586 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1, IA Nos 3, 11, 14 & 14B Refunding	S:AAA M:Aaa  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Ambac Union Bank of CA Southwest Securities	09-01-37 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.582

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,985,000	\$380,900	\$0	\$221,826	\$190,450	\$412,276

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$1,845,000.00	10/29/2018	2006-1588	\$30,000.00	\$1,140,000.00		
LTOB	Beaumont CFD No 93-1	\$12,835,000.00	10/29/2018	2006-1589	\$0.00	\$0.00	9/1/2017	Redeemed FY 17/18
LTOB	Beaumont CFD No 93-1	\$5,000,000.00	10/29/2018	2006-1590	\$0.00	\$0.00	9/1/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$24,320,000.00</b>				<b>\$3,985,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-18-2007	\$4,640,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2006-1587 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 3 Federally Taxable	S:AAA M:Aaa    Ins	Neg	(BC) (FA) (EN) (PU) (TR)	McFarlin & Anderson Rod Gunn Ambac Beaumont FA Union Bank of CA	09-01-32 Comb	<u>TIC</u> <u>NIC</u> 4.449 <u>TYPE:</u>	

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,845,000	\$0	\$0	\$30,000	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.080	\$375,248

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,039	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2006-1586	\$21,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-18-2007	\$1,845,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2006-1588 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 11 Federally Taxable	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (PU) (TR)	McFarlin & Anderson Rod Gunn Ambac Beaumont FA Union Bank of CA	09-01-32 Comb	<u>TIC</u> <u>NIC</u> 4.450 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,140,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$179,754

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2006-1586	\$21,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-18-2007	\$12,835,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2006-1589 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 14 Federally Taxable	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (PU) (TR)	McFarlin & Anderson Rod Gunn Ambac Beaumont FA Union Bank of CA	09-01-32 Comb	<u>TIC</u> <u>NIC</u> 4.451 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2006-1586	\$21,175,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-18-2007	\$5,000,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2006-1590 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 14B Federally Taxable	S:AAA M:Aaa  Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Ambac Beaumont FA Union Bank of CA	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.525 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2006-1586	\$21,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-15-2007	\$11,615,000	Beaumont Financing Authority Riverside CDIAC Number: 2007-0185 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1, IA No 8 Refunding	S:AAA M:Aaa    Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Ambac Union Bank of CA Southwest Securities	09-01-32 Comb	<u>TIC</u> <u>NIC</u> 4.360 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
		<b>Total</b>			\$12,765,000.00	\$0.00		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-15-2007	\$12,765,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2007-0186 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 8 (Sundance) Refunding	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank of CA	09-01-32 Comb	<u>TIC</u> 4.287 <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2007-0185	\$11,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-26-2007	\$22,490,000	Beaumont Financing Authority Riverside CDIAC Number: 2007-0434 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1, IA Nos 9, 10A, 12A & 14A Series C Refunding	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	McFarlin & Anderson Rod Gunn Ambac Union Bank of CA Southwest Securities	09-01-33 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.716

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,410,000	\$319,488	\$0	\$160,500	\$159,744	\$320,244

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>	<u>Balance</u>			
LTOB	Beaumont CFD No 93-1	\$20,958,000.00	10/29/2018	2007-0429	\$0.00	\$0.00	9/1/2017	Redeemed FY 17/18	
LTOB	Beaumont CFD No 93-1	\$1,644,000.00	10/29/2018	2007-0430	\$30,000.00	\$1,118,000.00			
LTOB	Beaumont CFD No 93-1	\$2,660,000.00	10/29/2018	2007-0431	\$30,000.00	\$1,784,000.00			
LTOB	Beaumont CFD No 93-1	\$757,000.00	10/29/2018	2007-0432	\$10,179.60	\$508,000.00			
RB	Beaumont Financing Authority	\$1,105,000.00		2007-0433			9/1/2012	Matured FY 12/13	
		<b>Total</b>				<b>\$3,410,000.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$20,958,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2007-0429 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 14 A Federally Taxable	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank of CA	09-01-33 Serial	<u>TIC</u> <u>NIC</u> 4.711 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2007-0434	\$22,490,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$1,644,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2007-0430 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 12 A Federally Taxable	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank of CA	09-01-33 Serial	<u>TIC</u> <u>NIC</u> 4.760 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,118,000	\$0	\$0	\$30,000	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$145,334

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2007-0434	\$22,490,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$2,660,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2007-0431 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 10 A Federally Taxable	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank of CA	09-01-33 Serial	<u>TIC</u> <u>NIC</u> 4.776 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,784,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$213,262

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2007-0434	\$22,490,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$757,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2007-0432 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 9 Federally Taxable	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank of CA	09-01-33 Serial	<u>TIC</u> <u>NIC</u> 4.713 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$508,000	\$0	\$0	\$10,180	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.450	\$64,522

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$935	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2007-0434	\$22,490,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-29-2007	\$6,520,000	Beaumont Financing Authority Riverside CDIAC Number: 2007-1323 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1 IA No 8C Series E	NR	Neg	(BC) (DC) (FA) (TR) (UW)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Union Bank of CA Southwest Securities	09-01-38 Comb	<u>TIC</u> <u>NIC</u> 6.211 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$6,520,000.00	10/30/2018	2007-1324	\$0.00	\$0.00	8/25/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$6,520,000.00</b>				<b>\$0.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-29-2007	\$6,520,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2007-1324 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 8C Series E Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Beaumont FA Union Bank of CA	09-01-38 Comb	<u>TIC</u> 6.185 <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2007-1323	\$6,520,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2008	\$4,090,000	Beaumont Financing Authority Riverside CDIAC Number: 2007-1724 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1, IA No 19C	NR	Neg	(BC) McFarlin & Anderson (DC) Fulbright & Jaworski (FA) Rod Gunn (TR) Union Bank of CA (UW) OConnor & Co Sec	09-01-36 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.832

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$4,090,000.00</b>				<b>\$0.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2008	\$4,090,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2007-1729 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 19C Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Beaumont FA Union Bank of CA	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 6.832 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2007-1724	\$4,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-10-2009	\$2,640,000	Beaumont Financing Authority Riverside CDIAC Number: 2009-0466 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1	NR	Neg	(BC) (DC) (FA) (TR) (UW)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Union Bank NA OConnor & Co Sec	09-01-39 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	9.027

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$1,915,000.00		2009-0468		12/15/2011	Redeemed FY 11/12	
		<b>Total</b>			<b>\$2,640,000.00</b>	<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-10-2009	\$725,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2009-0467 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 8D Pardee Estrella Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Beaumont FA Union Bank NA	09-01-39 Comb	<u>TIC</u> 8.673 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2009-0466	\$2,640,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-15-2011	\$12,145,000	Beaumont Financing Authority Riverside CDIAC Number: 2011-1540 Revenue bond Multiple Capital Improvements, Public Works Series A	NR	Neg	(BC) (FA) (TR) (UW)	McFarlin & Anderson Rod Gunn Union Bank NA OConnor & Co Sec	09-01-42 Comb	<u>TIC</u> <u>NIC</u> 6.295 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,070,000	\$1,214,500	\$0	\$1,218,901	\$0	\$1,218,901

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
					<u>Admin Fees</u>		<u>Repay Date</u>	
LTOB	Beaumont CFD No 93-1	\$2,235,000.00	10/30/2018	2011-1541	\$30,000.00	\$2,160,000.00		
LTOB	Beaumont CFD No 93-1	\$9,910,000.00	10/30/2018	2011-1542	\$30,000.00	\$9,910,000.00		
		<b>Total</b>				<b>\$12,070,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-15-2011	\$2,235,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2011-1541 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 17B Series A Refunding	NR	Neg	(DC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank NA	09-01-39 Term	<u>TIC</u> <u>NIC</u> 6.161 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,160,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$893,942

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,529	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2011-1540	\$12,145,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-15-2011	\$9,910,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2011-1542 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 17B Series B	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank NA	09-01-42 Term	<u>TIC</u> <u>NIC</u> 6.307 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,910,000	\$0	\$0	\$30,000	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.390	\$893,942

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,529	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2011-1540	\$12,145,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-14-2012	\$5,650,000	Beaumont Financing Authority Riverside CDIAC Number: 2012-0243 Revenue bond Multiple Capital Improvements, Public Works IA No 8C Series A	NR	Neg	(BC) (FA) (TR) (UW)	McFarlin & Anderson Rod Gunn Union Bank NA OConnor & Co Sec	09-01-42 Comb	<u>TIC</u> <u>NIC</u> 5.806 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,640,000	\$564,000	\$0	\$567,047	\$0	\$567,047

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$5,650,000.00	10/30/2018	2012-0244	\$30,000.00	\$5,640,000.00		
<b>Total</b>		<b>\$5,650,000.00</b>				<b>\$5,640,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-14-2012	\$5,650,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2012-0244 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 8C Series A	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank NA	09-01-42 Comb	<u>TIC</u> <u>NIC</u> 5.806 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,640,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$1,645,408

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2012-0243	\$5,650,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-10-2012	\$3,265,000	Beaumont Financing Authority Riverside CDIAC Number: 2012-0486 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1 IA No 20 Series B	NR	Neg	(BC) (FA) (TR) (UW)	McFarlin & Anderson Rod Gunn Union Bank NA OConnor & Co Sec	09-01-35 Comb	<u>TIC</u> <u>NIC</u> 5.887 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,100,000	\$326,500	\$0	\$327,683	\$0	\$327,683

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$3,265,000.00	10/30/2018	2012-0487	\$30,000.00	\$3,100,000.00		
<b>Total</b>		<b>\$3,265,000.00</b>				<b>\$3,100,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-10-2012	\$3,265,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2012-0487 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 20 Series B	NR	Neg	(BC) (FA) (TR) (UW)	McFarlin & Anderson Rod Gunn Union Bank NA OConnor & Co Sec	09-01-42 Comb	<u>TIC</u> <u>NIC</u> 5.887 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,100,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$289,335

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2012-0486	\$3,265,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
05-24-2012	\$3,655,000	Beaumont Financing Authority Riverside CDIAC Number: 2012-0584 Revenue bond Multiple Capital Improvements, Public Works Series C (IA 7B & 7C)	NR	Neg	(BC) McFarlin & Anderson (DC) Fulbright & Jaworski (FA) Rod Gunn (TR) Union Bank NA (UW) OConnor & Co Sec	09-01-39 Comb	<u>TIC</u> <u>NIC</u> 5.137 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,490,000	\$336,763	\$0	\$342,063	\$0	\$342,063

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
				<u>CDIAC Nbr</u>	<u>Admin Fees</u>			
LTOB	Beaumont CFD No 93-1	\$1,325,000.00	10/29/2018	2012-0815	\$30,000.00	\$1,235,000.00		
LTOB	Beaumont CFD No 93-1	\$590,000.00	10/29/2018	2012-0816	\$30,000.00	\$590,000.00		
LTOB	Beaumont CFD No 93-1	\$605,000.00	10/29/2018	2012-0817	\$30,000.00	\$565,000.00		
LTOB	Beaumont CFD No 93-1	\$1,135,000.00	10/29/2018	2012-0818	\$30,000.00	\$1,080,000.00		
<b>Total</b>		<b>\$3,655,000.00</b>				<b>\$3,470,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2012	\$1,325,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2012-0815 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 7B Series C Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank NA	09-01-39 Comb	<u>TIC</u> <u>NIC</u> 5.080 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,235,000	\$0	\$0	\$30,000	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$434,061

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,575	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2012-0584	\$3,655,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2012	\$590,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2012-0816 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 7B Series D Federally Taxable	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank NA	09-01-39 Comb	<u>TIC</u> <u>NIC</u> 5.236 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$590,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.59	\$434,061

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,575	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2012-0584	\$3,655,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
05-23-2012	\$605,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2012-0817 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 7C Series E Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank NA	09-01-39 Comb	TIC NIC 5.083 TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$565,000	\$0	\$0	\$30,000	\$0	0	1.050	Y	\$146,870	\$1,548	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Beaumont Financing Authority	2012-0584	\$3,655,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2012	\$1,135,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2012-0818 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 7C Series F Federally Taxable	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank NA	09-01-39 Comb	<u>TIC</u> <u>NIC</u> 5.164 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,080,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$146,870

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,548	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2012-0584	\$3,655,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-17-2013	\$8,810,000	Beaumont Financing Authority Riverside CDIAC Number: 2013-0070 Revenue bond Seismic Safety, Improvements, Repairs IA No 19C	NR	Neg	(BC) (FA) (TR) (UW)	McFarlin & Anderson Rod Gunn Union Bank NA OConnor & Co Sec	09-01-36 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.637 4.692

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,830,000	\$627,385	\$0	\$647,458	\$0	\$647,458

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$8,810,000.00	10/30/2018	2013-0069	\$30,000.00	\$6,830,000.00		
<b>Total</b>		<b>\$8,810,000.00</b>				<b>\$6,830,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-17-2013	\$8,810,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2013-0069 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 19C Series A Federally Taxable	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Rod Gunn Beaumont FA Union Bank NA	09-01-36 Comb	<u>TIC</u> 4.637 <u>NIC</u> 4.692 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,830,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.980	\$2,069,254

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$20,305	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2013-0070	\$8,810,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation</u>	<u>Bond</u>	<u>Interest</u>
04-02-2013	\$10,875,000	Beaumont Financing Authority Riverside CDIAC Number: 2013-0384 Revenue bond Multiple Capital Improvements, Public Works IA 17A Series B Refunding	NR	Neg	(BC) McFarlin & Anderson (DC) Fulbright & Jaworski (FA) Rod Gunn (TR) Union Bank NA (UW) OConnor & Co Sec	09-01-34 Comb	<u>TIC</u> 0 <u>NIC</u> 4.657 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,505,000	\$853,750	\$0	\$857,596	\$0	\$857,596

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$10,875,000.00	10/30/2018	2013-0385	\$30,000.00	\$9,505,000.00		
		<b>Total</b>				<b>\$9,505,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-02-2013	\$10,875,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2013-0385 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 17A Series B Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Fulbright & Jaworski Rod Gunn Beaumont FA Union Bank NA	09-01-34 Comb	<u>TIC</u> 0 <u>NIC</u> 4.657 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,505,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.340	\$906,867

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,113	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2013-0384	\$10,875,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2015	\$11,110,000	Beaumont Financing Authority Riverside CDIAC Number: 2015-0177 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1 IA No 7A1 Series A	NR	Neg	(BC) McFarlin & Anderson (DC) Norton Rose Fulbright (FA) Rod Gunn (TR) MUFG Union Bank (UW) OConnor & Co Sec	09-01-45 Comb	<u>TIC</u> 4.176 <u>NIC</u> 4.380 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,445,000	\$674,375	\$0	\$678,073	\$0	\$678,073

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$11,110,000.00	10/30/2018	2015-0178	\$30,000.00	\$10,445,000.00		
<b>Total</b>		<b>\$11,110,000.00</b>				<b>\$10,445,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2015	\$11,110,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2015-0178 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 7A1 Series A Refunding	NR	Neg	(BC) McFarlin & Anderson (DC) Norton Rose Fulbright (FA) Rod Gunn (PU) Beaumont FA (TR) MUFG Union Bank	09-01-45 Comb	<u>TIC</u> 4.176 <u>NIC</u> 4.380 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,445,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$745,927

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,904	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2015-0177	\$11,110,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-02-2015	\$20,095,000	Beaumont Financing Authority Riverside CDIAC Number: 2015-0525 Revenue bond Multiple Capital Improvements, Public Works CFD No 93-1 IA No 19A Series B	NR	Neg	(BC) McFarlin & Anderson (DC) Norton Rose Fulbright (FA) Rod Gunn (TR) MUFG Union Bank (UW) OConnor & Co Sec	09-01-35 Comb	<u>TIC</u> 3.779 <u>NIC</u> 3.961 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$18,365,000	\$1,500,913	\$0	\$1,508,032	\$0	\$1,508,032

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$20,095,000.00	10/30/2018	2015-0526	\$30,000.00	\$18,365,000.00		
<b>Total</b>		<b>\$20,095,000.00</b>				<b>\$18,365,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-02-2015	\$20,095,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2015-0526 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 19A Series A Refunding	NR	Neg	(BC) McFarlin & Anderson (DC) Norton Rose Fulbright (FA) Rod Gunn (PU) Beaumont FA (TR) Norton Rose Fulbright	09-01-35 Comb	<u>TIC</u> 3.779 <u>NIC</u> 3.961 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,365,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,766,075

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$20,531	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2015-0525	\$20,095,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-14-2015	\$5,335,000	Beaumont Financing Authority Riverside CDIAC Number: 2015-0713 Revenue bond Water Supply, Storage, Distribution CFD No 93-1 IA No 18 Series C	NR	Neg	(BC) McFarlin & Anderson (DC) Norton Rose Fulbright (FA) Rod Gunn (TR) MUFG Union Bank (UW) OConnor & Co Sec	09-01-34 Comb	<u>TIC</u> 3.781 <u>NIC</u> 3.798 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,735,000	\$384,731	\$0	\$386,125	\$0	\$386,125

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$5,335,000.00	10/30/2018	2015-0714	\$30,000.00	\$4,735,000.00		
<b>Total</b>		<b>\$5,335,000.00</b>				<b>\$4,735,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-14-2015	\$5,335,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2015-0714 Limited tax obligation bond Water Supply, Storage, Distribution IA No 18 Series A Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Norton Rose Fulbright Rod Gunn Beaumont FA MUFG Union Bank	09-01-34 Comb	<u>TIC</u> 3.781 <u>NIC</u> 3.798 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,735,000	\$0	\$0	\$30,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.010	\$459,689

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,664	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2015-0713	\$5,335,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-27-2015	\$7,820,000	Beaumont Financing Authority Riverside CDIAC Number: 2015-1683 Revenue bond Multiple Capital Improvements, Public Works IA No 16 Series D	NR	Neg	(BC) (DC) (FA) (UW)	McFarlin & Anderson Norton Rose Fulbright Rod Gunn OConnor & Co Sec	09-01-34 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.128 4.078

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,970,000	\$569,694	\$0	\$569,694	\$0	\$569,694

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Beaumont CFD No 93-1	\$7,820,000.00	10/30/2018	2015-0747	\$30,000.00	\$6,970,000.00		
<b>Total</b>		<b>\$7,820,000.00</b>				<b>\$6,970,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-27-2015	\$7,820,000	Beaumont CFD No 93-1 Riverside CDIAC Number: 2015-0747 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 16 Series A Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU)	McFarlin & Anderson Norton Rose Fulbright Rod Gunn Beaumont FA	09-01-34 Comb	<u>TIC</u> 4.128 <u>NIC</u> 4.078 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,970,000	\$0	\$0	\$30,000	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.250	\$688,185

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,627	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Beaumont Financing Authority	2015-1683	\$7,820,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2014	\$2,925,000	Calimesa Financing Authority Riverside CDIAC Number: 2014-0444 Tax allocation bond Redevelopment, Multiple Purposes Area Nos 1 & 5 Refunding	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Richards Watson Richards Watson Isom Advis Urban Futures Assured Guaranty Corp The Bank of NY Mellon Jefferies LLC	12-01-38 Comb	<u>TIC</u> 3.633 <u>NIC</u> 3.651 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,565,000	\$201,388	\$0	\$203,609	\$0	\$203,609

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$2,150
Total:	\$2,150

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Successor Agency to the Calimesa Redevelopment Agency	\$1,849,770.00	10/30/2018	2016-0674	\$0.00	\$1,552,542.00		
MKRL	Successor Agency to the Calimesa Redevelopment Agency	\$1,075,230.00	10/30/2018	2016-0675	\$0.00	\$1,012,458.00		
<b>Total</b>		<b>\$2,925,000.00</b>				<b>\$2,565,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2014	\$1,849,770	Successor Agency to the Calimesa Redevelopment Agency Riverside CDIAC Number: 2016-0674 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Area No 5	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Richards Watson Richards Watson Isom Advis Urban Futures Calimesa FA The Bank of NY Mellon	12-01-38 Comb	<u>TIC</u> 3.633 <u>NIC</u> 3.651 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,552,542	\$127,357	\$0	\$0	\$128,760

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.860	\$1,025,271

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$19,080	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Calimesa Financing Authority	2014-0444	\$2,925,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2014	\$1,075,230	Successor Agency to the Calimesa Redevelopment Agency Riverside CDIAC Number: 2016-0675 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Area No 1	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Richards Watson Richards Watson Isom Advis Urban Futures Calimesa FA The Bank of NY Mellon	12-01-38 Comb	<u>TIC</u> 3.633 <u>NIC</u> 3.651 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,012,458	\$74,030	\$0	\$0	\$74,849

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.810	\$539,632

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,771	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Calimesa Financing Authority	2014-0444	\$2,925,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-30-2000	\$12,311,000	Cathedral City Public Financing Authority Riverside CDIAC Number: 2000-0009 Tax allocation bond Redevelopment, Multiple Purposes Theater & Parking Structure Merged Series A	S:AAA  F:AAA  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Sabo & Green Katz Hollis MBIA Corp BNY Mellon Capital Stone & Youngberg	08-01-33  Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.084

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,915,000	\$1,085,000	\$0	\$0	\$1,085,000	\$1,085,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$3,514
Total:	\$3,514

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Cathedral City Redevelopment Agency	\$12,311,000.00	10/22/2018	2016-0676	\$0.00	\$11,915,000.00		
<b>Total</b>		<b>\$12,311,000.00</b>				<b>\$11,915,000.00</b>		

#### MISCELLANEOUS

Issue 2000-0009 was partially refunded & Issue 2016-0676 was partially repaid in the amount of \$6,790,000.00 by issue 2014-1420 on 11-14-2014. FY 14/15. Only the CIBs were refunded. The CABs are still outstanding.



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-30-2000	\$12,311,000	Cathedral City Redevelopment Agency Riverside CDIAC Number: 2016-0676 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Theater & Parking Structure Merged	NR	Neg	(BC) (FA) (LE) (TR)	Sabo & Green Katz Hollis Cathedral City PFA BNY Mellon Capital	08-01-33 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.084

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,915,000	\$1,085,000	\$0	\$0	\$1,085,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2000-0009	\$12,311,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-02-2004	\$8,630,000	Cathedral City Public Financing Authority Riverside CDIAC Number: 2004-1916 Tax allocation bond Redevelopment, Multiple Purposes Series B Federally Taxable	S:AAA/A  F:AAA  Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall XL Capital Assurance Wells Fargo Bank NA Stone & Youngberg	08-01-34 Comb	<u>TIC</u> <u>NIC</u> 5.849 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,310,000	\$598,166	\$0	\$0	\$598,166	\$598,166

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$976
Total:	\$976

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Cathedral City Redevelopment Agency	\$8,630,000.00	10/10/2018	2004-2011	\$0.00	\$6,310,000.00		
<b>Total</b>		<b>\$8,630,000.00</b>				<b>\$6,310,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-16-2004	\$8,630,000	Cathedral City Redevelopment Agency Riverside CDIAC Number: 2004-2011 Tax allocation bond Redevelopment, Multiple Purposes Area No 3 Series B Federally Taxable	NR	Neg	(BC) Jones Hall (TR) Wells Fargo Bank NA (UW) Cathedral City PFA	08-01-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.849

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,310,000	\$598,166	\$0	\$0	\$598,166

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2004-1916	\$8,630,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-09-2007	\$29,740,000	Cathedral City Public Financing Authority Riverside CDIAC Number: 2007-0220 Revenue bond Redevelopment, Multiple Purposes Merged Area Series A	S:AAA/A M:Aaa/A3  Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall Ambac Wells Fargo Bank NA Stone & Youngberg	08-01-35 Term	<u>TIC</u> <u>NIC</u> 4.529 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$29,740,000	\$1,700,216	\$0	\$0	\$17,002,016	\$17,002,016

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$765
Trustee	\$3,000
Total:	\$3,765

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Cathedral City Redevelopment Agency	\$29,740,000.00	10/22/2018	2007-0251	\$0.00	\$29,740,000.00		
<b>Total</b>		<b>\$29,740,000.00</b>				<b>\$29,740,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-09-2007	\$29,740,000	Cathedral City Redevelopment Agency Riverside CDIAC Number: 2007-0251 Tax allocation bond Redevelopment, Multiple Purposes Merged Area Series A Federally Taxable	NR	Neg	(BC) (TR) (UW)	Jones Hall Wells Fargo Bank NA Cathedral City PFA	08-01-35 Term	<u>TIC</u> <u>NIC</u> 4.529 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$29,740,000	\$1,700,216	\$0	\$0	\$29,740,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2007-0220	\$29,740,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-09-2007	\$53,400,000	Cathedral City Public Financing Authority Riverside CDIAC Number: 2007-0221 Revenue bond Redevelopment, Multiple Purposes Merged Area Series B Federally Taxable	S:AAA/A M:Aaa/A3  Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall Ambac Wells Fargo Bank NA Stone & Youngberg	08-01-31 Comb	<u>TIC</u> <u>NIC</u> 5.424 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$37,975,000	\$3,052,843	\$0	\$0	\$3,052,843	\$3,052,843

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$726
Trustee	\$3,000
<b>Total:</b>	<b>\$3,726</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
TAB	Cathedral City Redevelopment Agency	\$53,400,000.00	10/22/2018	2007-0252	\$0.00	\$37,975,000.00		
<b>Total</b>		<b>\$53,400,000.00</b>				<b>\$37,975,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-09-2007	\$53,400,000	Cathedral City Redevelopment Agency Riverside CDIAC Number: 2007-0252 Tax allocation bond Redevelopment, Multiple Purposes Merged Area Series B Federally Taxable	NR	Neg	(BC) (TR) (UW)	Jones Hall Wells Fargo Bank NA Cathedral City PFA	08-01-31 Comb	<u>TIC</u> <u>NIC</u> 5.424 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$37,975,000	\$3,052,843	\$0	\$0	\$3,052,843

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2007-0221	\$53,400,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
03-09-2007	\$31,860,000	Cathedral City Public Financing Authority Riverside CDIAC Number: 2007-0222 Revenue bond Redevelopment, Multiple Purposes Merged Area Sub Series C	S:BBB-	Neg	(BC) Jones Hall (TR) Wells Fargo Bank NA (UW) Stone & Youngberg	08-01-35 Comb	<u>TIC</u> <u>NIC</u> 4.714 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$25,615,000	\$2,148,925	\$0	\$2,168,834	\$0	\$2,168,834

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$726
Trustee	\$3,000
Total:	\$3,726

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Cathedral City Redevelopment Agency	\$31,860,000.00	10/22/2018	2007-0253	\$0.00	\$25,615,000.00		
<b>Total</b>		<b>\$31,860,000.00</b>				<b>\$25,615,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-09-2007	\$31,860,000	Cathedral City Redevelopment Agency Riverside CDIAC Number: 2007-0253 Tax allocation bond Redevelopment, Multiple Purposes Merged Area Sub Series C Federally Taxable	NR	Neg	(BC) (TR) (UW)	Jones Hall Wells Fargo Bank NA Cathedral City PFA	08-01-35 Comb	<u>TIC</u> <u>NIC</u> 4.714 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$25,615,000	\$2,148,925	\$0	\$0	\$2,168,834

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2007-0222	\$31,860,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-02-2015	\$39,100,000	Cathedral City Public Financing Authority Riverside CDIAC Number: 2015-1808 Revenue bond Multiple Capital Improvements, Public Works Series A Refunding	NR	Neg PP	(BC) (PA) (PU) (TR)	Norton Rose Fulbright Stifel Nicolaus & Co Inc City National Bank The Bank of NY Mellon	09-02-35 Term	<u>TIC</u> 3.878 <u>NIC</u> 3.884 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$33,900,000	\$1,531,604	\$0	\$1,531,604	\$0	\$1,531,604

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$60,332
Audit	\$4,060
County Government	\$2,882
Consultant	\$48,383
Registrar	\$5,500
Total:	\$121,157

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Cathedral City	\$3,085,000.00	10/23/2018	2001-0450	\$9,525.39	\$1,635,000.00		
SAB	Cathedral City	\$6,671,934.00	10/23/2018	2004-0542	\$12,641.28	\$4,525,000.00		
SAB	Cathedral City	\$5,978,326.00	10/23/2018	2004-1409	\$19,227.61	\$4,245,000.00		
SAB	Cathedral City	\$4,795,000.00	10/23/2018	2005-0071	\$35,258.67	\$2,585,279.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u>	<u>Reporting</u>	<u>Current Year</u>	<u>Outstanding</u>	<u>Retired /</u>	<u>Retired</u>
		<u>Loan Amount</u>	<u>Date</u>	<u>Admin Fees</u>	<u>Balance</u>	<u>Repay Date</u>	<u>Type</u>
SAB	Cathedral City	\$33,847,337.00	10/23/2018	\$44,504.49	\$22,360,000.00		
<b>Total</b>		<b>\$54,377,597.00</b>			<b>\$35,350,279.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2001	\$3,085,000	Cathedral City Riverside CDIAC Number: 2001-0450 Special assessment bond Multiple Capital Improvements, Public Works AD No 2001-01	NR	Neg	(BC) (PU) (TR)	Jones Hall Cathedral City PFA BNY Mellon Capital	09-02-26 Comb	<u>TIC</u> <u>NIC</u> 6.470 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,635,000	\$0	\$0	\$9,525	\$9

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.630	0.400	Y	\$257,516

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,018	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2015-1808	\$39,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-15-2004	\$6,671,934	Cathedral City Riverside CDIAC Number: 2004-0542 Special assessment bond Wastewater Collection, Treatment 35th Ave AD No 2003-01	NR	Neg	(BC) (PU) (TR)	Jones Hall Cathedral City PFA Wells Fargo Bank NA	09-02-34 Comb	<u>TIC</u> 5.892 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,525,000	\$0	\$0	\$12,641	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
12.370	Y	0.570	\$444,037

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,518	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2015-1808	\$39,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2004	\$5,978,326	Cathedral City Riverside CDIAC Number: 2004-1409 Special assessment bond Wastewater Collection, Treatment Dream Homes AD No 2004-01	NR	Neg	(BC) (PU) (TR)	Jones Hall Cathedral City PFA Wells Fargo Bank NA	09-02-34 Comb	<u>TIC</u> 5.908 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,245,000	\$0	\$0	\$19,228	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
11.480	4.700	Y	\$422,716

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$19,879	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2015-1808	\$39,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-21-2004	\$4,795,000	Cathedral City Riverside CDIAC Number: 2005-0071 Special assessment bond Multiple Capital Improvements, Public Works Rio Vista ReAD No 96-1 Federally Taxable Refunding	NR	Neg	(BC) (PU) (TR)	Jones Hall Cathedral City PFA Wells Fargo Bank NA	09-02-26 Serial	<u>TIC</u> <u>NIC</u> 5.777 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,585,279	\$0	\$0	\$35,259	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
7.490	Y	0.550	\$414,701

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,297	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2015-1808	\$39,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2005	\$33,847,337	Cathedral City Riverside CDIAC Number: 2005-0956 Special assessment bond Wastewater Collection, Treatment Cove Sewer ID No 2004-02	NR	Neg	(BC) (PU) (TR)	Jones Hall Cathedral City PFA Wells Fargo Bank NA	09-02-35 Comb	<u>TIC</u> 5.068 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,360,000	\$0	\$0	\$44,504	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
64.030	Y	1.700	\$1,994,996

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$33,823	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cathedral City Public Financing Authority	2015-1808	\$39,100,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$34,700,000	Corona-Norco Unified School District Public Financing Authority Riverside CDIAC Number: 2012-1214 Revenue bond K-12 School Facility Sr Lien Series A Refunding	S:BBB+	Neg	(BC) (DC) (TR) (UW)	Stradling Yocca Stradling Yocca U.S. Bank NA Piper Jaffray & Co	09-01-35 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.900 4.174

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$29,225,000	\$2,636,150	\$0	\$2,667,342	\$0	\$2,667,342

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired Type</u>
							<u>Receipt / Repay Date</u>	
LTOB	Corona-Norco Unified School District CFD No 01-1	\$3,085,000.00	10/29/2018	2012-1220	\$0.00	\$2,515,000.00		
LTOB	Corona-Norco Unified School District CFD No 01-1	\$5,590,000.00	10/30/2018	2012-1221	\$0.00	\$4,555,000.00		
LTOB	Corona-Norco Unified School District CFD No 01-2	\$3,085,000.00	10/30/2018	2012-1222	\$0.00	\$2,560,000.00		
LTOB	Corona-Norco Unified School District CFD No 01-2	\$7,220,000.00	10/30/2018	2012-1223	\$0.00	\$6,175,000.00		
LTOB	Corona-Norco Unified School District CFD No 03-1	\$11,535,000.00	10/30/2018	2012-1224	\$0.00	\$9,575,000.00		
LTOB	Corona-Norco Unified School District CFD No 03-2	\$2,275,000.00	10/30/2018	2012-1225	\$0.00	\$1,945,000.00		
LTOB	Corona-Norco Unified School District CFD No 03-5	\$2,205,000.00	10/30/2018	2012-1226	\$0.00	\$1,870,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
LTOB	Corona-Norco Unified School District CFD No 00-1	\$2,185,000.00	10/29/2018	2012-1946	\$0.00	\$1,810,000.00		
LTOB	Corona-Norco Unified School District CFD No 01-2	\$3,480,000.00	10/30/2018	2012-1947	\$0.00	\$2,980,000.00		
LTOB	Corona-Norco Unified School District CFD No 03-3	\$2,325,000.00	10/30/2018	2012-2025	\$0.00	\$1,995,000.00		
LTOB	Corona-Norco Unified School District CFD No 03-3	\$2,560,000.00	10/30/2018	2012-2026	\$0.00	\$2,180,000.00		
LTOB	Corona-Norco Unified School District CFD No 03-4	\$2,070,000.00	10/30/2018	2012-2027	\$0.00	\$1,765,000.00		
<b>Total</b>		<b>\$47,615,000.00</b>				<b>\$39,925,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$12,915,000	Corona-Norco Unified School District Public Financing Authority Riverside CDIAC Number: 2012-1217 Revenue bond K-12 School Facility Jr Lien Series B Refunding	NR	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (TR) U.S. Bank NA (UW) Piper Jaffray & Co	09-01-35 Comb	<u>TIC</u> 4.409 <u>NIC</u> 4.461 <u>TYPE:</u>	

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,700,000	\$936,913	\$0	\$947,510	\$0	\$947,510

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$3,085,000	Corona-Norco Unified School District CFD No 01-1 Riverside CDIAC Number: 2012-1220 Limited tax obligation bond K-12 School Facility IA A Series A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-32 Comb	<u>TIC</u> 3.941 <u>NIC</u> 4.148 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,515,000	\$231,501	\$0	\$0	\$234,225

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.480	0	N	\$283,272

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$5,590,000	Corona-Norco Unified School District CFD No 01-1 Riverside CDIAC Number: 2012-1221 Limited tax obligation bond K-12 School Facility IA B Series B Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-32 Comb	<u>TIC</u> 3.949 <u>NIC</u> 4.152 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,555,000	\$419,477	\$0	\$0	\$424,417

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
11.740	Y	0.300	\$509,974

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,551	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$3,085,000	Corona-Norco Unified School District CFD No 01-2 Riverside CDIAC Number: 2012-1222 Limited tax obligation bond K-12 School Facility IA B Series A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 3.958 <u>NIC</u> 4.192 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,560,000	\$231,501	\$0	\$0	\$234,408

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
6.480	Y	1.690	\$282,893

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,795	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$7,220,000	Corona-Norco Unified School District CFD No 01-2 Riverside CDIAC Number: 2012-1223 Limited tax obligation bond K-12 School Facility Series A Imp Area C Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.026 <u>NIC</u> 4.301 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,175,000	\$541,794	\$0	\$0	\$547,938

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
15.160	Y	0.840

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$649,488	\$5,469	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$11,535,000	Corona-Norco Unified School District CFD No 03-1 Riverside CDIAC Number: 2012-1224 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.015 <u>NIC</u> 4.221 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,575,000	\$865,594	\$0	\$0	\$875,794

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
24.220	0.430	Y	\$1,159,557

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,017	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$2,275,000	Corona-Norco Unified School District CFD No 03-2 Riverside CDIAC Number: 2012-1225 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.084 <u>NIC</u> 4.309 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,945,000	\$170,718	\$0	\$0	\$172,648

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
4.780	Y	0.910

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$256,429	\$2,342	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$2,205,000	Corona-Norco Unified School District CFD No 03-5 Riverside CDIAC Number: 2012-1226 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.077 <u>NIC</u> 4.305 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,870,000	\$165,465	\$0	\$0	\$167,341

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.630	1.190	Y	\$205,336

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,447	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$2,185,000	Corona-Norco Unified School District CFD No 00-1 Riverside CDIAC Number: 2012-1946 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 3.999 <u>NIC</u> 4.213 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,810,000	\$163,964	\$0	\$0	\$165,890

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
4.590	Y	3.330	\$186,221

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,207	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$3,480,000	Corona-Norco Unified School District CFD No 01-2 Riverside CDIAC Number: 2012-1947 Limited tax obligation bond K-12 School Facility IA A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.065 <u>NIC</u> 4.307 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,980,000	\$261,142	\$0	\$0	\$264,218

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
7.310	Y	3.530

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$305,344	\$10,790	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$2,325,000	Corona-Norco Unified School District CFD No 03-3 Riverside CDIAC Number: 2012-2025 Limited tax obligation bond K-12 School Facility IA A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.084 <u>NIC</u> 4.313 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,995,000	\$174,470	\$0	\$0	\$176,519

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
4.880	Y	3.090	\$208,163

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,436	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$2,560,000	Corona-Norco Unified School District CFD No 03-3 Riverside CDIAC Number: 2012-2026 Limited tax obligation bond K-12 School Facility IA B Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.058 <u>NIC</u> 4.307 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,180,000	\$192,104	\$0	\$0	\$194,364

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
5.380	Y	2.890	\$219,408

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,342	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2013	\$2,070,000	Corona-Norco Unified School District CFD No 03-4 Riverside CDIAC Number: 2012-2027 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.076 <u>NIC</u> 4.304 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,765,000	\$155,334	\$0	\$0	\$157,091

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.350	0	N	\$218,743

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2012-1214	\$34,700,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-23-2014	\$5,315,000	Corona-Norco Unified School District Public Financing Authority Riverside CDIAC Number: 2014-1990 Revenue bond K-12 School Facility CFD No 04-2 Refunding	NR	Neg PP	(BC) Stradling Yocca (FA) Piper Jaffray & Co (PA) William Blair & Co (PU) City National Bank (TR) U.S. Bank NA	09-01-36 Term	<u>TIC</u> 4.151 <u>NIC</u> 4.150 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,725,000	\$185,754	\$0	\$187,880	\$0	\$187,880

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired Type</u>
							<u>Receipt / Repay Date</u>	
LTOB	Corona-Norco Unified School District CFD No 04-2	\$2,700,000.00	10/30/2018	2006-0124	\$0.00	\$2,130,000.00		
LTOB	Corona-Norco Unified School District CFD No 04-2	\$3,300,000.00	10/30/2018	2006-0125	\$0.00	\$2,595,000.00		
		<b>Total</b>				<b>\$4,725,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-28-2006	\$2,700,000	Corona-Norco Unified School District CFD No 04-2 Riverside CDIAC Number: 2006-0124 Limited tax obligation bond K-12 School Facility IA 1	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 5.434 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,130,000	\$83,589	\$0	\$0	\$85,454

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
45.620	Y	1.640	\$196,845

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,231	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2014-1990	\$5,315,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-28-2006	\$3,300,000	Corona-Norco Unified School District CFD No 04-2 Riverside CDIAC Number: 2006-0125 Limited tax obligation bond K-12 School Facility IA 2	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 5.434 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,595,000	\$102,165	\$0	\$0	\$102,165

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
54.380	0	N	\$237,878

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2014-1990	\$5,315,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	<u>Interest</u>
01-28-2016	\$18,605,000	Corona-Norco Unified School District Public Financing Authority Riverside CDIAC Number: 2016-0077 Revenue bond K-12 School Facility Series A Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Piper Jaffray & Co William Blair & Co Umpqua Bank U.S. Bank NA	09-01-34 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.640 3.640

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,675,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired Type</u>
							<u>Receipt / Repay Date</u>	
LTOB	Corona-Norco Unified School District CFD No 02-2	\$4,875,000.00	10/30/2018	2005-1158	\$0.00	\$3,575,904.00		
LTOB	Corona-Norco Unified School District CFD No 02-2	\$3,855,000.00	10/30/2018	2005-1159	\$0.00	\$2,828,803.00		
LTOB	Corona-Norco Unified School District CFD No 99-1	\$3,795,000.00	10/30/2018	2005-1163	\$0.00	\$2,411,795.00		
LTOB	Corona-Norco Unified School District CFD No 97-1	\$1,575,000.00	10/30/2018	2005-1165	\$0.00	\$933,601.00		
LTOB	Corona-Norco Unified School District CFD No 99-2	\$2,230,000.00	10/30/2018	2005-1207	\$0.00	\$1,472,916.00		
LTOB	Corona-Norco Unified School District CFD No 99-2	\$2,945,000.00	10/30/2018	2005-1208	\$0.00	\$1,992,229.00		
LTOB	Corona-Norco Unified School District CFD No 99-2	\$2,640,000.00	10/30/2018	2005-1209	\$0.00	\$1,736,611.00		

## 2018 MARKS ROOS FISCAL STATUS REPORT

### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u>	<u>Reporting</u>	<u>Current Year</u>	<u>Outstanding</u>	<u>Retired /</u>	<u>Retired</u>
		<u>Loan Amount</u>	<u>Date</u>	<u>Admin Fees</u>	<u>Balance</u>	<u>Receipt /</u>	<u>Type</u>
						<u>Repay Date</u>	
LTOB	Corona-Norco Unified School District CFD No 02-1	\$4,230,000.00	10/30/2018	\$0.00	\$3,483,996.00		
	<b>Total</b>	<b>\$26,145,000.00</b>			<b>\$18,435,855.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2005	\$4,875,000	Corona-Norco Unified School District CFD No 02-2 Riverside CDIAC Number: 2005-1158 Limited tax obligation bond K-12 School Facility IA B Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-34 Serial	<u>TIC</u> <u>NIC</u> 4.464 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,575,904	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.200	Y	\$328,624

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,221	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2016-0077	\$18,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2005	\$3,855,000	Corona-Norco Unified School District CFD No 02-2 Riverside CDIAC Number: 2005-1159 Limited tax obligation bond K-12 School Facility IA A Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-34 Serial	<u>TIC</u> <u>NIC</u> 4.465 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,828,803	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$264,112

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2016-0077	\$18,605,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2005	\$3,795,000	Corona-Norco Unified School District CFD No 99-1 Riverside CDIAC Number: 2005-1163 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-29 Serial	<u>TIC</u> <u>NIC</u> 4.341 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,411,795	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$238,163

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,460	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2016-0077	\$18,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2005	\$1,575,000	Corona-Norco Unified School District CFD No 97-1 Riverside CDIAC Number: 2005-1165 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-28 Serial	<u>TIC</u> <u>NIC</u> 4.312 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$933,601	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$99,573

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2016-0077	\$18,605,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2005	\$2,230,000	Corona-Norco Unified School District CFD No 99-2 Riverside CDIAC Number: 2005-1207 Limited tax obligation bond K-12 School Facility IA B Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-31 Serial	<u>TIC</u> <u>NIC</u> 4.401 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,472,916	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$124,872

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$854	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2016-0077	\$18,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2005	\$2,945,000	Corona-Norco Unified School District CFD No 99-2 Riverside CDIAC Number: 2005-1208 Limited tax obligation bond K-12 School Facility IA A Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-31 Serial	<u>TIC</u> <u>NIC</u> 4.401 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,992,229	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.090	Y	\$166,528

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,481	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2016-0077	\$18,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2005	\$2,640,000	Corona-Norco Unified School District CFD No 99-2 Riverside CDIAC Number: 2005-1209 Limited tax obligation bond K-12 School Facility IA C Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-31 Serial	<u>TIC</u> <u>NIC</u> 4.400 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,736,611	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$148,078

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2016-0077	\$18,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2005	\$4,230,000	Corona-Norco Unified School District CFD No 02-1 Riverside CDIAC Number: 2005-1210 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Corona-Norco USD PFA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> <u>NIC</u> 4.507 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,483,996	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.200	\$337,774

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$683	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Corona-Norco Unified School District Public Financing Authority	2016-0077	\$18,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-04-2017	\$102,500,000	Eastern Municipal Water District Financing Authority Riverside CDIAC Number: 2017-1002 Public enterprise revenue bond Water Supply, Storage, Distribution Series D	S:AA+  F:AA+	Neg	(BC) (FA) (TR) (UW)	Stradling Yocca The PFM Group U.S. Bank NA Merrill Lynch Pierce	07-01-47 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.101 4.491

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$102,500,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Eastern Municipal Water District	\$102,500,000.00	10/25/2018	2017-1017	\$0.00	\$102,500,000.00		
<b>Total</b>		<b>\$102,500,000.00</b>				<b>\$102,500,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-04-2017	\$102,500,000	Eastern Municipal Water District Riverside CDIAC Number: 2017-1017 Certificates of participation/leases Water Supply, Storage, Distribution Installment Purchase Agreement w/E Municipal WD FA	S:AA+  F:AA+	Neg	(BC) (FA) (TR)	Stradling Yocca The PFM Group U.S. Bank NA	07-01-47 Term	<u>TIC</u> 4.101 <u>NIC</u> 4.491 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$102,500,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Eastern Municipal Water District Financing Authority	2017-1002	\$102,500,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-20-2014	\$6,792,000	Elsinore Valley Municipal Water District Financing Authority Riverside CDIAC Number: 2014-0042 Revenue bond Water Supply, Storage, Distribution CFD Nos 2002-1, 2003-1 & 2003-2 Series A	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Stifel Nicolaus & Co Inc Western Alliance Bancorp Union Bank NA	09-01-34 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.200 4.200

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,922,000	\$250,696	\$0	\$252,632	\$0	\$252,632

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Elsinore Valley Municipal Water District CFD No 2002-1	\$1,818,000.00	10/19/2018	2014-0320	\$14,803.86	\$1,586,000.00		
LTOB	Elsinore Valley Municipal Water District CFD No 2003-1	\$3,634,000.00	10/22/2018	2014-0321	\$15,858.36	\$3,173,000.00		
LTOB	Elsinore Valley Municipal Water District CFD No 2003-2	\$1,340,000.00	10/22/2018	2014-0322	\$14,522.78	\$1,163,000.00		
<b>Total</b>		<b>\$6,792,000.00</b>				<b>\$5,922,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-20-2014	\$1,818,000	Elsinore Valley Municipal Water District CFD No 2002-1 Riverside CDIAC Number: 2014-0320 Limited tax obligation bond Water Supply, Storage, Distribution Oakmont II Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (PA) Stifel Nicolaus & Co Inc (PU) Elsinore Vly MWD FA (TR) Union Bank NA	09-01-34 Comb	<u>TIC</u> 4.200 <u>NIC</u> 4.200 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,586,000	\$67,140	\$0	\$14,804	\$67,621

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
26.780	0	N	\$141,281

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Elsinore Valley Municipal Water District Financing Authority	2014-0042	\$6,792,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-20-2014	\$3,634,000	Elsinore Valley Municipal Water District CFD No 2003-1 Riverside CDIAC Number: 2014-0321 Limited tax obligation bond Water Supply, Storage, Distribution Watermark Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Stifel Nicolaus & Co Inc Elsinore Vly MWD FA Union Bank NA	09-01-34 Comb	<u>TIC</u> 4.200 <u>NIC</u> 4.200 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,173,000	\$134,323	\$0	\$15,858	\$135,168

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
53.580	0.760	Y	\$268,389

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,037	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Elsinore Valley Municipal Water District Financing Authority	2014-0042	\$6,792,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-20-2014	\$1,340,000	Elsinore Valley Municipal Water District CFD No 2003-2 Riverside CDIAC Number: 2014-0322 Limited tax obligation bond Water Supply, Storage, Distribution Greer Ranch Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Stifel Nicolaus & Co Inc Elsinore Vly MWD FA Union Bank NA	09-01-34 Comb	<u>TIC</u> 4.200 <u>NIC</u> 4.200 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,163,000	\$49,233	\$0	\$14,523	\$49,843

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
19.640	0.270	Y	\$113,406

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$302	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Elsinore Valley Municipal Water District Financing Authority	2014-0042	\$6,792,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
01-21-2015	\$26,955,000	Hemet Unified School District Financing Authority Riverside CDIAC Number: 2014-2080 Revenue bond K-12 School Facility CFD Nos 2004-1, 2005-1, 2, 3 & 4, IA No 1	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-39 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.375 4.068

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$25,105,000	\$2,297,173	\$0	\$2,297,173	\$0	\$2,297,173

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Hemet Unified School District CFD No 2004-1	\$3,060,000.00	10/24/2018	2014-2085	\$0.00	\$2,870,000.00		
LTOB	Hemet Unified School District CFD No 2005-1	\$3,405,000.00	10/24/2018	2014-2087	\$0.00	\$3,095,000.00		
LTOB	Hemet Unified School District CFD No 2005-2	\$9,225,000.00	10/24/2018	2014-2089	\$0.00	\$8,320,000.00		
LTOB	Hemet Unified School District CFD No 2005-4	\$3,140,000.00	10/24/2018	2014-2090	\$0.00	\$2,995,000.00		
LTOB	Hemet Unified School District CFD No 2005-3	\$8,125,000.00	10/24/2018	2014-2092	\$0.00	\$7,825,000.00		
<b>Total</b>		<b>\$26,955,000.00</b>				<b>\$25,105,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-21-2015	\$3,060,000	Hemet Unified School District CFD No 2004-1 Riverside CDIAC Number: 2014-2085 Limited tax obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Hemet USD FA U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 3.679 <u>NIC</u> 3.985 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,870,000	\$255,762	\$0	\$0	\$261,940

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
11.410	Y	0.350	\$344,866

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,204	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hemet Unified School District Financing Authority	2014-2080	\$26,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-21-2015	\$3,405,000	Hemet Unified School District CFD No 2005-1 Riverside CDIAC Number: 2014-2087 Limited tax obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Hemet USD FA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 3.659 <u>NIC</u> 3.970 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	Authority Reserve Fund (%)	B. DELINQUENCY			C. TEETER PLAN	
							Rate(%)	YES/NO	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$3,095,000	\$275,813	\$0	\$0	\$282,677	12.420	0	N	\$352,379	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hemet Unified School District Financing Authority	2014-2080	\$26,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-21-2015	\$9,225,000	Hemet Unified School District CFD No 2005-2 Riverside CDIAC Number: 2014-2089 Limited tax obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Hemet USD FA U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 3.900 <u>NIC</u> 3.592 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>A. FUND BALANCES</u>				<u>B. DELINQUENCY</u>				<u>C. TEETER PLAN</u>		
	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$8,320,000	\$741,443	\$0	\$0	\$758,436	33.510	1.510	Y	\$917,229	\$13,816	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hemet Unified School District Financing Authority	2014-2080	\$26,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-21-2015	\$3,140,000	Hemet Unified School District CFD No 2005-4 Riverside CDIAC Number: 2014-2090 Limited tax obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Hemet USD FA U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 3.820 <u>NIC</u> 4.128 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,995,000	\$266,902	\$0	\$0	\$275,203

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
11.840	Y	1.060	\$311,081

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,294	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hemet Unified School District Financing Authority	2014-2080	\$26,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-21-2015	\$8,125,000	Hemet Unified School District CFD No 2005-3 Riverside CDIAC Number: 2014-2092 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Hemet USD FA U.S. Bank NA	09-01-39 Comb	<u>TIC</u> 3.932 <u>NIC</u> 4.242 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>A. FUND BALANCES</u>				<u>B. DELINQUENCY</u>				<u>C. TEETER PLAN</u>		
	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$7,825,000	\$697,330	\$0	\$0	\$718,917	30.810	0.830	Y	\$704,140	\$5,818	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hemet Unified School District Financing Authority	2014-2080	\$26,955,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
07-30-2014	\$10,162,000	Indio Finance Authority Riverside CDIAC Number: 2014-0923 Revenue bond Multiple Capital Improvements, Public Works AD Nos 2003-1, 2003-2, 2003-3, 2003-5, & 2003-6	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Fieldman Rolapp GE Government Fin MUFG Union Bank	09-02-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.550 3.550

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,554,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$39,665
Trustee	\$12,300
Total:	\$51,965

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Indio	\$1,752,000.00	10/25/2018	2014-0924	\$21,327.00	\$1,469,000.00		
SAB	Indio	\$1,402,000.00	10/25/2018	2014-0925	\$21,026.00	\$1,182,000.00		
SAB	Indio	\$4,038,000.00	10/25/2018	2014-0926	\$22,299.00	\$3,403,000.00		
SAB	Indio	\$1,343,000.00	10/25/2018	2014-0927	\$20,775.00	\$1,128,000.00		
SAB	Indio	\$1,627,000.00	10/25/2018	2014-0928	\$21,229.00	\$1,372,000.00		
<b>Total</b>		<b>\$10,162,000.00</b>				<b>\$8,554,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$1,752,000	Indio Riverside CDIAC Number: 2014-0924 Special assessment bond Multiple Capital Improvements, Public Works AD No 2003-1 Shadow Hills Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Richards Watson Fieldman Rolapp Indio PFA MUFG Union Bank	09-02-29 Term	<u>TIC</u> 3.550 <u>NIC</u> 3.550 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,469,000	\$77,152	\$0	\$21,327	\$77,851

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$177,477

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,758	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Finance Authority	2014-0923	\$10,162,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$1,402,000	Indio Riverside CDIAC Number: 2014-0925 Special assessment bond Multiple Capital Improvements, Public Works AD No 2003-2 AD The Encantos at Villa Montego & Monticello III Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Richards Watson Fieldman Rolapp Indio PFA MUFG Union Bank	09-02-29 Term	<u>TIC</u> 3.550 <u>NIC</u> 3.550 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,182,000	\$61,739	\$0	\$21,026	\$62,299

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$143,988

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Finance Authority	2014-0923	\$10,162,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$4,038,000	Indio Riverside CDIAC Number: 2014-0926 Special assessment bond Multiple Capital Improvements, Public Works AD No 2003-3 Indian Palms Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Richards Watson Fieldman Rolapp Indio PFA MUFG Union Bank	09-02-29 Term	<u>TIC</u> 3.550 <u>NIC</u> 3.550 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,403,000	\$177,819	\$0	\$22,299	\$179,432

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$378,101

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,763	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Finance Authority	2014-0923	\$10,162,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$1,343,000	Indio Riverside CDIAC Number: 2014-0927 Special assessment bond Multiple Capital Improvements, Public Works AD No 2003-5 Sunburst Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Richards Watson Fieldman Rolapp Indio PFA MUFG Union Bank	09-02-29 Term	<u>TIC</u> 3.550 <u>NIC</u> 3.550 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,128,000	\$59,141	\$0	\$20,775	\$59,677

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$135,821

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Finance Authority	2014-0923	\$10,162,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$1,627,000	Indio Riverside CDIAC Number: 2014-0928 Special assessment bond Multiple Capital Improvements, Public Works AD No 2003-6 Shadow Hills Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Richards Watson Fieldman Rolapp Indio FA MUFG Union Bank	09-02-29 Serial	<u>TIC</u> 3.550 <u>NIC</u> 3.550 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,372,000	\$71,647	\$0	\$21,229	\$72,297

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.780	\$164,934

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,293	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Finance Authority	2014-0923	\$10,162,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
07-19-2017	\$7,695,000	Indio Finance Authority Riverside CDIAC Number: 2017-1673 Revenue bond Multiple Capital Improvements, Public Works ADs Nos 2004-1, 2004-2 & 2004-3	NR	Neg	(BC) Richards Watson (DC) Richards Watson (FA) Fieldman Rolapp (TR) MUFG Union Bank (UW) Stifel Nicolaus & Co Inc	09-02-30 Serial	<u>TIC</u> 2.923 <u>NIC</u> 3.004 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,695,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$23,799
Trustee	\$8,600
<b>Total:</b>	<b>\$32,399</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Indio	\$1,465,000.00	10/25/2018	2017-1674	\$21,224.00	\$1,465,000.00		
SAB	Indio	\$2,320,000.00	10/25/2018	2017-1675	\$21,949.00	\$2,320,000.00		
SAB	Indio	\$3,910,000.00	10/25/2018	2017-1676	\$22,892.00	\$3,910,000.00		
<b>Total</b>		<b>\$7,695,000.00</b>				<b>\$7,695,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2017	\$1,465,000	Indio Riverside CDIAC Number: 2017-1674 Special assessment bond Multiple Capital Improvements, Public Works AD No 2004-1 Palazzo Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Richards Watson Richards Watson Fieldman Rolapp Indio FA MUFG Union Bank	09-02-30 Serial	<u>TIC</u> 2.924 <u>NIC</u> 3.006 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,465,000	\$143,634	\$0	\$21,224.00	\$145,026

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
19.040	Y	2.990	\$161,714

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,836	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Finance Authority	2017-1673	\$7,695,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2017	\$2,320,000	Indio Riverside CDIAC Number: 2017-1675 Special assessment bond Multiple Capital Improvements, Public Works AD No 2004-2 Shadow Hills Master Plan Phase 3 & Las Brisas North Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Richards Watson Richards Watson Fieldman Rolapp Indio FA MUFG Union Bank	09-02-30 Serial	<u>TIC</u> 2.922 <u>NIC</u> 3.003 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,320,000	\$227,461	\$0	\$21,949.00	\$229,665

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
30.150	Y	0.480	\$246,540

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,174	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Finance Authority	2017-1673	\$7,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2017	\$3,910,000	Indio Riverside CDIAC Number: 2017-1676 Special assessment bond Multiple Capital Improvements, Public Works AD No 2004-3 Desert Trace Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Richards Watson Richards Watson Fieldman Rolapp Indio FA MUFG Union Bank	09-02-30 Serial	<u>TIC</u> 2.924 <u>NIC</u> 3.004 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,910,000	\$383,350	\$0	\$22,892.00	\$387,065

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
50.810	Y	\$402,080

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$478	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Finance Authority	2017-1673	\$7,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-19-2012	\$14,855,000	Indio Public Financing Authority Riverside CDIAC Number: 2012-0706 Revenue bond Multiple Capital Improvements, Public Works AD Nos 99-1, 2001-1, 2002-1, 2002-2, 2002-3	S:BBB	Neg PP	(BC) (FA) (PA) (PU) (TR)	Richards Watson Fieldman Rolapp Southwest Securities Compass Mortgage Corp Union Bank NA	09-02-27 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.700 3.700

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,469,102	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$36,142
Trustee	\$13,900
Total:	\$50,042

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
SAB	Indio	\$3,295,000.00	10/25/2018	2012-0649	\$22,372.00	\$2,175,000.00		
SAB	Indio	\$1,520,000.00	10/25/2018	2012-0650	\$16,314.00	\$540,000.00		
SAB	Indio	\$3,410,000.00	10/25/2018	2012-0651	\$21,961.00	\$2,350,000.00		
SAB	Indio	\$4,119,800.00	10/25/2018	2012-0652	\$22,312.00	\$2,836,044.00		
SAB	Indio	\$2,162,900.00	10/25/2018	2012-0707	\$19,640.00	\$1,495,707.00		
<b>Total</b>		<b>\$14,507,700.00</b>				<b>\$9,396,751.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2012	\$3,295,000	Indio Riverside CDIAC Number: 2012-0649 Special assessment bond Multiple Capital Improvements, Public Works AD No 2001-1 Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Richards Watson Fieldman Rolapp Southwest Securities Indio PFA Union Bank NA	09-02-26 Term	<u>TIC</u> 4.691 <u>NIC</u> 4.690 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,175,000	\$305,652	\$0	\$22,372	\$305,653

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$301,285

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$689	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Public Financing Authority	2012-0706	\$14,855,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2012	\$1,520,000	Indio Riverside CDIAC Number: 2012-0650 Special assessment bond Multiple Capital Improvements, Public Works AD No 99-1 Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Richards Watson Fieldman Rolapp Southwest Securities Indio PFA Union Bank NA	09-02-20 Term	<u>TIC</u> 4.691 <u>NIC</u> 4.690 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$540,000	\$152,000	\$0	\$16,314	\$152,001

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$173,219

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$236	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Public Financing Authority	2012-0706	\$14,855,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2012	\$3,410,000	Indio Riverside CDIAC Number: 2012-0651 Special assessment bond Multiple Capital Improvements, Public Works AD No 2002-1 Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Richards Watson Fieldman Rolapp Southwest Securities Indio PFA Union Bank NA	09-02-27 Term	<u>TIC</u> 4.691 <u>NIC</u> 4.690 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,350,000	\$301,924	\$0	\$21,961	\$303,893

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$309,682

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,764	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Public Financing Authority	2012-0706	\$14,855,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2012	\$4,119,800	Indio Riverside CDIAC Number: 2012-0652 Special assessment bond Multiple Capital Improvements, Public Works AD No 2002-3 Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Richards Watson Fieldman Rolapp Southwest Securities Indio PFA Union Bank NA	09-02-27 Term	<u>TIC</u> 4.695 <u>NIC</u> 4.693 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,836,044	\$365,660	\$0	\$22,312	\$366,700

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.140	\$366,177

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$520	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Public Financing Authority	2012-0706	\$14,855,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2012	\$2,162,900	Indio Riverside CDIAC Number: 2012-0707 Special assessment bond Multiple Capital Improvements, Public Works AD No 2002-2 Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Richards Watson Fieldman Rolapp Southwest Securities Indio PFA Union Bank NA	09-02-27 Term	<u>TIC</u> 4.688 <u>NIC</u> 4.634 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,495,707	\$199,315	\$0	\$19,640	\$199,565

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$200,202

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,643	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Public Financing Authority	2012-0706	\$14,855,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-22-2014	\$24,390,000	Indio Public Financing Authority Riverside CDIAC Number: 2014-0761 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2005-1 IA Nos 1 & 2 & CFD No 2006-1	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Fieldman Rolapp City National Bank MUFG Union Bank	09-01-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.029 4.030

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,245,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$15,456
Trustee	\$9,000
Total:	\$24,456

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Indio CFD No 2005-1	\$7,554,000.00	10/25/2018	2014-0744	\$32,693.00	\$6,879,000.00		
LTOB	Indio CFD No 2006-1	\$8,499,000.00	10/25/2018	2014-0747	\$36,460.00	\$7,754,000.00		
LTOB	Indio CFD No 2005-1	\$8,337,000.00	10/25/2018	2014-0751	\$32,737.00	\$7,612,000.00		
<b>Total</b>		<b>\$24,390,000.00</b>				<b>\$22,245,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-22-2014	\$7,554,000	Indio CFD No 2005-1 Riverside CDIAC Number: 2014-0744 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 2 Talavera Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Richards Watson Fieldman Rolapp Indio PFA MUFG Union Bank	09-01-36 Term	<u>TIC</u> 4.029 <u>NIC</u> 4.030 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,879,000	\$263,763	\$10	\$32,693	\$265,482

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
30.970	Y	0.970	\$560,914

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,425	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Public Financing Authority	2014-0761	\$24,390,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-22-2014	\$8,499,000	Indio CFD No 2006-1 Riverside CDIAC Number: 2014-0747 Limited tax obligation bond Multiple Capital Improvements, Public Works Sonora Wells Refunding	NR	Neg	(BC) Richards Watson (FA) Fieldman Rolapp (PU) Indio PFA (TR) MUFG Union Bank	09-01-36 Term	<u>TIC</u> 4.029 <u>NIC</u> 4.030 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,754,000	\$296,760	\$11	\$36,460	\$298,694

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
34.850	Y	0.560	\$628,942

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,512	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Public Financing Authority	2014-0761	\$24,390,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-22-2014	\$8,337,000	Indio CFD No 2005-1 Riverside CDIAC Number: 2014-0751 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Talavera Refunding	NR	Neg	(BC) Richards Watson (FA) Fieldman Rolapp (PU) Indio PFA (TR) MUFG Union Bank	09-01-36 Term	<u>TIC</u> 4.029 <u>NIC</u> 4.030 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,612,000	\$291,103	\$11	\$32,737	\$293,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
34.180	Y	0.290	\$611,496

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,744	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Indio Public Financing Authority	2014-0761	\$24,390,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
07-29-2010	\$66,300,000	Jurupa Public Financing Authority Riverside CDIAC Number: 2010-1000 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 1, 3, 5, 6, 10, 14, 24 & 29 Series A	S:AAA/A-     Ins	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (EN) Assured Guaranty Corp (TR) U.S. Bank NA (UW) Stone & Youngberg	09-01-39 Comb	<u>TIC</u> <u>NIC</u> 4.980 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$48,670,000	\$4,013,112	\$0	\$4,514,715	\$0	\$4,514,715

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>	<u>Balance</u>			
LTOB	Jurupa Community Services District CFD No 1	\$22,215,000.00	10/30/2018	2010-1308	\$6,295.10	\$12,545,000.00			
LTOB	Jurupa Community Services District CFD No 3	\$7,380,000.00	10/30/2018	2010-1309	\$6,377.12	\$5,805,000.00			
LTOB	Jurupa Community Services District CFD No 5	\$2,505,000.00	10/30/2018	2010-1310	\$6,270.91	\$1,930,000.00			
LTOB	Jurupa Community Services District CFD No 6	\$2,845,000.00	10/30/2018	2010-1311	\$6,328.33	\$2,190,000.00			
LTOB	Jurupa Community Services District CFD No 10	\$5,345,000.00	10/30/2018	2010-1312	\$6,355.59	\$4,200,000.00			
LTOB	Jurupa Community Services District CFD No 14	\$10,485,000.00	10/30/2018	2010-1313	\$7,280.11	\$8,775,000.00			
LTOB	Jurupa Community Services District CFD No 24	\$7,755,000.00	10/30/2018	2010-1314	\$6,295.92	\$6,575,000.00			
LTOB	Jurupa Community Services District CFD No 29	\$7,770,000.00	10/30/2018	2010-1315	\$6,301.04	\$6,650,000.00			
<b>Total</b>		<b>\$66,300,000.00</b>				<b>\$48,670,000.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2010	\$22,215,000	Jurupa Community Services District CFD No 1 Riverside CDIAC Number: 2010-1308 Limited tax obligation bond Multiple Capital Improvements, Public Works Mira Loma Area Series A Refunding	S:AAA/A-   Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Assured Guaranty Corp Jurupa PFA U.S. Bank NA	09-01-24 Serial	<u>TIC</u> <u>NIC</u> 4.006 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,545,000	\$0	\$0	\$6,295	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
33.510	0	Y	\$2,097,320

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$85	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2010-1000	\$66,300,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2010	\$7,380,000	Jurupa Community Services District CFD No 3 Riverside CDIAC Number: 2010-1309 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	S:AAA/A-     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Assured Guaranty Corp Jurupa PFA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> <u>NIC</u> 4.899 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,805,000	\$0	\$0	\$6,377	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
11.130	Y	0.640	\$528,226

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,255	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2010-1000	\$66,300,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2010	\$2,505,000	Jurupa Community Services District CFD No 5 Riverside CDIAC Number: 2010-1310 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	S:AAA/A-     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Assured Guaranty Corp Jurupa PFA U.S. Bank NA	09-01-32 Serial	<u>TIC</u> <u>NIC</u> 4.846 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,930,000	\$0	\$0	\$6,271	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.780	N	\$192,652

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$680	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2010-1000	\$66,300,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2010	\$2,845,000	Jurupa Community Services District CFD No 6 Riverside CDIAC Number: 2010-1311 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	S:AAA/A-     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Assured Guaranty Corp Jurupa PFA U.S. Bank NA	09-01-32 Serial	<u>TIC</u> <u>NIC</u> 4.846 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,190,000	\$0	\$0	\$6,328	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
4.290	Y	0.300	\$211,597

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$812	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2010-1000	\$66,300,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2010	\$5,345,000	Jurupa Community Services District CFD No 10 Riverside CDIAC Number: 2010-1312 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	S:AAA/A-     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Assured Guaranty Corp Jurupa PFA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> <u>NIC</u> 4.901 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,200,000	\$0	\$0	\$6,356	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
8.060	Y	0.360	\$392,158

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,768	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2010-1000	\$66,300,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-19-2010	\$10,485,000	Jurupa Community Services District CFD No 14 Riverside CDIAC Number: 2010-1313 Limited tax obligation bond Multiple Capital Improvements, Public Works IA Nos 1 & 2 Eastvale Area Series A Refunding	S:AAA/A-     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Assured Guaranty Corp Jurupa PFA U.S. Bank NA	09-01-37 Serial	<u>TIC</u> <u>NIC</u> 5.054 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,775,000	\$0	\$0	\$7,280	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.810	0.840 Y	\$697,029

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,340	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2010-1000	\$66,300,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2010	\$7,755,000	Jurupa Community Services District CFD No 24 Riverside CDIAC Number: 2010-1314 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	S:AAA/A-  Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Assured Guaranty Corp Jurupa PFA U.S. Bank NA	09-01-40 Serial	<u>TIC</u> <u>NIC</u> 5.083 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,575,000	\$0	\$0	\$6,296	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
11.700	Y	0.170	\$512,301

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,294	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2010-1000	\$66,300,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2010	\$7,770,000	Jurupa Community Services District CFD No 29 Riverside CDIAC Number: 2010-1315 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	S:AAA/A-     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Assured Guaranty Corp Jurupa PFA U.S. Bank NA	09-01-40 Serial	<u>TIC</u> <u>NIC</u> 5.105 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,650,000	\$0	\$0	\$6,301	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
11.720	Y	\$506,760

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,842	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2010-1000	\$66,300,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-23-2013	\$33,140,000	Jurupa Public Financing Authority Riverside CDIAC Number: 2013-1549 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 4, 15, 34 & 38-2 Series A Refunding	S:AA-/BBB+	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (EN) Assured Guaranty Corp (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-42 Comb	<u>TIC</u> 4.996 <u>NIC</u> 5.046 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$30,085,000	\$2,366,713	\$0	\$2,473,299	\$0	\$2,473,299

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Jurupa Community Services District CFD No 34	\$7,150,000.00	10/30/2018	2013-1713	\$12,564.77	\$6,590,000.00		
LTOB	Jurupa Community Services District CFD No 4	\$12,650,000.00	10/30/2018	2013-1714	\$12,707.04	\$11,100,000.00		
LTOB	Jurupa Community Services District CFD No 38-2	\$4,935,000.00	10/30/2018	2013-1715	\$12,521.72	\$4,555,000.00		
LTOB	Jurupa Community Services District CFD No 15	\$8,405,000.00	10/30/2018	2013-1716	\$12,594.29	\$7,840,000.00		
<b>Total</b>		<b>\$33,140,000.00</b>				<b>\$30,085,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2013	\$7,150,000	Jurupa Community Services District CFD No 34 Riverside CDIAC Number: 2013-1713 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 5.076 <u>NIC</u> 5.103 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,590,000	\$0	\$0	\$12,565	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
19.010	Y	1.250	\$508,799

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,382	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2013-1549	\$33,140,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2013	\$12,650,000	Jurupa Community Services District CFD No 4 Riverside CDIAC Number: 2013-1714 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.783 <u>NIC</u> 4.870 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,100,000	\$0	\$0	\$12,707	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
42.200	0.280	Y	\$1,007,959

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,800	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2013-1549	\$33,140,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2013	\$4,935,000	Jurupa Community Services District CFD No 38-2 Riverside CDIAC Number: 2013-1715 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 5.078 <u>NIC</u> 5.105 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,555,000	\$0	\$0	\$12,522	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
14.680	Y	\$354,939

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,148	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2013-1549	\$33,140,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2013	\$8,405,000	Jurupa Community Services District CFD No 15 Riverside CDIAC Number: 2013-1716 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Best Best & Krieger Stradling Yocca Fieldman Rolapp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-42 Comb	<u>TIC</u> 5.141 <u>NIC</u> 5.153 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,840,000	\$0	\$0	\$12,594	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
24.110	0.150 Y	\$579,216

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$888	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2013-1549	\$33,140,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-30-2014	\$97,030,000	Jurupa Public Financing Authority Riverside CDIAC Number: 2014-1208 Revenue bond Multiple Capital Improvements, Public Works Series A Refunding	S:BBB+	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-42 Comb	<u>TIC</u> 3.897 <u>NIC</u> 4.176 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$89,165,000	\$6,982,830	\$0	\$7,297,856	\$0	\$7,297,856

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired</u>
							<u>Receipt / Repay Date</u>	<u>Type</u>
LTOB	Jurupa Community Services District CFD No 2	\$13,805,000.00	10/30/2018	2014-1209	\$13,634.87	\$12,770,000.00		
LTOB	Jurupa Community Services District CFD No 7	\$7,925,000.00	10/30/2018	2014-1210	\$12,638.16	\$7,195,000.00		
LTOB	Jurupa Community Services District CFD No 11	\$9,110,000.00	10/30/2018	2014-1211	\$12,734.55	\$8,280,000.00		
LTOB	Jurupa Community Services District CFD No 12	\$10,895,000.00	10/30/2018	2014-1212	\$12,684.90	\$9,900,000.00		
LTOB	Jurupa Community Services District CFD No 16	\$6,570,000.00	10/30/2018	2014-1213	\$12,591.83	\$5,920,000.00		
LTOB	Jurupa Community Services District CFD No 17	\$13,985,000.00	10/30/2018	2014-1214	\$12,652.51	\$12,830,000.00		
LTOB	Jurupa Community Services District CFD No 21	\$7,775,000.00	10/30/2018	2014-1215	\$12,563.13	\$7,135,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
LTOB	Jurupa Community Services District CFD No 25	\$11,945,000.00	10/30/2018	2014-1216	\$12,631.60	\$11,145,000.00		
LTOB	Jurupa Community Services District CFD No 30	\$6,015,000.00	10/30/2018	2014-1217	\$12,540.17	\$5,550,000.00		
LTOB	Jurupa Community Services District CFD No 32	\$2,980,000.00	10/30/2018	2014-1218	\$12,607.00	\$2,735,000.00		
LTOB	Jurupa Community Services District CFD No 38-1	\$6,025,000.00	10/30/2018	2014-1219	\$12,580.35	\$5,705,000.00		
<b>Total</b>		<b>\$97,030,000.00</b>				<b>\$89,165,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$13,805,000	Jurupa Community Services District CFD No 2 Riverside CDIAC Number: 2014-1209 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-32 Comb	<u>TIC</u> 3.685 <u>NIC</u> 3.968 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,770,000	\$0	\$0	\$13,635	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
17.940	0.550	Y	\$1,080,454

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,953	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$7,925,000	Jurupa Community Services District CFD No 7 Riverside CDIAC Number: 2014-1210 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (PU) Jurupa PFA (TR) U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 3.830 <u>NIC</u> 4.107 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,195,000	\$0	\$0	\$12,638	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
8.060	0.230 Y	\$632,035

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,470	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$9,110,000	Jurupa Community Services District CFD No 11 Riverside CDIAC Number: 2014-1211 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 3.833 <u>NIC</u> 4.110 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,280,000	\$0	\$0	\$12,735	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.270	0.770	Y	\$720,732

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,578	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$10,895,000	Jurupa Community Services District CFD No 12 Riverside CDIAC Number: 2014-1212 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 3.833 <u>NIC</u> 4.110 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,900,000	\$0	\$0	\$12,685	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
11.090	Y	0.080	\$860,381

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$687	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$6,570,000	Jurupa Community Services District CFD No 16 Riverside CDIAC Number: 2014-1213 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.750 <u>NIC</u> 4.028 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,920,000	\$0	\$0	\$12,592	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
6.880	Y	0.530	\$538,789

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,844	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$13,985,000	Jurupa Community Services District CFD No 17 Riverside CDIAC Number: 2014-1214 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 3.910 <u>NIC</u> 4.184 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,830,000	\$0	\$0	\$12,653	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
13.800	0.360	Y	\$1,070,600

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,808	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$7,775,000	Jurupa Community Services District CFD No 21 Riverside CDIAC Number: 2014-1215 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 3.910 <u>NIC</u> 4.185 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,135,000	\$0	\$0	\$12,563	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
7.690	Y	0.200	\$604,510

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,232	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$11,945,000	Jurupa Community Services District CFD No 25 Riverside CDIAC Number: 2014-1216 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-42 Comb	<u>TIC</u> 4.072 <u>NIC</u> 4.341 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,145,000	\$0	\$0	\$12,632	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
11.150	0.150 Y	\$863,828

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,306	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$6,015,000	Jurupa Community Services District CFD No 30 Riverside CDIAC Number: 2014-1217 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 3.972 <u>NIC</u> 4.245 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,550,000	\$0	\$0	\$12,540	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
5.830	Y	1.320	\$456,538

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,037	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$2,980,000	Jurupa Community Services District CFD No 32 Riverside CDIAC Number: 2014-1218 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 3.910 <u>NIC</u> 4.184 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,735,000	\$0	\$0	\$12,607	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
2.990	Y	1.120	\$237,402

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,662	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2014	\$6,025,000	Jurupa Community Services District CFD No 38-1 Riverside CDIAC Number: 2014-1219 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Eastvale Area Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-42 Comb	<u>TIC</u> 4.204 <u>NIC</u> 4.464 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,705,000	\$0	\$0	\$12,580	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
5.290	Y	0.730	\$415,277

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,045	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2014-1208	\$97,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-04-2015	\$19,090,000	Jurupa Public Financing Authority Riverside CDIAC Number: 2015-1014 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 1, 3, 5, 6, 10, 14, 24 & 29 Series B Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-40 Comb	<u>TIC</u> 4.211 <u>NIC</u> 4.321 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,040,000	\$1,450,802	\$0	\$1,533,104	\$0	\$1,533,104

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>
							<u>Repay Date</u>	<u>Type</u>
LTOB	Jurupa Community Services District CFD No 1	\$1,980,000.00	10/30/2018	2015-2515	\$6,295.10	\$1,430,000.00		
LTOB	Jurupa Community Services District CFD No 3	\$1,760,000.00	10/30/2018	2015-2516	\$6,377.12	\$1,540,000.00		
LTOB	Jurupa Community Services District CFD No 5	\$590,000.00	10/30/2018	2015-2517	\$6,270.91	\$515,000.00		
LTOB	Jurupa Community Services District CFD No 6	\$670,000.00	10/30/2018	2015-2518	\$6,328.33	\$575,000.00		
LTOB	Jurupa Community Services District CFD No 10	\$1,275,000.00	10/30/2018	2015-2519	\$6,355.59	\$1,120,000.00		
LTOB	Jurupa Community Services District CFD No 14	\$2,540,000.00	10/30/2018	2015-2520	\$7,280.11	\$2,300,000.00		
LTOB	Jurupa Community Services District CFD No 24	\$4,135,000.00	10/30/2018	2015-2521	\$6,295.92	\$3,875,000.00		



## 2018 MARKS ROOS FISCAL STATUS REPORT

### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u>	<u>Reporting</u>	<u>Current Year</u>	<u>Outstanding</u>	<u>Retired /</u>	<u>Retired</u>
		<u>Loan Amount</u>	<u>Date</u>	<u>Admin Fees</u>	<u>Balance</u>	<u>Repay Date</u>	<u>Type</u>
LTOB	Jurupa Community Services District CFD No 29	\$6,140,000.00	10/30/2018	\$6,301.04	\$5,685,000.00		
<b>Total</b>		<b>\$19,090,000.00</b>			<b>\$17,040,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-04-2015	\$1,980,000	Jurupa Community Services District CFD No 1 Riverside CDIAC Number: 2015-2515 Limited tax obligation bond Multiple Capital Improvements, Public Works Mira Loma Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-40 Comb	<u>TIC</u> <u>NIC</u> 4.321 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,430,000	\$0	\$0	\$6,295	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.370	0	Y	\$249,717

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$85	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1014	\$19,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-04-2015	\$1,760,000	Jurupa Community Services District CFD No 3 Riverside CDIAC Number: 2015-2516 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 4.211 <u>NIC</u> 4.321 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,540,000	\$0	\$0	\$6,377	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
9.220	Y	0.640	\$141,818

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,255	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1014	\$19,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-04-2015	\$590,000	Jurupa Community Services District CFD No 5 Riverside CDIAC Number: 2015-2517 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series B Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (PU) Jurupa PFA (TR) U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 4.211 <u>NIC</u> 4.321 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$515,000	\$0	\$0	\$6,271	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
3.090	Y	0.280	\$52,844

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$680	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1014	\$19,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-04-2015	\$670,000	Jurupa Community Services District CFD No 6 Riverside CDIAC Number: 2015-2518 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series B Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (PU) Jurupa PFA (TR) U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 4.211 <u>NIC</u> 4.321 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$575,000	\$0	\$0	\$6,328	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.510	0.300 Y	\$58,277

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$812	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1014	\$19,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-04-2015	\$1,275,000	Jurupa Community Services District CFD No 10 Riverside CDIAC Number: 2015-2519 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 4.211 <u>NIC</u> 4.321 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,120,000	\$0	\$0	\$6,356	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.680	0.360	Y	\$102,695

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,768	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1014	\$19,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-04-2015	\$2,540,000	Jurupa Community Services District CFD No 14 Riverside CDIAC Number: 2015-2520 Limited tax obligation bond Multiple Capital Improvements, Public Works IA Nos 1 & 2 Eastvale Area Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 4.211 <u>NIC</u> 4.321 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,300,000	\$0	\$0	\$7,280	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
13.310	Y	0.840	\$180,724

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,340	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1014	\$19,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-04-2015	\$4,135,000	Jurupa Community Services District CFD No 24 Riverside CDIAC Number: 2015-2521 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series B Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (PU) Jurupa PFA (TR) U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 4.211 <u>NIC</u> 4.321 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,875,000	\$0	\$0	\$6,296	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
21.660	0.170 Y	\$260,977

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,294	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1014	\$19,090,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-04-2015	\$6,140,000	Jurupa Community Services District CFD No 29 Riverside CDIAC Number: 2015-2522 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Area Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 4.211 <u>NIC</u> 4.321 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,685,000	\$0	\$0	\$6,301	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
32.160	Y	0.760	\$395,119

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,842	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1014	\$19,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
06-03-2015	\$45,685,000	Jurupa Public Financing Authority Riverside CDIAC Number: 2015-1015 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 18, 19, 22 & 39 Series A	S:BBB+	Neg	(BC) (FA) (TR) (UW)	Best Best & Krieger Fieldman Rolapp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-43 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.035 4.300

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$43,560,000	\$3,444,500	\$0	\$3,479,763	\$0	\$3,479,763

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Jurupa Community Services District CFD No 18	\$12,825,000.00	10/30/2018	2015-1017	\$13,277.96	\$12,140,000.00		
LTOB	Jurupa Community Services District CFD No 39	\$10,740,000.00	10/30/2018	2015-1021	\$12,598.80	\$10,400,000.00		
LTOB	Jurupa Community Services District CFD No 19	\$18,915,000.00	10/30/2018	2015-1022	\$12,740.66	\$17,910,000.00		
LTOB	Jurupa Community Services District CFD No 22	\$3,205,000.00	10/30/2018	2015-1023	\$12,523.36	\$3,110,000.00		
<b>Total</b>		<b>\$45,685,000.00</b>				<b>\$43,560,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2015	\$12,825,000	Jurupa Community Services District CFD No 18 Riverside CDIAC Number: 2015-1017 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 3.928 <u>NIC</u> 4.189 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,140,000	\$0	\$0	\$13,278	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
29.210	Y	0.770	\$1,015,423

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,779	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1015	\$45,685,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2015	\$10,740,000	Jurupa Community Services District CFD No 39 Riverside CDIAC Number: 2015-1021 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-42 Comb	<u>TIC</u> 4.225 <u>NIC</u> 4.469 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,400,000	\$0	\$0	\$12,599	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
21.460	Y	0.420	\$743,796

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,150	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1015	\$45,685,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2015	\$18,915,000	Jurupa Community Services District CFD No 19 Riverside CDIAC Number: 2015-1022 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Series A Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (PU) Jurupa PFA (TR) U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 3.929 <u>NIC</u> 4.190 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,910,000	\$0	\$0	\$12,741	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
42.970	Y	0.740	\$1,486,408

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,937	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1015	\$45,685,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2015	\$3,205,000	Jurupa Community Services District CFD No 22 Riverside CDIAC Number: 2015-1023 Limited tax obligation bond Multiple Capital Improvements, Public Works Eastvale Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Jurupa PFA U.S. Bank NA	09-01-43 Comb	<u>TIC</u> 4.258 <u>NIC</u> 4.499 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,110,000	\$0	\$0	\$12,523	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
6.370	Y	0.350	\$230,498

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$811	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jurupa Public Financing Authority	2015-1015	\$45,685,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-09-2011	\$28,850,000	La Quinta Financing Authority Riverside CDIAC Number: 2011-0713 Revenue bond Redevelopment, Multiple Purposes Area Nos 1 & 2 Series A Federally Taxable	S:A	Neg	(BC) (DC) (TR) (UW)	Rutan & Tucker LLP Stradling Yocca U.S. Bank NA Wedbush Securities Inc	09-01-36 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	7.962

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$26,010,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$300
Trustee	\$1,500
<b>Total:</b>	<b>\$1,800</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	La Quinta Redevelopment Agency	\$28,850,000.00	10/29/2018	2016-0998	\$0.00	\$26,010,000.00		
<b>Total</b>		<b>\$28,850,000.00</b>				<b>\$26,010,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-09-2011	\$28,850,000	La Quinta Redevelopment Agency Riverside CDIAC Number: 2016-0998 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Area Nos 1 & 2 Federally Taxable	NR	Neg	(BC) (DC) (LE) (TR)	Rutan & Tucker LLP Stradling Yocca La Quinta FA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 7.962 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$26,010,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
La Quinta Financing Authority	2011-0713	\$28,850,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-04-2017	\$7,975,000	Lake Elsinore Facilities Financing Authority Riverside CDIAC Number: 2017-2917 Revenue bond Multiple Capital Improvements, Public Works CFD No 2003-2 IA B	NR	Neg	(BC) Stradling Yocca (DC) Jones Hall (FA) Isom Advis Urban Futures (TR) Wilmington Trust (UW) Stifel Nicolaus & Co Inc	09-01-44 Comb	<u>TIC</u> 3.730 <u>NIC</u> 3.730 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,975,000	\$472,200	\$0	\$472,200	\$0	\$472,200

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$373,980

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore CFD No 2003-2	\$7,975,000.00	01/18/2019	2017-2918	\$0.00	\$7,975,000.00		
<b>Total</b>		<b>\$7,975,000.00</b>				<b>\$7,975,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-04-2017	\$7,975,000	Lake Elsinore CFD No 2003-2 Riverside CDIAC Number: 2017-2918 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B Canyon Hills	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Jones Hall Isom Advis Urban Futures Lake Elsinore FFA Wilmington Trust	09-01-44 Comb	<u>TIC</u> 3.730 <u>NIC</u> 3.730 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,975,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.790	\$2,070,345

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$16,333	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Facilities Financing Authority	2017-2917	\$7,975,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2010	\$15,435,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2009-1262 Tax allocation bond Redevelopment, Multiple Purposes Rancho Laguna Nos I, II & III Series A Refunding	S:AAA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Assured Guaranty Corp Union Bank NA OConnor & Co Sec	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 5.099 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,475,000	\$1,542,500	\$0	\$1,510,045	\$0	\$1,510,045

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Lake Elsinore Redevelopment Agency	\$4,800,000.00	10/23/2018	2016-1001	\$0.00	\$3,595,000.00		
MKRL	Lake Elsinore Redevelopment Agency	\$2,075,000.00	10/23/2018	2016-1002	\$0.00	\$1,560,000.00		
MKRL	Lake Elsinore Redevelopment Agency	\$3,055,000.00	10/23/2018	2016-1003	\$0.00	\$2,480,000.00		
MKRL	Lake Elsinore Redevelopment Agency	\$5,505,000.00	10/23/2018	2016-1004	\$0.00	\$4,840,000.00		
<b>Total</b>		<b>\$15,435,000.00</b>				<b>\$12,475,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2010	\$4,800,000	Lake Elsinore Redevelopment Agency Riverside CDIAC Number: 2016-1001 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rancho Laguna Housing	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 5.099 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,595,000	\$1,471,914	\$0	\$0	\$1,510,045

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.440	\$26,319,803

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$642,248	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2009-1262	\$15,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2010	\$2,075,000	Lake Elsinore Redevelopment Agency Riverside CDIAC Number: 2016-1002 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rancho Laguna No III	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 5.099 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,560,000	\$1,471,914	\$0	\$0	\$1,510,045

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
0	Y	5.060

**C. TEETER PLAN**

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,426,637	\$274,526	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2009-1262	\$15,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2010	\$3,055,000	Lake Elsinore Redevelopment Agency Riverside CDIAC Number: 2016-1003 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rancho Laguna No I	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 5.099 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,480,000	\$1,471,914	\$0	\$0	\$1,510,045

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.390	Y	\$7,455,919

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$178,557	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2009-1262	\$15,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2010	\$5,505,000	Lake Elsinore Redevelopment Agency Riverside CDIAC Number: 2016-1004 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rancho Laguna No II	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-33 Serial	<u>TIC</u> <u>NIC</u> 5.099 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,840,000	\$1,471,914	\$0	\$0	\$1,510,045

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.410	\$13,437,248

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$189,165	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2009-1262	\$15,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-23-2010	\$10,855,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2010-0115 Tax allocation bond Single-Family Housing Series B Refunding	S:AAA/A      Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Fulbright & Jaworski Rod Gunn Assured Guaranty Corp Union Bank NA OConnor & Co Sec	09-01-25 Serial	<u>TIC</u> <u>NIC</u> 4.359 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,185,000	\$939,538	\$0	\$949,531	\$0	\$949,531

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Lake Elsinore Redevelopment Agency	\$10,855,000.00	01/04/2019	2017-2820	\$0.00	\$6,185,000.00		
<b>Total</b>		<b>\$10,855,000.00</b>				<b>\$6,185,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-23-2010	\$10,855,000	Lake Elsinore Redevelopment Agency Riverside CDIAC Number: 2017-2820 Marks-Roos Authority Loan Single-Family Housing Rancho Laguna I, II & III Hsg Set-Aside	S:AAA/A     Ins	Neg	(BC) (FA) (EN) (LE) (TR)	Fulbright & Jaworski Rod Gunn Assured Guaranty Corp Lake Elsinore PFA Union Bank NA	09-01-25 Serial	<u>TIC</u> <u>NIC</u> 4.359 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,185,000	\$939,538	\$0	\$0	\$949,531

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.440	\$26,319,803

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$642,248	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2010-0115	\$10,855,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-27-2010	\$29,435,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2010-1331 Tax allocation bond Redevelopment, Multiple Purposes Rancho Laguna RDA Nos I & II Series C Refunding	S:AA+/AA-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Assured Guaranty Corp Union Bank NA OConnor & Co Sec	09-01-30 Serial	<u>TIC</u> <u>NIC</u> 4.396 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,565,000	\$2,222,395	\$0	\$2,246,035	\$0	\$2,246,035

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Lake Elsinore Redevelopment Agency	\$13,215,000.00	10/23/2018	2016-1005	\$0.00	\$9,685,000.00		
MKRL	Lake Elsinore Redevelopment Agency	\$16,220,000.00	10/23/2018	2016-1006	\$0.00	\$11,880,000.00		
<b>Total</b>		<b>\$29,435,000.00</b>				<b>\$21,565,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-27-2010	\$13,215,000	Lake Elsinore Redevelopment Agency Riverside CDIAC Number: 2016-1005 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rancho Laguna No II	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-30 Serial	<u>TIC</u> <u>NIC</u> 4.396 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,685,000	\$2,222,395	\$0	\$0	\$2,246,035

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.410	\$13,437,248

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$189,165	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2010-1331	\$29,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-27-2010	\$16,220,000	Lake Elsinore Redevelopment Agency Riverside CDIAC Number: 2016-1006 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rancho Laguna No I	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-30 Serial	<u>TIC</u> <u>NIC</u> 4.396 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,880,000	\$2,222,395	\$0	\$0	\$2,246,035

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.390	\$7,455,919

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$178,557	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2010-1331	\$29,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-17-2012	\$3,450,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2012-1051 Revenue bond Multiple Capital Improvements, Public Works Villages at Wasson Canyon Series A Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Fulbright & Jaworski Rod Gunn Union Bank NA OConnor & Co Sec	09-01-38 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.190

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,165,000	\$264,325	\$0	\$265,388	\$0	\$265,388

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore CFD No 2005-5	\$3,450,000.00	10/29/2018	2012-1052	\$0.00	\$3,165,000.00		
<b>Total</b>		<b>\$3,450,000.00</b>				<b>\$3,165,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-17-2012	\$3,450,000	Lake Elsinore CFD No 2005-5 Riverside CDIAC Number: 2012-1052 Limited tax obligation bond Multiple Capital Improvements, Public Works Villages at Wasson Canyon Series A Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-38 Serial	<u>TIC</u> 5.190 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,165,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$270,630

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2012-1051	\$3,450,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-06-2012	\$5,345,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2012-1710 Revenue bond Multiple Capital Improvements, Public Works Canyon Hills IA C Series C	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Union Bank NA OConnor & Co Sec	09-01-42 Comb	<u>TIC</u> 0 <u>NIC</u> 4.943 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,320,000	\$528,467	\$0	\$536,651	\$0	\$536,651

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore CFD No 2003-2	\$5,345,000.00	10/29/2018	2012-1711	\$0.00	\$5,320,000.00		
<b>Total</b>		<b>\$5,345,000.00</b>				<b>\$5,320,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-06-2012	\$5,345,000	Lake Elsinore CFD No 2003-2 Riverside CDIAC Number: 2012-1711 Limited tax obligation bond Multiple Capital Improvements, Public Works IA C Canyon Hills	NR	Neg	(BC) (FA) (PU) (TR)	Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-42 Comb	<u>TIC</u> 0 <u>NIC</u> 4.943 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,320,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.890	Y	\$760,944

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,778	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2012-1710	\$5,345,000.00



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
11-14-2012	\$15,345,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2012-1712 Revenue bond Multiple Capital Improvements, Public Works AD 93-1 Series B Federally Taxable	NR	Neg	(BC) Fulbright & Jaworski (DC) Fulbright & Jaworski (FA) Rod Gunn (TR) Union Bank NA (UW) OConnor & Co Sec	09-02-30 Comb	TIC 4.996 NIC 0 TYPE:	

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$12,770,000	\$1,429,700	\$0	\$1,435,452	\$0	\$1,435,452

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
N		\$0	\$0

D. INVESTMENT CONTRACT (Current Year Only)

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
SAB	Lake Elsinore	\$15,345,000.00	10/29/2018	2012-1713	\$0.00	\$12,770,000.00		
<b>Total</b>		<b>\$15,345,000.00</b>				<b>\$12,770,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-14-2012	\$15,345,000	Lake Elsinore Riverside CDIAC Number: 2012-1713 Special assessment bond Multiple Capital Improvements, Public Works AD 93-1 Series A Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-02-30 Comb	<u>TIC</u> 6.004 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,770,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.810	\$1,514,979

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$12,261	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2012-1712	\$15,345,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-23-2013	\$3,620,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2013-0890 Revenue bond Multiple Capital Improvements, Public Works Summerly IA A Series A	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Union Bank NA OConnor & Co Sec	09-01-43 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.999 4.959

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,560,000	\$321,324	\$0	\$326,810	\$0	\$326,810

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore CFD No 2006-1	\$3,620,000.00	10/29/2018	2013-0891	\$0.00	\$3,560,000.00		
<b>Total</b>		<b>\$3,620,000.00</b>				<b>\$3,560,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2013	\$3,620,000	Lake Elsinore CFD No 2006-1 Riverside CDIAC Number: 2013-0891 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Summerly Series A	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-43 Serial	<u>TIC</u> 4.999 <u>NIC</u> 4.959 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,560,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$231,892

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2013-0890	\$3,620,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$4,215,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2013-0892 Revenue bond Multiple Capital Improvements, Public Works West Lake Elsinore CFD No 88-3 Series B Refunding	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Union Bank NA OConnor & Co Sec	09-01-20 Serial	<u>TIC</u> 3.276 <u>NIC</u> 3.237 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt <u>Outstanding</u>	Minimum Bond <u>Reserve</u>	Capitalized <u>Interest</u>	<u>Bond</u> <u>Reserve Cash</u>	Bond Reserve <u>Surety Bond</u>	Total Bond <u>Reserve Fund</u>
17/18	\$1,555,000	\$421,500	\$0	\$423,196	\$0	\$423,196

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity</u> <u>Date</u>	<u>Commission</u> <u>Amount</u>	<u>Interest</u> <u>Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service</u> <u>Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
LTOB	Lake Elsinore CFD No 88-3	\$4,215,000.00	10/29/2018	2013-0893	\$0.00	\$1,555,000.00		
		<b>Total</b>				<b>\$1,555,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$4,215,000	Lake Elsinore CFD No 88-3 Riverside CDIAC Number: 2013-0893 Limited tax obligation bond Multiple Capital Improvements, Public Works West Lake Elsinore Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-20 Serial	<u>TIC</u> 3.276 <u>NIC</u> 3.237 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,555,000	\$0	\$0	\$20,000	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.480	\$2,504,754

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,986	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2013-0892	\$4,215,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-18-2013	\$13,615,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2013-0894 Revenue bond Multiple Capital Improvements, Public Works Summerhill CFD No 98-1 Series C Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Fulbright & Jaworski Rod Gunn Union Bank NA OConnor & Co Sec	09-01-33 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.939 4.980

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,655,000	\$1,082,856	\$0	\$1,087,213	\$0	\$1,087,213

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore CFD No 98-1	\$13,615,000.00	10/29/2018	2013-0895	\$0.00	\$11,655,000.00		
<b>Total</b>		<b>\$13,615,000.00</b>				<b>\$11,655,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-18-2013	\$13,615,000	Lake Elsinore CFD No 98-1 Riverside CDIAC Number: 2013-0895 Limited tax obligation bond Multiple Capital Improvements, Public Works Summerhill Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-33 Serial	<u>TIC</u> 4.939 <u>NIC</u> 4.980 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,655,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.400	\$1,150,563

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$16,134	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2013-0894	\$13,615,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-14-2014	\$7,505,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2013-2128 Revenue bond Multiple Capital Improvements, Public Works CFD 2003-2 Canyon Hills IA D Series A	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Union Bank NA OConnor & Co Sec	09-01-44 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.627 5.655

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,475,000	\$705,011	\$0	\$707,847	\$0	\$707,847

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore CFD No 2003-2	\$7,505,000.00	10/29/2018	2013-2129	\$0.00	\$7,475,000.00		
<b>Total</b>		<b>\$7,505,000.00</b>				<b>\$7,475,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-14-2014	\$7,505,000	Lake Elsinore CFD No 2003-2 Riverside CDIAC Number: 2013-2129 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D Canyon Hills	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Fulbright & Jaworski Fulbright & Jaworski Rod Gunn Lake Elsinore PFA Union Bank NA	09-01-44 Serial	<u>TIC</u> 5.627 <u>NIC</u> 5.655 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,475,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.480	Y	\$1,750,929

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,475	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2013-2128	\$7,505,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2014	\$18,210,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2014-0764 Revenue bond Multiple Capital Improvements, Public Works Canyon Hills IA A & C Series B Refunding	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Fulbright & Jaworski Stradling Yocca Isom Advis Urban Futures MUFG Union Bank Stifel Nicolaus & Co Inc	09-01-40 Comb	<u>TIC</u> 4.261 <u>NIC</u> 4.391 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,180,000	\$1,467,905	\$0	\$1,473,810	\$0	\$1,473,810

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore CFD No 2003-2	\$10,895,000.00	10/29/2018	2014-0765	\$0.00	\$10,010,000.00		
LTOB	Lake Elsinore CFD No 2003-2	\$7,315,000.00	10/29/2018	2014-0770	\$0.00	\$7,170,000.00		
<b>Total</b>		<b>\$18,210,000.00</b>				<b>\$17,180,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2014	\$10,895,000	Lake Elsinore CFD No 2003-2 Riverside CDIAC Number: 2014-0765 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Canyon Hills Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Fulbright & Jaworski Stradling Yocca Isom Advis Urban Futures Lake Elsinore PFA MUFG Union Bank	09-01-34 Serial	<u>TIC</u> 4.261 <u>NIC</u> 4.391 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,010,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.010	Y	\$873,205

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$17,532	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2014-0764	\$18,210,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2014	\$7,315,000	Lake Elsinore CFD No 2003-2 Riverside CDIAC Number: 2014-0770 Limited tax obligation bond Multiple Capital Improvements, Public Works IA C Canyon Hills Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Fulbright & Jaworski Stradling Yocca Isom Advis Urban Futures Lake Elsinore PFA MUFG Union Bank	09-01-40 Serial	<u>TIC</u> 4.261 <u>NIC</u> 4.391 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,170,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$760,944

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,778	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2014-0764	\$18,210,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-04-2015	\$3,200,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2014-1446 Revenue bond Multiple Capital Improvements, Public Works CFD No 2006-1 Summerly IA B Series A	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Norton Rose Fulbright Stradling Yocca Isom Advis Urban Futures MUFG Union Bank Stifel Nicolaus & Co Inc	09-01-44 Comb	<u>TIC</u> 3.843 <u>NIC</u> 3.745 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,135,000	\$230,148	\$0	\$231,074	\$0	\$231,074

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore CFD No 2006-1	\$3,200,000.00	10/29/2018	2014-1447	\$0.00	\$3,135,000.00		
<b>Total</b>		<b>\$3,200,000.00</b>				<b>\$3,135,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-04-2015	\$3,200,000	Lake Elsinore CFD No 2006-1 Riverside CDIAC Number: 2014-1447 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B Summerly	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Norton Rose Fulbright Stradling Yocca Isom Advis Urban Futures Lake Elsinore PFA MUFG Union Bank	09-01-44 Serial	<u>TIC</u> 3.843 <u>NIC</u> 3.745 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,135,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.510	\$171,788

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$872	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2014-1446	\$3,200,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
02-25-2015	\$108,845,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2015-0122 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 95-1,2003-2,2004-3,2005-1,2005-2,2005-6 & 2006-2	NR	Neg	(BC) Stradling Yocca (DC) Jones Hall (FA) Isom Advis Urban Futures (TR) MUFG Union Bank (UW) Stifel Nicolaus & Co Inc	09-01-40 Comb	<u>TIC</u> 4.076 <u>NIC</u> 4.322 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$103,715,000	\$9,267,239	\$0	\$9,339,702	\$0	\$9,339,702

#### Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Lake Elsinore CFD No 2004-3	\$21,005,000.00	10/29/2018	2015-0123	\$0.00	\$19,825,000.00		
LTOB	Lake Elsinore CFD No 2004-3	\$23,115,000.00	10/29/2018	2015-0124	\$0.00	\$22,260,000.00		
LTOB	Lake Elsinore CFD No 2005-1	\$8,165,000.00	10/29/2018	2015-0125	\$0.00	\$7,515,000.00		
LTOB	Lake Elsinore CFD No 2005-2	\$21,095,000.00	10/29/2018	2015-0126	\$0.00	\$20,065,000.00		
LTOB	Lake Elsinore CFD No 2005-6	\$2,815,000.00	10/29/2018	2015-0127	\$0.00	\$2,675,000.00		
LTOB	Lake Elsinore CFD No 2006-2	\$5,825,000.00	10/29/2018	2015-0128	\$0.00	\$5,560,000.00		
LTOB	Lake Elsinore CFD No 95-1	\$1,030,000.00	10/29/2018	2015-0130	\$0.00	\$850,000.00		
LTOB	Lake Elsinore CFD No 2003-2	\$25,795,000.00	10/29/2018	2015-0161	\$0.00	\$24,965,000.00		
<b>Total</b>		<b>\$108,845,000.00</b>				<b>\$103,715,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2015	\$21,005,000	Lake Elsinore CFD No 2004-3 Riverside CDIAC Number: 2015-0123 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Rosetta Canyon Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Jones Hall Isom Advis Urban Futures Lake Elsinore PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 3.955 <u>NIC</u> 4.222 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,825,000	\$0	\$0	\$55,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,545,006

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,773	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2015-0122	\$108,845,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2015	\$23,115,000	Lake Elsinore CFD No 2004-3 Riverside CDIAC Number: 2015-0124 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 2 Rosetta Canyon Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Jones Hall Isom Advis Urban Futures Lake Elsinore PFA MUFG Union Bank	09-01-39 Serial	<u>TIC</u> 4.078 <u>NIC</u> 4.340 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,260,000	\$0	\$0	\$55,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,714,502

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,664	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2015-0122	\$108,845,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2015	\$8,165,000	Lake Elsinore CFD No 2005-1 Riverside CDIAC Number: 2015-0125 Limited tax obligation bond Multiple Capital Improvements, Public Works Serenity Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Jones Hall Isom Advis Urban Futures Lake Elsinore PFA MUFG Union Bank	09-01-36 Serial	<u>TIC</u> 3.931 <u>NIC</u> 4.202 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,515,000	\$0	\$0	\$40,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.350	Y	\$678,693

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,161	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2015-0122	\$108,845,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2015	\$21,095,000	Lake Elsinore CFD No 2005-2 Riverside CDIAC Number: 2015-0126 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Alberhill Ranch Refunding	NR	Neg	(BC) Stradling Yocca (DC) Jones Hall (FA) Isom Advis Urban Futures (PU) Lake Elsinore PFA (TR) MUFG Union Bank	09-01-36 Serial	<u>TIC</u> 4.088 <u>NIC</u> 4.242 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$20,065,000	\$0	\$0	\$55,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,430,006

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,365	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2015-0122	\$108,845,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2015	\$2,815,000	Lake Elsinore CFD No 2005-6 Riverside CDIAC Number: 2015-0127 Limited tax obligation bond Multiple Capital Improvements, Public Works City Center Townhomes Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Jones Hall Isom Advis Urban Futures Lake Elsinore PFA MUFG Union Bank	09-01-36 Serial	<u>TIC</u> 4.021 <u>NIC</u> 4.285 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,675,000	\$0	\$0	\$35,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.540	Y	\$237,612

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,040	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2015-0122	\$108,845,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2015	\$5,825,000	Lake Elsinore CFD No 2006-2 Riverside CDIAC Number: 2015-0128 Limited tax obligation bond Multiple Capital Improvements, Public Works Viscaya Refunding	NR	Neg	(BC) Stradling Yocca (DC) Jones Hall (FA) Isom Advis Urban Futures (PU) Lake Elsinore PFA (TR) MUFG Union Bank	09-01-36 Serial	<u>TIC</u> 4.033 <u>NIC</u> 4.296 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,560,000	\$0	\$0	\$35,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.870	Y	\$455,960

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$13,069	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2015-0122	\$108,845,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2015	\$1,030,000	Lake Elsinore CFD No 95-1 Riverside CDIAC Number: 2015-0130 Limited tax obligation bond Multiple Capital Improvements, Public Works City Center Refunding	NR	Neg	(BC) Stradling Yocca (DC) Jones Hall (FA) Isom Advis Urban Futures (PU) Lake Elsinore PFA (TR) MUFG Union Bank	09-01-25 Serial	<u>TIC</u> 2.879 <u>NIC</u> 3.094 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$850,000	\$0	\$0	\$25,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$165,425

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2015-0122	\$108,845,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2015	\$25,795,000	Lake Elsinore CFD No 2003-2 Riverside CDIAC Number: 2015-0161 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B Canyon Hills Refunding	NR	Neg	(BC) Stradling Yocca (DC) Jones Hall (FA) Isom Advis Urban Futures (PU) Lake Elsinore PFA (TR) MUFG Union Bank	09-01-40 Serial	<u>TIC</u> 4.027 <u>NIC</u> 4.291 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$24,965,000	\$0	\$0	\$55,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$2,070,345

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$16,333	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2015-0122	\$108,845,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-12-2015	\$7,590,000	Lake Elsinore Public Finance Authority Riverside CDIAC Number: 2015-1212 Revenue bond Multiple Capital Improvements, Public Works CFD No 88-3 Series B	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca Isom Advis Urban Futures Assured Guaranty Corp MUFG Union Bank Stifel Nicolaus & Co Inc	09-01-20 Serial	<u>TIC</u> 1.935 <u>NIC</u> 2.050 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,910,000	\$759,000	\$0	\$759,000	\$0	\$759,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore CFD No 88-3	\$7,590,000.00	10/29/2018	2015-1213	\$0.00	\$4,910,000.00		
<b>Total</b>		<b>\$7,590,000.00</b>				<b>\$4,910,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-12-2015	\$7,590,000	Lake Elsinore CFD No 88-3 Riverside CDIAC Number: 2015-1213 Limited tax obligation bond Multiple Capital Improvements, Public Works West Lake Elsinore Series B Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Isom Advis Urban Futures Lake Elsinore PFA MUFG Union Bank	09-01-20 Serial	<u>TIC</u> 1.935 <u>NIC</u> 2.050 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,910,000	\$0	\$0	\$20,000	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.480	Y	\$2,504,754

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,986	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore Public Finance Authority	2015-1212	\$7,590,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-08-2007	\$39,995,000	Lake Elsinore School Financing Authority Riverside CDIAC Number: 2007-0016 Revenue bond K-12 School Facility CFD Nos 99-1, 2000-1, 2001-1, 2001-2, 2001-3, 2002-1 & 2003-1 IA A & B	S:AAA M:Aaa  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Jones Hall Dolinka Group LLC FSA ZB, NA Stone & Youngberg	10-01-37 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.562

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$31,275,000	\$1,999,750	\$0	\$1,003,065	\$999,875	\$2,002,940

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>				
LTOB	Lake Elsinore Unified School District CFD No 99-1	\$5,149,000.00	10/18/2018	2007-0113	\$0.00		\$4,210,000.00		
LTOB	Lake Elsinore Unified School District CFD No 2000-1	\$3,847,939.00	10/18/2018	2007-0114	\$0.00		\$2,979,939.00		
LTOB	Lake Elsinore Unified School District CFD No 2001-01	\$9,390,445.00	10/18/2018	2007-0115	\$0.00		\$7,233,444.88		
LTOB	Lake Elsinore Unified School District CFD No 2001-2	\$3,750,463.00	10/18/2018	2007-0116	\$0.00		\$3,358,462.95		
LTOB	Lake Elsinore Unified School District CFD No 2001-3	\$2,567,618.00	10/18/2018	2007-0117	\$0.00		\$2,180,617.66		
LTOB	Lake Elsinore Unified School District CFD No 2002-1	\$4,608,000.00	10/19/2018	2007-0118	\$0.00		\$3,586,000.00		
LTOB	Lake Elsinore Unified School District CFD No 2003-1	\$4,602,113.00	10/19/2018	2007-0119	\$0.00		\$3,603,113.14		
LTOB	Lake Elsinore Unified School District CFD No 2003-1	\$1,726,264.00	10/19/2018	2007-0120	\$0.00		\$1,355,263.63		
<b>Total</b>		<b>\$35,641,842.00</b>					<b>\$28,506,841.26</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-08-2007	\$5,149,000	Lake Elsinore Unified School District CFD No 99-1 Riverside CDIAC Number: 2007-0113 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lake Elsinore Sch FA ZB, NA	09-01-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.500

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$4,210,000	\$0	\$0	\$0	\$0	0	1.590	Y	\$414,872	\$6,614	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Lake Elsinore School Financing Authority	2007-0016	\$39,995,000.00

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$4,210,000	\$0	\$0	\$0	\$0	0	1.590	Y	\$414,872	\$6,614	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Lake Elsinore School Financing Authority	2019-0064	\$25,175,000.00

MISCELLANEOUS

Issues 2007-0016 will be removed from Issue(s) 2007-0113, 2007-0114, 2007-0115, 2007-0116, 2007-0117, 2007-0118, 2007-0119 & 2007-0120 after the FY 18/19 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-08-2007	\$3,847,939	Lake Elsinore Unified School District CFD No 2000-1 Riverside CDIAC Number: 2007-0114 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lake Elsinore Sch FA ZB, NA	09-01-37 Comb	<u>TIC</u> 5.500 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<b>B. DELINQUENCY</b>		<b>C. TEETER PLAN</b>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$2,979,939	\$0	\$0	\$0	\$0	0	1.160	Y	\$284,446	\$3,311	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2007-0016	\$39,995,000.00

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<b>B. DELINQUENCY</b>		<b>C. TEETER PLAN</b>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$2,979,939	\$0	\$0	\$0	\$0	0	1.160	Y	\$284,446	\$3,311	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2019-0064	\$25,175,000.00

**MISCELLANEOUS**

Issues 2007-0016 will be removed from Issue(s) 2007-0113, 2007-0114, 2007-0115, 2007-0116, 2007-0117, 2007-0118, 2007-0119 & 2007-0120 after the FY 18/19 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-08-2007	\$9,390,445	Lake Elsinore Unified School District CFD No 2001-01 Riverside CDIAC Number: 2007-0115 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lake Elsinore Sch FA ZB, NA	09-01-36 Comb CAB	<u>TIC</u> 5.500 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	B. DELINQUENCY			C. TEETER PLAN		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$7,233,445	\$0	\$0	\$0	\$0	0	1.180	Y	\$686,727	\$8,082	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2007-0016	\$39,995,000.00

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	B. DELINQUENCY			C. TEETER PLAN		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$7,233,445	\$0	\$0	\$0	\$0	0	1.180	Y	\$686,727	\$8,082	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2019-0064	\$25,175,000.00

**MISCELLANEOUS**

Issues 2007-0016 will be removed from Issue(s) 2007-0113, 2007-0114, 2007-0115, 2007-0116, 2007-0117, 2007-0118, 2007-0119 & 2007-0120 after the FY 18/19 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-08-2007	\$3,750,463	Lake Elsinore Unified School District CFD No 2001-2 Riverside CDIAC Number: 2007-0116 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lake Elsinore Sch FA ZB, NA	09-01-37 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.500

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

Year	Principal Amt <u>Outstanding</u>	Minimum Bond <u>Reserve</u>	Capitalized <u>Interest</u>	Admin Fee Charged By <u>Authority</u>	Bond Reserve <u>Fund</u>	Authority Reserve <u>Fund (%)</u>	B. DELINQUENCY			C. TEETER PLAN	
							Rate(%)	<u>YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$3,358,463	\$0	\$0	\$0	\$0	0	2.060	Y	\$286,013	\$5,904	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2007-0016	\$39,995,000.00

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

Year	Principal Amt <u>Outstanding</u>	Minimum Bond <u>Reserve</u>	Capitalized <u>Interest</u>	Admin Fee Charged By <u>Authority</u>	Bond Reserve <u>Fund</u>	Authority Reserve <u>Fund (%)</u>	B. DELINQUENCY			C. TEETER PLAN	
							Rate(%)	<u>YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$3,358,463	\$0	\$0	\$0	\$0	0	2.060	Y	\$286,013	\$5,904	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2019-0064	\$25,175,000.00

**MISCELLANEOUS**

Issues 2007-0016 will be removed from Issue(s) 2007-0113, 2007-0114, 2007-0115, 2007-0116, 2007-0117, 2007-0118, 2007-0119 & 2007-0120 after the FY 18/19 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-08-2007	\$2,567,618	Lake Elsinore Unified School District CFD No 2001-3 Riverside CDIAC Number: 2007-0117 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lake Elsinore Sch FA ZB, NA	09-01-34 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.500

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,180,618	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$214,444

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2007-0016	\$39,995,000.00

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,180,618	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$214,444

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2019-0064	\$25,175,000.00

**MISCELLANEOUS**

Issues 2007-0016 will be removed from Issue(s) 2007-0113, 2007-0114, 2007-0115, 2007-0116, 2007-0117, 2007-0118, 2007-0119 & 2007-0120 after the FY 18/19 MKR Fiscal Status Report (Calendar) has been published.



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-08-2007	\$4,608,000	Lake Elsinore Unified School District CFD No 2002-1 Riverside CDIAC Number: 2007-0118 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lake Elsinore Sch FA ZB, NA	09-01-36 Term	<u>TIC</u> 5.500 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt <u>Outstanding</u>	Minimum Bond <u>Reserve</u>	Capitalized <u>Interest</u>	Admin Fee Charged By <u>Authority</u>	Bond Reserve <u>Fund</u>	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve <u>Fund (%)</u>	Del Taxes Reported <u>YES/NO</u>	Rate(%)	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$3,586,000	\$0	\$0	\$0	\$0	0	0.520	Y	\$353,172	\$1,841	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2007-0016	\$39,995,000.00

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt <u>Outstanding</u>	Minimum Bond <u>Reserve</u>	Capitalized <u>Interest</u>	Admin Fee Charged By <u>Authority</u>	Bond Reserve <u>Fund</u>	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve <u>Fund (%)</u>	Del Taxes Reported <u>YES/NO</u>	Rate(%)	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$3,586,000	\$0	\$0	\$0	\$0	0	0.520	Y	\$353,172	\$1,841	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2019-0064	\$25,175,000.00

### MISCELLANEOUS

Issues 2007-0016 will be removed from Issue(s) 2007-0113, 2007-0114, 2007-0115, 2007-0116, 2007-0117, 2007-0118, 2007-0119 & 2007-0120 after the FY 18/19 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-08-2007	\$4,602,113	Lake Elsinore Unified School District CFD No 2003-1 Riverside CDIAC Number: 2007-0119 Limited tax obligation bond K-12 School Facility IA A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lake Elsinore Sch FA ZB, NA	09-01-37 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.500

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,603,113	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.360	Y	\$340,429

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,624	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2007-0016	\$39,995,000.00

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,603,113	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.360	Y	\$340,429

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,624	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2019-0064	\$25,175,000.00

**MISCELLANEOUS**

Issues 2007-0016 will be removed from Issue(s) 2007-0113, 2007-0114, 2007-0115, 2007-0116, 2007-0117, 2007-0118, 2007-0119 & 2007-0120 after the FY 18/19 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
02-08-2007	\$1,726,264	Lake Elsinore Unified School District CFD No 2003-1 Riverside CDIAC Number: 2007-0120 Limited tax obligation bond K-12 School Facility IA B Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lake Elsinore Sch FA ZB, NA	09-01-37 Comb CAB	TIC NIC TYPE:	5.500

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$1,355,264	\$0	\$0	\$0	\$0	0	0.740	Y	\$130,502	\$962	N

#### D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Lake Elsinore School Financing Authority	2007-0016	\$39,995,000.00

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$1,355,264	\$0	\$0	\$0	\$0	0	0.740	Y	\$130,502	\$962	N

#### D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Lake Elsinore School Financing Authority	2019-0064	\$25,175,000.00

### MISCELLANEOUS

Issues 2007-0016 will be removed from Issue(s) 2007-0113, 2007-0114, 2007-0115, 2007-0116, 2007-0117, 2007-0118, 2007-0119 & 2007-0120 after the FY 18/19 MKR Fiscal Status Report (Calendar) has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2012	\$11,190,000	Lake Elsinore School Financing Authority Riverside CDIAC Number: 2012-1416 Revenue bond K-12 School Facility CFD Nos 88-1, 89-1 & 90-1 Refunding	NR	Neg	(BC) Bowie Arneson Wiles (DC) McFarlin & Anderson (FA) Dolinka Group LLC (TR) ZB, NA (UW) Stone Youngberg/Stifel	09-01-19 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.757

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$615,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Lake Elsinore Unified School District CFD No 88-1	\$7,950,000.00		2012-0660		\$0.00	9/1/2016	Matured FY 16/17
LTOB	Lake Elsinore Unified School District CFD No 89-1	\$1,110,000.00	10/18/2018	2012-0661	\$0.00	\$335,000.00		
COPL	Lake Elsinore Unified School District	\$1,175,000.00	10/17/2018	2012-0931	\$0.00	\$280,000.00		
<b>Total</b>		<b>\$11,190,000.00</b>				<b>\$615,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-12-2012	\$1,110,000	Lake Elsinore Unified School District CFD No 89-1 Riverside CDIAC Number: 2012-0661 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Dolinka Group LLC Lake Elsinore Sch FA ZB, NA	09-01-19 Serial	<u>TIC</u> <u>NIC</u> 2.983 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$335,000	\$111,000	\$0	\$0	\$111,439

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
9.920	Y	1.420	\$388,647

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,534	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2012-1416	\$11,190,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-12-2012	\$1,175,000	Lake Elsinore Unified School District Riverside CDIAC Number: 2012-0931 Certificates of participation/leases K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Dolinka Group LLC Lake Elsinore Sch FA ZB, NA	09-01-19 Serial	<u>TIC</u> <u>NIC</u> 2.944 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$280,000	\$117,500	\$0	\$0	\$117,964

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.500	0	N

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2012-1416	\$11,190,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond Interest	
11-29-2017	\$30,465,000	Lake Elsinore School Financing Authority Riverside CDIAC Number: 2017-3277 Revenue bond K-12 School Facility CFD Nos 2004-4, 2005-5, 2005-6, 2005-7, 2006-3, 2006-4, 2006-6 Series A	S:AA     Ins	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Cooperative Strategies LL (EN) Build America (TR) ZB, NA (UW) Stifel Nicolaus & Co Inc	09-01-44 Comb	TIC 3.690 NIC 3.560 TYPE:

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund	Issuance Cost
17/18	\$28,690,000	\$2,040,947	\$0	\$0	\$2,064,094	\$2,064,094	\$360,000

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn	Type of Service	Service Amount
N		\$0	\$0		

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repav Date	Retired Type
LTOB	Lake Elsinore Unified School District CFD No 2004-4	\$7,480,000.00	10/22/2018	2017-3278	\$0.00	\$7,480,000.00		
LTOB	Lake Elsinore Unified School District CFD No 2005-5	\$1,770,000.00	10/23/2018	2017-3279	\$0.00	\$1,770,000.00		
LTOB	Lake Elsinore Unified School District CFD No 2005-6	\$3,930,000.00	10/22/2018	2017-3280	\$0.00	\$3,930,000.00		
LTOB	Lake Elsinore Unified School District CFD No 2005-7	\$4,365,000.00	10/23/2018	2017-3281	\$0.00	\$4,365,000.00		
LTOB	Lake Elsinore Unified School District CFD No 2006-3	\$6,495,000.00	10/24/2018	2017-3282	\$0.00	\$6,495,000.00		
LTOB	Lake Elsinore Unified School District CFD No 2006-4	\$4,480,000.00	10/23/2018	2017-3283	\$0.00	\$4,480,000.00		
LTOB	Lake Elsinore Unified School District CFD No 2006-6	\$1,945,000.00	10/23/2018	2017-3284	\$0.00	\$1,945,000.00		
<b>Total</b>		<b>\$30,465,000.00</b>				<b>\$30,465,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-29-2017	\$7,480,000	Lake Elsinore Unified School District CFD No 2004-4 Riverside CDIAC Number: 2017-3278 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Lake Elsinore Sch FA ZB, NA	09-01-44 Comb	<u>TIC</u> 4.380 <u>NIC</u> 4.030 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,480,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$394,286

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2017-3277	\$30,465,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-29-2017	\$1,770,000	Lake Elsinore Unified School District CFD No 2005-5 Riverside CDIAC Number: 2017-3279 Limited tax obligation bond K-12 School Facility Tract No 31479	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Lake Elsinore Sch FA ZB, NA	09-01-44 Comb	<u>TIC</u> 4.310 <u>NIC</u> 4.000 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,770,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$74,284

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2017-3277	\$30,465,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-29-2017	\$3,930,000	Lake Elsinore Unified School District CFD No 2005-6 Riverside CDIAC Number: 2017-3280 Limited tax obligation bond K-12 School Facility IA No A Wasson Canyon Rosetta Hills Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Lake Elsinore Sch FA ZB, NA	09-01-37 Serial	<u>TIC</u> 4.160 <u>NIC</u> 3.920 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,930,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.110	Y	\$302,216

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,345	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2017-3277	\$30,465,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-29-2017	\$4,365,000	Lake Elsinore Unified School District CFD No 2005-7 Riverside CDIAC Number: 2017-3281 Limited tax obligation bond K-12 School Facility Zone 1 School Facs, Elsinore Vly MWD Wtr, Sewer Facs Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Lake Elsinore Sch FA ZB, NA	09-01-40 Comb	<u>TIC</u> 4.230 <u>NIC</u> 3.960 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,365,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.410	Y	\$326,787

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,328	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2017-3277	\$30,465,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-29-2017	\$6,495,000	Lake Elsinore Unified School District CFD No 2006-3 Riverside CDIAC Number: 2017-3282 Limited tax obligation bond K-12 School Facility IA No A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Lake Elsinore Sch FA ZB, NA	09-01-40 Comb	<u>TIC</u> 4.200 <u>NIC</u> 3.940 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,495,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.670	Y	\$485,358

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,244	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2017-3277	\$30,465,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-29-2017	\$4,480,000	Lake Elsinore Unified School District CFD No 2006-4 Riverside CDIAC Number: 2017-3283 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Lake Elsinore Sch FA ZB, NA	09-01-40 Comb	<u>TIC</u> 4.280 <u>NIC</u> 3.980 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,480,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$288,735

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2017-3277	\$30,465,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-29-2017	\$1,945,000	Lake Elsinore Unified School District CFD No 2006-6 Riverside CDIAC Number: 2017-3284 Limited tax obligation bond K-12 School Facility Brookview Terrace Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Lake Elsinore Sch FA ZB, NA	09-01-37 Serial	<u>TIC</u> 4.160 <u>NIC</u> 3.920 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,945,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	3.260	Y	\$165,005

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,374	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lake Elsinore School Financing Authority	2017-3277	\$30,465,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-18-2013	\$51,695,000	Lee Lake Public Finance Authority Riverside CDIAC Number: 2013-1571 Revenue bond Multiple Capital Improvements, Public Works Lee Lake WD CFD Nos 1, 2 & 3 Sr Lien Series A Refunding	S:BBB	Neg	(BC) (DC) (TR) (UW)	Jones Hall Jones Hall Wilmington Trust Piper Jaffray & Co	09-01-35 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.828 4.898

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$45,940,000	\$4,037,074	\$0	\$4,037,084	\$0	\$4,037,084

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$1,476
Consultant	\$34,359
District Administration	\$85,364
<b>Total:</b>	<b>\$121,200</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
RB	Lee Lake Public Finance Authority	\$18,045,000.00	10/23/2018	2013-1572		\$12,440,000.00		
LTOB	Lee Lake Water District CFD No 1	\$39,120,000.00	10/23/2018	2013-1929	\$48,480.00	\$31,105,000.00		
LTOB	Lee Lake Water District CFD No 2	\$4,775,000.00	10/23/2018	2013-1930	\$24,240.00	\$4,095,000.00		
LTOB	Lee Lake Water District CFD No 3	\$25,845,000.00	10/23/2018	2013-1931	\$48,480.00	\$23,180,000.00		
<b>Total</b>		<b>\$87,785,000.00</b>				<b>\$70,820,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-18-2013	\$18,045,000	Lee Lake Public Finance Authority Riverside CDIAC Number: 2013-1572 Revenue bond Multiple Capital Improvements, Public Works Lee Lake WD CFD Nos 1, 2 & 3 Jr Lien Series B Refunding	S:BBB	Neg	(BC) Jones Hall (DC) Jones Hall (TR) Wilmington Trust (UW) Piper Jaffray & Co	09-01-35 Comb	<u>TIC</u> 5.237 <u>NIC</u> 5.212 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,440,000	\$1,149,219	\$0	\$1,260,069	\$0	\$1,260,069

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$1,476
Consultant	\$34,359
District Administration	\$85,364
Total:	\$121,200



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-18-2013	\$39,120,000	Lee Lake Water District CFD No 1 Riverside CDIAC Number: 2013-1929 Limited tax obligation bond Multiple Capital Improvements, Public Works Sycamore Creek Series A Refunding	NR	Neg	(BC) Jones Hall (DC) Jones Hall (PU) Lee Lake PFA (TR) Wilmington Trust	09-01-35 Term	<u>TIC</u> 4.953 <u>NIC</u> 4.996 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$31,105,000	\$2,773,700	\$0	\$48,480	\$2,884,550

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
56.120	0.770 Y	\$2,646,850

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$20,271	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lee Lake Public Finance Authority	2013-1571	\$51,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-18-2013	\$4,775,000	Lee Lake Water District CFD No 2 Riverside CDIAC Number: 2013-1930 Limited tax obligation bond Multiple Capital Improvements, Public Works Montecito Ranch Series A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lee Lake PFA Wilmington Trust	09-01-32 Term	<u>TIC</u> 4.781 <u>NIC</u> 4.848 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,095,000	\$376,236	\$0	\$24,240	\$376,236

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
6.840	Y	0.660	\$370,599

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,430	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lee Lake Public Finance Authority	2013-1571	\$51,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-18-2013	\$25,845,000	Lee Lake Water District CFD No 3 Riverside CDIAC Number: 2013-1931 Limited tax obligation bond Multiple Capital Improvements, Public Works The Retreat Series A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Lee Lake PFA Wilmington Trust	09-01-34 Term	<u>TIC</u> 4.928 <u>NIC</u> 4.975 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$23,180,000	\$2,036,357	\$0	\$48,480	\$2,036,367

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
37.040	0.570 Y	\$1,936,715

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,052	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lee Lake Public Finance Authority	2013-1571	\$51,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
01-27-2016	\$40,675,000	Menifee Union School District Public Financing Authority Riverside CDIAC Number: 2015-2678 Revenue bond K-12 School Facility CFD Nos 2002-4, 2002-5, 2003-1, 2003-2, 2003-4, 2004-2, 2004-3, 2004-5, 2004-4, 2005-2 Series A	S:AA     Ins	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Dolinka Group LLC (EN) Build America (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-38 Comb	<u>TIC</u> 3.743 <u>NIC</u> 3.892 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$37,545,000	\$2,948,300	\$0	\$0	\$2,964,984	\$2,964,984

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
					<u>Admin Fees</u>		<u>Repav Date</u>	
LTOB	Menifee Union School District CFD No 2002-4	\$2,220,000.00	10/24/2018	2015-2696	\$0.00	\$2,055,000.00		
LTOB	Menifee Union School District CFD No 2002-5	\$5,990,000.00	10/24/2018	2015-2697	\$0.00	\$5,535,000.00		
LTOB	Menifee Union School District CFD No 2003-2	\$9,500,000.00	10/24/2018	2015-2698	\$0.00	\$8,715,000.00		
LTOB	Menifee Union School District CFD No 2003-4	\$2,750,000.00	10/24/2018	2015-2699	\$0.00	\$2,545,000.00		
LTOB	Menifee Union School District CFD No 2004-2	\$4,215,000.00	10/25/2018	2015-2700	\$0.00	\$3,915,000.00		
LTOB	Menifee Union School District CFD No 2004-3	\$4,175,000.00	10/25/2018	2015-2701	\$0.00	\$3,850,000.00		
LTOB	Menifee Union School District CFD No 2003-1	\$2,275,000.00	10/24/2018	2015-2702	\$0.00	\$2,105,000.00		
LTOB	Menifee Union School District CFD No 2004-5	\$4,190,000.00	10/25/2018	2015-2703	\$0.00	\$3,895,000.00		
LTOB	Menifee Union School District CFD No 2004-4	\$2,270,000.00	10/25/2018	2015-2704	\$0.00	\$2,095,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Menifee Union School District CFD No 2005-2	\$4,255,000.00	10/25/2018	2015-2705	\$0.00	\$3,955,000.00		
<b>Total</b>		<b>\$41,840,000.00</b>				<b>\$38,665,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$2,220,000	Menifee Union School District CFD No 2002-4 Riverside CDIAC Number: 2015-2696 Limited tax obligation bond K-12 School Facility Pulte Home & Barrington Heights Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Dolinka Group LLC (PU) Menifee UnSD PFA (TR) U.S. Bank NA	09-01-38 Comb	<u>TIC</u> 4.952 <u>NIC</u> 5.136 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,055,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.300	0.910	Y	\$213,941

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,953	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$5,990,000	Menifee Union School District CFD No 2002-5 Riverside CDIAC Number: 2015-2697 Limited tax obligation bond K-12 School Facility Continental Residential Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Dolinka Group LLC (PU) Menifee UnSD PFA (TR) U.S. Bank NA	09-01-37 Serial	<u>TIC</u> 4.301 <u>NIC</u> 4.477 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,535,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
14.310	Y	0.800	\$491,396

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,908	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$9,500,000	Menifee Union School District CFD No 2003-2 Riverside CDIAC Number: 2015-2698 Limited tax obligation bond K-12 School Facility IA A The Lakes Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Dolinka Group LLC (PU) Menifee UnSD PFA (TR) U.S. Bank NA	09-01-37 Serial	<u>TIC</u> 3.668 <u>NIC</u> 3.872 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,715,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
22.700	1.410	Y	\$733,758

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,363	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$2,750,000	Menifee Union School District CFD No 2003-4 Riverside CDIAC Number: 2015-2699 Limited tax obligation bond K-12 School Facility Lennar Homes of CA Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Dolinka Group LLC (PU) Menifee UnSD PFA (TR) U.S. Bank NA	09-01-38 Comb	<u>TIC</u> 3.888 <u>NIC</u> 3.982 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,545,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
6.570	Y	0.400	\$221,177

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$883	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$4,215,000	Menifee Union School District CFD No 2004-2 Riverside CDIAC Number: 2015-2700 Limited tax obligation bond K-12 School Facility Meritage Homes-School-Water-Sewer Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Dolinka Group LLC (PU) Menifee UnSD PFA (TR) U.S. Bank NA	09-01-38 Comb	<u>TIC</u> 4.519 <u>NIC</u> 4.701 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,915,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
10.070	Y	0.660	\$357,928

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,365	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$4,175,000	Menifee Union School District CFD No 2004-3 Riverside CDIAC Number: 2015-2701 Limited tax obligation bond K-12 School Facility Zone 1 & 2 Menifee 95 & Menifee Wynd Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Dolinka Group LLC Menifee UnSD PFA U.S. Bank NA	09-01-37 Serial	<u>TIC</u> 3.936 <u>NIC</u> 4.071 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,850,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.970	0.300	Y	\$340,307

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,016	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$2,275,000	Menifee Union School District CFD No 2003-1 Riverside CDIAC Number: 2015-2702 Limited tax obligation bond K-12 School Facility Van Daele Comm-School-Water-Sewer-Park Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Dolinka Group LLC Menifee UnSD PFA U.S. Bank NA	09-01-37 Serial	<u>TIC</u> 4.291 <u>NIC</u> 4.446 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,105,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.430	Y	\$201,999

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,953	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$4,190,000	Menifee Union School District CFD No 2004-5 Riverside CDIAC Number: 2015-2703 Limited tax obligation bond K-12 School Facility Beazer Homes-School-Water-Sewer-Park Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Dolinka Group LLC (PU) Menifee UnSD PFA (TR) U.S. Bank NA	09-01-38 Comb	<u>TIC</u> 4.779 <u>NIC</u> 4.992 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,895,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
10.010	Y	0.830	\$366,113

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,056	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$2,270,000	Menifee Union School District CFD No 2004-4 Riverside CDIAC Number: 2015-2704 Limited tax obligation bond K-12 School Facility Shadow Ridge II School-Water-Sewer-Park Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Dolinka Group LLC Menifee UnSD PFA U.S. Bank NA	09-01-37 Serial	<u>TIC</u> 4.710 <u>NIC</u> 4.913 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,095,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.420	0.950	Y	\$215,210

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,041	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-27-2016	\$4,255,000	Menifee Union School District CFD No 2005-2 Riverside CDIAC Number: 2015-2705 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Dolinka Group LLC (PU) Menifee UnSD PFA (TR) U.S. Bank NA	09-01-38 Comb	<u>TIC</u> 4.583 <u>NIC</u> 4.858 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,955,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.160	0	N	\$382,814

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2015-2678	\$40,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
12-14-2016	\$25,985,000	Menifee Union School District Public Financing Authority Riverside CDIAC Number: 2016-3149 Revenue bond K-12 School Facility CFD Nos 94-1, 99-1, 2002-1 & 2003-3 Series A Refunding	S:AA-/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Anderson Law Firm Cooperative Strategies LL National PFG Corp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.697 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,425,000	\$2,337,500	\$0	\$0	\$2,337,501	\$2,337,501

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Menifee Union School District CFD No 2002-3	\$3,745,000.00	10/25/2018	2016-3140	\$0.00	\$3,535,000.00		
LTOB	Menifee Union School District CFD No 2002-1	\$4,700,000.00	10/25/2018	2016-3141	\$0.00	\$4,450,000.00		
LTOB	Menifee Union School District CFD No 99-1	\$4,935,000.00	10/25/2018	2016-3143	\$0.00	\$4,660,000.00		
LTOB	Menifee Union School District CFD No 99-1	\$4,255,000.00	10/25/2018	2016-3144	\$0.00	\$3,985,000.00		
LTOB	Menifee Union School District CFD No 2003-3	\$2,365,000.00	10/25/2018	2016-3148	\$0.00	\$2,230,000.00		
LTOB	Menifee Union School District CFD No 99-1	\$825,000.00	10/25/2018	2016-3165	\$0.00	\$780,000.00		
LTOB	Menifee Union School District CFD No 94-1	\$9,930,000.00	10/25/2018	2016-3166	\$0.00	\$9,315,000.00		
<b>Total</b>		<b>\$30,755,000.00</b>				<b>\$28,955,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2016	\$3,745,000	Menifee Union School District CFD No 2002-3 Riverside CDIAC Number: 2016-3140 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Menifee UnSD PFA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 3.928 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,535,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
12.170	Y	1.110	\$327,115

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,619	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2016-3149	\$25,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2016	\$4,700,000	Menifee Union School District CFD No 2002-1 Riverside CDIAC Number: 2016-3141 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Menifee UnSD PFA U.S. Bank NA	09-01-34 Serial	<u>TIC</u> 3.928 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,450,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
15.280	Y	0.740	\$403,998

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,788	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2016-3149	\$25,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2016	\$4,935,000	Menifee Union School District CFD No 99-1 Riverside CDIAC Number: 2016-3143 Limited tax obligation bond K-12 School Facility Zone 2 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Menifee UnSD PFA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 3.928 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,660,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
16.040	1.090 Y	\$429,495

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,703	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2016-3149	\$25,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2016	\$4,255,000	Menifee Union School District CFD No 99-1 Riverside CDIAC Number: 2016-3144 Limited tax obligation bond K-12 School Facility Zone 1 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Menifee UnSD PFA U.S. Bank NA	09-01-31 Serial	<u>TIC</u> 3.929 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,985,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
13.830	1.020	Y	\$409,640

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,180	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2016-3149	\$25,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2016	\$2,365,000	Menifee Union School District CFD No 2003-3 Riverside CDIAC Number: 2016-3148 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Cooperative Strategies LL (PU) Menifee UnSD PFA (TR) U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 3.928 <u>NIC</u> 0 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,230,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.680	0	N	\$221,279

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2016-3149	\$25,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2016	\$825,000	Menifee Union School District CFD No 99-1 Riverside CDIAC Number: 2016-3165 Limited tax obligation bond K-12 School Facility IA A Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Cooperative Strategies LL (PU) Menifee UnSD PFA (TR) U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 3.929 <u>NIC</u> 0 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$780,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
2.680	Y	0.730	\$84,255

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$615	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2016-3149	\$25,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2016	\$9,930,000	Menifee Union School District CFD No 94-1 Riverside CDIAC Number: 2016-3166 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Cooperative Strategies LL Menifee UnSD PFA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 3.929 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,315,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
32.280	Y	0.930	\$921,652

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,587	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Menifee Union School District Public Financing Authority	2016-3149	\$25,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
05-23-2018	\$21,730,000	Moreno Valley Unified School District Financing Authority Riverside CDIAC Number: 2018-0731 Revenue bond K-12 School Facility Series A & CFD Nos 2004-1, 2004-3, 2004-5, 2005-2 & 2005-3	S:AA     Ins	Neg	(BC) Anderson Law Firm (DC) Stradling Yocca (FA) Fieldman Rolapp (EN) Assured Guaranty Corp (TR) U.S. Bank NA (UW) Piper Jaffray & Co	09-01-37 Comb	<u>TIC</u> 3.859 <u>NIC</u> 3.982 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>	<u>Issuance Cost</u>
17/18	\$21,730,000	\$2,036,825	\$0	\$0	\$2,036,825	\$2,036,825	\$1,110,985

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>	<u>Type of Service</u>	<u>Service Amount</u>
N		\$0	\$0		

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Moreno Valley Unified School District CFD No 2004-1	\$2,370,000.00	10/26/2018	2018-0732	\$0.00	\$2,370,000.00		
LTOB	Moreno Valley Unified School District CFD No 2004-3	\$3,200,000.00	10/26/2018	2018-0738	\$0.00	\$3,200,000.00		
LTOB	Moreno Valley Unified School District CFD No 2004-5	\$3,900,000.00	10/26/2018	2018-0739	\$0.00	\$3,900,000.00		
LTOB	Moreno Valley Unified School District CFD No 2005-2	\$3,320,000.00	10/26/2018	2018-0740	\$0.00	\$3,320,000.00		
LTOB	Moreno Valley Unified School District CFD No 2005-3	\$8,940,000.00	10/26/2018	2018-0741	\$0.00	\$8,940,000.00		
<b>Total</b>		<b>\$21,730,000.00</b>				<b>\$21,730,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2018	\$2,370,000	Moreno Valley Unified School District CFD No 2004-1 Riverside CDIAC Number: 2018-0732 Limited tax obligation bond K-12 School Facility School Facs & EMWD Water/Sewer Facs Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Anderson Law Firm Stradling Yocca Fieldman Rolapp Moreno Valley USD FA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> O 3.3307

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,370,000	\$0	\$0	\$0	\$222,148

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.910	0	N	\$266,917

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Moreno Valley Unified School District Financing Authority	2018-0731	\$21,730,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2018	\$3,200,000	Moreno Valley Unified School District CFD No 2004-3 Riverside CDIAC Number: 2018-0738 Limited tax obligation bond K-12 School Facility School & Eastern MWD Facs Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Anderson Law Firm Stradling Yocca Fieldman Rolapp Moreno Valley USD FA U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> O 3.4805

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,200,000	\$0	\$0	\$0	\$299,947

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
14.730	0	N	\$311,674

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Moreno Valley Unified School District Financing Authority	2018-0731	\$21,730,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2018	\$3,900,000	Moreno Valley Unified School District CFD No 2004-5 Riverside CDIAC Number: 2018-0739 Limited tax obligation bond K-12 School Facility School Fac & EMWD Water/Sewer Fac Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Anderson Law Firm Stradling Yocca Fieldman Rolapp Moreno Valley USD FA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> O 3.4139

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,900,000	\$0	\$0	\$0	\$365,560

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
17.950	1.480	Y	\$433,993

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,431	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Moreno Valley Unified School District Financing Authority	2018-0731	\$21,730,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2018	\$3,320,000	Moreno Valley Unified School District CFD No 2005-2 Riverside CDIAC Number: 2018-0740 Limited tax obligation bond K-12 School Facility School Fac & EMWD Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Anderson Law Firm Stradling Yocca Fieldman Rolapp Moreno Valley USD FA U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> O 3.4159

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,320,000	\$0	\$0	\$0	\$311,195

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.280	0.470	Y	\$334,152

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,587	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Moreno Valley Unified School District Financing Authority	2018-0731	\$21,730,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2018	\$8,940,000	Moreno Valley Unified School District CFD No 2005-3 Riverside CDIAC Number: 2018-0741 Limited tax obligation bond K-12 School Facility School & Eastern MWD Facs (Tracts 27593, 31591 & 33256 ) Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Anderson Law Firm Stradling Yocca Fieldman Rolapp Moreno Valley USD FA U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> O 3.4815

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,940,000	\$0	\$0	\$0	\$837,976

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
41.140	0.490	Y	\$774,061

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,787	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Moreno Valley Unified School District Financing Authority	2018-0731	\$21,730,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
07-11-2012	\$53,605,000	Murrieta Public Financing Authority Riverside CDIAC Number: 2012-1360 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2000-1, 2001-1, 2003-1 & 2003-2	S:BBB-	Neg	(BC) Best Best & Krieger (DC) Best Best & Krieger (FA) Magis Advisors (TR) Union Bank NA (UW) E J De La Rosa	09-01-34 Comb	<u>TIC</u> <u>NIC</u> 4.237 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$45,595,000	\$4,513,350	\$0	\$4,554,275	\$0	\$4,554,275

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$31,018
City / County Government	\$2,110
Legal, Law Firm	\$10,000
Trustee	\$8,500
<b>Total:</b>	<b>\$51,628</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Murrieta CFD No 2000-1	\$12,210,000.00	10/23/2018	2012-1351	\$20,981.40	\$10,720,068.09		
LTOB	Murrieta CFD No 2001-1	\$8,345,000.00	10/23/2018	2012-1352	\$16,434.44	\$6,943,469.54		
LTOB	Murrieta CFD No 2001-1	\$6,500,000.00	10/23/2018	2012-1353	\$14,879.94	\$5,389,133.75		
LTOB	Murrieta CFD No 2003-1	\$21,035,000.00	10/23/2018	2012-1354	\$20,720.74	\$18,307,675.39		
LTOB	Murrieta CFD No 2003-2	\$5,515,000.00	10/23/2018	2012-1355	\$11,153.00	\$4,794,192.97		
<b>Total</b>		<b>\$53,605,000.00</b>				<b>\$46,154,539.74</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2012	\$12,210,000	Murrieta CFD No 2000-1 Riverside CDIAC Number: 2012-1351 Limited tax obligation bond Multiple Capital Improvements, Public Works Greer Ranch Refunding	NR	Neg PP	(BC) (FA) (PU) (TR)	Best Best & Krieger Magis Advisors Murrieta PFA Union Bank NA	09-01-30 Comb	<u>TIC</u> <u>NIC</u> 4.246 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,720,068	\$0	\$0	\$20,981	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
22.780	Y	0.220	\$938,381

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,058	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2012-1360	\$53,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2012	\$8,345,000	Murrieta CFD No 2001-1 Riverside CDIAC Number: 2012-1352 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Refunding	NR	Neg PP	(BC) (FA) (PU) (TR)	Best Best & Krieger Magis Advisors Murrieta PFA Union Bank NA	09-01-31 Comb	<u>TIC</u> <u>NIC</u> 4.224 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,943,470	\$0	\$0	\$16,434	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.570	0.280	Y	\$713,849

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,005	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2012-1360	\$53,605,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2012	\$6,500,000	Murrieta CFD No 2001-1 Riverside CDIAC Number: 2012-1353 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B Refunding	NR	Neg PP	(BC) (FA) (PU) (TR)	Best Best & Krieger Magis Advisors Murrieta PFA Union Bank NA	09-01-31 Comb	<u>TIC</u> <u>NIC</u> 4.218 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,389,134	\$0	\$0	\$14,880	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
12.120	Y	0.360	\$565,719

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,026	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2012-1360	\$53,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2012	\$21,035,000	Murrieta CFD No 2003-1 Riverside CDIAC Number: 2012-1354 Limited tax obligation bond Multiple Capital Improvements, Public Works Murrieta Springs Refunding	NR	Neg PP	(BC) (FA) (PU) (TR)	Best Best & Krieger Magis Advisors Murrieta PFA Union Bank NA	09-01-34 Comb	<u>TIC</u> <u>NIC</u> 4.242 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,307,675	\$0	\$0	\$20,721	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
39.240	0.440	Y	\$1,597,941

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,975	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2012-1360	\$53,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2012	\$5,515,000	Murrieta CFD No 2003-2 Riverside CDIAC Number: 2012-1355 Limited tax obligation bond Multiple Capital Improvements, Public Works Blackmore Ranch Refunding	NR	Neg PP	(BC) (FA) (PU) (TR)	Best Best & Krieger Magis Advisors Murrieta PFA Union Bank NA	09-01-34 Comb	<u>TIC</u> <u>NIC</u> 4.241 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,794,193	\$0	\$0	\$11,153	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.290	1.200 Y	\$420,358

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,042	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2012-1360	\$53,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-09-2016	\$49,790,000	Murrieta Public Financing Authority Riverside CDIAC Number: 2016-0134 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2000-2, 2003-3, 2003-4, 2005-1 Series A	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca First Southwest Piper Jaffray & Co Compass Mortgage Corp MUFG Union Bank	09-01-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.524 3.537

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$46,750,000	\$1,830,284	\$0	\$1,844,419	\$0	\$1,844,419

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$1,419
Consultant	\$25,768
Legal, Law Firm	\$10,000
Trustee	\$15,000
<b>Total:</b>	<b>\$52,187</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Murrieta CFD No 2005-1	\$3,650,000.00	10/26/2018	2016-0135	\$10,816.77	\$3,440,000.00		
LTOB	Murrieta CFD No 2000-2	\$20,100,000.00	10/26/2018	2016-0136	\$17,905.27	\$18,830,000.00		
LTOB	Murrieta CFD No 2003-4	\$1,980,000.00	10/26/2018	2016-0137	\$12,163.23	\$1,835,000.00		
LTOB	Murrieta CFD No 2000-2	\$9,195,000.00	10/26/2018	2016-0138	\$14,706.75	\$8,610,000.00		
LTOB	Murrieta CFD No 2003-3	\$14,865,000.00	10/26/2018	2016-0139	\$14,414.17	\$14,035,000.00		
<b>Total</b>		<b>\$49,790,000.00</b>				<b>\$46,750,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-09-2016	\$3,650,000	Murrieta CFD No 2005-1 Riverside CDIAC Number: 2016-0135 Limited tax obligation bond Multiple Capital Improvements, Public Works Springbrook Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca First Southwest Piper Jaffray & Co Murrieta PFA MUFG Union Bank	09-01-35 Term	<u>TIC</u> 3.532 <u>NIC</u> 3.546 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,440,000	\$0	\$0	\$10,817	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.330	0.920	Y	\$276,771

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,535	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2016-0134	\$49,790,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-09-2016	\$20,100,000	Murrieta CFD No 2000-2 Riverside CDIAC Number: 2016-0136 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A The Oaks Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca First Southwest Piper Jaffray & Co Murrieta PFA MUFG Union Bank	09-01-34 Term	<u>TIC</u> 3.523 <u>NIC</u> 3.536 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,830,000	\$0	\$0	\$17,905	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
40.370	Y	0.270

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,513,301	\$4,106	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2016-0134	\$49,790,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-09-2016	\$1,980,000	Murrieta CFD No 2003-4 Riverside CDIAC Number: 2016-0137 Limited tax obligation bond Multiple Capital Improvements, Public Works Bluestone/Mapleton Refunding	NR	Neg	(BC) Stradling Yocca (FA) First Southwest (PA) Piper Jaffray & Co (PU) Murrieta PFA (TR) MUFG Union Bank	09-01-34 Term	<u>TIC</u> 3.525 <u>NIC</u> 3.537 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,835,000	\$0	\$0	\$12,163	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.980	0.340 Y	\$164,893

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$567	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2016-0134	\$49,790,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-09-2016	\$9,195,000	Murrieta CFD No 2000-2 Riverside CDIAC Number: 2016-0138 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B The Oaks Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca First Southwest Piper Jaffray & Co Murrieta PFA MUFG Union Bank	09-01-34 Term	<u>TIC</u> 3.506 <u>NIC</u> 3.518 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,610,000	\$0	\$0	\$14,707	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
18.470	0	N	\$699,792

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2016-0134	\$49,790,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-09-2016	\$14,865,000	Murrieta CFD No 2003-3 Riverside CDIAC Number: 2016-0139 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Creekside Village Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca First Southwest Piper Jaffray & Co Murrieta PFA MUFG Union Bank	09-01-35 Term	<u>TIC</u> 3.533 <u>NIC</u> 3.547 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,035,000	\$0	\$0	\$14,414	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
29.860	0.350	Y	\$1,089,670

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,816	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2016-0134	\$49,790,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-02-2016	\$8,850,000	Murrieta Public Financing Authority Riverside CDIAC Number: 2016-1934 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2000-2, 2003-4 & 2005-1 Series B	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca First Southwest Build America MUFG Union Bank Piper Jaffray & Co	09-01-35 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.781 2.852

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,505,000	\$641,313	\$0	\$323,304	\$320,656	\$643,960

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$15,366
City / County Government	\$718
Legal, Law Firm	\$6,000
Trustee	\$7,500
<b>Total:</b>	<b>\$29,584</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Murrieta CFD No 2004-3	\$3,845,000.00	10/26/2018	2016-1931	\$14,968.18	\$3,700,000.00		
LTOB	Murrieta CFD No 2004-2	\$1,810,000.00	10/26/2018	2016-1932	\$10,672.20	\$1,740,000.00		
LTOB	Murrieta CFD No 2004-1	\$3,195,000.00	10/26/2018	2016-1933	\$11,747.76	\$3,065,000.00		
<b>Total</b>		<b>\$8,850,000.00</b>				<b>\$8,505,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2016	\$3,845,000	Murrieta CFD No 2004-3 Riverside CDIAC Number: 2016-1931 Limited tax obligation bond Multiple Capital Improvements, Public Works Meadowlane/Amberwalk I & II Refunding	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca First Southwest Build America Murrieta PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 2.794 <u>NIC</u> 2.862 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,700,000	\$0	\$0	\$14,968	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
43.450	0.130	Y	\$292,515

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$372	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2016-1934	\$8,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2016	\$1,810,000	Murrieta CFD No 2004-2 Riverside CDIAC Number: 2016-1932 Limited tax obligation bond Multiple Capital Improvements, Public Works Murrieta Fields Refunding	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca First Southwest Build America Murrieta PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 2.800 <u>NIC</u> 2.867 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,740,000	\$0	\$0	\$10,672	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
20.450	0	N	\$143,405

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2016-1934	\$8,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2016	\$3,195,000	Murrieta CFD No 2004-1 Riverside CDIAC Number: 2016-1933 Limited tax obligation bond Multiple Capital Improvements, Public Works Bremerton Refunding	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca First Southwest Build America Murrieta PFA MUFG Union Bank	09-01-34 Serial	<u>TIC</u> 2.754 <u>NIC</u> 2.831 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,065,000	\$0	\$0	\$11,748	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
36.100	0	N	\$248,538

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Public Financing Authority	2016-1934	\$8,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-07-2013	\$11,170,000	Murrieta Valley Unified School District Public Financing Authority Riverside CDIAC Number: 2013-0535 Revenue bond K-12 School Facility CFD Nos 2002-4, 2003-3 & 2004-1 Refunding	NR	Neg	(BC) Rutan & Tucker LLP (DC) Jones Hall (FA) Dolinka Group LLC (TR) ZB, NA (UW) Piper Jaffray & Co	09-01-38 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.537

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,665,000	\$751,750	\$0	\$757,250	\$0	\$757,250

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
							<u>Repay Date</u>	
LTOB	Murrieta Valley Unified School District CFD No 2002-4	\$6,125,000.00	10/29/2018	2013-0536	\$0.00	\$5,335,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2003-3	\$2,310,000.00	10/29/2018	2013-0537	\$0.00	\$1,970,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2004-1	\$2,735,000.00	10/26/2018	2013-0538	\$0.00	\$2,360,000.00		
<b>Total</b>		<b>\$11,170,000.00</b>				<b>\$9,665,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-07-2013	\$6,125,000	Murrieta Valley Unified School District CFD No 2002-4 Riverside CDIAC Number: 2013-0536 Limited tax obligation bond K-12 School Facility IA B Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Rutan & Tucker LLP Jones Hall Dolinka Group LLC Murrieta Valley USD PFA ZB, NA	09-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.150 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$5,335,000	\$0	\$0	\$0	\$0	54.830	0.220	Y	\$483,707	\$1,055	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2013-0535	\$11,170,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-07-2013	\$2,310,000	Murrieta Valley Unified School District CFD No 2003-3 Riverside CDIAC Number: 2013-0537 Limited tax obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Rutan & Tucker LLP Jones Hall Dolinka Group LLC Murrieta Valley USD PFA ZB, NA	09-01-38 Comb	<u>TIC</u> 4.084 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,970,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
20.680	Y	\$201,712

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$727	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2013-0535	\$11,170,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-07-2013	\$2,735,000	Murrieta Valley Unified School District CFD No 2004-1 Riverside CDIAC Number: 2013-0538 Limited tax obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU)	Rutan & Tucker LLP Jones Hall Dolinka Group LLC Murrieta Valley USD PFA	09-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.117 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$2,360,000	\$0	\$0	\$0	\$0	24.490	1.370	Y	\$3,154	\$3,154	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2013-0535	\$11,170,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-21-2013	\$7,370,000	Murrieta Valley Unified School District Public Financing Authority Riverside CDIAC Number: 2013-2006 Revenue bond K-12 School Facility CFD Nos 2002-4 1A A & 2003-4 Series B Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Rutan & Tucker LLP Dolinka Group LLC Piper Jaffray & Co Western Alliance Bancorp ZB, NA	09-01-38 Term	<u>TIC</u> <u>NIC</u> 4.150 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,595,000	\$495,225	\$0	\$499,356	\$0	\$499,356

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>
							<u>Repay Date</u>	<u>Type</u>
LTOB	Murrieta Valley Unified School District CFD No 2002-4	\$2,500,000.00	10/29/2018	2013-2007	\$0.00	\$2,215,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2003-4	\$4,870,000.00	10/26/2018	2013-2008	\$0.00	\$4,380,000.00		
		<b>Total</b>				<b>\$6,595,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-21-2013	\$2,500,000	Murrieta Valley Unified School District CFD No 2002-4 Riverside CDIAC Number: 2013-2007 Limited tax obligation bond K-12 School Facility IA A Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP Dolinka Group LLC Murrieta Valley USD PFA ZB, NA	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 4.150 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$2,215,000	\$0	\$0	\$0	\$0	33.920	0.970	Y	\$248,565	\$2,417	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2013-2006	\$7,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-21-2013	\$4,870,000	Murrieta Valley Unified School District CFD No 2003-4 Riverside CDIAC Number: 2013-2008 Limited tax obligation bond K-12 School Facility Series B Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP Dolinka Group LLC Murrieta Valley USD PFA ZB, NA	09-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.150 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$4,380,000	\$0	\$0	\$0	\$0	66.080	4.150	Y	\$385,117	\$16,001	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2013-2006	\$7,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-15-2014	\$20,235,000	Murrieta Valley Unified School District Public Financing Authority Riverside CDIAC Number: 2014-1043 Revenue bond K-12 School Facility CFD Nos 90-1, 98-1, 98-2, 98-3, 2001-2 & 2002-5 Series A Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Rutan & Tucker LLP Dolinka Group LLC Piper Jaffray & Co City National Bank ZB, NA	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 3.868 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>	<u>Issuance Cost</u>
17/18	\$17,310,000	\$0	\$0	\$0	\$0	\$0	\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>	<u>Type of Service</u>	<u>Service Amount</u>
N		\$0	\$0		

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Murrieta Valley Unified School District CFD No 2002-5	\$7,165,950.00	10/29/2018	2004-1319	\$0.00	\$5,439,169.35		
LTOB	Murrieta Valley Unified School District CFD No 98-3	\$2,705,341.00	10/29/2018	2004-1320	\$0.00	\$796,591.47		
LTOB	Murrieta Valley Unified School District CFD No 98-2	\$3,779,074.00	10/29/2018	2004-1321	\$0.00	\$2,656,113.38		
LTOB	Murrieta Valley Unified School District CFD No 90-1	\$4,696,236.00	10/29/2018	2004-1322	\$0.00	\$1,884,707.06		
LTOB	Murrieta Valley Unified School District CFD No 98-1	\$5,836,557.00	10/29/2018	2004-1323	\$0.00	\$3,364,680.16		
LTOB	Murrieta Valley Unified School District CFD No 2001-2	\$2,930,928.00	10/29/2018	2004-1324	\$0.00	\$1,910,496.74		
<b>Total</b>		<b>\$27,114,086.00</b>				<b>\$16,051,758.16</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2004	\$7,165,950	Murrieta Valley Unified School District CFD No 2002-5 Riverside CDIAC Number: 2004-1319 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Rutan & Tucker LLP Jones Hall Murrieta Valley USD PFA ZB, NA	09-01-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$5,439,169	\$131,630	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$433,432

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,449	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2014-1043	\$20,235,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2004	\$2,705,341	Murrieta Valley Unified School District CFD No 98-3 Riverside CDIAC Number: 2004-1320 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Rutan & Tucker LLP Jones Hall Murrieta Valley USD PFA ZB, NA	09-01-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$796,591	\$49,694	\$0	\$0	\$49,888

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.520	\$331,652

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,055	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2014-1043	\$20,235,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2004	\$3,779,074	Murrieta Valley Unified School District CFD No 98-2 Riverside CDIAC Number: 2004-1321 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Rutan & Tucker LLP Jones Hall Murrieta Valley USD PFA ZB, NA	09-01-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,656,113	\$69,417	\$0	\$0	\$69,689

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$248,188

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,265	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2014-1043	\$20,235,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2004	\$4,696,236	Murrieta Valley Unified School District CFD No 90-1 Riverside CDIAC Number: 2004-1322 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Rutan & Tucker LLP Jones Hall Murrieta Valley USD PFA ZB, NA	09-01-24 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,884,707	\$86,264	\$0	\$0	\$86,601

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.750	\$461,305

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,471	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2014-1043	\$20,235,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2004	\$5,836,557	Murrieta Valley Unified School District CFD No 98-1 Riverside CDIAC Number: 2004-1323 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Rutan & Tucker LLP Jones Hall Murrieta Valley USD PFA ZB, NA	09-01-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,364,680	\$107,211	\$0	\$0	\$107,630

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$447,769

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,385	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2014-1043	\$20,235,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2004	\$2,930,928	Murrieta Valley Unified School District CFD No 2001-2 Riverside CDIAC Number: 2004-1324 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Rutan & Tucker LLP Jones Hall Murrieta Valley USD PFA ZB, NA	09-01-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,910,497	\$53,838	\$0	\$0	\$54,048

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.860	\$255,431

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,752	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2014-1043	\$20,235,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-22-2016	\$60,040,000	Murrieta Valley Unified School District Public Financing Authority Riverside CDIAC Number: 2016-2044 Revenue bond K-12 School Facility CFD Nos 99-1, 2000-1, 2000-2, 2001-1, 2001-4, 2002-1, 2002-2, 2002-3, 2002-5, 2003-1 & 2003-2 Series A Refunding	S:AA     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Anderson Law Firm Piper Jaffray & Co Assured Guaranty Corp ZB, NA Stifel Nicolaus & Co Inc	09-01-36 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.918 3.166

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$54,995,000	\$4,692,050	\$0	\$0	\$4,692,050	\$4,692,050

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Murrieta Valley Unified School District CFD No 99-1	\$5,175,000.00	10/29/2018	2016-2113	\$0.00	\$4,675,000.00		
LTOB	Murrieta Valley Unified School District CFD No 99-1	\$3,655,000.00	10/29/2018	2016-2114	\$0.00	\$3,290,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2000-1	\$17,350,000.00	10/29/2018	2016-2115	\$0.00	\$16,025,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2000-2	\$10,495,000.00	10/29/2018	2016-2116	\$0.00	\$9,430,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2001-4	\$7,900,000.00	10/29/2018	2016-2117	\$0.00	\$7,310,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2002-3	\$5,120,000.00	10/29/2018	2016-2118	\$0.00	\$4,735,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2002-5	\$7,175,000.00	10/29/2018	2016-2119	\$0.00	\$6,605,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
LTOB	Murrieta Valley Unified School District CFD No 2001-1	\$3,305,000.00	10/29/2018	2016-2120	\$0.00	\$3,020,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2002-1	\$3,450,000.00	10/29/2018	2016-2121	\$0.00	\$3,190,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2002-2	\$2,715,000.00	10/29/2018	2016-2122	\$0.00	\$2,510,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2003-1	\$3,980,000.00	10/29/2018	2016-2123	\$0.00	\$3,680,000.00		
LTOB	Murrieta Valley Unified School District CFD No 2003-2	\$2,105,000.00	10/29/2018	2016-2124	\$0.00	\$1,950,000.00		
<b>Total</b>		<b>\$72,425,000.00</b>				<b>\$66,420,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$5,175,000	Murrieta Valley Unified School District CFD No 99-1 Riverside CDIAC Number: 2016-2113 Limited tax obligation bond K-12 School Facility IA A Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Piper Jaffray & Co (PU) Murrieta Valley USD PFA (TR) ZB, NA	09-01-32 Serial	<u>TIC</u> 3.450 <u>NIC</u> 3.450 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,675,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.150	0.680	Y	\$525,273

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,560	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$3,655,000	Murrieta Valley Unified School District CFD No 99-1 Riverside CDIAC Number: 2016-2114 Limited tax obligation bond K-12 School Facility IA B Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Piper Jaffray & Co (PU) Murrieta Valley USD PFA (TR) ZB, NA	09-01-32 Serial	<u>TIC</u> 3.500 <u>NIC</u> 3.500 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,290,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.050	0.620 Y	\$341,509

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,108	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$17,350,000	Murrieta Valley Unified School District CFD No 2000-1 Riverside CDIAC Number: 2016-2115 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Piper Jaffray & Co (PU) Murrieta Valley USD PFA (TR) ZB, NA	09-01-36 Serial	<u>TIC</u> 2.690 <u>NIC</u> 2.690 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,025,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
23.960	0.940	Y	\$1,140,880

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,768	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$10,495,000	Murrieta Valley Unified School District CFD No 2000-2 Riverside CDIAC Number: 2016-2116 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Piper Jaffray & Co Murrieta Valley USD PFA ZB, NA	09-01-32 Serial	<u>TIC</u> 3.500 <u>NIC</u> 3.500 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$9,430,000	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
14.490	0.290	Y	\$925,062

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,644	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$7,900,000	Murrieta Valley Unified School District CFD No 2001-4 Riverside CDIAC Number: 2016-2117 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Piper Jaffray & Co Murrieta Valley USD PFA ZB, NA	09-01-36 Serial	<u>TIC</u> 3.500 <u>NIC</u> 3.500 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,310,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.910	0.510	Y	\$582,322

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,959	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$5,120,000	Murrieta Valley Unified School District CFD No 2002-3 Riverside CDIAC Number: 2016-2118 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Anderson Law Firm Piper Jaffray & Co Murrieta Valley USD PFA ZB, NA	09-01-36 Serial	<u>TIC</u> 2.850 <u>NIC</u> 2.850 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$4,735,000	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.070	Y	\$353,752

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,424	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$7,175,000	Murrieta Valley Unified School District CFD No 2002-5 Riverside CDIAC Number: 2016-2119 Limited tax obligation bond K-12 School Facility IA B Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Piper Jaffray & Co (PU) Murrieta Valley USD PFA (TR) ZB, NA	09-01-35 Serial	<u>TIC</u> 3.500 <u>NIC</u> 3.500 <u>TYPE:</u>	

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,605,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.910	0.410	Y	\$625,463

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,538	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$3,305,000	Murrieta Valley Unified School District CFD No 2001-1 Riverside CDIAC Number: 2016-2120 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Piper Jaffray & Co (PU) Murrieta Valley USD PFA (TR) ZB, NA	09-01-34 Serial	<u>TIC</u> 3.500 <u>NIC</u> 3.500 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,020,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.560	0	N	\$273,385

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$3,450,000	Murrieta Valley Unified School District CFD No 2002-1 Riverside CDIAC Number: 2016-2121 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Piper Jaffray & Co (PU) Murrieta Valley USD PFA (TR) ZB, NA	09-01-36 Serial	<u>TIC</u> 3.500 <u>NIC</u> 3.500 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,190,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
4.760	Y	1.170	\$265,062

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,092	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$2,715,000	Murrieta Valley Unified School District CFD No 2002-2 Riverside CDIAC Number: 2016-2122 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Piper Jaffray & Co (PU) Murrieta Valley USD PFA (TR) ZB, NA	09-01-36 Serial	<u>TIC</u> 2.850 <u>NIC</u> 2.850 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,510,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.750	0	N	\$195,694

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$3,980,000	Murrieta Valley Unified School District CFD No 2003-1 Riverside CDIAC Number: 2016-2123 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Piper Jaffray & Co (PU) Murrieta Valley USD PFA (TR) ZB, NA	09-01-36 Serial	<u>TIC</u> 3.500 <u>NIC</u> 3.500 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,680,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.500	0	N	\$299,464

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2016	\$2,105,000	Murrieta Valley Unified School District CFD No 2003-2 Riverside CDIAC Number: 2016-2124 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Jones Hall (DC) Anderson Law Firm (FA) Piper Jaffray & Co (PU) Murrieta Valley USD PFA (TR) ZB, NA	09-01-36 Serial	<u>TIC</u> 2.850 <u>NIC</u> 2.850 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,950,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
2.910	0	N	\$161,518

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Murrieta Valley Unified School District Public Financing Authority	2016-2044	\$60,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-12-2003	\$15,745,000	Palm Desert Financing Authority Riverside CDIAC Number: 2002-2145 Tax allocation bond Redevelopment, Multiple Purposes Area No 2	S:AAA  F:AAA  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Richards Watson Munisoft MBIA Corp BNY Mellon Capital Kinsell Newcomb	08-01-33 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.882

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,745,000	\$1,574,500	\$0	\$0	\$1,641,830	\$1,641,830

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$2,569
Trustee	\$1,500
<b>Total:</b>	<b>\$4,069</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Palm Desert Redevelopment Agency	\$15,745,000.00	10/10/2018	2016-1099	\$0.00	\$15,745,000.00		
<b>Total</b>		<b>\$15,745,000.00</b>				<b>\$15,745,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-12-2003	\$15,745,000	Palm Desert Redevelopment Agency Riverside CDIAC Number: 2016-1099 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Area No 2	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Munisoft Palm Desert FA BNY Mellon Capital	08-01-33 Comb	<u>TIC</u> 4.882 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,745,000	\$1,574,500	\$0	\$0	\$1,641,830

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Palm Desert Financing Authority	2002-2145	\$15,745,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-11-2003	\$4,423,000	Palm Desert Financing Authority Riverside CDIAC Number: 2003-0552 Revenue bond Other Capital Improvements, Public Works AD Nos 94-2, 94-3 & Utility Undergrounding AD No 01-01	NR	Neg	(BC) (FA) (TR) (UW)	Richards Watson Munisoft BNY Mellon Capital Stinson Securities	09-02-28 Comb	<u>TIC</u> <u>NIC</u> 5.080 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,525,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2028	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
City Government	\$20,454
County Government	\$421
Consultant	\$6,959
Trustee	\$4,724
<b>Total:</b>	<b>\$32,558</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Palm Desert	\$930,000.00		2003-0553			9/2/2014	Matured FY 14/15
SAB	Palm Desert	\$1,153,000.00	10/29/2018	2003-0554	\$12,737.29	\$265,000.00		
SAB	Palm Desert	\$2,340,000.00	10/29/2018	2003-0556	\$19,820.81	\$1,270,000.00		
<b>Total</b>		<b>\$4,423,000.00</b>				<b>\$1,535,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-11-2003	\$1,153,000	Palm Desert Riverside CDIAC Number: 2003-0554 Special assessment bond Multiple Capital Improvements, Public Works Merano AD No 94-3 Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Richards Watson Munisoft BNY Mellon Capital Palm Desert FA	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 4.924 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$265,000	\$69,180	\$0	\$12,737	\$70,375

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.160	Y	\$114,870

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,483	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Palm Desert Financing Authority	2003-0552	\$4,423,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-11-2003	\$2,340,000	Palm Desert Riverside CDIAC Number: 2003-0556 Special assessment bond Other Capital Improvements, Public Works Silver Spur Ranch Utility Undergrounding AD No 01-01	NR	Neg	(BC) (FA) (TR) (UW)	Richards Watson Munisoft BNY Mellon Capital Palm Desert FA	09-02-28 Serial	<u>TIC</u> <u>NIC</u> 5.228 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,270,000	\$160,856	\$0	\$19,821	\$163,636

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.280	\$177,809

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$505	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Palm Desert Financing Authority	2003-0552	\$4,423,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-13-2006	\$32,600,000	Palm Desert Financing Authority Riverside CDIAC Number: 2006-1335 Tax allocation bond Redevelopment, Multiple Purposes Area No 1 as Amended Series A Refunding	S:AAA/A M:Aaa/A3  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Richards Watson Lofton & Jennings Del Rio Advisors LLC MBIA Corp Wells Fargo Bank NA Stone & Youngberg	04-01-18 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.903

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$1,500
Disclosure	\$2,569
Trustee	\$1,500
<b>Total:</b>	<b>\$5,569</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Palm Desert Redevelopment Agency	\$32,600,000.00	10/10/2018	2016-1117	\$0.00	\$0.00	4/1/2018	Matured FY 17/18
<b>Total</b>		<b>\$32,600,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-13-2006	\$32,600,000	Palm Desert Redevelopment Agency Riverside CDIAC Number: 2016-1117 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Area No 1	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Richards Watson Lofton & Jennings Del Rio Advisors LLC Palm Desert FA Wells Fargo Bank NA	04-01-18 Serial	<u>TIC</u> 3.903 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Palm Desert Financing Authority	2006-1335	\$32,600,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-25-2007	\$86,155,000	Palm Desert Financing Authority Riverside CDIAC Number: 2006-1484 Tax allocation bond Multifamily Housing Hsg Sdet-Aside Areas Nos 1 thru 4 Refunding	M:Aaa/A2 F:AAA  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Richards Watson Lofton & Jennings Del Rio Advisors LLC MBIA Corp Wells Fargo Bank NA Hutchinson Shockey	10-01-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.181	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$1,000
Disclosure	\$300
Trustee	\$601
<b>Total:</b>	<b>\$1,901</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Palm Desert Redevelopment Agency	\$86,155,000.00	10/10/2018	2016-1118	\$0.00	\$0.00	10/1/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$86,155,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-25-2007	\$86,155,000	Palm Desert Redevelopment Agency Riverside CDIAC Number: 2016-1118 Marks-Roos Authority Loan Multifamily Housing Hsg Set-Aside Areas Nos 1 thru 4	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Richards Watson Lofton & Jennings Del Rio Advisors LLC Palm Desert FA Wells Fargo Bank NA	10-01-27 Serial	<u>TIC</u> 4.181 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Palm Desert Financing Authority	2006-1484	\$86,155,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2013	\$2,755,000	Perris Joint Powers Authority Riverside CDIAC Number: 2013-0858 Revenue bond Multiple Capital Improvements, Public Works Series A Refunding	NR	Neg	(BC) Aleshire & Wynder (DC) Fulbright & Jaworski (FA) Rod Gunn (TR) U.S. Bank NA (UW) OConnor & Co Sec	09-01-25 Comb	<u>TIC</u> 4.021 <u>NIC</u> 4.050 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
							<u>Repay Date</u>	
LTOB	Perris CFD No 93-2	\$2,755,000.00	10/25/2018	2013-0859	\$0.00	\$0.00	9/1/2017	O FY 17/18
		<b>Total</b>				<b>\$0.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
05-08-2013	\$2,755,000	Perris CFD No 93-2 Riverside CDIAC Number: 2013-0859 Limited tax obligation bond Multiple Capital Improvements, Public Works Perris Plaza	NR	Neg	(BC) (DC) (FA) (PU)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA	09-01-25 Comb	<u>TIC</u> 4.021 <u>NIC</u> 4.050 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
100.000	0	N

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Perris Joint Powers Authority	2013-0858	\$2,755,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-12-2013	\$5,750,000	Perris Joint Powers Authority Riverside CDIAC Number: 2013-1046 Revenue bond Multiple Capital Improvements, Public Works CFD No 2002-1 Willowbrook Series B	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-33 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.968 5.015

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,045,000	\$552,563	\$0	\$555,177	\$0	\$555,177

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$299
Consultant	\$6,060
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$9,459

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2002-1	\$5,750,000.00	10/25/2018	2013-1032	\$14,459.47	\$5,045,000.00		
<b>Total</b>		<b>\$5,750,000.00</b>				<b>\$5,045,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-12-2013	\$5,750,000	Perris CFD No 2002-1 Riverside CDIAC Number: 2013-1032 Limited tax obligation bond Multiple Capital Improvements, Public Works Willowbrook Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 4.968 <u>NIC</u> 5.015 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,045,000	\$0	\$0	\$14,459	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
100.000	Y	0.800

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$425,261	\$3,414	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2013-1046	\$5,750,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-16-2014	\$12,165,000	Perris Joint Powers Authority Riverside CDIAC Number: 2013-2245 Revenue bond Multiple Capital Improvements, Public Works CFD No 2001-1 May Farms IA Nos 1, 2 & 3 Series A Refunding	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-33 Comb	<u>TIC</u> 4.790 <u>NIC</u> 4.839 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,160,000	\$943,106	\$0	\$947,544	\$0	\$947,544

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$811
Consultant	\$12,275
Financial Advisor	\$6,000
Legal, Law Firm	\$1,800
Trustee	\$1,500
Total:	\$22,386

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2001-1	\$1,505,000.00	10/25/2018	2013-2242	\$11,561.98	\$1,270,000.00		
LTOB	Perris CFD No 2001-1	\$6,390,000.00	10/26/2018	2013-2243	\$13,562.48	\$5,340,000.00		
LTOB	Perris CFD No 2001-1	\$4,270,000.00	10/25/2018	2013-2244	\$12,261.22	\$3,550,000.00		
<b>Total</b>		<b>\$12,165,000.00</b>				<b>\$10,160,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-16-2014	\$1,505,000	Perris CFD No 2001-1 Riverside CDIAC Number: 2013-2242 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 May Farms Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 4.804 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,270,000	\$0	\$0	\$11,562	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
12.370	Y	0.600	\$115,036

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$685	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2013-2245	\$12,165,000.00



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-16-2014	\$6,390,000	Perris CFD No 2001-1 Riverside CDIAC Number: 2013-2243 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 3 May Farms Series C Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 4.751 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,340,000	\$0	\$0	\$13,562	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
52.530	0.750	Y	\$505,856

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,793	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2013-2245	\$12,165,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-16-2014	\$4,270,000	Perris CFD No 2001-1 Riverside CDIAC Number: 2013-2244 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 2 May Farms Series B Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 4.750 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,550,000	\$0	\$0	\$12,261	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
35.100	Y	0.960	\$338,255

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,249	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2013-2245	\$12,165,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-04-2014	\$2,825,000	Perris Joint Powers Authority Riverside CDIAC Number: 2014-0628 Revenue bond Multiple Capital Improvements, Public Works CFD No 2006-1 Meritage Series B Refunding	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-38 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.485 4.580

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,525,000	\$216,000	\$0	\$217,618	\$0	\$217,618

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$188
Consultant	\$5,753
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$9,041

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2006-1	\$2,825,000.00	10/25/2018	2014-0627	\$14,040.99	\$2,525,000.00		
<b>Total</b>		<b>\$2,825,000.00</b>				<b>\$2,525,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-04-2014	\$2,825,000	Perris CFD No 2006-1 Riverside CDIAC Number: 2014-0627 Limited tax obligation bond Multiple Capital Improvements, Public Works Meritage Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-38 Serial	TIC 4.485 NIC 4.580 TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$2,525,000	\$0	\$0	\$14,041	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Rate(%)	Del Taxes Reported YES/NO	Taxes Due
100.000	0.450	Y	\$179,684

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$807	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Perris Joint Powers Authority	2014-0628	\$2,825,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-17-2014	\$8,615,000	Perris Joint Powers Authority Riverside CDIAC Number: 2014-0973 Revenue bond Multiple Capital Improvements, Public Works Monument Ranch CFD No 2004-3 IA 1 Series C	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-34 Comb	<u>TIC</u> 3.942 <u>NIC</u> 3.947 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,530,000	\$617,038	\$0	\$619,941	\$0	\$619,941

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$279
Consultant	\$5,759
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$9,138

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2004-3	\$8,615,000.00	10/24/2018	2014-0972	\$14,138.30	\$7,530,000.00		
<b>Total</b>		<b>\$8,615,000.00</b>				<b>\$7,530,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-24-2014	\$8,615,000	Perris CFD No 2004-3 Riverside CDIAC Number: 2014-0972 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Monument Ranch Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.942 <u>NIC</u> 3.947 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,530,000	\$0	\$0	\$14,138	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$626,594

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2014-0973	\$8,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-17-2014	\$4,695,000	Perris Joint Powers Authority Riverside CDIAC Number: 2014-0975 Revenue bond Multiple Capital Improvements, Public Works Corman Leigh Communities CFD No 2004-2 Series D	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-34 Comb	<u>TIC</u> 3.875 <u>NIC</u> 3.897 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,100,000	\$338,156	\$0	\$341,763	\$0	\$341,763

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$232
Consultant	\$5,856
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$9,189

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2004-2	\$4,695,000.00	10/24/2018	2014-0974	\$14,188.72	\$4,100,000.00		
<b>Total</b>		<b>\$4,695,000.00</b>				<b>\$4,100,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-17-2014	\$4,695,000	Perris CFD No 2004-2 Riverside CDIAC Number: 2014-0974 Limited tax obligation bond Multiple Capital Improvements, Public Works Corman Leigh Communities Series D Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.875 <u>NIC</u> 3.897 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,100,000	\$0	\$0	\$14,189	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0.240 Y	\$351,574

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$843	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2014-0975	\$4,695,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-18-2014	\$13,925,000	Perris Joint Powers Authority Riverside CDIAC Number: 2014-1283 Revenue bond Multiple Capital Improvements, Public Works CFD No 2001-1 May Farms IA Nos 6 & 7 Series E	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.201 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,575,000	\$917,525	\$0	\$921,843	\$0	\$921,843

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$574
Consultant	\$6,869
Financial Advisor	\$4,000
Legal, Law Firm	\$1,200
Trustee	\$1,000
Total:	\$13,642

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2001-1	\$6,015,000.00	10/26/2018	2014-1284	\$11,722.62	\$5,415,000.00		
LTOB	Perris CFD No 2001-1	\$7,910,000.00	10/26/2018	2014-1285	\$11,919.84	\$7,160,000.00		
<b>Total</b>		<b>\$13,925,000.00</b>				<b>\$12,575,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-18-2014	\$6,015,000	Perris CFD No 2001-1 Riverside CDIAC Number: 2014-1284 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 6 May Farms Series D Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-38 Comb	<u>TIC</u> 4.128 <u>NIC</u> 4.153 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,415,000	\$0	\$0	\$11,723	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
43.200	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$402,145	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2014-1283	\$13,925,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-18-2014	\$7,910,000	Perris CFD No 2001-1 Riverside CDIAC Number: 2014-1285 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 7 May Farms Series E Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.201 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,160,000	\$0	\$0	\$11,920	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
56.800	0.340	Y	\$533,354

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,826	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2014-1283	\$13,925,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-09-2014	\$2,465,000	Perris Joint Powers Authority Riverside CDIAC Number: 2014-1485 Revenue bond Multiple Capital Improvements, Public Works CFD No 2004-1 Series F	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-34 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.666 3.676

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,145,000	\$174,544	\$0	\$177,600	\$0	\$177,600

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$183
Consultant	\$3,753
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$7,036

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2004-1	\$2,465,000.00	10/26/2018	2014-1486	\$12,036.13	\$2,145,000.00		
<b>Total</b>		<b>\$2,465,000.00</b>				<b>\$2,145,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-09-2014	\$2,465,000	Perris CFD No 2004-1 Riverside CDIAC Number: 2014-1486 Limited tax obligation bond Multiple Capital Improvements, Public Works Amber Oaks Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris JPA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.666 <u>NIC</u> 3.676 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,145,000	\$0	\$0	\$12,036	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
100.000	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$184,934	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2014-1485	\$2,465,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-13-2014	\$3,435,000	Perris Joint Powers Authority Riverside CDIAC Number: 2014-1526 Revenue bond Multiple Capital Improvements, Public Works CFD No 2003-1 Series G	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-33 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.649 3.665

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,085,000	\$301,600	\$0	\$303,716	\$0	\$303,716

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$188
Consultant	\$3,753
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$7,041

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2003-1	\$3,435,000.00	10/26/2018	2014-1527	\$12,041.02	\$3,085,000.00		
<b>Total</b>		<b>\$3,435,000.00</b>				<b>\$3,085,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-13-2014	\$3,435,000	Perris CFD No 2003-1 Riverside CDIAC Number: 2014-1527 Limited tax obligation bond Multiple Capital Improvements, Public Works Chaparral Ridge Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Aleshire & Wynder Rod Gunn Perris JPA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 3.649 <u>NIC</u> 3.665 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,085,000	\$0	\$0	\$12,041	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.790	\$265,117

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,106	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2014-1526	\$3,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
03-26-2015	\$13,570,000	Perris Joint Powers Authority Riverside CDIAC Number: 2015-0348 Revenue bond Multiple Capital Improvements, Public Works CFD 2005-2 Series A	NR	Neg	(BC) Aleshire & Wynder (DC) Norton Rose Fulbright (FA) Rod Gunn (TR) U.S. Bank NA (UW) OConnor & Co Sec	09-01-35 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.485 3.490	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,225,000	\$923,394	\$0	\$927,739	\$0	\$927,739

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$323
Consultant	\$3,862
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$7,285

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2005-2	\$13,570,000.00	10/24/2018	2015-0347	\$12,284.94	\$12,225,000.00		
<b>Total</b>		<b>\$13,570,000.00</b>				<b>\$12,225,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-26-2015	\$13,570,000	Perris CFD No 2005-2 Riverside CDIAC Number: 2015-0347 Limited tax obligation bond Multiple Capital Improvements, Public Works Harmony Grove Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris JPA U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 3.485 <u>NIC</u> 3.490 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,225,000	\$0	\$0	\$12,285	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0.370	Y	\$932,836

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,467	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2015-0348	\$13,570,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>
05-18-2015	\$9,020,000	Perris Joint Powers Authority Riverside CDIAC Number: 2015-0527 Revenue bond Multiple Capital Improvements, Public Works Monument Park Estates Series B	NR	Neg	(BC) Aleshire & Wynder (DC) Norton Rose Fulbright (FA) Rod Gunn (TR) U.S. Bank NA (UW) OConnor & Co Sec	09-01-45 Comb	<u>TIC</u> 4.584 <u>NIC</u> 4.678 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,840,000	\$754,773	\$0	\$758,323	\$0	\$758,323

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$327
Consultant	\$3,862
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Total:	\$6,789

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2006-2	\$9,020,000.00	10/24/2018	2015-0523	\$12,289.03	\$8,840,000.00		
<b>Total</b>		<b>\$9,020,000.00</b>				<b>\$8,840,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-18-2015	\$9,020,000	Perris CFD No 2006-2 Riverside CDIAC Number: 2015-0523 Limited tax obligation bond Multiple Capital Improvements, Public Works Monument Park Estates	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris JPA U.S. Bank NA	09-01-45 Comb	<u>TIC</u> 4.584 <u>NIC</u> 4.678 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,840,000	\$0	\$0	\$12,289	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$472,115

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2015-0527	\$9,020,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-18-2015	\$8,285,000	Perris Joint Powers Authority Riverside CDIAC Number: 2015-1286 Revenue bond Multiple Capital Improvements, Public Works CFD 2004-3 IA 2 Series C	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-35 Comb	<u>TIC</u> 4.283 <u>NIC</u> 4.217 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,855,000	\$745,388	\$0	\$748,895	\$0	\$748,895

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$246
Consultant	\$3,857
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$7,203

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2004-3	\$8,285,000.00	10/24/2018	2015-1285	\$12,203.19	\$7,855,000.00		
<b>Total</b>		<b>\$8,285,000.00</b>				<b>\$7,855,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-18-2015	\$8,285,000	Perris CFD No 2004-3 Riverside CDIAC Number: 2015-1285 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 2 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris JPA U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 4.283 <u>NIC</u> 4.217 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,855,000	\$0	\$0	\$12,203	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0.460	Y	\$548,206

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,549	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2015-1286	\$8,285,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-06-2015	\$1,540,000	Perris Joint Powers Authority Riverside CDIAC Number: 2015-1413 Revenue bond Multiple Capital Improvements, Public Works CFD 2014-2 Perris Vly Spectrum Series D	NR	Neg	(BC) Aleshire & Wynder (DC) Norton Rose Fulbright (FA) Rod Gunn (TR) U.S. Bank NA (UW) OConnor & Co Sec	09-01-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.094 3.054

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,050,000	\$154,000	\$0	\$154,725	\$0	\$154,725

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$137
Consultant	\$3,751
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
<b>Total:</b>	<b>\$6,987</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2014-2	\$1,540,000.00	10/24/2018	2015-1462	\$11,987.49	\$1,050,000.00		
<b>Total</b>		<b>\$1,540,000.00</b>				<b>\$1,050,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-06-2015	\$1,540,000	Perris CFD No 2014-2 Riverside CDIAC Number: 2015-1462 Limited tax obligation bond Multiple Capital Improvements, Public Works Valley Spectrum Series D Refunding	NR	Neg	(BC) Aleshire & Wynder (DC) Norton Rose Fulbright (FA) Rod Gunn (PU) Perris JPA (TR) U.S. Bank NA	09-01-21 Serial	<u>TIC</u> 3.094 <u>NIC</u> 3.054 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,050,000	\$0	\$0	\$11,987	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$125,400

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2015-1413	\$1,540,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-15-2015	\$4,560,000	Perris Joint Powers Authority Riverside CDIAC Number: 2015-1617 Revenue bond Multiple Capital Improvements, Public Works CFD No 2005-1, IA 3 Series E	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-38 Comb	<u>TIC</u> 4.258 <u>NIC</u> 4.219 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,250,000	\$306,869	\$0	\$309,814	\$0	\$309,814

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$217
Consultant	\$3,755
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$7,072

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2005-1	\$4,560,000.00	10/24/2018	2015-1614	\$12,072.35	\$4,250,000.00		
<b>Total</b>		<b>\$4,560,000.00</b>				<b>\$4,250,000.00</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-15-2015	\$4,560,000	Perris CFD No 2005-1 Riverside CDIAC Number: 2015-1614 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 3 Perris Valley Series E Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris JPA U.S. Bank NA	09-01-38 Comb	<u>TIC</u> 4.258 <u>NIC</u> 4.219 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,250,000	\$0	\$0	\$12,072	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0.460	Y	\$317,374

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,457	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2015-1617	\$4,560,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-14-2015	\$5,415,000	Perris Joint Powers Authority Riverside CDIAC Number: 2015-1816 Revenue bond Multiple Capital Improvements, Public Works CFD No. 2005-4 Stratford Ranch Series F	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn U.S. Bank NA OConnor & Co Sec	09-01-38 Serial	<u>TIC</u> 4.031 <u>NIC</u> 3.983 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,945,000	\$349,793	\$0	\$358,931	\$0	\$358,931

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$285
Consultant	\$4,611
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$7,997

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2005-4	\$5,415,000.00	10/24/2018	2015-1813	\$12,996.96	\$4,945,000.00		
<b>Total</b>		<b>\$5,415,000.00</b>				<b>\$4,945,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-14-2015	\$5,415,000	Perris CFD No 2005-4 Riverside CDIAC Number: 2015-1813 Limited tax obligation bond Multiple Capital Improvements, Public Works Stratford Ranch Series F Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris JPA U.S. Bank NA	09-01-38 Serial	<u>TIC</u> 4.031 <u>NIC</u> 3.983 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,945,000	\$0	\$0	\$12,997	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0.120	Y	\$563,480

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$698	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2015-1816	\$5,415,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
12-08-2015	\$3,190,000	Perris Joint Powers Authority Riverside CDIAC Number: 2015-2337 Revenue bond Multiple Capital Improvements, Public Works CFD No 2005-4 Stratford Ranch Steeplechase Series G	NR	Neg	(BC) Aleshire & Wynder (DC) Norton Rose Fulbright (FA) Rod Gunn (TR) U.S. Bank NA (UW) OConnor & Co Sec	09-01-38 Serial	<u>TIC</u> 3.649 <u>NIC</u> 3.685 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,955,000	\$201,875	\$0	\$202,825	\$0	\$202,825

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$285
Consultant	\$4,611
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$7,997

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2005-4	\$3,190,000.00	10/24/2018	2015-2336	\$12,996.96	\$2,955,000.00		
<b>Total</b>		<b>\$3,190,000.00</b>				<b>\$2,955,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-08-2015	\$3,190,000	Perris CFD No 2005-4 Riverside CDIAC Number: 2015-2336 Limited tax obligation bond Multiple Capital Improvements, Public Works Stratford Ranch Steeplechase Series G	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris JPA U.S. Bank NA	09-01-38 Serial	<u>TIC</u> 3.649 <u>NIC</u> 3.685 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,955,000	\$0	\$0	\$12,997	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.120	\$563,480

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$698	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2015-2337	\$3,190,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-07-2017	\$4,580,000	Perris Joint Powers Authority Riverside CDIAC Number: 2017-1050 Revenue bond Multiple Capital Improvements, Public Works Perris CFD No 2014-1 IA 1 (Avelina) Series A	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp U.S. Bank NA Brandis Tallman LLC	09-01-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.845 3.789

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,535,000	\$330,066	\$0	\$332,644	\$0	\$332,644

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$203
Consultant	\$3,824
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Trustee	\$500
Total:	\$7,127

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2014-1	\$4,580,000.00	10/24/2018	2017-1051	\$12,127.23	\$4,535,000.00		
<b>Total</b>		<b>\$4,580,000.00</b>				<b>\$4,535,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-07-2017	\$4,580,000	Perris CFD No 2014-1 Riverside CDIAC Number: 2017-1051 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Avelina Series A	NR	Neg	(BC) (DC) (FA) (PU)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp Perris JPA	09-01-47 Comb	<u>TIC</u> 3.612 <u>NIC</u> 3.635 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$4,535,000	\$0	\$0	\$12,127	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	Y	\$209,138

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$1,485	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Perris Joint Powers Authority	2017-1050	\$4,580,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>			
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-12-2017	\$22,175,000	Perris Joint Powers Authority Riverside CDIAC Number: 2017-2404 Revenue bond Multiple Capital Improvements, Public Works CFD No 2001-1 Nos 4 & 5 & 2005-1 IA 4 Series B	NR	Neg	(BC) Aleshire & Wynder (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Brandis Tallman LLC	09-01-37 Comb	<u>TIC</u> 3.405 <u>NIC</u> 3.653 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,175,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$446,803

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$732
Consultant	\$11,871
Financial Advisor	\$6,000
Legal, Law Firm	\$1,800
Trustee	\$1,500
Total:	\$21,902

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2001-1	\$12,240,000.00	10/25/2018	2017-2405	\$12,593.17	\$12,240,000.00		
LTOB	Perris CFD No 2001-1	\$7,405,000.00	10/25/2018	2017-2406	\$12,288.09	\$7,405,000.00		
LTOB	Perris CFD No 2005-1	\$2,530,000.00	10/25/2018	2017-2407	\$12,020.99	\$2,530,000.00		
<b>Total</b>		<b>\$22,175,000.00</b>				<b>\$22,175,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-12-2017	\$12,240,000	Perris CFD No 2001-1 Riverside CDIAC Number: 2017-2405 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 4 May Farms Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp Perris PFA U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 3.320 <u>NIC</u> 3.467 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,240,000	\$1,027,163	\$0	\$12,593	\$1,031,998

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,035,257

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,299	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2017-2404	\$22,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-12-2017	\$7,405,000	Perris CFD No 2001-1 Riverside CDIAC Number: 2017-2406 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 5 May Farms Series B Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp Perris PFA U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 3.500 <u>NIC</u> 3.685 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,405,000	\$584,294	\$0	\$12,288	\$587,043

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$578,635

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$15,386	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2017-2404	\$22,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-12-2017	\$2,530,000	Perris CFD No 2005-1 Riverside CDIAC Number: 2017-2407 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 4 Perris Valley Vistas Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp Perris PFA U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 3.500 <u>NIC</u> 3.684 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,530,000	\$198,538	\$0	\$12,021	\$199,472

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
0	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$202,445	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2017-2404	\$22,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-30-2017	\$4,060,000	Perris Joint Powers Authority Riverside CDIAC Number: 2017-2802 Revenue bond Multiple Capital Improvements, Public Works CFD 93-1 & 2004-5 Series C	NR	Comp	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp U.S. Bank NA Brandis Tallman LLC	09-01-35 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.066 3.081

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,060,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$204,286

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$625
Consultant	\$8,122
Financial Advisor	\$4,000
Legal, Law Firm	\$1,200
Trustee	\$1,000
<b>Total:</b>	<b>\$14,947</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 93-1	\$2,640,000.00	10/25/2018	2017-2806	\$12,915.07	\$2,640,000.00		
LTOB	Perris CFD No 2004-5	\$1,420,000.00	10/25/2018	2017-2807	\$12,031.84	\$1,420,000.00		
<b>Total</b>		<b>\$4,060,000.00</b>				<b>\$4,060,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-30-2017	\$2,640,000	Perris CFD No 93-1 Riverside CDIAC Number: 2017-2806 Limited tax obligation bond Multiple Capital Improvements, Public Works May Ranch Series A Refunding	NR	Neg PP	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp Perris JPA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 2.531 <u>NIC</u> 2.217 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,640,000	\$264,000	\$0	\$12,915	\$265,242

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.140	Y	\$580,508

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,589	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2017-2802	\$4,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-30-2017	\$1,420,000	Perris CFD No 2004-5 Riverside CDIAC Number: 2017-2807 Limited tax obligation bond Multiple Capital Improvements, Public Works Amber Oaks II Series C Refunding	NR	Comp PP	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp Perris JPA U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 3.407 <u>NIC</u> 3.305 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,420,000	\$106,475	\$0	\$12,032	\$106,976

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$131,476

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2017-2802	\$4,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-27-2018	\$4,410,000	Perris Joint Powers Authority Riverside CDIAC Number: 2018-0599 Revenue bond Multiple Capital Improvements, Public Works CFD No 2014-1 Avelina IA No 2 Series A	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp U.S. Bank NA Brandis Tallman LLC	09-01-48 Comb	<u>TIC</u> 3.960 <u>NIC</u> 3.950 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,410,000	\$327,904	\$0	\$328,634	\$0	\$328,634

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$219,935

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$181
Consultant	\$2,905
Financial Advisor	\$2,000
Legal, Law Firm	\$600
Total:	\$5,686

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr</u>	<u>Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 2014-1	\$4,410,000.00	10/25/2018	2018-0600	\$10,686.31	\$4,410,000.00		
<b>Total</b>		<b>\$4,410,000.00</b>				<b>\$4,410,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-27-2018	\$4,410,000	Perris CFD No 2014-1 Riverside CDIAC Number: 2018-0600 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 2 Avelina Series A	NR	Neg PP	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Stradling Yocca Fieldman Rolapp Perris JPA U.S. Bank NA	09-01-48 Comb	<u>TIC</u> 3.960 <u>NIC</u> 3.894 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,410,000	\$0	\$0	\$10,686	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$163,263

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Joint Powers Authority	2018-0599	\$4,410,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-07-2007	\$11,895,000	Perris Public Financing Authority Riverside CDIAC Number: 2007-1078 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 88-1, 88-3 & 90-1 Series A Refunding	S:AAA     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Ambac Wells Fargo Bank NA Southwest Securities	09-01-24 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.826

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,075,000	\$635,893	\$0	\$319,466	\$317,946	\$637,412

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$1,256
Consultant	\$13,502
Financial Advisor	\$6,000
Legal, Law Firm	\$1,800
Trustee	\$1,500
<b>Total:</b>	<b>\$24,058</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris CFD No 88-3	\$5,005,000.00	10/23/2018	2007-1054	\$13,448.37	\$1,430,000.00		
LTOB	Perris CFD No 88-1	\$7,690,000.00	10/23/2018	2007-1056	\$13,466.18	\$1,275,000.00		
LTOB	Perris CFD No 90-1	\$3,140,000.00	10/23/2018	2007-1076	\$12,143.08	\$1,100,000.00		
RB	Perris Public Financing Authority	\$1,865,000.00		2007-1077			3/31/2011	O FY 10/11
<b>Total</b>		<b>\$17,700,000.00</b>				<b>\$3,805,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-07-2007	\$5,005,000	Perris CFD No 88-3 Riverside CDIAC Number: 2007-1054 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris PFA Wells Fargo Bank NA	09-01-24 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,430,000	\$0	\$0	\$13,448	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
31.610	1.020	Y	\$269,942

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,756	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2007-1078	\$11,895,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-07-2007	\$7,690,000	Perris CFD No 88-1 Riverside CDIAC Number: 2007-1056 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris PFA Wells Fargo Bank NA	09-01-19 Serial	<u>TIC</u> 4.753 <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,275,000	\$0	\$0	\$13,466	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
48.560	0.540	Y	\$697,206

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,754	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2007-1078	\$11,895,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-07-2007	\$3,140,000	Perris CFD No 90-1 Riverside CDIAC Number: 2007-1076 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris PFA Wells Fargo Bank NA	09-01-24 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,100,000	\$0	\$0	\$12,143	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
19.830	3.850	Y	\$227,140

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,744	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2007-1078	\$11,895,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-03-2009	\$4,055,000	Perris Public Financing Authority Riverside CDIAC Number: 2008-1270 Tax allocation bond Redevelopment, Multiple Purposes 1987 Project Series A	S:A-	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Wells Fargo Bank NA OConnor & Co Sec	10-01-37 Comb	<u>TIC</u> 7.504 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,485,000	\$405,500	\$0	\$407,028	\$0	\$407,028

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Perris Redevelopment Agency	\$4,055,000.00	10/30/2018	2016-1128	\$0.00	\$3,485,000.00		
<b>Total</b>		<b>\$11,660,000.00</b>				<b>\$10,440,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2009	\$7,605,000	Perris Public Financing Authority Riverside CDIAC Number: 2008-1128 Tax allocation bond Redevelopment, Multiple Purposes 1994 Project Series B	S:A-	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Wells Fargo Bank NA OConnor & Co Sec	10-01-39 Comb	<u>TIC</u> 7.250 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,955,000	\$760,500	\$0	\$765,728	\$0	\$765,728

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$1,582
Trustee	\$605
Total:	\$2,187

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Perris Redevelopment Agency	\$7,605,000.00	10/30/2018	2016-1127	\$0.00	\$6,955,000.00		
<b>Total</b>		<b>\$7,605,000.00</b>				<b>\$6,955,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-03-2009	\$4,055,000	Perris Redevelopment Agency Riverside CDIAC Number: 2016-1128 Marks-Roos Authority Loan Redevelopment, Multiple Purposes 1987 Project	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris PFA Wells Fargo Bank NA	10-01-37 Comb	<u>TIC</u> 7.504 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,485,000	\$405,500	\$0	\$0	\$407,028

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
100.000	N	0

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2008-1270	\$4,055,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-25-2009	\$7,605,000	Perris Redevelopment Agency Riverside CDIAC Number: 2016-1127 Marks-Roos Authority Loan Redevelopment, Multiple Purposes 1994 Project	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris PFA Wells Fargo Bank NA	10-01-39 Comb	<u>TIC</u> 7.250 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,955,000	\$760,500	\$0	\$0	\$765,728

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2008-1128	\$7,605,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2009	\$5,490,000	Perris Public Financing Authority Riverside CDIAC Number: 2009-0116 Tax allocation bond Redevelopment, Multiple Purposes Central North Series C	S:A	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Wells Fargo Bank NA OConnor & Co Sec	10-01-35 Comb	<u>TIC</u> 7.056 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,930,000	\$549,000	\$0	\$552,750	\$0	\$552,750

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$1,582
Trustee	\$605
Total:	\$2,187

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Perris Redevelopment Agency	\$5,490,000.00	10/30/2018	2016-1129	\$0.00	\$4,930,000.00		
<b>Total</b>		<b>\$5,490,000.00</b>				<b>\$4,930,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2009	\$5,490,000	Perris Redevelopment Agency Riverside CDIAC Number: 2016-1129 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Central North	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris PFA Wells Fargo Bank NA	10-01-35 Comb	<u>TIC</u> 7.056 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,930,000	\$549,000	\$0	\$0	\$552,750

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2009-0116	\$5,490,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-13-2010	\$7,180,000	Perris Public Financing Authority Riverside CDIAC Number: 2010-0122 Tax allocation bond Multifamily Housing Downtown Hsg Area Series A	S:A	Neg	(BC) (DC) (FA) (TR) (UW)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Wells Fargo Bank NA OConnor & Co Sec	10-01-40 Comb	<u>TIC</u> 6.534 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,960,000	\$718,000	\$0	\$722,937	\$0	\$722,937

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$1,732
Trustee	\$605
Total:	\$2,337

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Perris Redevelopment Agency	\$7,180,000.00	10/30/2018	2016-1130	\$0.00	\$6,960,000.00		
<b>Total</b>		<b>\$7,180,000.00</b>				<b>\$6,960,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-13-2010	\$7,180,000	Perris Redevelopment Agency Riverside CDIAC Number: 2016-1130 Marks-Roos Authority Loan Multifamily Housing Downtown Hsg Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Fulbright & Jaworski Rod Gunn Perris PFA Wells Fargo Bank NA	10-01-40 Comb	<u>TIC</u> 6.534 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,960,000	\$718,000	\$0	\$0	\$722,937

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2010-0122	\$7,180,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2015	\$23,120,000	Perris Public Financing Authority Riverside CDIAC Number: 2015-0883 Tax allocation bond Multiple Capital Improvements, Public Works Series B Refunding	S:A+	Neg	(BC) Aleshire & Wynder (DC) Norton Rose Fulbright (FA) Rod Gunn (TR) U.S. Bank NA (UW) OConnor & Co Sec	10-01-36 Comb	<u>TIC</u> 3.771 <u>NIC</u> 3.764 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,575,000	\$2,012,718	\$0	\$2,032,667	\$0	\$2,032,667

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$988
Trustee	\$1,450
<b>Total:</b>	<b>\$2,438</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Successor Agency to the Perris Redevelopment Agency	\$5,455,000.00	10/30/2018	2015-0884	\$0.00	\$5,070,000.00		
MKRL	Successor Agency to the Perris Redevelopment Agency	\$12,810,000.00	10/30/2018	2015-0885	\$0.00	\$11,905,000.00		
MKRL	Successor Agency to the Perris Redevelopment Agency	\$4,855,000.00	10/30/2018	2015-0889	\$0.00	\$4,600,000.00		
<b>Total</b>		<b>\$23,120,000.00</b>				<b>\$21,575,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2015	\$5,455,000	Successor Agency to the Perris Redevelopment Agency Riverside CDIAC Number: 2015-0884 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Central North Series B	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris PFA U.S. Bank NA	10-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,070,000	\$2,012,718	\$0	\$0	\$2,032,667

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2015-0883	\$23,120,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
07-09-2015	\$12,810,000	Successor Agency to the Perris Redevelopment Agency Riverside CDIAC Number: 2015-0885 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Series B	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris PFA U.S. Bank NA	10-01-36 Serial	TIC 0 NIC 0 TYPE: VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$11,905,000	\$2,012,718	\$0	\$0	\$2,032,667

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
100.000	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Perris Public Financing Authority	2015-0883	\$23,120,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2015	\$4,855,000	Successor Agency to the Perris Redevelopment Agency Riverside CDIAC Number: 2015-0889 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Series B	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris PFA U.S. Bank NA	10-01-36 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,600,000	\$2,012,718	\$0	\$0	\$2,032,667

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2015-0883	\$23,120,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-09-2015	\$21,590,000	Perris Public Financing Authority Riverside CDIAC Number: 2015-0886 Tax allocation bond Redevelopment, Multiple Purposes Loans & Hsg Loan Series A Refunding	S:AA-	Neg	(BC) Aleshire & Wynder (DC) Norton Rose Fulbright (FA) Rod Gunn (TR) U.S. Bank NA (UW) OConnor & Co Sec	10-01-33 Comb	<u>TIC</u> 3.294 <u>NIC</u> 3.440 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$19,665,000	\$1,797,125	\$0	\$1,803,916	\$0	\$1,803,916

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$988
Trustee	\$1,700
<b>Total:</b>	<b>\$2,688</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Successor Agency to the Perris Redevelopment Agency	\$6,715,000.00	10/30/2018	2015-0887	\$0.00	\$6,070,000.00		
MKRL	Successor Agency to the Perris Redevelopment Agency	\$10,875,000.00	10/30/2018	2015-0888	\$0.00	\$9,980,000.00		
MKRL	Successor Agency to the Perris Redevelopment Agency	\$1,080,000.00	10/30/2018	2015-0890	\$0.00	\$975,000.00		
MKRL	Successor Agency to the Perris Redevelopment Agency	\$2,920,000.00	10/30/2018	2015-0891	\$0.00	\$2,640,000.00		
<b>Total</b>		<b>\$21,590,000.00</b>				<b>\$19,665,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2015	\$6,715,000	Successor Agency to the Perris Redevelopment Agency Riverside CDIAC Number: 2015-0887 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Central North Series A	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris PFA U.S. Bank NA	10-01-31 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,070,000	\$1,797,125	\$0	\$0	\$1,803,916

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2015-0886	\$21,590,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2015	\$10,875,000	Successor Agency to the Perris Redevelopment Agency Riverside CDIAC Number: 2015-0888 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Hsg Fund Loan	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris PFA U.S. Bank NA	10-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,980,000	\$1,797,125	\$0	\$0	\$1,803,916

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2015-0886	\$21,590,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
07-09-2015	\$1,080,000	Successor Agency to the Perris Redevelopment Agency Riverside CDIAC Number: 2015-0890 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Series A	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris PFA U.S. Bank NA	10-01-31 Serial	TIC 0 NIC 0 TYPE: VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$975,000	\$1,797,125	\$0	\$0	\$1,803,916

B. DELINQUENCY

Authority Reserve Fund (%)	Rate(%)	Del Taxes Reported YES/NO	Taxes Due
100.000	0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Perris Public Financing Authority	2015-0886	\$21,590,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2015	\$2,920,000	Successor Agency to the Perris Redevelopment Agency Riverside CDIAC Number: 2015-0891 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Series A	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Aleshire & Wynder Norton Rose Fulbright Rod Gunn Perris PFA U.S. Bank NA	10-01-31 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,640,000	\$1,797,125	\$0	\$0	\$1,803,916

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Public Financing Authority	2015-0886	\$21,590,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
02-04-2015	\$46,150,000	Perris Union High School District Financing Authority Riverside CDIAC Number: 2015-0087 Revenue bond K-12 School Facility CFD Nos 91-1 & 92-1	NR	Neg	(BC) Bowie Arneson Wiles (DC) Stradling Yocca (FA) CSG Advisors (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-41 Comb	<u>TIC</u> 3.952 <u>NIC</u> 0 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$44,235,000	\$3,959,706	\$0	\$3,966,627	\$0	\$3,966,627

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$47,150
Total:	\$47,150

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Perris Union High School District CFD No 91-1	\$9,835,000.00	10/24/2018	2015-0088	\$10,461.42	\$9,615,000.00		
LTOB	Perris Union High School District CFD No 92-1	\$36,315,000.00	10/24/2018	2015-0091	\$36,688.22	\$34,620,000.00		
<b>Total</b>		<b>\$46,150,000.00</b>				<b>\$44,235,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-04-2015	\$9,835,000	Perris Union High School District CFD No 91-1 Riverside CDIAC Number: 2015-0088 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles Stradling Yocca CSG Advisors Perris UnHSD FA U.S. Bank NA	09-01-41 Comb	<u>TIC</u> 3.952 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,615,000	\$0	\$0	\$10,461	\$862,192

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
21.740	Y	0.240	\$644,877

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,563	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Union High School District Financing Authority	2015-0087	\$46,150,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-04-2015	\$36,315,000	Perris Union High School District CFD No 92-1 Riverside CDIAC Number: 2015-0091 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Bowie Arneson Wiles (DC) Stradling Yocca (FA) CSG Advisors (PU) Perris UnHSD FA (TR) U.S. Bank NA	09-01-38 Comb	<u>TIC</u> 3.952 <u>NIC</u> 0 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$34,620,000	\$0	\$0	\$36,688

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
78.260	Y	0.720	\$4,189,762

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$30,277	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Perris Union High School District Financing Authority	2015-0087	\$46,150,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-30-2015	\$8,145,000	Rancho California Water District Financing Authority Riverside CDIAC Number: 2015-1331 Revenue bond Multiple Capital Improvements, Public Works Series A Refunding	S:A	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Fieldman Rolapp The Bank of NY Mellon Bank of America Merrill	09-01-30 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.123 3.142

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,825,000	\$765,000	\$0	\$784,325	\$0	\$784,325

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
							<u>Repay Date</u>	
LTOB	Rancho California Water District CFD No 88-3	\$495,000.00	10/19/2018	2015-1333	\$0.00	\$0.00	9/1/2017	Matured FY 17/18
LTOB	Rancho California Water District CFD No 99-1	\$3,080,000.00	10/22/2018	2015-1334	\$3,409.75	\$2,755,000.00		
LTOB	Rancho California Water District CFD No 99-1	\$2,645,000.00	10/22/2018	2015-1335	\$3,409.75	\$2,360,000.00		
LTOB	Rancho California Water District CFD No 99-2	\$1,925,000.00	10/22/2018	2015-1336	\$4,309.75	\$1,710,000.00		
<b>Total</b>		<b>\$8,145,000.00</b>				<b>\$6,825,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$495,000	Rancho California Water District CFD No 88-3 Riverside CDIAC Number: 2015-1333 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Rancho CA WD FA The Bank of NY Mellon	09-01-17 Serial	<u>TIC</u> 1.404 <u>NIC</u> 1.425 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho California Water District Financing Authority	2015-1331	\$8,145,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$3,080,000	Rancho California Water District CFD No 99-1 Riverside CDIAC Number: 2015-1334 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Rancho CA WD FA The Bank of NY Mellon	09-01-30 Serial	<u>TIC</u> 3.157 <u>NIC</u> 3.171 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,755,000	\$308,000	\$0	\$3,410	\$315,790

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
40.260	Y	0.210	\$278,823

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$581	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho California Water District Financing Authority	2015-1331	\$8,145,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$2,645,000	Rancho California Water District CFD No 99-1 Riverside CDIAC Number: 2015-1335 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Rancho CA WD FA The Bank of NY Mellon	09-01-30 Serial	<u>TIC</u> 3.125 <u>NIC</u> 3.144 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,360,000	\$264,500	\$0	\$3,410	\$271,182

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
34.580	Y	0.230	\$242,557

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$548	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho California Water District Financing Authority	2015-1331	\$8,145,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$1,925,000	Rancho California Water District CFD No 99-2 Riverside CDIAC Number: 2015-1336 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Rancho CA WD FA The Bank of NY Mellon	09-01-30 Serial	<u>TIC</u> 3.143 <u>NIC</u> 3.159 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,710,000	\$192,500	\$0	\$4,310	\$197,352

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
25.160	Y	0.200	\$186,664

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$379	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho California Water District Financing Authority	2015-1331	\$8,145,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2017	\$38,725,000	Rancho California Water District Financing Authority Riverside CDIAC Number: 2017-3009 Public enterprise revenue bond Water Supply, Storage, Distribution Series A Refunding	S:AAA  F:AAA	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Fieldman Rolapp The Bank of NY Mellon Bank of America Merrill	08-01-34 Serial	<u>TIC</u> 2.505 <u>NIC</u> 2.840 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$38,285,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$341,977

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Other agency type	\$58,318
Miscellaneous	\$27,141

Total: \$85,460

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PERB	Rancho California Water District	\$38,725,000.00	10/30/2018	2017-3010	\$0.00	\$38,285,000.00		
<b>Total</b>		<b>\$38,725,000.00</b>				<b>\$38,285,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2017	\$38,725,000	Rancho California Water District Riverside CDIAC Number: 2017-3010 Public enterprise revenue bond Water Supply, Storage, Distribution Series A	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp The Bank of NY Mellon	08-01-34 Serial	<u>TIC</u> 2.505 <u>NIC</u> 2.840 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$38,285,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.100	\$43,218,265

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,328,275	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho California Water District Financing Authority	2017-3009	\$38,725,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-16-2017	\$2,445,000	Rancho California Water District Financing Authority Riverside CDIAC Number: 2017-3011 Public enterprise revenue bond Water Supply, Storage, Distribution Series B Federally Taxable Refunding	S:AAA  F:AAA	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Fieldman Rolapp The Bank of NY Mellon Bank of America Merrill	08-01-23 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.408 2.404

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,445,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$22,704

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Other agency type	\$3,682
Miscellaneous	\$2,826
<b>Total:</b>	<b>\$7,218</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PERB	Rancho California Water District	\$2,445,000.00	10/30/2018	2017-3012	\$0.00	\$2,445,000.00		
<b>Total</b>		<b>\$2,445,000.00</b>				<b>\$2,445,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2017	\$2,445,000	Rancho California Water District Riverside CDIAC Number: 2017-3012 Public enterprise revenue bond Water Supply, Storage, Distribution Series B Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp The Bank of NY Mellon	08-01-23 Serial	<u>TIC</u> 2.408 <u>NIC</u> 2.404 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,445,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.100	\$43,218,265

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,328,275	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho California Water District Financing Authority	2017-3011	\$2,445,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-21-2017	\$46,970,000	Riverside County Infrastructure Financing Authority Riverside CDIAC Number: 2017-3001 Public lease revenue bond Public Building Riverside Co Indio Law Bldg Series A Refunding	S:AA-	Neg	(BC) Orrick Herrington (DC) Stradling Yocca (FA) CM De Crinis & Co Inc (TR) U.S. Bank NA (UW) Raymond James	11-01-44 Comb	<u>TIC</u> 3.319 <u>NIC</u> 3.432 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$46,970,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$585,157

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
11/1/2044	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Riverside County	\$46,970,000.00	09/12/2018	2017-3075	\$0.00	\$46,970,000.00		
<b>Total</b>		<b>\$46,970,000.00</b>				<b>\$46,970,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-21-2017	\$46,970,000	Riverside County Riverside CDIAC Number: 2017-3075 Certificates of participation/leases Public Building Riverside Co Indio Law Bldg Series A	NR	Neg	(BC) (DC) (FA) (TR)	Orrick Herrington Stradling Yocca CM De Crinis & Co Inc U.S. Bank NA	11-01-44 Comb	<u>TIC</u> 3.319 <u>NIC</u> 3.432 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$46,970,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Infrastructure Financing Authority	2017-3001	\$46,970,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2017	\$11,595,000	Riverside County Infrastructure Financing Authority Riverside CDIAC Number: 2017-3461 Public lease revenue bond Public Building AFV Site and AFV Facilities Series B Refunding	S:AA-	Neg	(BC) Best Best & Krieger (DC) Kutak Rock (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Wells Fargo Bank NA	05-01-38 Comb	<u>TIC</u> 3.075 <u>NIC</u> 3.216 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,135,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$169,826

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
5/1/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>
							<u>Repay Date</u>	<u>Type</u>
PLRB	Riverside County Infrastructure Financing Authority	\$10,610,000.00	09/12/2018	2017-3462		\$10,550,000.00		
COPL	Riverside County	\$11,595,000.00	09/12/2018	2017-3520	\$0.00	\$11,135,000.00		
		<b>Total</b>				<b>\$21,685,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2017	\$10,610,000	Riverside County Infrastructure Financing Authority Riverside CDIAC Number: 2017-3462 Public lease revenue bond Health Care Facilities The County Health Lab Expansion Series C	S:AA-	Neg	(BC) Best Best & Krieger (DC) Kutak Rock (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Wells Fargo Bank NA	05-01-47 Comb	<u>TIC</u> 3.389 <u>NIC</u> 3.460 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,550,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$118,591

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
5/1/2047	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Riverside County	\$10,610,000.00	09/12/2018	2018-2113	\$0.00	\$10,550,000.00		
<b>Total</b>		<b>\$10,610,000.00</b>				<b>\$10,550,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2017	\$11,595,000	Riverside County Riverside CDIAC Number: 2017-3520 Certificates of participation/leases Public Building AFV Site and AFV Facilities	NR	Neg	(BC) (DC) (FA) (TR)	Best Best & Krieger Kutak Rock Fieldman Rolapp U.S. Bank NA	05-01-36 Comb	<u>TIC</u> 3.249 <u>NIC</u> 3.359 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,135,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Infrastructure Financing Authority	2017-3461	\$11,595,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-14-2017	\$10,610,000	Riverside County Riverside CDIAC Number: 2018-2113 Certificates of participation/leases Public Building The County Health Lab Expansion	NR	Neg	(BC) Best Best & Krieger (DC) Kutak Rock (FA) Fieldman Rolapp (TR) U.S. Bank NA	05-01-47 Comb	<u>TIC</u> 3.249 <u>NIC</u> 3.359 <u>TYPE:</u>		

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,550,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Infrastructure Financing Authority	2017-3462	\$10,610,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2014	\$27,480,000	Riverside County Public Financing Authority Riverside CDIAC Number: 2014-0372 Tax allocation bond Redevelopment, Multiple Purposes Indian Wells Series A-T Federally Taxable	S:A    Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Best Best & Krieger C M de Crinis Assured Guaranty Corp MUFG Union Bank Citigroup Global Markets	09-01-22 Serial	<u>TIC</u> 3.458 <u>NIC</u> 3.486 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$13,250,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Indian Wells Redevelopment Agency	\$27,480,000.00	10/02/2018	2014-1140	\$0.00	\$13,250,000.00		
<b>Total</b>		<b>\$27,480,000.00</b>				<b>\$13,250,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2014	\$27,480,000	Successor Agency to the Indian Wells Redevelopment Agency Riverside CDIAC Number: 2014-1140 Tax allocation bond Redevelopment, Multiple Purposes Series A-T Federally Taxable Refunding	S:A	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Best Best & Krieger C M de Crinis Riverside Co PFA MUFG Union Bank	09-01-22 Term	<u>TIC</u> 3.458 <u>NIC</u> 3.486 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,250,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2014-0372	\$27,480,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2014	\$6,505,000	Riverside County Public Financing Authority Riverside CDIAC Number: 2014-0373 Tax allocation bond Redevelopment, Multiple Purposes Indian Wells Series A	S:AA/A    Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Best Best & Krieger C M de Crinis Assured Guaranty Corp MUFG Union Bank Citigroup Global Markets	09-01-20 Serial	<u>TIC</u> 1.965 <u>NIC</u> 2.121 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,505,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2022	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Indian Wells Redevelopment Agency	\$6,505,000.00	10/02/2018	2014-1141	\$0.00	\$6,505,000.00		
<b>Total</b>		<b>\$6,505,000.00</b>				<b>\$6,505,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2014	\$6,505,000	Successor Agency to the Indian Wells Redevelopment Agency Riverside CDIAC Number: 2014-1141 Tax allocation bond Redevelopment, Multiple Purposes Series A Refunding	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Best Best & Krieger C M de Crinis Assured Guaranty Corp Riverside Co PFA MUFG Union Bank	09-01-20 Term	<u>TIC</u> 1.965 <u>NIC</u> 2.121 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,505,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2014-0373	\$6,505,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-09-2014	\$11,110,000	Riverside County Public Financing Authority Riverside CDIAC Number: 2014-1281 Tax allocation bond Multiple Capital Improvements, Public Works Hemet	S:AA/A+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Best Best & Krieger C M de Crinis Build America MUFG Union Bank Citigroup Global Markets	09-01-30 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.060 3.164

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,575,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Hemet Redevelopment Agency	\$11,110,000.00	08/23/2018	2014-2117	\$0.00	\$9,575,000.00		
<b>Total</b>		<b>\$11,110,000.00</b>				<b>\$9,575,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-09-2014	\$11,110,000	Successor Agency to the Hemet Redevelopment Agency Riverside CDIAC Number: 2014-2117 Tax allocation bond Redevelopment, Multiple Purposes Refunding	S:AA/A+    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Best Best & Krieger C M de Crinis Build America Riverside Co PFA MUFG Union Bank	09-01-30 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,575,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2014-1281	\$11,110,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-11-2015	\$16,895,000	Riverside County Public Financing Authority Riverside CDIAC Number: 2014-2119 Tax allocation bond Redevelopment, Multiple Purposes Corona Series A	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Best Best & Krieger C M de Crinis Assured Guaranty Corp The Bank of NY Mellon Citigroup Global Markets	09-01-23 Serial	<u>TIC</u> 2.065 <u>NIC</u> 2.246 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,260,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2023	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Corona Redevelopment Agency	\$16,895,000.00	09/19/2018	2014-2116	\$0.00	\$15,260,000.00		
<b>Total</b>		<b>\$16,895,000.00</b>				<b>\$15,260,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-11-2015	\$16,895,000	Successor Agency to the Corona Redevelopment Agency Riverside CDIAC Number: 2014-2116 Tax allocation bond Redevelopment, Multiple Purposes Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Best Best & Krieger C M de Crinis Riverside Co PFA The Bank of NY Mellon	09-01-23 Serial	<u>TIC</u> 1.874 <u>NIC</u> 1.860 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,260,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2014-2119	\$16,895,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>		<u>Role Participant</u>	<u>Maturity Date, Type</u>		<u>Interest</u>
				<u>Private Placement</u>			<u>Capital Appreciation Bond</u>		
03-11-2015	\$3,140,000	Riverside County Public Financing Authority Riverside CDIAC Number: 2015-0583 Tax allocation bond Multiple Capital Improvements, Public Works Corona Series A-T Federally Taxable Refunding	S:A	Neg	(BC) (DC) (FA) (UW)	Jones Hall Best Best & Krieger C M de Crinis Citigroup Global Markets	09-01-17 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	1.874 1.860

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2017	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Corona Redevelopment Agency	\$3,140,000.00	09/19/2018	2015-0584	\$0.00	\$0.00	9/1/2017	Matured FY 17/18
		<b>\$3,140,000.00</b>				<b>\$0.00</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-11-2015	\$3,140,000	Successor Agency to the Corona Redevelopment Agency Riverside CDIAC Number: 2015-0584 Tax allocation bond Redevelopment, Multiple Purposes Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Best Best & Krieger C M de Crinis Riverside Co PFA The Bank of NY Mellon	09-01-17 Serial	<u>TIC</u> 1.874 <u>NIC</u> 1.860 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	Authority Reserve Fund (%)	B. DELINQUENCY		C. TEETER PLAN		
							Rate(%)	YES/NO	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$0	\$0	\$0	\$0	\$0	0	0	N	\$0	\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2015-0583	\$3,140,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
09-09-2015	\$54,955,000	Riverside County Public Financing Authority Riverside CDIAC Number: 2015-1265 Tax allocation bond Redevelopment, Multiple Purposes Area No 1, Desert Comm & I-215 Corridor Series A	S:AA/A     Ins	Neg	(BC) Jones Hall (DC) Best Best & Krieger (FA) C M de Crinis (EN) Assured Guaranty Corp (TR) The Bank of NY Mellon (UW) Citigroup Global Markets	10-01-37 Comb	<u>TIC</u> 3.651 <u>NIC</u> 3.788 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$51,675,000	\$2,541,444	\$0	\$2,586,678	\$0	\$2,586,678

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
10/1/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$6,500
Total:	\$6,500

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Riverside County Redevelopment Agency	\$22,460,000.00	09/10/2018	2015-2171	\$0.00	\$21,145,000.00		
TAB	Successor Agency to the Riverside County Redevelopment Agency	\$13,620,000.00	09/10/2018	2015-2172	\$0.00	\$12,890,000.00		
TAB	Successor Agency to the Riverside County Redevelopment Agency	\$18,875,000.00	09/10/2018	2015-2173	\$0.00	\$17,640,000.00		
<b>Total</b>		<b>\$54,955,000.00</b>				<b>\$51,675,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-09-2015	\$22,460,000	Successor Agency to the Riverside County Redevelopment Agency Riverside CDIAC Number: 2015-2171 Tax allocation bond Redevelopment, Multiple Purposes Area No 1 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Best Best & Krieger C M de Crinis Riverside Co PFA The Bank of NY Mellon	10-01-37 Term	<u>TIC</u> 3.664 <u>NIC</u> 3.797 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,145,000	\$1,504,644	\$0	\$0	\$1,531,424

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
59.200	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2015-1265	\$54,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-09-2015	\$13,620,000	Successor Agency to the Riverside County Redevelopment Agency Riverside CDIAC Number: 2015-2172 Tax allocation bond Redevelopment, Multiple Purposes Desert Communities, Series D Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Best Best & Krieger C M de Crinis Riverside Co PFA The Bank of NY Mellon	10-01-37 Term	<u>TIC</u> 3.715 <u>NIC</u> 3.833 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,890,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2015-1265	\$54,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-09-2015	\$18,875,000	Successor Agency to the Riverside County Redevelopment Agency Riverside CDIAC Number: 2015-2173 Tax allocation bond Redevelopment, Multiple Purposes Interstate 215 Corridor, Series E Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Best Best & Krieger C M de Crinis Riverside Co PFA The Bank of NY Mellon	10-01-37 Term	<u>TIC</u> 3.586 <u>NIC</u> 3.741 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,640,000	\$1,036,800	\$0	\$0	\$1,055,254

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
40.800	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2015-1265	\$54,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-14-2016	\$88,895,000	Riverside County Public Financing Authority Riverside CDIAC Number: 2016-0257 Revenue bond Redevelopment, Multiple Purposes Area No 1 Desert Comm & I-215 Refunding	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Best Best & Krieger C M de Crinis Build America The Bank of NY Mellon Citigroup Global Markets	10-01-37 Comb	<u>TIC</u> 2.873 <u>NIC</u> 3.088 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$86,120,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
10/1/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$6,000
Total:	\$6,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
							<u>Repay Date</u>	
TAB	Successor Agency to the Riverside County Redevelopment Agency	\$21,730,000.00	09/10/2018	2016-0258	\$0.00	\$21,080,000.00		
TAB	Successor Agency to the Riverside County Redevelopment Agency	\$50,800,000.00	09/10/2018	2016-0259	\$0.00	\$49,165,000.00		
TAB	Successor Agency to the Riverside County Redevelopment Agency	\$16,365,000.00	09/10/2018	2016-0260	\$0.00	\$15,875,000.00		
<b>Total</b>		<b>\$88,895,000.00</b>				<b>\$86,120,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-14-2016	\$21,730,000	Successor Agency to the Riverside County Redevelopment Agency Riverside CDIAC Number: 2016-0258 Tax allocation bond Redevelopment, Multiple Purposes Area No 1 Desert Comm & I-215 Series A Refunding	NR	Neg	(BC) Jones Hall (DC) Best Best & Krieger (FA) C M de Crinis (EN) Build America (PU) Riverside Co PFA (TR) The Bank of NY Mellon	10-01-37	Serial	<u>TIC</u> 2.896 <u>NIC</u> 3.106 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,080,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2016-0257	\$88,895,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-14-2016	\$50,800,000	Successor Agency to the Riverside County Redevelopment Agency Riverside CDIAC Number: 2016-0259 Tax allocation bond Redevelopment, Multiple Purposes Area No 1 Desert Comm & I-215 Series D Refunding	NR	Neg	(BC) Jones Hall (DC) Best Best & Krieger (FA) C M de Crinis (EN) Build America (PU) Riverside Co PFA (TR) The Bank of NY Mellon	10-01-37 Serial	<u>TIC</u> 2.857 <u>NIC</u> 3.076 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$49,165,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2016-0257	\$88,895,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-14-2016	\$16,365,000	Successor Agency to the Riverside County Redevelopment Agency Riverside CDIAC Number: 2016-0260 Tax allocation bond Redevelopment, Multiple Purposes Area No 1 Desert Comm & I-215 Series E Refunding	NR	Neg	(BC) Jones Hall (DC) Best Best & Krieger (FA) C M de Crinis (EN) Build America	10-01-37 Serial	<u>TIC</u> 2.896 <u>NIC</u> 3.106 <u>TYPE:</u>	
			Oth		(PU) Riverside Co PFA (TR) The Bank of NY Mellon			

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,875,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2016-0257	\$88,895,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-18-2017	\$80,640,000	Riverside County Public Financing Authority Riverside CDIAC Number: 2017-0357 Revenue bond Redevelopment, Multiple Purposes Desert Communities & I-215 Corridor Series A	S:AA     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Best Best & Krieger CM De Crinis & Co Inc Build America The Bank of NY Mellon Citigroup Global Markets	10-01-40 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.482 3.682

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$78,200,000	\$6,619,824	\$0	\$6,625,487	\$0	\$6,625,487

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
10/1/2040	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$4,370
Total:	\$4,370

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Successor Agency to the Riverside County Redevelopment Agency	\$30,385,000.00	09/12/2018	2017-0375	\$0.00	\$29,285,000.00		
TAB	Successor Agency to the Riverside County Redevelopment Agency	\$50,255,000.00	09/12/2018	2017-0377	\$0.00	\$48,915,000.00		
<b>Total</b>		<b>\$80,640,000.00</b>				<b>\$78,200,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-18-2017	\$30,385,000	Successor Agency to the Riverside County Redevelopment Agency Riverside CDIAC Number: 2017-0375 Tax allocation bond Redevelopment, Multiple Purposes Desert Communities Area Series D Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Best Best & Krieger CM De Crinis & Co Inc Riverside Co PFA The Bank of NY Mellon	10-01-40 Comb	<u>TIC</u> 3.302 <u>NIC</u> 3.522 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$29,285,000	\$2,152,700	\$0	\$0	\$2,152,181

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
37.810	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2017-0357	\$80,640,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-18-2017	\$50,255,000	Successor Agency to the Riverside County Redevelopment Agency Riverside CDIAC Number: 2017-0377 Tax allocation bond Redevelopment, Multiple Purposes I-215 Corridor Area Series E Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Best Best & Krieger CM De Crinis & Co Inc Riverside Co PFA The Bank of NY Mellon	10-01-40 Comb	<u>TIC</u> 3.574 <u>NIC</u> 3.744 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$48,915,000	\$4,467,124	\$0	\$0	\$4,473,306

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
62.190	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside County Public Financing Authority	2017-0357	\$80,640,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2007	\$14,850,000	Riverside Public Financing Authority Riverside CDIAC Number: 2007-0197 Revenue bond Redevelopment, Multiple Purposes Downtown/Aprt Merged & Casa Blanca Series B Federally Taxable	S:AAA/A-  F:AAA/A-  Ins	Neg	(BC) (EN) (TR) (UW)	Best Best & Krieger MBIA Corp U.S. Bank NA Stone & Youngberg	08-01-28 Comb	<u>TIC</u> <u>NIC</u> 5.775 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,800,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$629
Bank	\$3,519
Total:	\$4,148

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
RB	Riverside Public Financing Authority	\$8,340,000.00	10/29/2018	2007-0196		\$8,120,000.00		
TAB	Riverside Redevelopment Agency	\$1,030,000.00	10/30/2018	2007-0267	\$0.00	\$810,000.00		
TAB	Riverside Redevelopment Agency	\$9,110,000.00	10/30/2018	2007-0268	\$0.00	\$8,200,000.00		
TAB	Riverside Redevelopment Agency	\$7,310,000.00	10/30/2018	2007-0269	\$0.00	\$7,310,000.00		
TAB	Riverside Redevelopment Agency	\$5,740,000.00	10/30/2018	2007-0270	\$0.00	\$2,600,000.00		
<b>Total</b>		<b>\$31,530,000.00</b>				<b>\$27,040,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2007	\$8,340,000	Riverside Public Financing Authority Riverside CDIAC Number: 2007-0196 Revenue bond Redevelopment, Multiple Purposes Downtown/Aprt Merged & Casa Blanca Series A	S:AAA/A-  F:AAA/A-  Ins	Neg	(BC) (EN) (TR) (UW)	Best Best & Krieger MBIA Corp U.S. Bank NA Stone & Youngberg	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.452 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,120,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$1,121
Bank	\$1,976
Total:	\$3,097

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2007	\$1,030,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0267 Tax allocation bond Redevelopment, Multiple Purposes Downtown/Airport Merged Area Series B-1	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-37 Serial	<u>TIC</u> <u>NIC</u> 4.403 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$810,000	\$75,136	\$0	\$0	\$76,629

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.400	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0197	\$14,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2007	\$9,110,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0268 Tax allocation bond Redevelopment, Multiple Purposes Downtown/Airport Merged Area Series B-2 Federally Taxable	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-28 Serial	<u>TIC</u> <u>NIC</u> 5.820 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,200,000	\$727,166	\$0	\$0	\$741,619

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
74.900	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0197	\$14,850,000.00



2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2007	\$7,310,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0269 Tax allocation bond Redevelopment, Multiple Purposes Casa Blanca Area Series C-1	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-29 Serial	<u>TIC</u> <u>NIC</u> 4.460 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,310,000	\$747,966	\$0	\$0	\$948,717

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
72.500	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0197	\$14,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2007	\$5,740,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0270 Tax allocation bond Redevelopment, Multiple Purposes Casa Blanca Area Series C-2 Federally Taxable	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-27 Serial	<u>TIC</u> <u>NIC</u> 5.668 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,600,000	\$266,034	\$0	\$0	\$337,437

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
45.500	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0197	\$14,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2007	\$89,205,000	Riverside Public Financing Authority Riverside CDIAC Number: 2007-0199 Revenue bond Redevelopment, Multiple Purposes Univ Corr/Sycamore Cnyn, Arlington, Hunter Pk/Northside, Magnolia Ctr & La Sierra/Arlanza Series C	S:AAA/BBB  F:AAA/BBB+  Ins	Neg	(BC) (EN) (TR) (UW)	Best Best & Krieger MBIA Corp U.S. Bank NA Stone & Youngberg	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 4.699 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$83,885,000	\$0	\$0	\$0	\$0	\$0

#### Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$577
Bank	\$7,022
Total:	\$7,598

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
RB	Riverside Public Financing Authority	\$43,875,000.00	10/29/2018	2007-0198		\$28,135,000.00		
TAB	Riverside Redevelopment Agency	\$9,620,000.00	10/30/2018	2007-0265	\$0.00	\$9,105,000.00		
TAB	Riverside Redevelopment Agency	\$15,380,000.00	10/30/2018	2007-0266	\$0.00	\$14,910,000.00		
TAB	Riverside Redevelopment Agency	\$11,910,000.00	10/30/2018	2007-0271	\$0.00	\$11,910,000.00		
TAB	Riverside Redevelopment Agency	\$7,140,000.00	10/30/2018	2007-0272	\$0.00	\$3,925,000.00		
TAB	Riverside Redevelopment Agency	\$23,500,000.00	10/30/2018	2007-0273	\$0.00	\$19,570,000.00		
TAB	Riverside Redevelopment Agency	\$845,000.00		2007-0274			8/1/2010	Matured FY 10/11
TAB	Riverside Redevelopment Agency	\$5,070,000.00	10/30/2018	2007-0275	\$0.00	\$5,035,000.00		
TAB	Riverside Redevelopment Agency	\$12,375,000.00	10/30/2018	2007-0276	\$0.00	\$9,300,000.00		

## 2018 MARKS ROOS FISCAL STATUS REPORT

### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Riverside Redevelopment Agency	\$39,105,000.00	10/30/2018	2007-0277	\$0.00	\$38,265,000.00		
TAB	Riverside Redevelopment Agency	\$8,135,000.00	10/30/2018	2007-0278	\$0.00	\$0.00	8/1/2017	Matured FY 17/18
<b>Total</b>		<b>\$176,955,000.00</b>				<b>\$140,155,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-22-2007	\$43,875,000	Riverside Public Financing Authority Riverside CDIAC Number: 2007-0198 Revenue bond Redevelopment, Multiple Purposes Univ Corr/Sycamore Cnyn, Arlington, Hunter Pk/Northside, Magnolia Ctr & La Sierra/Arlanza Series D Federally Taxable	S:AAA/BBB  F:AAA/BBB+  Ins	Neg	(BC) (EN) (TR) (UW)	Best Best & Krieger MBIA Corp U.S. Bank NA Stone & Youngberg	08-01-32 Comb	<u>TIC</u> <u>NIC</u> 5.822 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$28,135,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$1,173
Bank	\$3,453
Total:	\$4,627

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$9,620,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0265 Tax allocation bond Redevelopment, Multiple Purposes Univ Corridor/Sycamore Canyon Merged Area Series A-1	NR	Neg	(BC) (DC) (PU) (TR)	Best Best & Krieger Jones Hall Riverside PFA U.S. Bank NA	08-01-36 Serial	<u>TIC</u> <u>NIC</u> 4.778 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,105,000	\$962,000	\$0	\$0	\$962,258

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.720	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0199	\$89,205,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$15,380,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0266 Tax allocation bond Redevelopment, Multiple Purposes Univ Corridor/Sycamore Canyon Merged Area Series A-2 Federally Taxable	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-32 Serial	<u>TIC</u> <u>NIC</u> 5.899 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,910,000	\$1,538,000	\$0	\$0	\$1,538,412

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
15.530	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0199	\$89,205,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$11,910,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0271 Tax allocation bond Redevelopment, Multiple Purposes Arlington Area Series D-1	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-37 Serial	<u>TIC</u> <u>NIC</u> 4.732 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,910,000	\$1,283,228	\$0	\$0	\$1,283,270

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.960	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0199	\$89,205,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$7,140,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0272 Tax allocation bond Redevelopment, Multiple Purposes Arlington Area Series D-2 Federally Taxable	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-26 Serial	<u>TIC</u> <u>NIC</u> 5.759 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,925,000	\$309,772	\$0	\$0	\$309,782

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.130	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0199	\$89,205,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$23,500,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0273 Tax allocation bond Redevelopment, Multiple Purposes Hunter Pk/Northside Area Series E-1	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-37 Serial	<u>TIC</u> <u>NIC</u> 4.639 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,570,000	\$1,556,500	\$0	\$0	\$1,556,500

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.720	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0199	\$89,205,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$5,070,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0275 Tax allocation bond Redevelopment, Multiple Purposes Magnolia Ctr Area Series F-1	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-37 Serial	<u>TIC</u> <u>NIC</u> 4.802 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,035,000	\$483,974	\$0	\$0	\$483,974

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.890	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0199	\$89,205,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$12,375,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0276 Tax allocation bond Redevelopment, Multiple Purposes Magnolia Ctr Area Series F-2 Federally Taxable	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-32 Serial	<u>TIC</u> <u>NIC</u> 5.851 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,300,000	\$725,125	\$0	\$0	\$725,125

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.320	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0199	\$89,205,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$39,105,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0277 Tax allocation bond Redevelopment, Multiple Purposes La Sierra/Arlanza Area Series G-1	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-37 Serial	<u>TIC</u> <u>NIC</u> 4.679 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$38,265,000	\$3,043,000	\$0	\$0	\$3,043,381

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
30.730	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0199	\$89,205,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-26-2007	\$8,135,000	Riverside Redevelopment Agency Riverside CDIAC Number: 2007-0278 Tax allocation bond Redevelopment, Multiple Purposes La Sierra/Arlanza Area Series G-2 Federally Taxable	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Riverside PFA U.S. Bank NA	08-01-17 Serial	<u>TIC</u> <u>NIC</u> 5.305 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Public Financing Authority	2007-0199	\$89,205,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-26-2012	\$47,060,000	Riverside Unified School District Financing Authority Riverside CDIAC Number: 2012-1303 Revenue bond K-12 School Facility Superior Series A & Sub Series B	S:A-	Neg	(BC)	Best Best & Krieger	09-01-39	<u>TIC</u>	3.908
					(DC)	Stradling Yocca	Comb	<u>NIC</u>	4.167
					(FA)	Fieldman Rolapp		<u>TYPE:</u>	
					(TR)	U.S. Bank NA			
					(UW)	Piper Jaffray & Co			

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$36,945,000	\$3,139,882	\$0	\$3,446,734	\$0	\$3,446,734

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Riverside Unified School District CFD No 2	\$3,325,000.00	10/25/2018	2012-1646	\$0.00	\$595,000.00		
LTOB	Riverside Unified School District CFD No 3	\$250,000.00	10/25/2018	2012-1647	\$0.00	\$45,000.00		
LTOB	Riverside Unified School District CFD No 4	\$335,000.00	10/25/2018	2012-1648	\$0.00	\$135,000.00		
LTOB	Riverside Unified School District CFD No 6	\$1,525,000.00	10/25/2018	2012-1649	\$0.00	\$1,185,000.00		
LTOB	Riverside Unified School District CFD No 6	\$1,760,000.00	10/25/2018	2012-1650	\$0.00	\$1,390,000.00		
LTOB	Riverside Unified School District CFD No 8	\$600,000.00	10/25/2018	2012-1651	\$0.00	\$450,000.00		
LTOB	Riverside Unified School District CFD No 9	\$3,265,000.00	10/25/2018	2012-1652	\$0.00	\$2,625,000.00		
LTOB	Riverside Unified School District CFD No 9	\$2,005,000.00	10/25/2018	2012-1653	\$0.00	\$1,605,000.00		
LTOB	Riverside Unified School District CFD No 9	\$1,860,000.00	10/25/2018	2012-1654	\$0.00	\$1,510,000.00		
LTOB	Riverside Unified School District CFD No 11	\$1,585,000.00	10/25/2018	2012-1655	\$0.00	\$1,325,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Riverside Unified School District CFD No 12	\$5,895,000.00	10/25/2018	2012-1656	\$0.00	\$5,180,000.00		
LTOB	Riverside Unified School District CFD No 15	\$14,530,000.00	10/25/2018	2012-1657	\$0.00	\$12,105,000.00		
LTOB	Riverside Unified School District CFD No 15	\$4,440,000.00	10/25/2018	2012-1658	\$0.00	\$3,950,000.00		
LTOB	Riverside Unified School District CFD No 16	\$4,195,000.00	10/25/2018	2012-1659	\$0.00	\$3,495,000.00		
LTOB	Riverside Unified School District CFD No 27	\$1,530,000.00	10/25/2018	2012-1660	\$0.00	\$1,350,000.00		
<b>Total</b>		<b>\$47,100,000.00</b>				<b>\$36,945,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$3,325,000	Riverside Unified School District CFD No 2 Riverside CDIAC Number: 2012-1646 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) Riverside USD FA (TR) U.S. Bank NA	09-01-18 Term	<u>TIC</u> 2.334 <u>NIC</u> 2.396 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$595,000	\$50,568	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
1.610	Y	0.480	\$402,670

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,930	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$250,000	Riverside Unified School District CFD No 3 Riverside CDIAC Number: 2012-1647 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-18 Term	<u>TIC</u> 2.334 <u>NIC</u> 2.397 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$45,000	\$3,824	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0.120	0.950	Y	\$45,508

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$430	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$335,000	Riverside Unified School District CFD No 4 Riverside CDIAC Number: 2012-1648 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-20 Term	<u>TIC</u> 2.655 <u>NIC</u> 2.770 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$135,000	\$11,473	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0.370	Y	\$49,190

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$290	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$1,525,000	Riverside Unified School District CFD No 6 Riverside CDIAC Number: 2012-1649 Limited tax obligation bond K-12 School Facility IA No 1 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-29 Term	<u>TIC</u> 3.734 <u>NIC</u> 3.945 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,185,000	\$100,711	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.210	0.380	Y	\$136,042

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$523	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$1,760,000	Riverside Unified School District CFD No 6 Riverside CDIAC Number: 2012-1650 Limited tax obligation bond K-12 School Facility IA No 2 Series A Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) Riverside USD FA (TR) U.S. Bank NA	09-01-30 Term	<u>TIC</u> 3.809 <u>NIC</u> 4.022 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,390,000	\$118,133	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
3.760	N	0	\$154,695

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$600,000	Riverside Unified School District CFD No 8 Riverside CDIAC Number: 2012-1651 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) Riverside USD FA (TR) U.S. Bank NA	09-01-29 Term	<u>TIC</u> 3.718 <u>NIC</u> 3.933 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$450,000	\$38,245	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
1.220	0	N	\$58,329

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$3,265,000	Riverside Unified School District CFD No 9 Riverside CDIAC Number: 2012-1652 Limited tax obligation bond K-12 School Facility IA Nos 1, 3 & 5 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-31 Term	<u>TIC</u> 3.888 <u>NIC</u> 4.101 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,625,000	\$223,094	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.110	0.350 Y	\$275,304

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$967	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$2,005,000	Riverside Unified School District CFD No 9 Riverside CDIAC Number: 2012-1653 Limited tax obligation bond K-12 School Facility IA No 4 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-31 Term	<u>TIC</u> 3.882 <u>NIC</u> 4.096 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,605,000	\$136,406	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
4.340	Y	0.620	\$166,361

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,038	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$1,860,000	Riverside Unified School District CFD No 9 Riverside CDIAC Number: 2012-1654 Limited tax obligation bond K-12 School Facility IA No 2 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-32 Term	<u>TIC</u> 3.945 <u>NIC</u> 4.159 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,510,000	\$128,332	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
4.090	Y	0.840	\$149,613

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,263	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$1,585,000	Riverside Unified School District CFD No 11 Riverside CDIAC Number: 2012-1655 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-34 Term	<u>TIC</u> 4.079 <u>NIC</u> 4.288 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,325,000	\$112,609	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.590	1.210 Y	\$125,559

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,516	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$5,895,000	Riverside Unified School District CFD No 12 Riverside CDIAC Number: 2012-1656 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-38 Term	<u>TIC</u> 4.257 <u>NIC</u> 4.429 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,180,000	\$440,238	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
14.020	0.760 Y	\$404,671

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,087	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$14,530,000	Riverside Unified School District CFD No 15 Riverside CDIAC Number: 2012-1657 Limited tax obligation bond K-12 School Facility IA No 1 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-34 Term	<u>TIC</u> 4.081 <u>NIC</u> 4.290 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,105,000	\$1,028,780	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
32.760	0.860	Y	\$1,099,895

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,481	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$4,440,000	Riverside Unified School District CFD No 15 Riverside CDIAC Number: 2012-1658 Limited tax obligation bond K-12 School Facility IA No 3 Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-39 Term	<u>TIC</u> 4.278 <u>NIC</u> 4.415 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,950,000	\$335,703	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
10.690	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$988,377	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$4,195,000	Riverside Unified School District CFD No 16 Riverside CDIAC Number: 2012-1659 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-34 Term	<u>TIC</u> 4.071 <u>NIC</u> 4.281 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,495,000	\$297,033	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
9.460	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$320,561	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2012	\$1,530,000	Riverside Unified School District CFD No 27 Riverside CDIAC Number: 2012-1660 Limited tax obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-38 Term	<u>TIC</u> 4.261 <u>NIC</u> 4.431 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,350,000	\$114,734	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
3.650	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$106,992	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2012-1303	\$47,060,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
01-15-2015	\$40,980,000	Riverside Unified School District Financing Authority Riverside CDIAC Number: 2014-2112 Revenue bond K-12 School Facility CFD Nos 10,13,14,15,17,18,21,22 & 24	S:AA/BBB+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-37 Comb	TIC NIC TYPE:	3.342 3.601

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$36,870,000	\$3,271,825	\$0	\$0	\$3,271,825	\$3,271,825

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
LTOB	Riverside Unified School District CFD No 24	\$4,805,000.00	10/25/2018	2014-2103	\$0.00	\$4,485,000.00		
LTOB	Riverside Unified School District CFD No 22	\$3,835,000.00	10/25/2018	2014-2104	\$0.00	\$3,415,000.00		
LTOB	Riverside Unified School District CFD No 21	\$2,785,000.00	10/25/2018	2014-2105	\$0.00	\$2,610,000.00		
LTOB	Riverside Unified School District CFD No 18	\$2,080,000.00	10/25/2018	2014-2106	\$0.00	\$1,835,000.00		
LTOB	Riverside Unified School District CFD No 17	\$2,240,000.00	10/25/2018	2014-2107	\$0.00	\$2,070,000.00		
LTOB	Riverside Unified School District CFD No 15	\$14,155,000.00	10/25/2018	2014-2108	\$0.00	\$12,595,000.00		
LTOB	Riverside Unified School District CFD No 14	\$4,540,000.00	10/25/2018	2014-2109	\$0.00	\$4,040,000.00		
LTOB	Riverside Unified School District CFD No 13	\$5,440,000.00	10/25/2018	2014-2110	\$0.00	\$4,810,000.00		
LTOB	Riverside Unified School District CFD No 10	\$1,100,000.00	10/25/2018	2014-2111	\$0.00	\$1,010,000.00		
<b>Total</b>		<b>\$40,980,000.00</b>				<b>\$36,870,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2015	\$4,805,000	Riverside Unified School District CFD No 24 Riverside CDIAC Number: 2014-2103 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) Riverside USD FA (TR) U.S. Bank NA	09-02-36 Comb	<u>TIC</u> 3.433 <u>NIC</u> 3.657 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,485,000	\$397,997	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
12.160	Y	0.810	\$325,185

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,644	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2014-2112	\$40,980,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2015	\$3,835,000	Riverside Unified School District CFD No 22 Riverside CDIAC Number: 2014-2104 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) Riverside USD FA (TR) U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 3.329 <u>NIC</u> 3.602 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,415,000	\$303,045	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
9.260	Y	0.410	\$295,186

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,224	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2014-2112	\$40,980,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2015	\$2,785,000	Riverside Unified School District CFD No 21 Riverside CDIAC Number: 2014-2105 Limited tax obligation bond K-12 School Facility IA No 2 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 3.443 <u>NIC</u> 3.621 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,610,000	\$231,610	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.080	1.610	Y	\$186,547

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,006	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2014-2112	\$40,980,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2015	\$2,080,000	Riverside Unified School District CFD No 18 Riverside CDIAC Number: 2014-2106 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.250 <u>NIC</u> 3.513 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,835,000	\$162,837	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.980	0	N	\$166,903

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2014-2112	\$40,980,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2015	\$2,240,000	Riverside Unified School District CFD No 17 Riverside CDIAC Number: 2014-2107 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) Riverside USD FA (TR) U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 3.416 <u>NIC</u> 3.695 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,070,000	\$183,691	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.610	0	N	\$163,318

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2014-2112	\$40,980,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2015	\$14,155,000	Riverside Unified School District CFD No 15 Riverside CDIAC Number: 2014-2108 Limited tax obligation bond K-12 School Facility IA No 2 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 3.329 <u>NIC</u> 3.602 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,595,000	\$1,117,674	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
34.160	0.420	Y	\$1,085,173

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,583	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2014-2112	\$40,980,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2015	\$4,540,000	Riverside Unified School District CFD No 14 Riverside CDIAC Number: 2014-2109 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) Riverside USD FA (TR) U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 3.326 <u>NIC</u> 3.599 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,040,000	\$358,508	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
10.960	Y	1.260	\$349,338

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,407	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2014-2112	\$40,980,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2015	\$5,440,000	Riverside Unified School District CFD No 13 Riverside CDIAC Number: 2014-2110 Limited tax obligation bond K-12 School Facility IA No 1 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Riverside USD FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.251 <u>NIC</u> 3.514 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,810,000	\$426,837	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
13.050	1.970	Y	\$429,755

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,461	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2014-2112	\$40,980,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-15-2015	\$1,100,000	Riverside Unified School District CFD No 10 Riverside CDIAC Number: 2014-2111 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) Riverside USD FA (TR) U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.328 <u>NIC</u> 3.598 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,010,000	\$89,627	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
2.740	0	N	\$84,511

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverside Unified School District Financing Authority	2014-2112	\$40,980,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>
11-05-2015	\$11,395,000	Romoland School District Public Financing Authority Riverside CDIAC Number: 2015-2534 Revenue bond K-12 School Facility CFD Nos 2001-1, 2002-1 & 2002-2 Series A	NR	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) CSG Advisors (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-35 Serial	<u>TIC</u> 3.820 <u>NIC</u> 3.809 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$10,590,000	\$958,800	\$0	\$964,664	\$0	\$964,664

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
1/1/1900	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Administration	\$1,782
Total:	\$1,782

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
LTOB	Romoland School District CFD No 2001-1	\$3,490,000.00	10/26/2018	2015-2535	\$0.00	\$3,235,000.00		
LTOB	Romoland School District CFD No 2002-1	\$2,145,000.00	10/26/2018	2015-2536	\$0.00	\$1,955,000.00		
LTOB	Romoland School District CFD No 2002-2	\$5,760,000.00	10/26/2018	2015-2537	\$0.00	\$5,400,000.00		
<b>Total</b>		<b>\$11,395,000.00</b>				<b>\$10,590,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-05-2015	\$3,490,000	Romoland School District CFD No 2001-1 Riverside CDIAC Number: 2015-2535 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca CSG Advisors Romoland SD PFA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 3.759 <u>NIC</u> 3.750 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$3,235,000	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
30.550	1.240 Y	\$329,613

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,071	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Romoland School District Public Financing Authority	2015-2534	\$11,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-05-2015	\$2,145,000	Romoland School District CFD No 2002-1 Riverside CDIAC Number: 2015-2536 Limited tax obligation bond K-12 School Facility IA A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca CSG Advisors Romoland SD PFA U.S. Bank NA	09-01-34 Serial	<u>TIC</u> 3.751 <u>NIC</u> 3.756 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,955,000	\$0	\$0	\$0	\$177,064

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
18.460	Y	0.620

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$212,940	\$1,327	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Romoland School District Public Financing Authority	2015-2534	\$11,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-05-2015	\$5,760,000	Romoland School District CFD No 2002-2 Riverside CDIAC Number: 2015-2537 Limited tax obligation bond K-12 School Facility McCall Canyon, Perris UHSD, Vly-Wide Rec & Pk Dist & Eastern MWD Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca CSG Advisors Romoland SD PFA U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 3.873 <u>NIC</u> 3.857 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,400,000	\$0	\$0	\$0	\$498,767

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
50.990	Y	0.320	\$471,466

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,490	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Romoland School District Public Financing Authority	2015-2534	\$11,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
08-15-2017	\$15,225,000	San Jacinto Unified School District Financing Authority Riverside CDIAC Number: 2017-1965 Revenue bond K-12 School Facility CFD Nos. 2003-2, 2004-3, 2004-4, 2004-5, 2005-1, 2005-2, 2005-4, 2006-1, 2006-2 Refunding	NR	Neg	(BC) Orrick Herrington (DC) Orrick Herrington (FA) Fieldman Rolapp (TR) ZB, NA (UW) Stifel Nicolaus & Co Inc	09-01-43 Comb	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,225,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$636,633

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2043	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$112,500
Total:	\$112,500

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	San Jacinto Unified School District CFD No 2003-2	\$1,830,000.00	10/24/2018	2017-1966	\$12,500.00	\$1,830,000.00		
LTOB	San Jacinto Unified School District CFD No 2004-3	\$2,615,000.00	10/24/2018	2017-1967	\$12,500.00	\$2,615,000.00		
LTOB	San Jacinto Unified School District CFD No 2004-4	\$940,000.00	10/24/2018	2017-1968	\$12,500.00	\$940,000.00		
LTOB	San Jacinto Unified School District CFD No 2004-5	\$1,325,000.00	10/24/2018	2017-1969	\$12,500.00	\$1,325,000.00		
LTOB	San Jacinto Unified School District CFD No 2005-1	\$2,350,000.00	10/24/2018	2017-1970	\$12,500.00	\$2,350,000.00		
LTOB	San Jacinto Unified School District CFD No 2005-2	\$905,000.00	10/24/2018	2017-1971	\$12,500.00	\$905,000.00		
LTOB	San Jacinto Unified School District CFD No 2005-4	\$2,040,000.00	10/24/2018	2017-1972	\$12,500.00	\$2,040,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
LTOB	San Jacinto Unified School District CFD No 2006-1	\$1,945,000.00	10/24/2018	2017-1973	\$12,500.00	\$1,945,000.00		
LTOB	San Jacinto Unified School District CFD No 2006-2	\$1,275,000.00	10/24/2018	2017-1974	\$12,500.00	\$1,275,000.00		
<b>Total</b>		<b>\$15,225,000.00</b>				<b>\$15,225,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-15-2017	\$1,830,000	San Jacinto Unified School District CFD No 2003-2 Riverside CDIAC Number: 2017-1966 Limited tax obligation bond K-12 School Facility Infrastructure Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp San Jacinto USD FA ZB, NA	09-01-35 Serial	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,830,000	\$130,937	\$0	\$12,500	\$164,206

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.110	\$177,048

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,499	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Jacinto Unified School District Financing Authority	2017-1965	\$15,225,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-15-2017	\$2,615,000	San Jacinto Unified School District CFD No 2004-3 Riverside CDIAC Number: 2017-1967 Limited tax obligation bond K-12 School Facility Eastern Municipal WD Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp San Jacinto USD FA ZB, NA	09-01-35 Serial	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,615,000	\$187,104	\$0	\$12,500	\$187,329

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$277,675

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,939	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Jacinto Unified School District Financing Authority	2017-1965	\$15,225,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-15-2017	\$940,000	San Jacinto Unified School District CFD No 2004-4 Riverside CDIAC Number: 2017-1968 Limited tax obligation bond K-12 School Facility Infrastructure Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp San Jacinto USD FA ZB, NA	09-01-43 Serial	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$940,000	\$67,257	\$0	\$12,500	\$81,404

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
0	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$94,176	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Jacinto Unified School District Financing Authority	2017-1965	\$15,225,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-15-2017	\$1,325,000	San Jacinto Unified School District CFD No 2004-5 Riverside CDIAC Number: 2017-1969 Limited tax obligation bond K-12 School Facility Infrastructure Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp San Jacinto USD FA ZB, NA	09-01-35 Serial	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,325,000	\$94,804	\$0	\$12,500	\$105,552

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.320	\$144,748

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$459	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Jacinto Unified School District Financing Authority	2017-1965	\$15,225,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-15-2017	\$2,350,000	San Jacinto Unified School District CFD No 2005-1 Riverside CDIAC Number: 2017-1970 Limited tax obligation bond K-12 School Facility Park Meadows - Eastern MWD Facs Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp San Jacinto USD FA ZB, NA	09-01-36 Serial	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,350,000	\$168,143	\$0	\$12,500	\$217,612

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$183,510

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Jacinto Unified School District Financing Authority	2017-1965	\$15,225,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-15-2017	\$905,000	San Jacinto Unified School District CFD No 2005-2 Riverside CDIAC Number: 2017-1971 Limited tax obligation bond K-12 School Facility Special Tax A Infrastructure Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp San Jacinto USD FA ZB, NA	09-01-43 Serial	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$905,000	\$64,753	\$0	\$12,500	\$86,897

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$104,206

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$847	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Jacinto Unified School District Financing Authority	2017-1965	\$15,225,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-15-2017	\$2,040,000	San Jacinto Unified School District CFD No 2005-4 Riverside CDIAC Number: 2017-1972 Limited tax obligation bond K-12 School Facility Flowerwood & Monte Carlo EMWD Facs Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp San Jacinto USD FA ZB, NA	09-01-36 Serial	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,040,000	\$145,962	\$0	\$12,500	\$191,705

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$164,398

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,023	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Jacinto Unified School District Financing Authority	2017-1965	\$15,225,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-15-2017	\$1,945,000	San Jacinto Unified School District CFD No 2006-1 Riverside CDIAC Number: 2017-1973 Limited tax obligation bond K-12 School Facility Eastern Municipal WD Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp San Jacinto USD FA ZB, NA	09-01-36 Serial	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,945,000	\$139,165	\$0	\$12,500	\$139,333

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.380	Y	\$204,080

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$780	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Jacinto Unified School District Financing Authority	2017-1965	\$15,225,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-15-2017	\$1,275,000	San Jacinto Unified School District CFD No 2006-2 Riverside CDIAC Number: 2017-1974 Limited tax obligation bond K-12 School Facility Infrastructure Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp San Jacinto USD FA ZB, NA	09-01-40 Serial	<u>TIC</u> 3.574 <u>NIC</u> 3.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,275,000	\$91,227	\$0	\$12,500	\$113,595

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$128,587

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$806	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Jacinto Unified School District Financing Authority	2017-1965	\$15,225,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-27-2017	\$24,040,000	Santa Rosa Regional Resources Authority Riverside CDIAC Number: 2017-1782 Public enterprise revenue bond Wastewater Collection, Treatment Series A Refunding	S:AA+	Neg	(BC)	Stradling Yocca	08-01-34	<u>TIC</u>	2.958	
			F:AA		(DC)	Stradling Yocca	Serial	<u>NIC</u>	3.034	
					(FA)	Fieldman Rolapp		<u>TYPE:</u>		
					(TR)	The Bank of NY Mellon				
					(UW)	Merrill Lynch Pierce				

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,040,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$194,485

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Bond Counsel	\$52,232
Financial Advisor	\$35,326
Other agency type	\$38,058
Trustee	\$3,069
Underwriter	\$65,800
<b>Total:</b>	<b>\$194,485</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PERB	Rancho California Water District	\$9,139,739.00	10/30/2018	2017-2023	\$317.97	\$9,139,739.00		
COPL	Elsinore Valley Municipal Water District	\$9,189,392.00	10/30/2018	2017-2041	\$196.52	\$9,898,406.50		
PERB	Western Municipal Water District	\$4,195,469.00	10/30/2018	2017-2043	\$138.42	\$4,195,469.00		
<b>Total</b>		<b>\$22,524,600.00</b>				<b>\$22,524,600.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2017	\$9,139,739	Rancho California Water District Riverside CDIAC Number: 2017-2023 Public enterprise revenue bond Wastewater Collection, Treatment	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Santa Rosa Reg Resources The Bank of NY Mellon	08-01-34 Serial	<u>TIC</u> 2.958 <u>NIC</u> 3.034 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,139,739	\$0	\$0	\$318	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.100	\$43,218,265

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,328,275	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Rosa Regional Resources Authority	2017-1782	\$24,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2017	\$9,189,392	Elsinore Valley Municipal Water District Riverside CDIAC Number: 2017-2041 Certificates of participation/leases Wastewater Collection, Treatment Wastewtr Funding Agmt w/Sta Rosa Reg Resrc Auth	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp The Bank of NY Mellon	08-01-34 Serial	<u>TIC</u> 2.958 <u>NIC</u> 3.034 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,898,406.50	\$0	\$0	\$197	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$6,300,976

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Rosa Regional Resources Authority	2017-1782	\$24,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2017	\$4,195,469	Western Municipal Water District Riverside CDIAC Number: 2017-2043 Public enterprise revenue bond Wastewater Collection, Treatment Wastewtr Funding Agmt w/SRRRA	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Santa Rosa Reg Resources The Bank of NY Mellon	08-01-34 Serial	<u>TIC</u> 2.958 <u>NIC</u> 3.034 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,195,469	\$0	\$0	\$138	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.300	\$18,344,789

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$609,220	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Rosa Regional Resources Authority	2017-1782	\$24,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2017	\$31,190,000	Santa Rosa Regional Resources Authority Riverside CDIAC Number: 2017-1783 Public enterprise revenue bond Wastewater Collection, Treatment Series B Federally Taxable Refunding	S:AA+  F:AA	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Fieldman Rolapp The Bank of NY Mellon Merrill Lynch Pierce	08-01-31 Serial	<u>TIC</u> 2.958 <u>NIC</u> 3.034 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$30,000,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$254,742

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$3,981
Other agency type	\$51,790
<b>Total:</b>	<b>\$55,771</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PERB	Rancho California Water District	\$12,502,142.00	10/30/2018	2017-2024	\$412.53	\$12,025,116.44		
COPL	Elsinore Valley Municipal Water District	\$12,910,868.00	10/30/2018	2017-2042	\$254.98	\$12,418,784.28		
PERB	Western Municipal Water District	\$5,752,289.00	10/30/2018	2017-2044	\$179.58	\$5,534,654.95		
<b>Total</b>		<b>\$31,165,299.00</b>				<b>\$29,978,555.67</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2017	\$12,502,142	Rancho California Water District Riverside CDIAC Number: 2017-2024 Public enterprise revenue bond Wastewater Collection, Treatment Wastewtr Funding Agmt w/Sta Rosa Reg Resrc Auth Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Santa Rosa Reg Resources The Bank of NY Mellon	08-01-31 Serial	<u>TIC</u> 2.958 <u>NIC</u> 3.034 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,025,116	\$0	\$0	\$413	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.100	\$43,218,265

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,328,275	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Rosa Regional Resources Authority	2017-1783	\$31,190,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2017	\$12,910,868	Elsinore Valley Municipal Water District Riverside CDIAC Number: 2017-2042 Certificates of participation/leases Wastewater Collection, Treatment Wastewtr Funding Agmt w/Sta Rosa Reg Resrc Auth Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp The Bank of NY Mellon	08-01-31 Serial	<u>TIC</u> 2.958 <u>NIC</u> 3.034 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,418,784	\$0	\$0	\$255	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$6,300,976

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Rosa Regional Resources Authority	2017-1783	\$31,190,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2017	\$5,752,289	Western Municipal Water District Riverside CDIAC Number: 2017-2044 Public enterprise revenue bond Wastewater Collection, Treatment Wastewtr Funding Agmt w/SRRRA Federally Taxable	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Santa Rosa Reg Resources The Bank of NY Mellon	08-01-31 Serial	<u>TIC</u> 2.958 <u>NIC</u> 3.034 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,534,655	\$0	\$0	\$180	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.300	\$18,344,789

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$609,220	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Rosa Regional Resources Authority	2017-1783	\$31,190,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
01-29-2015	\$55,340,000	Temecula Valley Unified School District Financing Authority Riverside CDIAC Number: 2014-2093 Revenue bond K-12 School Facility CFD Nos 2000-1, 2002-1, 2002-2, 2003-2, 2004-1 & 2005-1	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Build America U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-40 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.373 3.630

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$51,670,000	\$4,245,500	\$0	\$0	\$4,245,500	\$4,245,500

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Temecula Valley Unified School District CFD No 2005-1	\$9,025,000.00	10/23/2018	2014-2094	\$0.00	\$8,370,000.00		
LTOB	Temecula Valley Unified School District CFD No 2004-1	\$11,865,000.00	10/23/2018	2014-2095	\$0.00	\$11,080,000.00		
LTOB	Temecula Valley Unified School District CFD No 2003-2	\$6,925,000.00	10/23/2018	2014-2096	\$0.00	\$6,465,000.00		
LTOB	Temecula Valley Unified School District CFD No 2002-2	\$15,270,000.00	10/23/2018	2014-2097	\$0.00	\$14,545,000.00		
LTOB	Temecula Valley Unified School District CFD No 2002-1	\$7,255,000.00	10/23/2018	2014-2098	\$0.00	\$6,600,000.00		
LTOB	Temecula Valley Unified School District CFD No 2000-1	\$5,000,000.00	10/23/2018	2014-2099	\$0.00	\$4,610,000.00		
<b>Total</b>		<b>\$55,340,000.00</b>				<b>\$51,670,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2015	\$9,025,000	Temecula Valley Unified School District CFD No 2005-1 Riverside CDIAC Number: 2014-2094 Limited tax obligation bond K-12 School Facility Valdemosa, Brindisi & Casabell Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Temecula Vly USD FA U.S. Bank NA	09-01-39 Comb	<u>TIC</u> 3.373 <u>NIC</u> 3.630 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due	Taxes Unpaid	Teeter Plan Participant	
17/18	\$8,370,000	\$0	\$0	\$0	\$1	16.200	0.490	Y	\$745,703	\$3,620	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Temecula Valley Unified School District Financing Authority	2014-2093	\$55,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2015	\$11,865,000	Temecula Valley Unified School District CFD No 2004-1 Riverside CDIAC Number: 2014-2095 Limited tax obligation bond K-12 School Facility IA A Wolf Creek Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Temecula Vly USD FA U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 3.373 <u>NIC</u> 3.630 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$11,080,000	\$0	\$0	\$0	\$1	21.440	0.800	Y	\$929,284	\$7,475	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Temecula Valley Unified School District Financing Authority	2014-2093	\$55,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2015	\$6,925,000	Temecula Valley Unified School District CFD No 2003-2 Riverside CDIAC Number: 2014-2096 Limited tax obligation bond K-12 School Facility Sheffield-French Valley Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Temecula Vly USD FA U.S. Bank NA	09-01-40 Comb	<u>TIC</u> 3.373 <u>NIC</u> 3.630 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	B. DELINQUENCY			C. TEETER PLAN		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$6,465,000	\$0	\$0	\$0	\$1	12.510	0.370	Y	\$553,659	\$2,037	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Temecula Valley Unified School District Financing Authority	2014-2093	\$55,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2015	\$15,270,000	Temecula Valley Unified School District CFD No 2002-2 Riverside CDIAC Number: 2014-2097 Limited tax obligation bond K-12 School Facility Redhawk Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Temecula Vly USD FA U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 3.373 <u>NIC</u> 3.630 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<b>B. DELINQUENCY</b>			<b>C. TEETER PLAN</b>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$14,545,000	\$0	\$0	\$0	\$1	28.150	0.610	Y	\$1,210,964	\$7,396	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Temecula Valley Unified School District Financing Authority	2014-2093	\$55,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2015	\$7,255,000	Temecula Valley Unified School District CFD No 2002-1 Riverside CDIAC Number: 2014-2098 Limited tax obligation bond K-12 School Facility IA No 2 Rancho Bella Vista Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Temecula Vly USD FA U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 3.373 <u>NIC</u> 3.630 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,600,000	\$0	\$0	\$0	\$1

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.770	0.770 Y	\$832,780

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,377	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Temecula Valley Unified School District Financing Authority	2014-2093	\$55,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-2015	\$5,000,000	Temecula Valley Unified School District CFD No 2000-1 Riverside CDIAC Number: 2014-2099 Limited tax obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Bowie Arneson Wiles McFarlin & Anderson Fieldman Rolapp Temecula Vly USD FA U.S. Bank NA	09-01-39 Comb	<u>TIC</u> 3.373 <u>NIC</u> 3.630 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	B. DELINQUENCY			C. TEETER PLAN	
							<u>Rate(%)</u>	<u>YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$4,610,000	\$0	\$0	\$0	\$1	8.920	0.330	Y	\$438,221	\$1,468	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Temecula Valley Unified School District Financing Authority	2014-2093	\$55,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation</u>	<u>Bond</u>	<u>Interest</u>
03-06-2014	\$19,600,000	Val Verde Unified School District Financing Authority Riverside CDIAC Number: 2014-0052 Revenue bond K-12 School Facility CFD No 98-1 Refunding	S:AA/BBB+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	McFarlin & Anderson Jones Hall Fieldman Rolapp Build America U.S. Bank NA McFarlin & Anderson	10-01-28 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.730 3.789

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,195,000	\$1,960,000	\$0	\$0	\$2,126,730	\$2,126,730

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Val Verde Unified School District CFD No 98-1	\$3,465,787.00	10/24/2018	1998-1066	\$0.00	\$2,012,892.60		
<b>Total</b>		<b>\$3,465,787.00</b>				<b>\$2,012,892.60</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-24-1998	\$3,465,787	Val Verde Unified School District CFD No 98-1 Riverside CDIAC Number: 1998-1066 Limited tax obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	McFarlin & Anderson Fieldman Rolapp Val Verde USD FA US Bank Corp Trust	10-01-28 Term	<u>TIC</u> 12.000 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<b>B. DELINQUENCY</b>		<b>C. TEETER PLAN</b>		
							<u>Rate(%)</u>	<u>YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$2,012,893	\$0	\$0	\$0	\$0	0	1.110	Y	\$1,790,341	\$19,902	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Val Verde Unified School District Financing Authority	2014-0052	\$19,600,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-12-2015	\$22,850,000	Val Verde Unified School District Financing Authority Riverside CDIAC Number: 2015-0180 Revenue bond K-12 School Facility CFD Nos 2002-1, 2003-1, 2003-2	NR	Neg	(BC) McFarlin & Anderson (DC) Jones Hall (FA) Fieldman Rolapp (TR) ZB, NA (UW) Stifel Nicolaus & Co Inc	09-01-37 Comb	<u>TIC</u> 0 <u>NIC</u> 4.102 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,310,000	\$1,912,000	\$0	\$1,921,099	\$0	\$1,921,099

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Val Verde Unified School District CFD No 2003-1	\$1,995,000.00	10/17/2018	2015-0181	\$0.00	\$1,800,000.00		
LTOB	Val Verde Unified School District CFD No 2003-1	\$2,420,000.00	10/17/2018	2015-0182	\$0.00	\$2,205,000.00		
LTOB	Val Verde Unified School District CFD No 2002-1	\$15,525,000.00	10/17/2018	2015-0184	\$0.00	\$14,655,000.00		
LTOB	Val Verde Unified School District CFD No 2003-2	\$2,910,000.00	10/18/2018	2015-0475	\$0.00	\$2,650,000.00		
<b>Total</b>		<b>\$22,850,000.00</b>				<b>\$21,310,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2015	\$1,995,000	Val Verde Unified School District CFD No 2003-1 Riverside CDIAC Number: 2015-0181 Limited tax obligation bond K-12 School Facility IA No 1 Citation Homes Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Jones Hall Fieldman Rolapp Val Verde USD FA ZB, NA	09-01-34 Comb	<u>TIC</u> 0 <u>NIC</u> 3.875 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,800,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
8.730	2.120	Y	\$182,007

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,866	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Val Verde Unified School District Financing Authority	2015-0180	\$22,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2015	\$2,420,000	Val Verde Unified School District CFD No 2003-1 Riverside CDIAC Number: 2015-0182 Limited tax obligation bond K-12 School Facility IA No 2 Meritage Homes Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Jones Hall Fieldman Rolapp Val Verde USD FA ZB, NA	09-01-36 Comb	<u>TIC</u> 0 <u>NIC</u> 4.034 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,205,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.590	0.360	Y	\$210,198

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$750	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Val Verde Unified School District Financing Authority	2015-0180	\$22,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2015	\$15,525,000	Val Verde Unified School District CFD No 2002-1 Riverside CDIAC Number: 2015-0184 Limited tax obligation bond K-12 School Facility IA A Boulder Springs Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Jones Hall Fieldman Rolapp Val Verde USD FA ZB, NA	09-01-36 Comb	<u>TIC</u> 0 <u>NIC</u> 4.115 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,655,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
67.940	0.420	Y	\$1,494,138

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,238	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Val Verde Unified School District Financing Authority	2015-0180	\$22,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2015	\$2,910,000	Val Verde Unified School District CFD No 2003-2 Riverside CDIAC Number: 2015-0475 Limited tax obligation bond K-12 School Facility John Laing Homes Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	McFarlin & Anderson Jones Hall Fieldman Rolapp Val Verde USD FA ZB, NA	09-01-34 Comb	<u>TIC</u> 0 <u>NIC</u> 3.869 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,650,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.740	0.630	Y	\$257,931

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,617	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Val Verde Unified School District Financing Authority	2015-0180	\$22,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-20-2005	\$18,255,000	Western Riverside Water and Wastewater Financing Authority Riverside CDIAC Number: 2005-0509 Revenue bond Water Supply, Storage, Distribution Eastern Municipal WD IDs	S:A-	Neg	(BC)	Stradling Yocca	09-01-35	<u>TIC</u>	4.562	
			F:A+		(DC)	Stradling Yocca	Comb	<u>NIC</u>		
					(FA)	Fieldman Rolapp		<u>TYPE:</u>		
					(TR)	U.S. Bank NA				
					(UW)	Stone & Youngberg				

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,380,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>				
GOB	Eastern Municipal Water District	\$160,000.00	10/24/2018	2005-0510	\$0.00		\$119,000.00		
GOB	Eastern Municipal Water District	\$250,000.00		2005-0511				9/1/2009	Matured FY 09/10
GOB	Eastern Municipal Water District	\$290,000.00	10/24/2018	2005-0512	\$0.00		\$216,000.00		
GOB	Eastern Municipal Water District	\$290,000.00		2005-0513				9/1/2014	Matured FY 14/15
GOB	Eastern Municipal Water District	\$2,350,000.00	10/24/2018	2005-0514	\$0.00		\$1,749,000.00		
GOB	Eastern Municipal Water District	\$700,000.00	10/24/2018	2005-0515	\$0.00		\$321,000.00		
GOB	Eastern Municipal Water District	\$1,025,000.00	10/24/2018	2005-0516	\$0.00		\$763,000.00		
GOB	Eastern Municipal Water District	\$400,000.00	10/24/2018	2005-0517	\$0.00		\$298,000.00		
GOB	Eastern Municipal Water District	\$115,000.00	10/24/2018	2005-0518	\$0.00		\$86,000.00		
GOB	Eastern Municipal Water District	\$140,000.00	10/24/2018	2005-0519	\$0.00		\$44,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Eastern Municipal Water District	\$5,200,000.00	10/24/2018	2005-0520	\$0.00	\$3,871,000.00		
GOB	Eastern Municipal Water District	\$550,000.00	10/24/2018	2005-0521	\$0.00	\$293,000.00		
GOB	Eastern Municipal Water District	\$400,000.00	10/24/2018	2005-0522	\$0.00	\$298,000.00		
GOB	Eastern Municipal Water District	\$500,000.00		2005-0523			9/1/2009	Matured FY 09/10
GOB	Eastern Municipal Water District	\$125,000.00		2005-0524			9/1/2009	Matured FY 09/10
GOB	Eastern Municipal Water District	\$1,600,000.00	10/24/2018	2005-0525	\$0.00	\$733,000.00		
GOB	Eastern Municipal Water District	\$650,000.00		2005-0526			9/1/2014	Matured FY 14/15
GOB	Eastern Municipal Water District	\$200,000.00	10/24/2018	2005-0527	\$0.00	\$147,000.00		
GOB	Eastern Municipal Water District	\$3,200,000.00	10/24/2018	2005-0528	\$0.00	\$2,384,000.00		
GOB	Eastern Municipal Water District	\$110,000.00	10/24/2018	2005-0529	\$0.00	\$58,000.00		
<b>Total</b>		<b>\$18,255,000.00</b>				<b>\$11,380,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$160,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0510 General obligation bond Water Supply, Storage, Distribution ID No 15 Series 3	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.638 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$119,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	4.030	\$10,936

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$441	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$290,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0512 General obligation bond Water Supply, Storage, Distribution ID No 18 Series 5	NR	Neg	(BC) (DC) (FA) (PU)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water	09-01-35 Serial	<u>TIC</u> 4.637 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$216,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	11.510	Y	\$16,592

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,909	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$2,350,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0514 General obligation bond Wastewater Collection, Treatment ID No 22 Series 4	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 4.636 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,749,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.490	\$517,722

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,530	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$700,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0515 General obligation bond Water Supply, Storage, Distribution ID No 24 Series 4	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-24 Serial	<u>TIC</u> 4.324 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$321,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$1,025,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0516 General obligation bond Water Supply, Storage, Distribution ID No U-2 Series 7	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 4.637 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$763,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	4.720	\$75,520

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,567	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$400,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0517 General obligation bond Water Supply, Storage, Distribution ID No U-4 Series 3	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 4.636 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$298,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.130	\$96,115

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,044	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$115,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0518 General obligation bond Water Supply, Storage, Distribution ID No U-5 Series 3	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 4.639 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$86,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.990	\$12,058

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$119	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$140,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0519 General obligation bond Water Supply, Storage, Distribution ID No U-7 Series 5	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-21 Serial	<u>TIC</u> 4.178 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$44,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.220	\$10,872

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$133	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$5,200,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0520 General obligation bond Wastewater Collection, Treatment ID No U-8 Series 4	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 4.637 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,871,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.580	\$1,080,418

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,303	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$550,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0521 General obligation bond Water Supply, Storage, Distribution ID No U-10 Series 2	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-26 Serial	<u>TIC</u> 4.403 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$293,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.540	\$41,445

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,051	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$400,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0522 General obligation bond Wastewater Collection, Treatment ID No U-12 Series 1	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 4.636 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$298,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.100	\$17,355

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$537	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$1,600,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0525 General obligation bond Wastewater Collection, Treatment ID No U-18 Series 1	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-24 Serial	<u>TIC</u> 4.323 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$733,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.200	\$130,213

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,162	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$200,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0527 General obligation bond Wastewater Collection, Treatment ID No U-21 Series 1	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 4.633 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$147,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.560	\$13,140

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$336	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$3,200,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0528 General obligation bond Wastewater Collection, Treatment ID No U-22 Series 1	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 4.637 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,384,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	6.650	\$316,381

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$21,052	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2005	\$110,000	Eastern Municipal Water District Riverside CDIAC Number: 2005-0529 General obligation bond Wastewater Collection, Treatment ID No U-14 Series 2	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-26 Serial	<u>TIC</u> 4.402 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$58,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	4.350	\$8,405

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$366	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2005-0509	\$18,255,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-29-2009	\$31,625,000	Western Riverside Water and Wastewater Financing Authority Riverside CDIAC Number: 2009-0447 Revenue bond Water Supply, Storage, Distribution Eastern Municipal WD IDs	S:AAA/A+  F:AA/AA-  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Stradling Yocca Fieldman Rolapp Assured Guaranty Corp U.S. Bank NA Piper Jaffray & Co	09-01-39  Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.232

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,125,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Eastern Municipal Water District	\$9,000,000.00	10/25/2018	2009-0449	\$0.00	\$7,697,000.00		
GOB	Eastern Municipal Water District	\$9,012,000.00	10/25/2018	2009-0450	\$0.00	\$7,708,000.00		
GOB	Eastern Municipal Water District	\$400,000.00		2009-0451			9/1/2014	Matured FY 14/15
GOB	Eastern Municipal Water District	\$2,000,000.00		2009-0452			9/1/2014	Matured FY 14/15
GOB	Eastern Municipal Water District	\$320,000.00		2009-0453			9/1/2014	Matured FY 14/15
GOB	Eastern Municipal Water District	\$2,700,000.00	10/25/2018	2009-0455	\$0.00	\$2,309,000.00		
GOB	Eastern Municipal Water District	\$1,150,000.00		2009-0456			9/1/2014	Matured FY 14/15
GOB	Eastern Municipal Water District	\$2,350,000.00		2009-0458			9/1/2014	Matured FY 14/15
GOB	Eastern Municipal Water District	\$900,000.00	10/25/2018	2009-0459	\$0.00	\$770,000.00		



## 2018 MARKS ROOS FISCAL STATUS REPORT

### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr</u>	<u>Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Eastern Municipal Water District	\$700,000.00		2009-0462			9/1/2014	Matured FY 14/15
<b>Total</b>		<b>\$31,625,000.00</b>				<b>\$21,125,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2009	\$3,093,000	Eastern Municipal Water District Riverside CDIAC Number: 2009-0448 General obligation bond Water Supply, Storage, Distribution ID No U-9	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-39 Serial	<u>TIC</u> 5.417 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,641,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.600	\$284,427

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,384	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2009-0447	\$31,625,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2009	\$9,000,000	Eastern Municipal Water District Riverside CDIAC Number: 2009-0449 General obligation bond Water Supply, Storage, Distribution ID No U-35	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-39 Serial	<u>TIC</u> 5.423 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,697,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.610	\$779,974

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$12,532	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2009-0447	\$31,625,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2009	\$9,012,000	Eastern Municipal Water District Riverside CDIAC Number: 2009-0450 General obligation bond Water Supply, Storage, Distribution ID No U-36	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-39 Serial	<u>TIC</u> 5.423 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,708,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.610	\$779,974

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$12,532	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2009-0447	\$31,625,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2009	\$2,700,000	Eastern Municipal Water District Riverside CDIAC Number: 2009-0455 General obligation bond Water Supply, Storage, Distribution ID No U-6	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-39 Serial	<u>TIC</u> 5.423 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,309,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.820	\$212,162

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,111	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2009-0447	\$31,625,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2009	\$900,000	Eastern Municipal Water District Riverside CDIAC Number: 2009-0459 General obligation bond Water Supply, Storage, Distribution ID No U-4	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-39 Serial	<u>TIC</u> 5.424 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$770,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.130	\$96,115

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,044	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2009-0447	\$31,625,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond Interest	
06-06-2013	\$54,175,000	Western Riverside Water and Wastewater Financing Authority Riverside CDIAC Number: 2013-1011 Revenue bond Water Supply, Storage, Distribution 2002-04, 2002-09, 2003-12, 14, 15-A, 16, 19, 25, 2004-26, 28, 30, 35, 36 & 36 Series A-1 & A-2	S:AA-/BBB+   Ins	Neg	(BC) Stradling Yocca (FA) Fieldman Rolapp (EN) Assured Guaranty Corp (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-37 Comb	TIC 4.125 NIC 4.237 TYPE:

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$47,750,000	\$0	\$0	\$0	\$0	\$0

#### Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
N		\$0	\$0

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or	Reporting	Current Year		Outstanding Balance	Retired /	Retired
		Loan Amount	Date	CDIAC Nbr	Admin Fees		Receipt / Repav Date	
LTOB	Eastern Municipal Water District CFD No 2004-35	\$850,000.00	10/26/2018	2013-1014	\$15,006.71	\$735,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-36	\$4,845,000.00	10/26/2018	2013-1015	\$28,875.13	\$4,350,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-38	\$2,650,000.00	10/26/2018	2013-1020	\$19,312.15	\$2,360,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-30	\$2,220,000.00	10/26/2018	2013-1026	\$25,255.69	\$1,950,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-04	\$645,000.00	10/26/2018	2013-1035	\$15,469.93	\$545,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-04	\$490,000.00	10/26/2018	2013-1036	\$13,878.12	\$425,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-12	\$2,510,000.00	10/26/2018	2013-1037	\$18,936.45	\$2,190,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-09	\$1,405,000.00	10/26/2018	2013-1038	\$23,704.57	\$1,185,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-12	\$1,865,000.00	10/26/2018	2013-1039	\$19,475.50	\$1,625,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Eastern Municipal Water District CFD No 2003-14	\$1,435,000.00	10/26/2018	2013-1049	\$18,650.03	\$1,255,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-14	\$1,635,000.00	10/26/2018	2013-1050	\$18,625.48	\$1,420,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-14	\$3,330,000.00	10/26/2018	2013-1051	\$18,706.63	\$2,940,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-15-A	\$5,835,000.00	10/26/2018	2013-1052	\$28,434.80	\$5,245,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-16	\$3,055,000.00	10/26/2018	2013-1053	\$24,967.76	\$2,660,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-19	\$2,035,000.00	10/26/2018	2013-1054	\$19,220.77	\$1,785,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-20	\$1,405,000.00	10/26/2018	2013-1055	\$13,542.14	\$1,225,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-19	\$3,705,000.00	10/26/2018	2013-1056	\$19,067.02	\$3,275,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-25	\$3,070,000.00	10/26/2018	2013-1057	\$19,516.85	\$2,730,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-28	\$3,735,000.00	10/26/2018	2013-1059	\$24,919.72	\$3,280,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-26	\$2,780,000.00	10/26/2018	2013-1060	\$19,074.53	\$2,440,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-26	\$4,675,000.00	10/26/2018	2013-1062	\$19,165.91	\$4,130,000.00		
<b>Total</b>		<b>\$54,175,000.00</b>				<b>\$47,750,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$850,000	Eastern Municipal Water District CFD No 2004-35 Riverside CDIAC Number: 2013-1014 Limited tax obligation bond Water Supply, Storage, Distribution IA C Mountain Gate Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 4.183 <u>NIC</u> 4.294 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$735,000	\$62,515	\$0	\$15,007	\$63,971

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.290	Y	\$76,950

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$994	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$4,845,000	Eastern Municipal Water District CFD No 2004-36 Riverside CDIAC Number: 2013-1015 Limited tax obligation bond Water Supply, Storage, Distribution Adeline's Farm Refunding	S:AA-/BBB+      Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 4.246 <u>NIC</u> 4.347 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,350,000	\$356,337	\$0	\$28,875	\$364,633

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.280	Y	\$365,818

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,014	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$2,650,000	Eastern Municipal Water District CFD No 2004-38 Riverside CDIAC Number: 2013-1020 Limited tax obligation bond Water Supply, Storage, Distribution IA A Autumn Ridge/Valdemosa Refunding	S:AA-/BBB+      Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 4.178 <u>NIC</u> 4.287 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,360,000	\$194,900	\$0	\$19,312	\$199,438

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.380	Y	\$213,541

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$805	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$2,220,000	Eastern Municipal Water District CFD No 2004-30 Riverside CDIAC Number: 2013-1026 Limited tax obligation bond Water Supply, Storage, Distribution Barrington Heights Refunding	S:AA-/BBB+      Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.088 <u>NIC</u> 4.207 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,950,000	\$163,275	\$0	\$25,256	\$167,076

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
0	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$190,660	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$645,000	Eastern Municipal Water District CFD No 2002-04 Riverside CDIAC Number: 2013-1035 Limited tax obligation bond Water Supply, Storage, Distribution IA 3 Sheffield/Rancho Bella Vista Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.995 <u>NIC</u> 4.110 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$545,000	\$47,438	\$0	\$15,470	\$48,542

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.380	Y	\$64,160

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$247	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$490,000	Eastern Municipal Water District CFD No 2002-04 Riverside CDIAC Number: 2013-1036 Limited tax obligation bond Water Supply, Storage, Distribution IA 4 Sheffield/Rancho Bella Vista Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.014 <u>NIC</u> 4.126 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$425,000	\$36,038	\$0	\$13,878	\$36,877

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$2,510,000	Eastern Municipal Water District CFD No 2003-12 Riverside CDIAC Number: 2013-1037 Limited tax obligation bond Water Supply, Storage, Distribution IA B Temecula Creek Refunding	S:AA-/BBB+      Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.041 <u>NIC</u> 4.147 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,190,000	\$184,604	\$0	\$18,936	\$188,902

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.530	Y	\$203,531

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,140	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,405,000	Eastern Municipal Water District CFD No 2002-09 Riverside CDIAC Number: 2013-1038 Limited tax obligation bond Water Supply, Storage, Distribution Woodside Homes Refunding	S:AA-/BBB+      Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-33 Comb	<u>TIC</u> 3.955 <u>NIC</u> 4.052 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,185,000	\$103,334	\$0	\$23,705	\$105,740

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.870	Y	\$134,253

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,175	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,865,000	Eastern Municipal Water District CFD No 2003-12 Riverside CDIAC Number: 2013-1039 Limited tax obligation bond Water Supply, Storage, Distribution IA A Temecula Creek Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.037 <u>NIC</u> 4.144 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,625,000	\$137,166	\$0	\$19,476	\$140,359

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.430	Y	\$159,706

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$685	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,435,000	Eastern Municipal Water District CFD No 2003-14 Riverside CDIAC Number: 2013-1049 Limited tax obligation bond Water Supply, Storage, Distribution IA A Clinton Keith/Meadowlark Refunding	S:AA-/BBB+      Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.095 <u>NIC</u> 4.214 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,255,000	\$105,540	\$0	\$18,650	\$107,997

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.350	Y	\$122,214

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,655	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,635,000	Eastern Municipal Water District CFD No 2003-14 Riverside CDIAC Number: 2013-1050 Limited tax obligation bond Water Supply, Storage, Distribution IA C Clinton Keith/Meadowlark Village Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.093 <u>NIC</u> 4.211 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,420,000	\$120,250	\$0	\$18,625	\$123,049

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$135,299

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$3,330,000	Eastern Municipal Water District CFD No 2003-14 Riverside CDIAC Number: 2013-1051 Limited tax obligation bond Water Supply, Storage, Distribution IA B Clinton Keith/Meadowlark Village Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.099 <u>NIC</u> 4.216 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,940,000	\$244,913	\$0	\$18,707	\$250,614

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.380	\$260,954

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$980	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$5,835,000	Eastern Municipal Water District CFD No 2003-15-A Riverside CDIAC Number: 2013-1052 Limited tax obligation bond Water Supply, Storage, Distribution Morgan Valley Series A Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 4.249 <u>NIC</u> 4.350 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,245,000	\$429,149	\$0	\$28,435	\$439,139

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
0	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$436,234	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$3,055,000	Eastern Municipal Water District CFD No 2003-16 Riverside CDIAC Number: 2013-1053 Limited tax obligation bond Water Supply, Storage, Distribution Promontory Park Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.039 <u>NIC</u> 4.145 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,660,000	\$224,687	\$0	\$24,968	\$229,918

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.290	Y	\$251,796

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$741	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$2,035,000	Eastern Municipal Water District CFD No 2003-19 Riverside CDIAC Number: 2013-1054 Limited tax obligation bond Water Supply, Storage, Distribution IA A Eastridge/Westridge Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.096 <u>NIC</u> 4.214 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,785,000	\$149,669	\$0	\$19,221	\$153,153

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
0	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$166,938	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$1,405,000	Eastern Municipal Water District CFD No 2003-20 Riverside CDIAC Number: 2013-1055 Limited tax obligation bond Water Supply, Storage, Distribution IA A Corman Leigh Communities Refunding	S:AA-/BBB+      Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.090 <u>NIC</u> 4.208 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,225,000	\$103,334	\$0	\$13,542	\$105,740

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$115,922

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$3,705,000	Eastern Municipal Water District CFD No 2003-19 Riverside CDIAC Number: 2013-1056 Limited tax obligation bond Water Supply, Storage, Distribution IA B Eastridge/Westridge Refunding	S:AA-/BBB+      Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.100 <u>NIC</u> 4.217 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,275,000	\$272,493	\$0	\$19,067	\$278,837

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.250	Y	\$285,037

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$716	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$3,070,000	Eastern Municipal Water District CFD No 2003-25 Riverside CDIAC Number: 2013-1057 Limited tax obligation bond Water Supply, Storage, Distribution IA D Pacific Communities Refunding	S:AA-/BBB+      Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 4.176 <u>NIC</u> 4.286 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,730,000	\$225,790	\$0	\$19,517	\$231,047

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.120	\$239,869

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,077	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$3,735,000	Eastern Municipal Water District CFD No 2004-28 Riverside CDIAC Number: 2013-1059 Limited tax obligation bond Water Supply, Storage, Distribution Quinta Do Lago Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.093 <u>NIC</u> 4.210 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,280,000	\$274,699	\$0	\$24,920	\$281,094

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$298,541

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,417	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$2,780,000	Eastern Municipal Water District CFD No 2004-26 Riverside CDIAC Number: 2013-1060 Limited tax obligation bond Water Supply, Storage, Distribution IA 2 Lennar San Jacinto Refunding	S:AA-/BBB+     Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.092 <u>NIC</u> 4.211 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,440,000	\$204,461	\$0	\$19,075	\$209,222

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$224,977

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,206	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2013	\$4,675,000	Eastern Municipal Water District CFD No 2004-26 Riverside CDIAC Number: 2013-1062 Limited tax obligation bond Water Supply, Storage, Distribution IA 1 Lennar San Jacinto Refunding	S:AA-/BBB+	Neg	(BC) (FA) (EN) (PU) (TR)	Stradling Yocca Fieldman Rolapp Assured Guaranty Corp Western Riverside Water U.S. Bank NA	09-01-35 Comb	<u>TIC</u> 4.098 <u>NIC</u> 4.215 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,130,000	\$343,834	\$0	\$19,166	\$351,838

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$358,103

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1011	\$54,175,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-07-2013	\$24,750,000	Western Riverside Water and Wastewater Financing Authority Riverside CDIAC Number: 2013-1012 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2001-01, 2002-05, 2004-34 & 2006-52 Series B	NR	Neg	(BC) (FA) (TR) (UW)	Stradling Yocca Fieldman Rolapp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-37 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.714 4.769

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,600,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Eastern Municipal Water District CFD No 2001-01	\$7,250,000.00	10/25/2018	2013-1013	\$23,767.35	\$6,190,000.00		
LTOB	Eastern Municipal Water District CFD No 2006-52	\$1,470,000.00	10/25/2018	2013-1017	\$23,000.60	\$1,305,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-05	\$10,465,000.00	10/26/2018	2013-1018	\$24,871.43	\$9,170,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-34	\$5,565,000.00	10/26/2018	2013-1019	\$25,794.54	\$4,935,000.00		
<b>Total</b>		<b>\$24,750,000.00</b>				<b>\$21,600,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-07-2013	\$7,250,000	Eastern Municipal Water District CFD No 2001-01 Riverside CDIAC Number: 2013-1013 Limited tax obligation bond Water Supply, Storage, Distribution IA A French Valley Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-32 Comb	<u>TIC</u> 4.594 <u>NIC</u> 4.665 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,190,000	\$563,541	\$0	\$23,767	\$575,296

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.570	Y	\$1,349,358

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,693	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1012	\$24,750,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-07-2013	\$1,470,000	Eastern Municipal Water District CFD No 2006-52 Riverside CDIAC Number: 2013-1017 Limited tax obligation bond Water Supply, Storage, Distribution Nelson Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-37 Comb	<u>TIC</u> 4.821 <u>NIC</u> 4.857 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,305,000	\$114,263	\$0	\$23,001	\$116,646

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.030	Y	\$130,538

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,350	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1012	\$24,750,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-07-2013	\$10,465,000	Eastern Municipal Water District CFD No 2002-05 Riverside CDIAC Number: 2013-1018 Limited tax obligation bond Water Supply, Storage, Distribution Crown Valley Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.720 <u>NIC</u> 4.774 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,170,000	\$813,442	\$0	\$24,871	\$830,411

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$829,696

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,010	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1012	\$24,750,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-07-2013	\$5,565,000	Eastern Municipal Water District CFD No 2004-34 Riverside CDIAC Number: 2013-1019 Limited tax obligation bond Water Supply, Storage, Distribution Faircrest Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-36 Comb	<u>TIC</u> 4.811 <u>NIC</u> 4.848 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,935,000	\$432,566	\$0	\$25,795	\$441,590

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.430	Y	\$428,723

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,828	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2013-1012	\$24,750,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
02-24-2015	\$19,976,000	Western Riverside Water and Wastewater Financing Authority Riverside CDIAC Number: 2015-0060 Revenue bond Water Supply, Storage, Distribution CFD Nos 2003-20, 2004-27, 2004-29, 2004-32, 2005-40 & 2005-42 Series A	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Stifel Nicolaus & Co Inc City National Bank U.S. Bank NA	09-01-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.850 3.850

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$18,101,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Eastern Municipal Water District CFD No 2004-27	\$7,296,000.00	10/26/2018	2015-0061	\$25,026.11	\$6,626,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-29	\$4,617,000.00	10/26/2018	2015-0062	\$24,719.60	\$4,187,000.00		
LTOB	Eastern Municipal Water District CFD No 2005-40	\$2,416,000.00	10/25/2018	2015-0063	\$24,943.25	\$2,183,000.00		
LTOB	Eastern Municipal Water District CFD No 2005-38	\$628,000.00	10/26/2018	2015-0064	\$14,119.02	\$556,000.00		
LTOB	Eastern Municipal Water District CFD No 2005-42	\$2,151,000.00	10/25/2018	2015-0065	\$30,161.74	\$1,955,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-20	\$298,000.00	10/26/2018	2015-0067	\$13,487.60	\$256,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-32	\$1,046,000.00	10/26/2018	2015-0068	\$13,382.22	\$945,000.00		
LTOB	Eastern Municipal Water District CFD No 2004-32	\$1,524,000.00	10/26/2018	2015-0069	\$13,462.69	\$1,393,000.00		
<b>Total</b>		<b>\$19,976,000.00</b>				<b>\$18,101,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-2015	\$7,296,000	Eastern Municipal Water District CFD No 2004-27 Riverside CDIAC Number: 2015-0061 Limited tax obligation bond Water Supply, Storage, Distribution Cottonwood Ranch Series A Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Stifel Nicolaus & Co Inc Western Riverside Water U.S. Bank NA	09-01-36 Term	<u>TIC</u> 3.850 <u>NIC</u> 3.850 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,626,000	\$252,734	\$0	\$25,026	\$254,299

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$525,032

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,956	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2015-0060	\$19,976,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-2015	\$4,617,000	Eastern Municipal Water District CFD No 2004-29 Riverside CDIAC Number: 2015-0062 Limited tax obligation bond Water Supply, Storage, Distribution Sun Ranch Series A Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Stifel Nicolaus & Co Inc Western Riverside Water U.S. Bank NA	09-01-36 Term	<u>TIC</u> 3.850 <u>NIC</u> 3.850 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,187,000	\$159,933	\$0	\$24,720	\$161,683

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$341,385

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2015-0060	\$19,976,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-2015	\$2,416,000	Eastern Municipal Water District CFD No 2005-40 Riverside CDIAC Number: 2015-0063 Limited tax obligation bond Water Supply, Storage, Distribution Mahogany/Promontory Pointe Series A Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Stifel Nicolaus & Co Inc Western Riverside Water U.S. Bank NA	09-01-36 Term	<u>TIC</u> 3.850 <u>NIC</u> 3.850 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,183,000	\$83,690	\$0	\$24,943	\$86,478

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$192,733

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,294	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2015-0060	\$19,976,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-2015	\$628,000	Eastern Municipal Water District CFD No 2005-38 Riverside CDIAC Number: 2015-0064 Limited tax obligation bond Water Supply, Storage, Distribution IA B Autumn Ridge/Valdemosa Series A Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Stifel Nicolaus & Co Inc Western Riverside Water U.S. Bank NA	09-01-36 Term	<u>TIC</u> 3.850 <u>NIC</u> 3.850 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$556,000	\$21,754	\$0	\$14,119	\$24,946

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$61,485

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2015-0060	\$19,976,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-2015	\$2,151,000	Eastern Municipal Water District CFD No 2005-42 Riverside CDIAC Number: 2015-0065 Limited tax obligation bond Water Supply, Storage, Distribution Belicia Ranch/Mosaico Series A Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Stifel Nicolaus & Co Inc Western Riverside Water U.S. Bank NA	09-01-37 Term	<u>TIC</u> 3.850 <u>NIC</u> 3.850 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,955,000	\$74,511	\$0	\$30,162	\$74,978

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$176,127

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,950	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2015-0060	\$19,976,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-2015	\$298,000	Eastern Municipal Water District CFD No 2003-20 Riverside CDIAC Number: 2015-0067 Limited tax obligation bond Water Supply, Storage, Distribution IA B Corman Leigh Series A Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Stifel Nicolaus & Co Inc Western Riverside Water U.S. Bank NA	09-01-35 Term	<u>TIC</u> 3.850 <u>NIC</u> 3.850 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$256,000	\$10,323	\$0	\$13,488	\$12,589

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$37,208

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2015-0060	\$19,976,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-2015	\$1,046,000	Eastern Municipal Water District CFD No 2004-32 Riverside CDIAC Number: 2015-0068 Limited tax obligation bond Water Supply, Storage, Distribution IA B Rancho San Jacinto Series A Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Stifel Nicolaus & Co Inc Western Riverside Water U.S. Bank NA	09-01-37 Term	<u>TIC</u> 3.850 <u>NIC</u> 3.850 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$945,000	\$36,234	\$0	\$13,382	\$37,401

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$83,977

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,924	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2015-0060	\$19,976,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-2015	\$1,524,000	Eastern Municipal Water District CFD No 2004-32 Riverside CDIAC Number: 2015-0069 Limited tax obligation bond Water Supply, Storage, Distribution IA A Rancho San Jacinto Series A Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Fieldman Rolapp Stifel Nicolaus & Co Inc Western Riverside Water U.S. Bank NA	09-01-37 Term	<u>TIC</u> 3.850 <u>NIC</u> 3.850 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,393,000	\$52,791	\$0	\$13,463	\$53,112

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$113,990

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$715	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2015-0060	\$19,976,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond Interest	
05-26-2016	\$39,435,000	Western Riverside Water and Wastewater Financing Authority Riverside CDIAC Number: 2016-1854 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2001-01 & 2001-02, 2002-03, 2002-04, 2002-10, 2005-39, 2005-43, 2005-04 & 2010-60 Series A Refunding	S:BBB+	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-44 Comb	<u>TIC</u> 3.178 <u>NIC</u> 3.583 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$38,365,000	\$2,848,206	\$0	\$2,923,632	\$0	\$2,923,632

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
LTOB	Eastern Municipal Water District CFD No 2001-01	\$1,350,000.00	10/25/2018	2016-1855	\$20,124.23	\$1,300,000.00		
LTOB	Eastern Municipal Water District CFD No 2001-02	\$3,590,000.00	10/25/2018	2016-1856	\$14,400.66	\$3,470,000.00		
LTOB	Eastern Municipal Water District CFD No 2001-02	\$585,000.00	10/26/2018	2016-1857	\$14,162.67	\$565,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-03	\$2,295,000.00	10/26/2018	2016-1858	\$25,223.99	\$2,205,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-04	\$1,815,000.00	10/26/2018	2016-1859	\$24,114.60	\$1,755,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-04	\$3,070,000.00	10/26/2018	2016-1860	\$26,762.39	\$2,980,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-04	\$995,000.00	10/26/2018	2016-1861	\$19,678.48	\$960,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Eastern Municipal Water District CFD No 2002-06	\$5,050,000.00	10/26/2018	2016-1862	\$24,359.97	\$4,880,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-08	\$2,435,000.00	10/26/2018	2016-1863	\$25,247.87	\$2,350,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-10	\$1,820,000.00	10/26/2018	2016-1864	\$25,211.05	\$1,760,000.00		
LTOB	Eastern Municipal Water District CFD No 2005-39	\$2,225,000.00	10/26/2018	2016-1865	\$19,608.64	\$2,190,000.00		
LTOB	Eastern Municipal Water District CFD No 2005-43	\$4,470,000.00	10/25/2018	2016-1866	\$19,184.16	\$4,335,000.00		
LTOB	Eastern Municipal Water District CFD No 2005-47	\$7,130,000.00	10/25/2018	2016-1867	\$33,812.32	\$7,035,000.00		
LTOB	Eastern Municipal Water District CFD No 2010-60	\$2,605,000.00	10/25/2018	2016-1868	\$35,102.28	\$2,580,000.00		
<b>Total</b>		<b>\$39,435,000.00</b>				<b>\$38,365,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$1,350,000	Eastern Municipal Water District CFD No 2001-01 Riverside CDIAC Number: 2016-1855 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B French Valley Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-32 Serial	<u>TIC</u> 2.710 <u>NIC</u> 3.043 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,300,000	\$0	\$0	\$20,124	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.440	0	N	\$146,715

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$3,590,000	Eastern Municipal Water District CFD No 2001-02 Riverside CDIAC Number: 2016-1856 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Springfield II/Arbor Glen Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 2.816 <u>NIC</u> 3.164 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,470,000	\$0	\$0	\$14,401	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.160	1.060	Y	\$334,717

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,537	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$585,000	Eastern Municipal Water District CFD No 2001-02 Riverside CDIAC Number: 2016-1857 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B Springfield II/Arbor Glen Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 2.778 <u>NIC</u> 3.121 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$565,000	\$0	\$0	\$14,163	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
1.490	0.350	N	\$65,791

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$230	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$2,295,000	Eastern Municipal Water District CFD No 2002-03 Riverside CDIAC Number: 2016-1858 Limited tax obligation bond Multiple Capital Improvements, Public Works The Willows Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-32 Serial	<u>TIC</u> 2.708 <u>NIC</u> 3.042 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,205,000	\$0	\$0	\$25,224	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.860	2.250	Y	\$239,389

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,376	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$1,815,000	Eastern Municipal Water District CFD No 2002-04 Riverside CDIAC Number: 2016-1859 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 1 Sheffield/Rancho Bella Vista Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 2.813 <u>NIC</u> 3.161 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,755,000	\$0	\$0	\$24,115	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.630	0.120	Y	\$192,429

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$222	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$3,070,000	Eastern Municipal Water District CFD No 2002-04 Riverside CDIAC Number: 2016-1860 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 2 Sheffield/Rancho Bella Vista Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-34 Serial	<u>TIC</u> 2.905 <u>NIC</u> 3.265 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,980,000	\$0	\$0	\$26,762	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.830	0.790 Y	\$293,669

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,311	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$995,000	Eastern Municipal Water District CFD No 2002-04 Riverside CDIAC Number: 2016-1861 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 5 Sheffield/Rancho Bella Vista Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-34 Serial	<u>TIC</u> 2.891 <u>NIC</u> 3.250 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$960,000	\$0	\$0	\$19,678	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
2.540	0.370	Y	\$105,531

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$393	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$5,050,000	Eastern Municipal Water District CFD No 2002-06 Riverside CDIAC Number: 2016-1862 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Morgan Hill Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 2.815 <u>NIC</u> 3.163 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,880,000	\$0	\$0	\$24,360	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.890	0.550	Y	\$470,256

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,586	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$2,435,000	Eastern Municipal Water District CFD No 2002-08 Riverside CDIAC Number: 2016-1863 Limited tax obligation bond Multiple Capital Improvements, Public Works Serena Hills Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-33 Serial	<u>TIC</u> 2.807 <u>NIC</u> 3.154 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,350,000	\$0	\$0	\$25,248	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.210	0	N	\$246,033

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$1,820,000	Eastern Municipal Water District CFD No 2002-10 Riverside CDIAC Number: 2016-1864 Limited tax obligation bond Multiple Capital Improvements, Public Works Summit Ridge Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-34 Serial	<u>TIC</u> 2.907 <u>NIC</u> 3.267 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,760,000	\$0	\$0	\$25,211	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.640	0.600	Y	\$184,329

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,110	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$2,225,000	Eastern Municipal Water District CFD No 2005-39 Riverside CDIAC Number: 2016-1865 Limited tax obligation bond Multiple Capital Improvements, Public Works Marsden Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-44 Comb	<u>TIC</u> 3.475 <u>NIC</u> 3.890 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,190,000	\$0	\$0	\$19,609	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.680	2.860	Y	\$175,752

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,032	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$4,470,000	Eastern Municipal Water District CFD No 2005-43 Riverside CDIAC Number: 2016-1866 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Kona Road/Holiday Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-44 Comb	<u>TIC</u> 3.573 <u>NIC</u> 3.995 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,335,000	\$0	\$0	\$19,184	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
11.410	0	N	\$324,602

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$7,130,000	Eastern Municipal Water District CFD No 2005-47 Riverside CDIAC Number: 2016-1867 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A The Lakes Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-44 Comb	<u>TIC</u> 3.483 <u>NIC</u> 3.897 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,035,000	\$0	\$0	\$33,812	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
17.570	1.350	Y	\$798,386

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,759	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2016	\$2,605,000	Eastern Municipal Water District CFD No 2010-60 Riverside CDIAC Number: 2016-1868 Limited tax obligation bond Multiple Capital Improvements, Public Works Paseo Del Sol Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-44 Comb	<u>TIC</u> 3.560 <u>NIC</u> 3.983 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,580,000	\$0	\$0	\$35,102	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.650	0.770	Y	\$221,096

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,700	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2016-1854	\$39,435,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
03-28-2017	\$8,035,000	Western Riverside Water and Wastewater Financing Authority Riverside CDIAC Number: 2017-0580 Revenue bond Multiple Capital Improvements, Public Works Series A	NR	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-46 Comb	<u>TIC</u> 4.310 <u>NIC</u> 4.380 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,985,000	\$519,863	\$0	\$526,094	\$0	\$526,094

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Eastern Municipal Water District CFD No 2002-07	\$3,285,000.00	10/26/2018	2017-0567	\$15,300.00	\$3,285,000.00		
LTOB	Eastern Municipal Water District CFD No 2003-25	\$2,625,000.00	10/26/2018	2017-0575	\$19,608.64	\$2,575,000.00		
LTOB	Eastern Municipal Water District CFD No 2002-07	\$2,125,000.00	10/26/2018	2017-0583	\$15,300.00	\$2,125,000.00		
<b>Total</b>		<b>\$8,035,000.00</b>				<b>\$7,985,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-28-2017	\$3,285,000	Eastern Municipal Water District CFD No 2002-07 Riverside CDIAC Number: 2017-0567 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B Pacific Mayfield	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-46 Comb	<u>TIC</u> 4.326 <u>NIC</u> 4.378 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,285,000	\$0	\$0	\$15,300	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
39.730	Y	1.280	\$223,629

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,865	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2017-0580	\$8,035,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-28-2017	\$2,625,000	Eastern Municipal Water District CFD No 2003-25 Riverside CDIAC Number: 2017-0575 Limited tax obligation bond Multiple Capital Improvements, Public Works IA C Pacific Communities	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-42 Comb	<u>TIC</u> 4.276 <u>NIC</u> 4.387 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,575,000	\$0	\$0	\$19,609	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
34.030	Y	0.300	\$374,087

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,120	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2017-0580	\$8,035,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-28-2017	\$2,125,000	Eastern Municipal Water District CFD No 2002-07 Riverside CDIAC Number: 2017-0583 Limited tax obligation bond Multiple Capital Improvements, Public Works IA C Pacific Mayfield	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp Western Riverside Water U.S. Bank NA	09-01-46 Comb	<u>TIC</u> 4.324 <u>NIC</u> 4.377 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,125,000	\$0	\$0	\$15,300	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
26.240	N	0	\$165,037

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Western Riverside Water and Wastewater Financing Authority	2017-0580	\$8,035,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2015	\$90,630,000	Elk Grove Finance Authority Sacramento CDIAC Number: 2015-1051 Revenue bond Multiple Capital Improvements, Public Works Poppy Ridge & East Franklin CFD Nos 2002-1 & 2003-1 Refunding	S:AA/A-     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Jones Hall Fieldman Rolapp Build America U.S. Bank NA Piper Jaffray & Co	09-01-38 Comb	<u>TIC</u> 3.891 <u>NIC</u> 4.154 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$88,145,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>
							<u>Repay Date</u>	<u>Type</u>
LTOB	Elk Grove CFD No 2002-1	\$49,120,000.00	10/29/2018	2015-1052	\$0.00	\$46,635,000.00		
LTOB	Elk Grove CFD No 2003-1	\$41,510,000.00	10/29/2018	2015-1053	\$0.00	\$41,510,000.00		
		<b>Total</b>				<b>\$88,145,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2015	\$49,120,000	Elk Grove CFD No 2002-1 Sacramento CDIAC Number: 2015-1052 Limited tax obligation bond Multiple Capital Improvements, Public Works East Franklin Refunding	NR	Neg	(BC) (FA) (PU)	Jones Hall Fieldman Rolapp Elk Grove FA	09-01-38 Comb	<u>TIC</u> 3.891 <u>NIC</u> 4.154 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$46,635,000	\$3,633,850	\$0	\$0	\$3,640,713

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$4,235,939

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,080	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Elk Grove Finance Authority	2015-1051	\$90,630,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-14-2015	\$41,510,000	Elk Grove CFD No 2003-1 Sacramento CDIAC Number: 2015-1053 Limited tax obligation bond Multiple Capital Improvements, Public Works Poppy Ridge Refunding	NR	Neg	(BC) (FA) (PU)	Jones Hall Fieldman Rolapp Elk Grove FA	09-01-38 Comb	<u>TIC</u> 3.891 <u>NIC</u> 4.154 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$41,510,000	\$3,284,750	\$0	\$0	\$3,284,750

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$3,950,177

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,133	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Elk Grove Finance Authority	2015-1051	\$90,630,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-10-2016	\$113,500,000	Elk Grove Finance Authority Sacramento CDIAC Number: 2016-1406 Revenue bond Multiple Capital Improvements, Public Works Laguna Ridge CFD No 2005-1	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Piper Jaffray & Co	09-01-46 Comb	<u>TIC</u> 3.638 <u>NIC</u> 3.983 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$112,825,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Elk Grove CFD No 2005-1	\$113,500,000.00	10/29/2018	2016-1407	\$0.00	\$112,825,000.00		
<b>Total</b>		<b>\$113,500,000.00</b>				<b>\$112,825,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-10-2016	\$113,500,000	Elk Grove CFD No 2005-1 Sacramento CDIAC Number: 2016-1407 Limited tax obligation bond Multiple Capital Improvements, Public Works Laguna Ridge Refunding	NR	Neg	(BC) (DC) (FA) (PU)	Jones Hall Jones Hall Fieldman Rolapp Elk Grove FA	09-01-46 Comb	<u>TIC</u> 3.638 <u>NIC</u> 3.983 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$112,825,000	\$8,045,619	\$0	\$0	\$8,070,887

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.590	Y	\$6,689,154

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$39,664	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Elk Grove Finance Authority	2016-1406	\$113,500,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-20-2018	\$18,530,000	Elk Grove Finance Authority Sacramento CDIAC Number: 2018-0192 Public lease revenue bond Multiple Capital Improvements, Public Works City Animal Shelter	S:AA	Neg PP	(BC) (DC) (FA) (TR) (UW)	Jones Hall Jones Hall Fieldman Rolapp U.S. Bank NA Stifel Nicolaus & Co Inc	11-01-38 Serial	<u>TIC</u> 3.155 <u>NIC</u> 3.220 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$18,530,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$293,533

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Elk Grove	\$18,530,000.00	10/29/2018	2018-0193	\$0.00	\$18,530,000.00		
<b>Total</b>		<b>\$18,530,000.00</b>				<b>\$18,530,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-20-2018	\$18,530,000	Elk Grove Sacramento CDIAC Number: 2018-0193 Certificates of participation/leases Multiple Capital Improvements, Public Works City Animal Shelter	NR	Neg	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall Fieldman Rolapp U.S. Bank NA	11-01-38 Serial	<u>TIC</u> 3.155 <u>NIC</u> 3.220 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,530,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Elk Grove Finance Authority	2018-0192	\$18,530,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-21-2018	\$19,145,000	Elk Grove Finance Authority Sacramento CDIAC Number: 2018-1050 Revenue bond Multiple Capital Improvements, Public Works CFD No 2005-1 Laguna Ridge	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Piper Jaffray & Co	09-01-48 Comb	<u>TIC</u> 4.338 <u>NIC</u> 4.588 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$19,145,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$454,006

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Elk Grove CFD No 2005-1	\$19,145,000.00	10/29/2018	2018-1051	\$0.00	\$19,145,000.00		
<b>Total</b>		<b>\$19,145,000.00</b>				<b>\$19,145,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-21-2018	\$19,145,000	Elk Grove CFD No 2005-1 Sacramento CDIAC Number: 2018-1051 Limited tax obligation bond Multiple Capital Improvements, Public Works Laguna Ridge	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Jones Hall Fieldman Rolapp Elk Grove FA U.S. Bank NA	09-01-48 Comb	<u>TIC</u> 4.338 <u>NIC</u> 4.588 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,145,000	\$1,666,608	\$0	\$0	\$1,666,608

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.590	\$6,689,154

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$39,664	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Elk Grove Finance Authority	2018-1050	\$19,145,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-19-1998	\$2,860,000	Folsom Public Financing Authority Sacramento CDIAC Number: 1998-0409 Revenue bond Multiple Capital Improvements, Public Works Hannaford Cross AD No 1990-1	NR	Neg	(BC) Orrick Herrington (DC) Lofton De Lancie (FA) The PFM Group (TR) Union Bank of CA (UW) Sutro & Co	09-02-20 Comb	<u>TIC</u> <u>NIC</u> 5.680 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$485,000	\$182,281	\$0	\$182,282	\$0	\$182,282

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$2,105,403.00</b>				<b>\$445,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-19-1998	\$2,105,403	Folsom Sacramento CDIAC Number: 1998-0408 Special assessment bond Multiple Capital Improvements, Public Works Hannaford Cross AD No 1990-1 Refunding	NR	Comp	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton De Lancie The PFM Group Folsom PFA First Trust of CA	09-02-20 Comb	<u>TIC</u> <u>NIC</u> 5.680 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$445,000	\$0	\$0	\$4,850	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$191,042

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	1998-0409	\$2,860,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-18-1999	\$6,125,000	Folsom Public Financing Authority Sacramento CDIAC Number: 1999-0014 Revenue bond Multiple Capital Improvements, Public Works AD Nos 93-2, 94-3, 95-1 & 95-2	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Lofton & Jennings The PFM Group Union Bank of CA Westhoff Cone	09-02-20 Comb	<u>TIC</u> <u>NIC</u> 5.376 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,210,000	\$482,208	\$0	\$482,208	\$0	\$482,208

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
				<u>CDIAC Nbr</u>	<u>Admin Fees</u>			
SAB	Folsom	\$1,305,000.00	10/26/2018	1999-1481	\$4,600.00	\$240,000.00		
SAB	Folsom	\$1,860,000.00	10/26/2018	1999-1482	\$6,450.00	\$285,000.00		
SAB	Folsom	\$1,905,000.00	10/26/2018	1999-1483	\$11,450.00	\$215,000.00		
SAB	Folsom	\$680,000.00	10/26/2018	1999-1484	\$3,500.00	\$35,000.00		
<b>Total</b>		<b>\$5,750,000.00</b>				<b>\$775,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-18-1999	\$1,305,000	Folsom Sacramento CDIAC Number: 1999-1481 Special assessment bond Multiple Capital Improvements, Public Works Cresleigh Natoma AD No 95-2 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton & Jennings The PFM Group Folsom PFA Union Bank of CA	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 5.653 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$240,000	\$0	\$0	\$4,600	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$107,305

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	1999-0014	\$6,125,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-18-1999	\$1,860,000	Folsom Sacramento CDIAC Number: 1999-1482 Special assessment bond Multiple Capital Improvements, Public Works Ridgeview AD No 95-1 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton & Jennings The PFM Group Folsom PFA Union Bank of CA	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 5.833 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$285,000	\$0	\$0	\$6,450	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$151,077

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	1999-0014	\$6,125,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-18-1999	\$1,905,000	Folsom Sacramento CDIAC Number: 1999-1483 Special assessment bond Multiple Capital Improvements, Public Works Cobble Hills Ridge AD No 94-3 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton & Jennings The PFM Group Folsom PFA Union Bank of CA	09-02-19 Serial	<u>TIC</u> <u>NIC</u> 5.448 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$215,000	\$0	\$0	\$11,450	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$164,917

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	1999-0014	\$6,125,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-18-1999	\$680,000	Folsom Sacramento CDIAC Number: 1999-1484 Special assessment bond Multiple Capital Improvements, Public Works Legends AD No 93-2 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton & Jennings The PFM Group Folsom PFA Union Bank of CA	09-02-18 Serial	<u>TIC</u> <u>NIC</u> 5.667 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$35,000	\$0	\$0	\$3,500	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	1999-0014	\$6,125,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-26-2007	\$70,425,000	Folsom Public Financing Authority Sacramento CDIAC Number: 2007-0603 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 9, 10, 11 & 14 Series A & Sub Series B	S:AAA     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Lofton & Jennings The PFM Group Ambac Union Bank of CA Piper Jaffray & Co	09-01-32 Comb	<u>TIC</u> <u>NIC</u> 4.784 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>	
LTOB	Folsom CFD No 10	\$42,165,000.00	10/15/2018	2007-0605	\$0.00	\$0.00	9/1/2017	Redeemed	FY 17/18
LTOB	Folsom CFD No 11	\$8,900,000.00	10/15/2018	2007-0606	\$0.00	\$0.00	9/1/2017	Redeemed	FY 17/18
LTOB	Folsom CFD No 14	\$17,650,000.00	10/15/2018	2007-0607	\$0.00	\$0.00	9/1/2017	Redeemed	FY 17/18
<b>Total</b>		<b>\$70,425,000.00</b>				<b>\$0.00</b>			



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-26-2007	\$1,710,000	Folsom CFD No 9 Sacramento CDIAC Number: 2007-0604 Limited tax obligation bond Multiple Capital Improvements, Public Works Willow Creek Estates South Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton & Jennings The PFM Group Folsom PFA Union Bank of CA	09-01-17 Serial	<u>TIC</u> <u>NIC</u> 4.681 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2007-0603	\$70,425,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-26-2007	\$42,165,000	Folsom CFD No 10 Sacramento CDIAC Number: 2007-0605 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton & Jennings The PFM Group Folsom PFA Union Bank of CA	09-01-28 Serial	<u>TIC</u> <u>NIC</u> 4.891 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.370	\$5,408,670

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$19,957	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2007-0603	\$70,425,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-26-2007	\$8,900,000	Folsom CFD No 11 Sacramento CDIAC Number: 2007-0606 Limited tax obligation bond Multiple Capital Improvements, Public Works Willow Springs Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton & Jennings The PFM Group Folsom PFA Union Bank of CA	09-01-23 Serial	<u>TIC</u> <u>NIC</u> 4.320 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.290	Y	\$486,240

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,417	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2007-0603	\$70,425,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-26-2007	\$17,650,000	Folsom CFD No 14 Sacramento CDIAC Number: 2007-0607 Limited tax obligation bond Multiple Capital Improvements, Public Works Parkway Phase II Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton & Jennings The PFM Group Folsom PFA Union Bank of CA	09-01-32 Serial	<u>TIC</u> <u>NIC</u> 4.695 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.380	Y	\$1,255,208

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,734	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2007-0603	\$70,425,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2008	\$11,955,000	Folsom Public Financing Authority Sacramento CDIAC Number: 2008-0849 Revenue bond Multiple Capital Improvements, Public Works Prairie Oaks ReAD No 2008-01	S:A	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Lofton & Jennings The PFM Group Union Bank of CA Piper Jaffray & Co	09-02-19 Comb	<u>TIC</u> <u>NIC</u> 4.562 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,935,000	\$1,195,500	\$0	\$1,207,056	\$0	\$1,207,056

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Folsom	\$11,770,000.00	10/12/2018	2008-0848	\$52,700.00	\$3,216,108.00		
<b>Total</b>		<b>\$11,770,000.00</b>				<b>\$3,216,108.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-23-2008	\$11,770,000	Folsom Sacramento CDIAC Number: 2008-0848 Special assessment bond Multiple Capital Improvements, Public Works Prairie Oaks ReAD No 2008-01 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Lofton & Jennings The PFM Group Folsom PFA Folsom	09-02-19 Serial	<u>TIC</u> <u>NIC</u> 5.224 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,216,108	\$0	\$0	\$52,700	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,561,518

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,828	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2008-0849	\$11,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2010	\$23,180,000	Folsom Public Financing Authority Sacramento CDIAC Number: 2010-0946 Revenue bond Multiple Capital Improvements, Public Works CFD No 10 Series A & Sub Series B	S:A-	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Northcross Hill Ach Union Bank NA Piper Jaffray & Co	09-01-24 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,460,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Folsom CFD No 10	\$23,180,000.00	10/26/2018	2010-0947	\$117,929.16	\$12,460,000.00		
<b>Total</b>		<b>\$23,180,000.00</b>				<b>\$12,460,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2010	\$23,180,000	Folsom CFD No 10 Sacramento CDIAC Number: 2010-0947 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	S:A-	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Northcross Hill Ach Folsom PFA Union Bank NA	09-01-24 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,460,000	\$4,282,671	\$0	\$117,929	\$4,469,535

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.370	\$5,408,670

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$19,957	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2010-0946	\$23,180,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2011	\$10,855,000	Folsom Public Financing Authority Sacramento CDIAC Number: 2011-0519 Revenue bond Multiple Capital Improvements, Public Works Series A & B Refunding	S:AA+/A-     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington Northcross Hill Ach Assured Guaranty Corp Union Bank NA Piper Jaffray & Co	09-01-24 Serial	<u>TIC</u> <u>NIC</u> 4.396 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,480,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr</u>	<u>Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Folsom CFD No 7	\$10,855,000.00	10/26/2018	2011-1024	\$77,931.00	\$6,790,000.00		
<b>Total</b>		<b>\$10,855,000.00</b>				<b>\$6,790,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2011	\$10,855,000	Folsom CFD No 7 Sacramento CDIAC Number: 2011-1024 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	S:AA+/A-  Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Orrick Herrington Northcross Hill Ach Assured Guaranty Corp Folsom PFA Union Bank NA	09-01-24 Serial	<u>TIC</u> <u>NIC</u> 5.480 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$6,790,000	\$2,266,238	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.360	\$2,538,955

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,127	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2011-0519	\$10,855,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-12-2012	\$15,034,361	Folsom Public Financing Authority Sacramento CDIAC Number: 2012-0989 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 7 & 8	NR	Neg PP	(BC) (FA) (PU) (TR)	Orrick Herrington NHA Advisors Western Alliance Bancorp Union Bank NA	09-01-21 Term	<u>TIC</u> <u>NIC</u> 2.650 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,767,251	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Folsom CFD No 7	\$11,807,377.00	10/26/2018	2012-0990	\$77,931.14	\$4,563,502.57		
LTOB	Folsom CFD No 8	\$3,226,984.00	10/26/2018	2012-1361	\$16,378.28	\$1,203,748.43		
<b>Total</b>		<b>\$15,034,361.00</b>				<b>\$5,767,251.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-12-2012	\$11,807,377	Folsom CFD No 7 Sacramento CDIAC Number: 2012-0990 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington NHA Advisors Folsom PFA Union Bank NA	09-01-21 Term	<u>TIC</u> <u>NIC</u> 2.650 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,563,503	\$2,266,238	\$0	\$77,931	\$2,299,312

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.360	\$2,538,955

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,127	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2012-0989	\$15,034,361.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-12-2012	\$3,226,984	Folsom CFD No 8 Sacramento CDIAC Number: 2012-1361 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington NHA Advisors Folsom PFA Union Bank NA	09-01-21 Term	<u>TIC</u> <u>NIC</u> 2.650 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,203,748	\$322,698	\$0	\$16,378	\$324,248

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.250	\$351,094

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$882	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2012-0989	\$15,034,361.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
07-11-2017	\$44,075,000	Folsom Public Financing Authority Sacramento CDIAC Number: 2017-1588 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 10, 11 & 14 Series A	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Orrick Herrington Fieldman Rolapp Hilltop Securities Inc Compass Mortgage Corp MUFG Securities Americas	09-01-32 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.670 2.670

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$44,075,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Folsom CFD No 10	\$27,875,000.00	10/28/2018	2017-1592	\$117,929.16	\$27,875,000.00		
LTOB	Folsom CFD No 11	\$1,560,000.00	10/28/2018	2017-1594	\$17,902.80	\$1,560,000.00		
LTOB	Folsom CFD No 14	\$14,640,000.00	10/28/2018	2017-1595	\$51,143.96	\$14,640,000.00		
<b>Total</b>		<b>\$44,075,000.00</b>				<b>\$44,075,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2017	\$27,875,000	Folsom CFD No 10 Sacramento CDIAC Number: 2017-1592 Limited tax obligation bond Multiple Capital Improvements, Public Works Series A Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Orrick Herrington Fieldman Rolapp Hilltop Securities Inc Folsom PFA MUFG Securities Americas	09-01-28 Serial	<u>TIC</u> 2.670 <u>NIC</u> 2.670 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$27,875,000	\$4,282,671	\$0	\$117,929	\$4,469,535

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.370	Y	\$5,408,670

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$19,957	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2017-1588	\$44,075,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2017	\$1,560,000	Folsom CFD No 11 Sacramento CDIAC Number: 2017-1594 Limited tax obligation bond Multiple Capital Improvements, Public Works Willow Springs Series A Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Orrick Herrington Fieldman Rolapp Hilltop Securities Inc Folsom PFA MUFG Securities Americas	09-01-32 Serial	<u>TIC</u> 2.670 <u>NIC</u> 2.669 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,560,000	\$0	\$0	\$17,903	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$486,240

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,417	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2017-1588	\$44,075,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2017	\$14,640,000	Folsom CFD No 14 Sacramento CDIAC Number: 2017-1595 Limited tax obligation bond Multiple Capital Improvements, Public Works Parkway Phase II Series A Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Orrick Herrington Fieldman Rolapp Hilltop Securities Inc Folsom PFA MUFG Securities Americas	09-01-32 Serial	<u>TIC</u> 2.669 <u>NIC</u> 2.670 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,640,000	\$0	\$0	\$51,144	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.380	Y	\$1,255,208

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,734	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2017-1588	\$44,075,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2017	\$2,810,000	Folsom Public Financing Authority Sacramento CDIAC Number: 2017-1591 Revenue bond Multiple Capital Improvements, Public Works CFD No 10 Series B Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Orrick Herrington Fieldman Rolapp Hilltop Securities Inc Compass Mortgage Corp MUFG Securities Americas	09-01-28 Serial	<u>TIC</u> 4.109 <u>NIC</u> 4.110 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,810,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$400,705

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Folsom CFD No 10	\$2,810,000.00	10/28/2018	2017-1593	\$117,929.16	\$2,810,000.00		
<b>Total</b>		<b>\$2,810,000.00</b>				<b>\$2,810,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2017	\$2,810,000	Folsom CFD No 10 Sacramento CDIAC Number: 2017-1593 Limited tax obligation bond Multiple Capital Improvements, Public Works Series B Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Orrick Herrington Fieldman Rolapp Hilltop Securities Inc Folsom PFA MUFG Securities Americas	09-01-28 Serial	<u>TIC</u> 4.110 <u>NIC</u> 4.109 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,810,000	\$4,282,671	\$0	\$117,929	\$4,469,535

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.370	Y	\$5,408,670

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$19,957	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Public Financing Authority	2017-1591	\$2,810,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-20-2015	\$6,675,000	Folsom Ranch Financing Authority Sacramento CDIAC Number: 2015-0999 Revenue bond Water Supply, Storage, Distribution Series A	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Fieldman Rolapp MUFG Union Bank Piper Jaffray & Co	09-01-45 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.725 4.649

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,420,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Folsom CFD No 17	\$6,675,000.00	10/15/2018	2015-0998	\$31,836.24	\$6,420,000.00		
<b>Total</b>		<b>\$6,675,000.00</b>				<b>\$6,420,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-20-2015	\$6,675,000	Folsom CFD No 17 Sacramento CDIAC Number: 2015-0998 Limited tax obligation bond Water Supply, Storage, Distribution Willow Hill Pipeline	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Fieldman Rolapp Folsom Ranch FA MUFG Union Bank	09-01-45 Comb	<u>TIC</u> 4.725 <u>NIC</u> 4.649 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,420,000	\$408,381	\$0	\$31,836	\$410,341

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$732,896

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Ranch Financing Authority	2015-0999	\$6,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-10-2017	\$28,530,000	Folsom Ranch Financing Authority Sacramento CDIAC Number: 2017-1876 Revenue bond Multiple Capital Improvements, Public Works Mangini Ranch	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Fieldman Rolapp MUFG Securities Americas Piper Jaffray & Co	09-01-47 Term	<u>TIC</u> 4.432 <u>NIC</u> 4.645 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$28,530,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$665,469

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Folsom CFD No 19	\$28,530,000.00	10/28/2018	2017-1835	\$45,358.03	\$28,530,000.00		
<b>Total</b>		<b>\$28,530,000.00</b>				<b>\$28,530,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-10-2017	\$28,530,000	Folsom CFD No 19 Sacramento CDIAC Number: 2017-1835 Limited tax obligation bond Multiple Capital Improvements, Public Works Mangini Ranch	NR	Neg	(BC) (FA) (PU)	Orrick Herrington Fieldman Rolapp Folsom Ranch FA	09-01-47 Term	<u>TIC</u> 4.432 <u>NIC</u> 4.645 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$28,530,000	\$2,204,750	\$705,706	\$45,358	\$2,199,391

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Teeter Plan Participant</u>
Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Ranch Financing Authority	2017-1876	\$28,530,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-23-2018	\$13,255,000	Folsom Ranch Financing Authority Sacramento CDIAC Number: 2018-0941 Revenue bond Multiple Capital Improvements, Public Works Folsom CFD No 20 Russell Ranch	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Orrick Herrington Fieldman Rolapp MUFG Union Bank Piper Jaffray & Co	09-01-48 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.458 4.661

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$13,255,000	\$0	\$804,968	\$0	\$0	\$0

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$454,100

C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Folsom CFD No 20	\$13,255,000.00	11/08/2018	2018-0942	\$0.00	\$13,255,000.00		
<b>Total</b>		<b>\$13,255,000.00</b>				<b>\$13,255,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2018	\$13,255,000	Folsom CFD No 20 Sacramento CDIAC Number: 2018-0942 Limited tax obligation bond Multiple Capital Improvements, Public Works Russell Ranch	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington Fieldman Rolapp Folsom Ranch FA MUFG Union Bank	09-01-48 Comb	<u>TIC</u> 4.458 <u>NIC</u> 4.661 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,255,000	\$1,022,500	\$804,968	\$0	\$1,022,500

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Folsom Ranch Financing Authority	2018-0941	\$13,255,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale Private Placement</u>	<u>Role Participant</u>	<u>Maturity Date, Type Capital Appreciation Bond Interest</u>
12-28-1998	\$7,905,000	Sacramento City Financing Authority Sacramento CDIAC Number: 1999-0001 Revenue bond Flood Control, Storm Drainage North Natomas CFD No 2 Series A	NR	Neg	(BC) Orrick Herrington (TR) US Bank Corp Trust (UW) Stone & Youngberg	09-01-23 Comb TIC NIC 6.229 TYPE:

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,600,000	\$606,875	\$0	\$603,082	\$0	\$603,082

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$75,903
Trustee	\$4,600
Total:	\$80,503

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Sacramento North Natomas CFD No 2	\$2,910,000.00		1999-0002		9/30/2016	O FY 16/17
LTOB	Sacramento North Natomas CFD No 2	\$4,995,000.00	10/30/2018	1999-0003 \$80,503.24	\$1,305,000.00		
<b>Total</b>		<b>\$7,905,000.00</b>			<b>\$1,305,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-28-1998	\$4,995,000	Sacramento North Natomas CFD No 2 Sacramento CDIAC Number: 1999-0003 Limited tax obligation bond Flood Control, Storm Drainage Series A	NR	Neg	(BC) (PU) (TR)	Orrick Herrington Sacramento City FA US Bank Corp Trust	09-01-23 Serial	<u>TIC</u> <u>NIC</u> 6.229 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,305,000	\$606,875	\$0	\$80,503	\$603,082

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	Y	\$679,014

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$203	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento City Financing Authority	1999-0001	\$7,905,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
11-17-2005	\$92,372,236	Sacramento City Financing Authority Sacramento CDIAC Number: 2005-1836 Tax allocation bond Redevelopment, Multiple Purposes Merged Downtown & Oak Park Series A	S:AAA M:Aaa  Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington FGIC U.S. Bank NA Stone & Youngberg	12-01-34 Comb CAB	TIC NIC TYPE:	5.282

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$71,872,236	\$14,777,899	\$0	\$0	\$14,777,899	\$14,777,899

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Trustee	\$2,100
Total:	\$2,100

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	Current Year CDIAC Nbr Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
TAB	Sacramento City Financing Authority	\$46,750,000.00		2005-1837		12/15/2015	Redeemed FY 15/16
MKRL	Sacramento Redevelopment Agency	\$8,843,743.00	10/26/2018	2016-1199 \$54.46	\$1,863,743.20		
MKRL	Sacramento Redevelopment Agency	\$83,528,493.00	10/26/2018	2016-1289 \$2,045.54	\$70,008,492.55		
<b>Total</b>		<b>\$139,122,236.00</b>			<b>\$71,872,235.75</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-17-2005	\$8,843,743	Sacramento Redevelopment Agency Sacramento CDIAC Number: 2016-1199 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Oak Park	NR	Neg	(BC) (LE) (TR)	Orrick Herrington Sacramento City FA U.S. Bank NA	12-01-34 Comb	<u>TIC</u> 5.282 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,863,743	\$2,935,550	\$0	\$54	\$2,935,550

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
19.860	4.700	Y	\$5,778,803

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$271,317	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento City Financing Authority	2005-1836	\$92,372,236.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-17-2005	\$83,528,493	Sacramento Redevelopment Agency Sacramento CDIAC Number: 2016-1289 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Merged Downtown	NR	Neg	(BC) (LE) (TR)	Orrick Herrington Sacramento City FA U.S. Bank NA	12-01-34 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.282

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$70,008,493	\$11,842,349	\$0	\$2,046	\$11,842,349

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
80.140	Y	0.700	\$32,330,652

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$215,522	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento City Financing Authority	2005-1836	\$92,372,236.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-10-2013	\$23,525,000	Sacramento City Financing Authority Sacramento CDIAC Number: 2012-1942 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2000-01 & 2001-03 Westlake & Regency Park Series A	S:BBB+	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Assured Guaranty Corp The Bank of NY Mellon Stone Youngberg/Stifel	09-01-28 Serial	<u>TIC</u> 3.428 <u>NIC</u> 3.646 <u>TYPE:</u>
			Ins					

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,590,000	\$2,352,500	\$0	\$2,416,023	\$0	\$2,416,023

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$42,725
Trustee	\$3,710
Total:	\$46,435

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Sacramento North Natomas Westlake CFD No 2000-01	\$8,455,000.00	10/30/2018	2012-1943	\$19,679.28	\$6,000,000.00		
LTOB	Sacramento CFD No 2001-03	\$15,070,000.00	10/30/2018	2012-1944	\$26,755.90	\$11,590,000.00		
<b>Total</b>		<b>\$23,525,000.00</b>				<b>\$17,590,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-10-2013	\$8,455,000	Sacramento North Natomas Westlake CFD No 2000-01 Sacramento CDIAC Number: 2012-1943 Limited tax obligation bond Multiple Capital Improvements, Public Works Series A Refunding	NR	Neg	(BC) (PU) (TR)	Orrick Herrington Sacramento City FA The Bank of NY Mellon	09-01-26 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,000,000	\$845,500	\$0	\$19,679	\$862,158

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
35.940	0	Y	\$787,205

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$784,484	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento City Financing Authority	2012-1942	\$23,525,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-10-2013	\$15,070,000	Sacramento CFD No 2001-03 Sacramento CDIAC Number: 2012-1944 Limited tax obligation bond Multiple Capital Improvements, Public Works North Natomas Regency Park Series A Refunding	NR	Neg	(BC) (PU) (TR)	Orrick Herrington Sacramento City FA The Bank of NY Mellon	09-01-28 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,590,000	\$1,507,000	\$0	\$26,756	\$1,553,866

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
64.060	0	Y	\$1,337,174

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,314	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento City Financing Authority	2012-1942	\$23,525,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-12-2003	\$33,695,588	Sacramento County Public Financing Authority Sacramento CDIAC Number: 2003-2116 Tax allocation bond Redevelopment, Multiple Purposes Mather/McClellan Merged & Del Paso Heights Series A	S:AAA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Stradling Yocca Arimax Financial FGIC U.S. Bank NA Stone & Youngberg	12-01-33 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.025

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,670,588	\$2,347,344	\$0	\$2,350,497	\$0	\$2,350,497

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
12/1/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Sacramento County Public Financing Authority	\$8,345,000.00	10/26/2018	2003-2117		\$6,080,000.00		
MKRL	Sacramento County Redevelopment Agency	\$27,630,000.00	10/26/2018	2016-1286	\$0.00	\$19,145,000.00		
MKRL	Sacramento County Redevelopment Agency	\$6,065,588.00	10/26/2018	2016-1292	\$0.00	\$2,525,587.95		
<b>Total</b>		<b>\$42,040,588.00</b>				<b>\$27,750,587.95</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2003	\$8,345,000	Sacramento County Public Financing Authority Sacramento CDIAC Number: 2003-2117 Tax allocation bond Redevelopment, Multiple Purposes Mather/McClellan Merged Series B Federally Taxable	S:AAA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Stradling Yocca Arimax Financial FGIC U.S. Bank NA Stone & Youngberg	12-01-33 Comb	<u>TIC</u> <u>NIC</u> 6.205 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,080,000	\$615,376	\$0	\$616,203	\$0	\$616,203

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
12/1/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sacramento County Redevelopment Agency	\$8,345,000.00	10/26/2018	2016-1287	\$0.00	\$6,080,000.00		
<b>Total</b>		<b>\$8,345,000.00</b>				<b>\$6,080,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
12-12-2003	\$27,630,000	Sacramento County Redevelopment Agency Sacramento CDIAC Number: 2016-1286 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Mather/McClellan Merged	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Stradling Yocca Arimax Financial Sacramento Co PFA U.S. Bank NA	12-01-33 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.025

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,145,000	\$2,267,836	\$0	\$0	\$2,270,884

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
97.000	Y	0.500	\$12,658,381

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$59,913	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento County Public Financing Authority	2003-2116	\$33,695,588.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2003	\$6,065,588	Sacramento County Redevelopment Agency Sacramento CDIAC Number: 2016-1292 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Del Paso Heights	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall Arimax Financial Sacramento Co PFA U.S. Bank NA	12-01-33 Comb	<u>TIC</u> 5.025 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,525,588	\$1,020,000	\$0	\$0	\$1,054,292

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
31.020	2.400	Y	\$3,674,760

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$89,858	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento County Public Financing Authority	2003-2116	\$33,695,588.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-12-2003	\$8,345,000	Sacramento County Redevelopment Agency Sacramento CDIAC Number: 2016-1287 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Mather/McClellan Merged Federally Taxable	NR    Ins	Neg	(BC) (DC) (FA) (EN) (LE) (TR)	Jones Hall Stradling Yocca Arimax Financial FGIC Sacramento Co PFA U.S. Bank NA	12-01-33 Comb	<u>TIC</u> <u>NIC</u> 6.205 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,080,000	\$615,376	\$0	\$0	\$616,203

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.500	\$12,658,381

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$59,913	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento County Public Financing Authority	2003-2117	\$8,345,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2008	\$24,765,000	Sacramento County Public Financing Authority Sacramento CDIAC Number: 2007-1531 Tax allocation bond Redevelopment, Multiple Purposes Mather/McClellan Merged Area Series A	S:AAA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Orrick Herrington First Southwest Assured Guaranty Corp U.S. Bank NA Stone & Youngberg	12-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.806 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,765,000	\$1,369,518	\$0	\$1,371,357	\$0	\$1,371,357

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
12/1/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sacramento County Redevelopment Agency	\$24,765,000.00	10/26/2018	2016-1295	\$0.00	\$24,765,000.00		
<b>Total</b>		<b>\$24,765,000.00</b>				<b>\$24,765,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2008	\$24,765,000	Sacramento County Redevelopment Agency Sacramento CDIAC Number: 2016-1295 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Mather/McClellan Merged Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Orrick Herrington First Southwest Sacramento Co PFA U.S. Bank NA	12-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.806 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$24,765,000	\$1,369,518	\$0	\$0	\$1,371,357

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
54.030	Y	0.500	\$12,658,381

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$59,913	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento County Public Financing Authority	2007-1531	\$24,765,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2008	\$23,780,000	Sacramento County Public Financing Authority Sacramento CDIAC Number: 2007-1532 Tax allocation bond Redevelopment, Multiple Purposes Mather/McClellan Merged Area Series B Federally Taxable	S:AAA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Orrick Herrington First Southwest Assured Guaranty Corp U.S. Bank NA Stone & Youngberg	12-01-38 Comb	<u>TIC</u> <u>NIC</u> 6.292 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,225,000	\$1,165,076	\$0	\$1,166,641	\$0	\$1,166,641

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
12/1/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sacramento County Redevelopment Agency	\$23,780,000.00	10/26/2018	2016-1296	\$0.00	\$17,225,000.00		
<b>Total</b>		<b>\$23,780,000.00</b>				<b>\$17,225,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2008	\$23,780,000	Sacramento County Redevelopment Agency Sacramento CDIAC Number: 2016-1296 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Mather/McClellan Merged Area Federally Taxable	NR	Neg	(BC) Jones Hall (DC) Orrick Herrington (FA) First Southwest (LE) Sacramento Co PFA (TR) U.S. Bank NA	12-01-38 Comb	<u>TIC</u> <u>NIC</u> 6.292 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,225,000	\$1,165,076	\$0	\$0	\$1,166,641

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
45.970	Y	0.500	\$12,658,381

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$59,913	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sacramento County Public Financing Authority	2007-1532	\$23,780,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-22-2005	\$8,875,000	Big Bear Lake Financing Authority San Bernardino CDIAC Number: 2005-1845 Tax allocation bond Redevelopment, Multiple Purposes Big Bear Lake & Moonridge Refunding	S:AAA     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Best Best & Krieger Richards Watson Fieldman Rolapp Ambac Union Bank of CA Piper Jaffray & Co	08-01-25 Comb	<u>TIC</u> <u>NIC</u> 4.216 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,355,000	\$657,855	\$0	\$662,647	\$0	\$662,647

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$2,600
Trustee	\$2,755
<b>Total:</b>	<b>\$5,355</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Big Bear Lake Improvement Agency	\$1,069,438.00	10/30/2018	2016-0642	\$0.00	\$524,777.50		
MKRL	Big Bear Lake Improvement Agency	\$7,805,563.00	10/30/2018	2016-0643	\$0.00	\$3,830,222.50		
<b>Total</b>		<b>\$8,875,001.00</b>				<b>\$4,355,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-22-2005	\$1,069,438	Big Bear Lake Improvement Agency San Bernardino CDIAC Number: 2016-0642 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Moonridge	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Best Best & Krieger Richards Watson Fieldman Rolapp Big Bear Lk FA Union Bank of CA	08-01-25 Comb	<u>TIC</u> <u>NIC</u> 4.216 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$524,778	\$578,583	\$0	\$0	\$582,487

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.190	\$1,092,547

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$12,954	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Big Bear Lake Financing Authority	2005-1845	\$8,875,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-22-2005	\$7,805,563	Big Bear Lake Improvement Agency San Bernardino CDIAC Number: 2016-0643 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Big Bear Lake	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Best Best & Krieger Richards Watson Fieldman Rolapp Big Bear Lk FA Union Bank of CA	08-01-25 Comb	<u>TIC</u> <u>NIC</u> 4.216 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,830,223	\$578,583	\$0	\$0	\$582,487

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.560	\$6,090,711

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$94,829	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Big Bear Lake Financing Authority	2005-1845	\$8,875,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
08-17-2006	\$49,660,000	Chino Hills Financing Authority San Bernardino CDIAC Number: 2006-0789 Revenue bond Public Building CFD Nos 1, 2, 4, 5, 6 & 8	S:AAA/BBB-  F:AAA  Ins	Comp	(BC) Orrick Herrington (FA) Stone & Youngberg (EN) FSA (TR) U.S. Bank NA (UW) Citigroup Global Markets	09-01-30 Comb	<u>TIC</u> <u>NIC</u> 4.122 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,390,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Bond Counsel	\$2,000
Consultant	\$33,700
Fiscal Agent	\$9,600
<b>Total:</b>	<b>\$45,300</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Chino Hills CFD No 1	\$5,610,000.00	10/26/2018	2006-0790	\$18,975.30	\$1,045,000.00		
LTOB	Chino Hills CFD No 2	\$17,845,000.00	10/26/2018	2006-0791	\$153,894.80	\$3,985,000.00		
LTOB	Chino Hills CFD No 4	\$4,320,000.00		2006-0792			9/1/2016	Redeemed <b>FY 16/17</b>
LTOB	Chino Hills CFD No 5	\$18,175,000.00	10/26/2018	2006-0793	\$115,463.30	\$10,740,000.00		
LTOB	Chino Hills CFD No 6	\$2,040,000.00	10/26/2018	2006-0794	\$14,443.70	\$620,000.00		
LTOB	Chino Hills CFD No 8	\$1,670,000.00		2006-0795			9/1/2016	Matured <b>FY 16/17</b>
<b>Total</b>		<b>\$49,660,000.00</b>				<b>\$16,390,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-17-2006	\$5,610,000	Chino Hills CFD No 1 San Bernardino CDIAC Number: 2006-0790 Limited tax obligation bond Public Building Rolling Ridge	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Stone & Youngberg Chino Hills FA U.S. Bank NA	09-01-27 Serial	<u>TIC</u> <u>NIC</u> 4.122 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,045,000	\$721,303	\$0	\$18,975	\$721,576

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.150	\$391,166

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$588	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Hills Financing Authority	2006-0789	\$49,660,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-17-2006	\$17,845,000	Chino Hills CFD No 2 San Bernardino CDIAC Number: 2006-0791 Limited tax obligation bond Public Building Los Ranchos	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Stone & Youngberg Chino Hills FA U.S. Bank NA	09-01-26 Serial	<u>TIC</u> <u>NIC</u> 4.122 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,985,000	\$1,676,080	\$0	\$153,895	\$1,676,714

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.390	\$1,638,679

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$22,758	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Hills Financing Authority	2006-0789	\$49,660,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-17-2006	\$18,175,000	Chino Hills CFD No 5 San Bernardino CDIAC Number: 2006-0793 Limited tax obligation bond Public Building Soquel Canyon, Rincon & Woodview	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Stone & Youngberg U.S. Bank NA Chino Hills FA	09-01-30 Comb	<u>TIC</u> <u>NIC</u> 4.122 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,740,000	\$1,999,156	\$0	\$115,463	\$1,999,913

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.270	\$2,716,514

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,448	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Hills Financing Authority	2006-0789	\$49,660,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-17-2006	\$2,040,000	Chino Hills CFD No 6 San Bernardino CDIAC Number: 2006-0794 Limited tax obligation bond Public Building Carbon Canyon Area	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Stone & Youngberg Chino Hills FA U.S. Bank NA	09-01-22 Serial	<u>TIC</u> <u>NIC</u> 4.122 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$620,000	\$171,726	\$0	\$14,444	\$185,340

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.510	\$285,753

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,470	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Hills Financing Authority	2006-0789	\$49,660,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-06-2015	\$11,195,000	Chino Hills Financing Authority San Bernardino CDIAC Number: 2015-2292 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2 & 5 Series C	S:BBB	Neg	(BC) (DC) (FA) (TR) (UW)	Norton Rose Fulbright Jones Hall Harrell & Co Advisors U.S. Bank NA Piper Jaffray & Co	09-01-34 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.485 3.665

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,205,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Bond Counsel	\$1,000
Consultant	\$24,900
Fiscal Agent	\$6,800
Total:	\$32,700

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Chino Hills CFD No 2	\$1,555,000.00	10/26/2018	2015-2293	\$153,894.80	\$1,310,000.00		
LTOB	Chino Hills CFD No 5	\$9,640,000.00	10/26/2018	2015-2294	\$115,463.30	\$8,895,000.00		
<b>Total</b>		<b>\$11,195,000.00</b>				<b>\$10,205,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-06-2015	\$1,555,000	Chino Hills CFD No 2 San Bernardino CDIAC Number: 2015-2293 Limited tax obligation bond Multiple Capital Improvements, Public Works Los Ranchos Refunding	S:BBB	Neg PP	(BC) (DC) (FA) (PU) (TR)	Norton Rose Fulbright Jones Hall Harrell & Co Advisors Chino Hills FA U.S. Bank NA	09-01-33 Comb	<u>TIC</u> 3.485 <u>NIC</u> 3.665 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,310,000	\$1,676,080	\$0	\$153,895	\$1,676,714

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,638,679

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$22,755	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Hills Financing Authority	2015-2292	\$11,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-06-2015	\$9,640,000	Chino Hills CFD No 5 San Bernardino CDIAC Number: 2015-2294 Limited tax obligation bond Multiple Capital Improvements, Public Works Soquel Canyon, Rincon & Woodview Refunding	S:BBB	Neg PP	(BC) (DC) (FA) (PU) (TR)	Norton Rose Fulbright Jones Hall Harrell & Co Advisors Chino Hills FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.485 <u>NIC</u> 3.665 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,895,000	\$1,999,156	\$0	\$115,463	\$1,999,913

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$2,716,514

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,448	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Hills Financing Authority	2015-2292	\$11,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
08-02-2012	\$52,985,000	Chino Public Financing Authority San Bernardino CDIAC Number: 2012-1547 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2001-1, 2003-1, 2003-2, 2003-3, 2003-4 & 2009-1	NR	Neg	(BC) Rutan & Tucker LLP (FA) OConnor & Co Sec (TR) Wells Fargo Bank NA (UW) Stone Youngberg/Stifel	09-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.669 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$44,945,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Chino CFD No 2001-1	\$2,480,000.00	10/30/2018	2012-1548	\$8,452.35	\$2,030,000.00		
LTOB	Chino CFD No 2003-1	\$6,340,000.00	10/30/2018	2012-1549	\$9,516.27	\$5,275,000.00		
LTOB	Chino CFD No 2003-2	\$5,815,000.00	10/30/2018	2012-1550	\$8,879.54	\$4,835,000.00		
LTOB	Chino CFD No 2003-3	\$20,475,000.00	10/30/2018	2012-1551	\$9,986.14	\$17,290,000.00		
LTOB	Chino CFD No 2003-4	\$5,240,000.00	10/30/2018	2012-1552	\$10,370.80	\$4,360,000.00		
LTOB	Chino CFD No 2009-1	\$12,635,000.00	10/30/2018	2012-1553	\$12,320.74	\$11,155,000.00		
<b>Total</b>		<b>\$52,985,000.00</b>				<b>\$44,945,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2012	\$2,480,000	Chino CFD No 2001-1 San Bernardino CDIAC Number: 2012-1548 Limited tax obligation bond Multiple Capital Improvements, Public Works Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP OConnor & Co Sec Chino PFA Wells Fargo Bank NA	09-01-32 Comb	<u>TIC</u> 0 <u>NIC</u> 4.567 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,030,000	\$198,000	\$0	\$8,452	\$199,166

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$203,474

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2012-1547	\$52,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation Bond Interest</u>	
08-02-2012	\$6,340,000	Chino CFD No 2003-1 San Bernardino CDIAC Number: 2012-1549 Limited tax obligation bond Multiple Capital Improvements, Public Works Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP OConnor & Co Sec Chino PFA Wells Fargo Bank NA	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 4.611 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<b>B. DELINQUENCY</b>			<b>C. TEETER PLAN</b>	
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$5,275,000	\$486,500	\$0	\$9,516	\$489,365	0	1.520	Y	\$486,651	\$7,393	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2012-1547	\$52,985,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2012	\$5,815,000	Chino CFD No 2003-2 San Bernardino CDIAC Number: 2012-1550 Limited tax obligation bond Multiple Capital Improvements, Public Works Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP OConnor & Co Sec Chino PFA Wells Fargo Bank NA	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 4.610 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,835,000	\$448,000	\$0	\$8,880	\$450,639

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$449,469

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2012-1547	\$52,985,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2012	\$20,475,000	Chino CFD No 2003-3 San Bernardino CDIAC Number: 2012-1551 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP OConnor & Co Sec Chino PFA Wells Fargo Bank NA	09-01-34 Comb	<u>TIC</u> <u>NIC</u> 4.649 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund	B. DELINQUENCY			C. TEETER PLAN		
						Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due	Taxes Unpaid	Teeter Plan Participant
17/18	\$17,290,000	\$1,527,500	\$0	\$9,986	\$1,536,497	0	0.150	Y	\$2,191,226	\$3,352	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2012-1547	\$52,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2012	\$5,240,000	Chino CFD No 2003-4 San Bernardino CDIAC Number: 2012-1552 Limited tax obligation bond Multiple Capital Improvements, Public Works Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP OConnor & Co Sec Chino PFA Wells Fargo Bank NA	09-01-33 Comb	<u>TIC</u> <u>NIC</u> 4.609 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,360,000	\$403,163	\$0	\$10,371	\$405,939

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$410,827

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2012-1547	\$52,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2012	\$12,635,000	Chino CFD No 2009-1 San Bernardino CDIAC Number: 2012-1553 Limited tax obligation bond Multiple Capital Improvements, Public Works Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Rutan & Tucker LLP OConnor & Co Sec Chino PFA Wells Fargo Bank NA	09-01-38 Comb	<u>TIC</u> <u>NIC</u> 4.774 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,155,000	\$869,750	\$0	\$12,321	\$874,873

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$874,249

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2012-1547	\$52,985,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
05-28-2015	\$45,115,000	Chino Public Financing Authority San Bernardino CDIAC Number: 2015-1126 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2003-3, 2005-1, 2005-2 & 2006-2 Series A	S:AA/BBB     Ins	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) OConnor & Co Sec (EN) Assured Guaranty Corp (TR) The Bank of NY Mellon (UW) Stifel Nicolaus & Co Inc	09-01-35 Serial	<u>TIC</u> 3.773 <u>NIC</u> 4.058 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$42,570,000	\$3,632,775	\$0	\$0	\$3,632,775	\$3,632,775

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Chino CFD No 2003-3	\$18,255,000.00	10/30/2018	2015-1127	\$10,421.54	\$17,225,000.00		
LTOB	Chino CFD No 2005-1	\$15,635,000.00	10/30/2018	2015-1128	\$34,110.43	\$14,750,000.00		
LTOB	Chino CFD No 2005-2	\$4,320,000.00	10/30/2018	2015-1129	\$10,695.48	\$4,080,000.00		
LTOB	Chino CFD No 2006-2	\$6,905,000.00	10/30/2018	2015-1161	\$10,168.34	\$6,515,000.00		
<b>Total</b>		<b>\$45,115,000.00</b>				<b>\$42,570,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-28-2015	\$18,255,000	Chino CFD No 2003-3 San Bernardino CDIAC Number: 2015-1127 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 2 Refunding	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca OConnor & Co Sec Assured Guaranty Corp Chino PFA The Bank of NY Mellon	09-01-35 Serial	<u>TIC</u> 3.773 <u>NIC</u> 4.058 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,225,000	\$0	\$0	\$10,422	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.840	\$1,817,965

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$15,256	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2015-1126	\$45,115,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-28-2015	\$15,635,000	Chino CFD No 2005-1 San Bernardino CDIAC Number: 2015-1128 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Refunding	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca OConnor & Co Sec Assured Guaranty Corp Chino PFA The Bank of NY Mellon	09-01-35 Serial	<u>TIC</u> 3.773 <u>NIC</u> 4.058 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,750,000	\$0	\$0	\$34,110	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.480	Y	\$1,537,820

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,333	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2015-1126	\$45,115,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-28-2015	\$4,320,000	Chino CFD No 2005-2 San Bernardino CDIAC Number: 2015-1129 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca OConnor & Co Sec Assured Guaranty Corp Chino PFA The Bank of NY Mellon	09-01-35 Serial	<u>TIC</u> 3.773 <u>NIC</u> 4.058 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,080,000	\$0	\$0	\$10,695	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$360,920

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,297	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2015-1126	\$45,115,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-28-2015	\$6,905,000	Chino CFD No 2006-2 San Bernardino CDIAC Number: 2015-1161 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	S:AA/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Stradling Yocca Stradling Yocca OConnor & Co Sec Assured Guaranty Corp Chino PFA The Bank of NY Mellon	09-01-35 Serial	<u>TIC</u> 3.773 <u>NIC</u> 4.058 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,515,000	\$0	\$0	\$10,168	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.500	\$534,678

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,698	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2015-1126	\$45,115,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
10-11-2016	\$11,835,000	Chino Public Financing Authority San Bernardino CDIAC Number: 2016-3298 Revenue bond Multiple Capital Improvements, Public Works Series A	NR	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) OConnor & Co Sec (TR) The Bank of NY Mellon (UW) Stifel Nicolaus & Co Inc	09-01-43 Comb	<u>TIC</u> 3.149 <u>NIC</u> 3.139 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,040,000	\$690,974	\$0	\$723,554	\$0	\$723,554

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Chino CFD No 99-1	\$5,465,000.00	10/30/2018	2016-3299	\$7,642.41	\$4,990,000.00		
LTOB	Chino CFD No 2000-1	\$1,445,000.00	10/30/2018	2016-3300	\$6,990.85	\$1,325,000.00		
LTOB	Chino CFD No 2006-1	\$4,925,000.00	10/30/2018	2016-3301	\$10,778.81	\$4,725,000.00		
<b>Total</b>		<b>\$11,835,000.00</b>				<b>\$11,040,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-11-2016	\$5,465,000	Chino CFD No 99-1 San Bernardino CDIAC Number: 2016-3299 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca OConnor & Co Sec Chino PFA The Bank of NY Mellon	09-01-29 Serial	<u>TIC</u> 2.730 <u>NIC</u> 2.696 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,990,000	\$0	\$0	\$7,642	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
46.180	0	N	\$488,806

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2016-3298	\$11,835,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-11-2016	\$1,445,000	Chino CFD No 2000-1 San Bernardino CDIAC Number: 2016-3300 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca OConnor & Co Sec Chino PFA The Bank of NY Mellon	09-01-30 Comb	<u>TIC</u> 2.813 <u>NIC</u> 2.779 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,325,000	\$0	\$0	\$6,991	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.210	0	N	\$130,327

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2016-3298	\$11,835,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-11-2016	\$4,925,000	Chino CFD No 2006-1 San Bernardino CDIAC Number: 2016-3301 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca OConnor & Co Sec Chino PFA The Bank of NY Mellon	09-01-43 Comb	<u>TIC</u> 3.448 <u>NIC</u> 3.413 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,725,000	\$0	\$0	\$10,779	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
41.610	1.690	Y	\$253,721

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,290	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chino Public Financing Authority	2016-3298	\$11,835,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
12-05-1996	\$6,215,000	Colton Public Financing Authority San Bernardino CDIAC Number: 1996-1700 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 87-1, 88-1 & 89-1	S:AAA     Ins	Neg	(BC) Brown Diven (FA) Fieldman Rolapp (EN) FSA (TR) First Trust of CA (UW) Rauscher Pierce	09-01-19 Comb	<u>TIC</u> <u>NIC</u> 5.481 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2019	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired</u>	
								<u>Type</u>	<u>Fiscal Year</u>
LTOB	Colton CFD No 89-1	\$2,965,000.00	10/24/2018	1996-1697	\$0.00	\$0.00	9/1/2017	O	FY 17/18
LTOB	Colton CFD No 88-1	\$2,190,000.00		1996-1698			9/1/2014	O	FY 14/15
LTOB	Colton CFD No 87-1	\$1,060,000.00		1996-1699			9/1/2015		Matured FY 15/16
<b>Total</b>		<b>\$6,215,000.00</b>				<b>\$0.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-05-1996	\$2,965,000	Colton CFD No 89-1 San Bernardino CDIAC Number: 1996-1697 Limited tax obligation bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Brown Diven Fieldman Rolapp Colton PFA First Trust of CA	09-01-19 Serial	<u>TIC</u> <u>NIC</u> 6.320 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Colton Public Financing Authority	1996-1700	\$6,215,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2018	\$9,710,000	Cucamonga Valley Water District Financing Authority San Bernardino CDIAC Number: 2018-0720 Public enterprise revenue bond Water Supply, Storage, Distribution Groundwater Basin & Zone 1 & 3A Series A	S:AA  F:AA	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Fieldman Rolapp U.S. Bank NA Bank of America Merrill	09-01-35 Serial	<u>TIC</u> 3.632 <u>NIC</u> 3.779 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,710,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$64,049

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Accounting	\$330
Trustee	\$846
Fiscal Agent	\$529
Miscellaneous	\$312
Other agency type	\$10,575

Total: \$12,592

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Cucamonga Valley Water District	\$9,710,000.00	10/25/2018	2018-0721	\$0.00	\$9,710,000.00		
<b>Total</b>		<b>\$9,710,000.00</b>				<b>\$9,710,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2018	\$9,710,000	Cucamonga Valley Water District San Bernardino CDIAC Number: 2018-0721 Certificates of participation/leases Water Supply, Storage, Distribution Groundwater Basin & Zone 1 & 3A Series A	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 3.632 <u>NIC</u> 3.779 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,710,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cucamonga Valley Water District Financing Authority	2018-0720	\$9,710,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2018	\$63,745,000	Cucamonga Valley Water District Financing Authority San Bernardino CDIAC Number: 2018-0722 Public enterprise revenue bond Water Supply, Storage, Distribution Series B Federally Taxable Refunding	S:AA  F:AA	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Fieldman Rolapp U.S. Bank NA Bank of America Merrill	09-01-31 Serial	<u>TIC</u> 3.637 <u>NIC</u> 3.643 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$63,745,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$390,737

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Accounting	\$2,170
Other agency type	\$69,425
<b>Total:</b>	<b>\$71,595</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Cucamonga Valley Water District	\$63,745,000.00	10/25/2018	2018-0723	\$0.00	\$63,745,000.00		
<b>Total</b>		<b>\$63,745,000.00</b>				<b>\$63,745,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2018	\$63,745,000	Cucamonga Valley Water District San Bernardino CDIAC Number: 2018-0723 Certificates of participation/leases Water Supply, Storage, Distribution Series B Federally Taxable	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Fieldman Rolapp U.S. Bank NA	09-01-31 Serial	<u>TIC</u> 3.637 <u>NIC</u> 3.643 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$63,745,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Cucamonga Valley Water District Financing Authority	2018-0722	\$63,745,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-17-2008	\$62,295,000	Etiwanda School District Public Financing Authority San Bernardino CDIAC Number: 2008-0010 Revenue bond K-12 School Facility CFD Nos 1 thru 4, 7, 8 & 2001-1	S:AAA/A- M:Aaa F:AAA  Ins	Neg	(BC) (EN) (TR) (UW)	Stradling Yocca Assured Guaranty Corp U.S. Bank NA Stone & Youngberg	09-15-32 Comb	<u>TIC</u> <u>NIC</u> 6.085 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$41,435,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Etiwanda School District CFD No 2	\$1,650,000.00		2008-0011			9/1/2014	Matured FY 14/15
LTOB	Rancho Etiwanda Public Facilities CFD No 1	\$21,010,000.00	08/22/2018	2008-0012	\$0.00	\$15,775,000.00		
LTOB	Etiwanda School District CFD No 2001-1	\$4,870,000.00	08/22/2018	2008-0013	\$0.00	\$3,900,000.00		
LTOB	Etiwanda School District CFD No 3	\$6,825,000.00	08/22/2018	2008-0066	\$0.00	\$730,000.00		
LTOB	Etiwanda School District CFD No 4	\$6,440,000.00	08/22/2018	2008-0067	\$0.00	\$3,490,000.00		
LTOB	Etiwanda School District CFD No 7	\$14,680,000.00	08/22/2018	2008-0068	\$0.00	\$11,450,000.00		
LTOB	Etiwanda School District CFD No 8	\$7,565,000.00	08/22/2018	2008-0069	\$0.00	\$6,090,000.00		
<b>Total</b>		<b>\$63,040,000.00</b>				<b>\$41,435,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-17-2008	\$21,010,000	Rancho Etiwanda Public Facilities CFD No 1 San Bernardino CDIAC Number: 2008-0012 Limited tax obligation bond K-12 School Facility Refunding	NR     Ins	Neg	(BC) (EN) (PU) (TR)	Stradling Yocca Assured Guaranty Corp Etiwanda School Dist PFA U.S. Bank NA	09-15-31 Serial	<u>TIC</u> 4.847 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,775,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.500	\$1,684,280

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,420	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2008-0010	\$62,295,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-17-2008	\$4,870,000	Etiwanda School District CFD No 2001-1 San Bernardino CDIAC Number: 2008-0013 Limited tax obligation bond K-12 School Facility Silver Ridge Refunding	NR      Ins	Neg	(BC) (EN) (PU) (TR)	Stradling Yocca Assured Guaranty Corp Etiwanda School Dist PFA U.S. Bank NA	09-15-32 Serial	<u>TIC</u> 4.919 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,900,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$423,716

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,744	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2008-0010	\$62,295,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-17-2008	\$6,825,000	Etiwanda School District CFD No 3 San Bernardino CDIAC Number: 2008-0066 Limited tax obligation bond K-12 School Facility Terra Vista Refunding	NR      Ins	Neg	(BC) (EN) (PU) (TR)	Stradling Yocca Assured Guaranty Corp Etiwanda School Dist PFA U.S. Bank NA	09-15-18 Serial	<u>TIC</u> 3.755 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$730,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$269,329

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2008-0010	\$62,295,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-17-2008	\$6,440,000	Etiwanda School District CFD No 4 San Bernardino CDIAC Number: 2008-0067 Limited tax obligation bond K-12 School Facility Refunding	NR     Ins	Neg	(BC) (EN) (PU) (TR)	Stradling Yocca Assured Guaranty Corp Etiwanda School Dist PFA U.S. Bank NA	09-15-24 Serial	<u>TIC</u> 4.354 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,490,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$579,995

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,370	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2008-0010	\$62,295,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-17-2008	\$14,680,000	Etiwanda School District CFD No 7 San Bernardino CDIAC Number: 2008-0068 Limited tax obligation bond K-12 School Facility Refunding	NR     Ins	Neg	(BC) (EN) (PU) (TR)	Stradling Yocca Assured Guaranty Corp Etiwanda School Dist PFA U.S. Bank NA	09-15-31 Serial	<u>TIC</u> 4.354 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,450,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.490	\$1,507,846

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,379	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2008-0010	\$62,295,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-17-2008	\$7,565,000	Etiwanda School District CFD No 8 San Bernardino CDIAC Number: 2008-0069 Limited tax obligation bond K-12 School Facility Refunding	NR     Ins	Neg	(BC) (EN) (PU) (TR)	Stradling Yocca Assured Guaranty Corp Etiwanda School Dist PFA U.S. Bank NA	09-15-32 Serial	<u>TIC</u> 4.926 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,090,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.210	\$711,119

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,527	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2008-0010	\$62,295,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
05-22-2018	\$29,390,000	Etiwanda School District Public Financing Authority San Bernardino CDIAC Number: 2018-0804 Revenue bond K-12 School Facility Series A CFD Nos 1, 4, 7, 8 & 2001-1	S:AA	Neg	(BC) (DC) (TR) (UW)	Stradling Yocca Stradling Yocca U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-32 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.756 3.033

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$29,390,000	\$2,939,000	\$0	\$0	\$2,939,000	\$2,939,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$573,480

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Rancho Etiwanda Public Facilities CFD No 1	\$11,380,000.00	08/22/2018	2018-0805	\$0.00	\$11,380,000.00		
LTOB	Etiwanda School District CFD No 2001-1	\$2,890,000.00	08/22/2018	2018-0806	\$0.00	\$2,890,000.00		
LTOB	Etiwanda School District CFD No 4	\$2,275,000.00	08/22/2018	2018-0807	\$0.00	\$2,275,000.00		
LTOB	Etiwanda School District CFD No 7	\$8,320,000.00	08/22/2018	2018-0808	\$0.00	\$8,320,000.00		
LTOB	Etiwanda School District CFD No 8	\$4,525,000.00	08/22/2018	2018-0809	\$0.00	\$4,525,000.00		
<b>Total</b>		<b>\$29,390,000.00</b>				<b>\$29,390,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$11,380,000	Rancho Etiwanda Public Facilities CFD No 1 San Bernardino CDIAC Number: 2018-0805 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Etiwanda School Dist PFA U.S. Bank NA	09-01-31 Serial	<u>TIC</u> 2.747 <u>NIC</u> 3.035 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,380,000	\$1,138,000	\$0	\$0	\$1,138,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
38.720	Y	0.500	\$1,684,280

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,420	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2018-0804	\$29,390,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$2,890,000	Etiwanda School District CFD No 2001-1 San Bernardino CDIAC Number: 2018-0806 Limited tax obligation bond K-12 School Facility Silver Ridge Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Etiwanda School Dist PFA U.S. Bank NA	09-01-32 Serial	<u>TIC</u> 2.848 <u>NIC</u> 3.109 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,890,000	\$289,000	\$0	\$0	\$289,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
9.830	Y	1.360

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$423,716	\$5,744	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2018-0804	\$29,390,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$2,275,000	Etiwanda School District CFD No 4 San Bernardino CDIAC Number: 2018-0807 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Etiwanda School Dist PFA U.S. Bank NA	09-01-24 Serial	<u>TIC</u> 2.205 <u>NIC</u> 2.359 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,275,000	\$227,500	\$0	\$0	\$227,500

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.740	0.580	Y	\$579,995

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,370	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2018-0804	\$29,390,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$8,320,000	Etiwanda School District CFD No 7 San Bernardino CDIAC Number: 2018-0808 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Etiwanda School Dist PFA U.S. Bank NA	09-01-31 Serial	<u>TIC</u> 2.756 <u>NIC</u> 3.046 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>
17/18	\$8,320,000	\$832,000	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
28.310	0.490	Y	\$1,507,846

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,379	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2018-0804	\$29,390,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2018	\$4,525,000	Etiwanda School District CFD No 8 San Bernardino CDIAC Number: 2018-0809 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Stradling Yocca Stradling Yocca Etiwanda School Dist PFA U.S. Bank NA	09-01-32 Serial	<u>TIC</u> 2.846 <u>NIC</u> 3.107 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,525,000	\$452,500	\$0	\$0	\$452,500

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.400	0.210	Y	\$711,119

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,527	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Etiwanda School District Public Financing Authority	2018-0804	\$29,390,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Role Participant</u>	<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
07-29-2005	\$28,020,000	Fontana Public Financing Authority San Bernardino CDIAC Number: 2005-1358 Revenue bond Multiple Capital Improvements, Public Works Sr Series A CFD Nos 7 & 12	S:AAA/BBB-     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington CSG Advisors Ambac U.S. Bank NA Stone & Youngberg	09-01-30 Comb	<u>TIC</u> <u>NIC</u> 4.348 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,660,000	\$1,780,531	\$0	\$1,787,321	\$0	\$1,787,321

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
					<u>Admin Fees</u>		<u>Repay Date</u>	
LTOB	Fontana CFD No 7	\$3,985,000.00	10/22/2018	2005-1359	\$0.00	\$2,335,000.00		
LTOB	Fontana CFD No 12	\$26,920,000.00	10/22/2018	2005-1360	\$0.00	\$17,215,000.00		
RB	Fontana Public Financing Authority	\$2,885,000.00	10/22/2018	2005-1533		\$1,890,000.00		
<b>Total</b>		<b>\$33,790,000.00</b>				<b>\$21,440,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2005	\$2,885,000	Fontana Public Financing Authority San Bernardino CDIAC Number: 2005-1533 Revenue bond Multiple Capital Improvements, Public Works Sub Series B CFD Nos 7 & 12	NR	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington CSG Advisors U.S. Bank NA Stone & Youngberg	09-01-30 Comb	<u>TIC</u> <u>NIC</u> 4.348 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,890,000	\$226,033	\$0	\$226,895	\$0	\$226,895

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2005	\$3,985,000	Fontana CFD No 7 San Bernardino CDIAC Number: 2005-1359 Limited tax obligation bond Multiple Capital Improvements, Public Works Country Club Estates Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington CSG Advisors Fontana PFA U.S. Bank NA	09-01-28 Serial	<u>TIC</u> <u>NIC</u> 4.301 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,335,000	\$274,311	\$0	\$0	\$275,357

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,634

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,848	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fontana Public Financing Authority	2005-1358	\$28,020,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-29-2005	\$26,920,000	Fontana CFD No 12 San Bernardino CDIAC Number: 2005-1360 Limited tax obligation bond Multiple Capital Improvements, Public Works Sierra Lakes Series A & B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington CSG Advisors Fontana PFA U.S. Bank NA	09-01-30 Serial	<u>TIC</u> <u>NIC</u> 4.415 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,215,000	\$1,780,531	\$0	\$0	\$1,787,321

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
0	Y	0.810

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,014	\$13,475	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fontana Public Financing Authority	2005-1358	\$28,020,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-30-2007	\$132,150,000	Hesperia Public Financing Authority San Bernardino CDIAC Number: 2007-1217 Revenue bond Redevelopment, Multiple Purposes Nos 1, 2 & Hsg Series A	M:Aaa/Baa1     Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall XL Capital Assurance Union Bank of CA Banc of America Sec	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.301 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$101,115,000	\$9,782,932	\$0	\$9,782,932	\$0	\$9,782,932

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Fiscal Agent	\$6,681
Total:	\$6,681

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
RB	Hesperia Public Financing Authority	\$22,170,000.00	10/24/2018	2007-1218		\$5,885,000.00		
MKRL	Hesperia Community Redevelopment Agency	\$81,235,000.00	10/24/2018	2016-0989	\$0.00	\$64,208,219.00		
MKRL	Hesperia Community Redevelopment Agency	\$4,940,000.00	10/24/2018	2016-0990	\$0.00	\$4,760,000.00		
MKRL	Hesperia Community Redevelopment Agency	\$45,975,000.00	10/24/2018	2016-0991	\$0.00	\$32,146,781.00		
<b>Total</b>		<b>\$154,320,000.00</b>				<b>\$107,000,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-30-2007	\$22,170,000	Hesperia Public Financing Authority San Bernardino CDIAC Number: 2007-1218 Revenue bond Redevelopment, Multiple Purposes Nos 1, 2 & Hsg Series B Federally Taxable	M:Aaa/Baa1     Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall XL Capital Assurance Union Bank of CA Banc of America Sec	09-01-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.301

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,885,000	\$423,201	\$0	\$423,201	\$0	\$423,201

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Fiscal Agent	\$3,727
Total:	\$3,727

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Hesperia Community Redevelopment Agency	\$10,010,000.00		2016-0992		6/29/2015	O FY 14/15
MKRL	Hesperia Community Redevelopment Agency	\$765,000.00		2016-0993		9/1/2016	Matured FY 16/17
MKRL	Hesperia Community Redevelopment Agency	\$11,395,000.00	10/24/2018	2016-0994 \$0.00	\$5,885,000.00		
<b>Total</b>		<b>\$22,170,000.00</b>			<b>\$5,885,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-30-2007	\$81,235,000	Hesperia Community Redevelopment Agency San Bernardino CDIAC Number: 2016-0989 Marks-Roos Authority Loan Redevelopment, Multiple Purposes No 1 Series A	NR	Neg	(BC) (LE) (TR)	Jones Hall Hesperia PFA Union Bank of CA	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.301 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$64,208,219	\$6,052,047	\$0	\$0	\$6,052,047

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hesperia Public Financing Authority	2007-1217	\$132,150,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-30-2007	\$4,940,000	Hesperia Community Redevelopment Agency San Bernardino CDIAC Number: 2016-0990 Marks-Roos Authority Loan Redevelopment, Multiple Purposes No 2	NR	Neg	(BC) (LE) (TR)	Jones Hall Hesperia PFA Union Bank of CA	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.301 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,760,000	\$478,799	\$0	\$0	\$478,799

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hesperia Public Financing Authority	2007-1217	\$132,150,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-30-2007	\$45,975,000	Hesperia Community Redevelopment Agency San Bernardino CDIAC Number: 2016-0991 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Housing	NR	Neg	(BC) (LE) (TR)	Jones Hall Hesperia PFA Union Bank of CA	09-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.301 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$32,146,781	\$3,252,086	\$0	\$0	\$3,252,086

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Teeter Plan Participant</u>	<u>Taxes Unpaid</u>
N	\$0

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hesperia Public Financing Authority	2007-1217	\$132,150,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-30-2007	\$11,395,000	Hesperia Community Redevelopment Agency San Bernardino CDIAC Number: 2016-0994 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Housing Federally Taxable	NR	Neg	(BC) (LE) (TR)	Jones Hall Hesperia PFA Union Bank of CA	09-01-21 Comb	<u>TIC</u> 5.301 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,885,000	\$423,201	\$0	\$0	\$423,201

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Hesperia Public Financing Authority	2007-1218	\$22,170,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-21-2017	\$26,810,000	Ontario Public Financing Authority San Bernardino CDIAC Number: 2017-1059 Public lease revenue bond Multiple Capital Improvements, Public Works Refunding	S:AA/AA-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Jones Hall CSG Advisors Assured Guaranty Corp The Bank of NY Mellon Stern Brothers & Co	11-01-42 Comb	<u>TIC</u> 3.429 <u>NIC</u> 3.703 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$26,810,000	\$1,399,411	\$0	\$0	\$1,399,411	\$1,399,411

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$222,894

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$1,000
Trustee	\$2,950
Total:	\$3,950

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
CL	Ontario	\$26,810,000.00	01/29/2019	2017-1285	\$0.00	\$26,810,000.00		
<b>Total</b>		<b>\$26,810,000.00</b>				<b>\$26,810,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-21-2017	\$26,810,000	Ontario San Bernardino CDIAC Number: 2017-1285 Capital Lease Multiple Capital Improvements, Public Works	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Jones Hall CSG Advisors The Bank of NY Mellon	11-01-42 Comb	<u>TIC</u> 3.429 <u>NIC</u> 3.703 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$26,810,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Ontario Public Financing Authority	2017-1059	\$26,810,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-07-2002	\$35,290,000	Ontario Redevelopment Financing Authority San Bernardino CDIAC Number: 2002-0096 Revenue bond Redevelopment, Multiple Purposes No 1 Center City & Cimarron Refunding	S:AAA M:Aaa  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Stradling Yocca Quint & Thimmig CSG Advisors MBIA Corp State Street Bank Corp RBC Dain Rauscher	08-01-21 Comb	<u>TIC</u> <u>NIC</u> 4.483 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,515,000	\$727,964	\$0	\$724,281	\$0	\$724,281

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$1,000
Trustee	\$3,255
<b>Total:</b>	<b>\$4,255</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
TAB	Ontario Redevelopment Agency	\$25,495,000.00	01/25/2019	2002-0097	\$0.00	\$0.00	8/1/2017	Matured FY 17/18
TAB	Ontario Redevelopment Agency	\$7,730,000.00	01/28/2019	2002-0098	\$0.00	\$2,515,000.00		
TAB	Ontario Redevelopment Agency	\$2,065,000.00	01/25/2019	2002-0099	\$0.00	\$0.00	8/1/2015	Matured FY 15/16
<b>Total</b>		<b>\$35,290,000.00</b>				<b>\$2,515,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-07-2002	\$25,495,000	Ontario Redevelopment Agency San Bernardino CDIAC Number: 2002-0097 Tax allocation bond Redevelopment, Multiple Purposes No 1 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Quint & Thimmig CSG Advisors Ontario RDA FA State Street Bank Corp	08-01-17 Serial CAB	<u>TIC</u> <u>NIC</u> 4.392 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Ontario Redevelopment Financing Authority	2002-0096	\$35,290,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-07-2002	\$7,730,000	Ontario Redevelopment Agency San Bernardino CDIAC Number: 2002-0098 Tax allocation bond Redevelopment, Multiple Purposes Center City Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Quint & Thimmig CSG Advisors Ontario RDA FA State Street Bank Corp	08-01-21 Serial	<u>TIC</u> <u>NIC</u> 4.712 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,515,000	\$727,964	\$0	\$0	\$724,281

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
0	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Ontario Redevelopment Financing Authority	2002-0096	\$35,290,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$18,546,000	Rancho Cucamonga Public Financing Authority San Bernardino CDIAC Number: 2015-1687 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2000-1, 2000-2, 2001-01, 2006-01 & 2006-02 Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Stifel Nicolaus & Co Inc City National Bank MUFG Union Bank	09-01-37 Term	<u>TIC</u> 3.637 <u>NIC</u> 3.632 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,679,000	\$776,632	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
							<u>Repay Date</u>	
LTOB	Rancho Cucamonga CFD No 2000-01	\$455,000.00	10/30/2018	2015-1688	\$0.00	\$374,000.00		
LTOB	Rancho Cucamonga CFD No 2000-02	\$4,178,000.00	10/30/2018	2015-1689	\$0.00	\$3,506,000.00		
LTOB	Rancho Cucamonga CFD No 2001-01	\$7,256,000.00	10/30/2018	2015-1690	\$0.00	\$6,571,000.00		
LTOB	Rancho Cucamonga CFD No 2001-01	\$702,000.00	10/30/2018	2015-1691	\$0.00	\$636,000.00		
LTOB	Rancho Cucamonga CFD No 2006-01	\$3,727,000.00	10/30/2018	2015-1692	\$0.00	\$3,502,000.00		
LTOB	Rancho Cucamonga CFD No 2006-02	\$2,228,000.00	10/30/2018	2015-1693	\$0.00	\$2,090,000.00		
<b>Total</b>		<b>\$18,546,000.00</b>				<b>\$16,679,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$455,000	Rancho Cucamonga CFD No 2000-01 San Bernardino CDIAC Number: 2015-1688 Limited tax obligation bond Multiple Capital Improvements, Public Works South Etiwanda Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Stifel Nicolaus & Co Inc Rancho Cucamonga PFA MUFG Union Bank	09-01-25 Term	<u>TIC</u> 3.080 <u>NIC</u> 3.050 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$374,000	\$22,781	\$0	\$0	\$22,840

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
2.930	0	N	\$71,860

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho Cucamonga Public Financing Authority	2015-1687	\$18,546,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$4,178,000	Rancho Cucamonga CFD No 2000-02 San Bernardino CDIAC Number: 2015-1689 Limited tax obligation bond Multiple Capital Improvements, Public Works Corporate Park Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Stifel Nicolaus & Co Inc Rancho Cucamonga PFA MUFG Union Bank	09-01-25 Term	<u>TIC</u> 3.079 <u>NIC</u> 3.050 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,506,000	\$209,182	\$0	\$0	\$209,730

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
26.930	0	N	\$512,520

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho Cucamonga Public Financing Authority	2015-1687	\$18,546,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$7,256,000	Rancho Cucamonga CFD No 2001-01 San Bernardino CDIAC Number: 2015-1690 Limited tax obligation bond Multiple Capital Improvements, Public Works IA Nos 1 & 2 Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Stifel Nicolaus & Co Inc Rancho Cucamonga PFA MUFG Union Bank	09-01-31 Term	<u>TIC</u> 3.570 <u>NIC</u> 3.550 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,571,000	\$303,948	\$0	\$0	\$304,744

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
39.140	Y	0.390	\$652,620

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,525	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho Cucamonga Public Financing Authority	2015-1687	\$18,546,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$702,000	Rancho Cucamonga CFD No 2001-01 San Bernardino CDIAC Number: 2015-1691 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 3 Refunding	NR	Neg	(BC) (FA) (PA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Stifel Nicolaus & Co Inc Rancho Cucamonga PFA MUFG Union Bank	09-01-31 Term	<u>TIC</u> 3.570 <u>NIC</u> 3.550 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$636,000	\$29,510	\$0	\$0	\$29,587

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.800	0	N	\$59,240

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho Cucamonga Public Financing Authority	2015-1687	\$18,546,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$3,727,000	Rancho Cucamonga CFD No 2006-01 San Bernardino CDIAC Number: 2015-1692 Limited tax obligation bond Multiple Capital Improvements, Public Works Vitner's Grove Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (PA) Stifel Nicolaus & Co Inc (PU) Rancho Cucamonga PFA (TR) MUFG Union Bank	09-01-37 Term	<u>TIC</u> 3.936 <u>NIC</u> 3.920 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,502,000	\$131,169	\$0	\$0	\$131,278

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
16.890	0	N	\$282,770

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho Cucamonga Public Financing Authority	2015-1687	\$18,546,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2015	\$2,228,000	Rancho Cucamonga CFD No 2006-02 San Bernardino CDIAC Number: 2015-1693 Limited tax obligation bond Multiple Capital Improvements, Public Works Amador Route 66 Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Fieldman Rolapp (PA) Stifel Nicolaus & Co Inc (PU) Rancho Cucamonga PFA (TR) MUFG Union Bank	09-01-37 Term	<u>TIC</u> 3.936 <u>NIC</u> 3.920 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,090,000	\$80,042	\$0	\$0	\$79,781

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
10.310	Y	1.060	\$181,520

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,931	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho Cucamonga Public Financing Authority	2015-1687	\$18,546,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-27-1998	\$27,590,000	San Bernardino Joint Powers Financing Authority San Bernardino CDIAC Number: 1998-0083 Tax allocation bond Redevelopment, Multiple Purposes Central City Merged Series A & B Refunding	S:AAA M:Aaaa F:AAA  Ins	Neg	(BC) (EN) (TR) (UW)	Sabo & Green Ambac US Bank Corp Trust Miller & Schroeder	07-01-20 Comb	<u>TIC</u> <u>NIC</u> 5.284 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,905,000	\$1,419,175	\$0	\$1,419,175	\$0	\$1,419,175

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
7/1/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Fiscal Agent	\$6,000
Total:	\$6,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	San Bernardino Redevelopment Agency	\$27,590,000.00	10/02/2018	2016-1148	\$0.00	\$3,905,000.00		
<b>Total</b>		<b>\$27,590,000.00</b>				<b>\$3,905,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-27-1998	\$27,590,000	San Bernardino Redevelopment Agency San Bernardino CDIAC Number: 2016-1148 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Central City Merged	NR	Neg	(BC) (LE) (TR)	Sabo & Green San Bernardino JPFA US Bank Corp Trust	07-01-20 Comb	<u>TIC</u> <u>NIC</u> 5.284 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,905,000	\$1,419,175	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.600	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Bernardino Joint Powers Financing Authority	1998-0083	\$27,590,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-22-2005	\$55,800,000	San Bernardino Joint Powers Financing Authority San Bernardino CDIAC Number: 2005-1703 Tax allocation bond Redevelopment, Multiple Purposes Central City North Series A Refunding	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Lewis Brisbois Bisgaard Connolly Capital Group FSA U.S. Bank NA Kinsell Newcomb	10-01-25 Serial	<u>TIC</u> <u>NIC</u> 4.255 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,310,984	\$4,992,460	\$61	\$5,101,000	\$0	\$5,101,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
10/1/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$1,250
Fiscal Agent	\$6,000
<b>Total:</b>	<b>\$7,250</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	San Bernardino Redevelopment Agency	\$55,800,000.00	10/02/2018	2016-1152	\$0.00	\$24,310,983.61		
<b>Total</b>		<b>\$55,800,000.00</b>				<b>\$24,310,983.61</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-30-2005	\$55,800,000	San Bernardino Redevelopment Agency San Bernardino CDIAC Number: 2016-1152 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Central City North Series A	NR	Neg	(BC) (FA) (LE) (TR)	Lewis Brisbois Bisgaard Connolly Capital Group San Bernardino JPFA U.S. Bank NA	10-01-25 Serial	<u>TIC</u> <u>NIC</u> 4.255 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$24,310,984	\$4,992,460	\$61	\$0	\$5,101,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.600	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Bernardino Joint Powers Financing Authority	2005-1703	\$55,800,000.00

### MISCELLANEOUS

Email sent requesting the amount of the Unpaid Taxes and amount of the Taxes Due.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-22-2005	\$21,105,000	San Bernardino Joint Powers Financing Authority San Bernardino CDIAC Number: 2005-1704 Tax allocation bond Redevelopment, Multiple Purposes Central City North Series B Refunding	S:AAA M:Aaa  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Lewis Brisbois Bisgaard Connolly Capital Group FSA U.S. Bank NA Kinsell Newcomb	10-01-25 Serial	<u>TIC</u> <u>NIC</u> 4.255 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,938,033	\$1,899,265	\$161	\$1,899,266	\$0	\$1,899,266

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
10/1/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Arbitrage Calculation	\$1,250
Fiscal Agent	\$6,000
<b>Total:</b>	<b>\$7,250</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	San Bernardino Redevelopment Agency	\$21,105,000.00	10/02/2018	2016-1153	\$0.00	\$8,938,033.09		
<b>Total</b>		<b>\$21,105,000.00</b>				<b>\$8,938,033.09</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-22-2005	\$21,105,000	San Bernardino Redevelopment Agency San Bernardino CDIAC Number: 2016-1153 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Central City North Series B	NR	Neg	(BC) (FA) (LE) (TR)	Lewis Brisbois Bisgaard Connolly Capital Group San Bernardino JPFA U.S. Bank NA	10-01-25 Serial	<u>TIC</u> <u>NIC</u> 4.255 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,938,033	\$1,899,265	\$161	\$0	\$1,899,266

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	6.000	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Bernardino Joint Powers Financing Authority	2005-1704	\$21,105,000.00

### MISCELLANEOUS

Email sent requesting the amount of the Unpaid Taxes and amount of the Taxes Due.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-21-2010	\$7,065,000	San Bernardino Joint Powers Financing Authority San Bernardino CDIAC Number: 2010-1504 Tax allocation bond Redevelopment, Multiple Purposes "E" Street & Downtown Series A, Recovery Zone Facility Bonds Federally Taxable	S:BBB	Neg	(BC) Lewis Brisbois Bisgaard (TR) U.S. Bank NA (UW) Kinsell Newcomb	04-01-30 Comb	<u>TIC</u> 5.349 <u>NIC</u> <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,090,000	\$510,869	\$10	\$510,869	\$0	\$510,869

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
4/1/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Fiscal Agent	\$2,700
Total:	\$2,700

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Bernardino Redevelopment Agency	\$7,065,000.00	10/02/2018	2016-1155	\$0.00	\$5,090,000.00		
<b>Total</b>		<b>\$7,065,000.00</b>				<b>\$5,090,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-21-2010	\$7,065,000	San Bernardino Redevelopment Agency San Bernardino CDIAC Number: 2016-1155 Marks-Roos Authority Loan Redevelopment, Multiple Purposes "E" Street & Downtown Series A, Recovery Zone Facility Bonds Federally Taxable	NR	Neg	(BC) (LE) (TR)	Lewis Brisbois Bisgaard San Bernardino JPFA U.S. Bank NA	04-01-30 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.349

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,090,000	\$510,869	\$10	\$0	\$510,869

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	6.000	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Bernardino Joint Powers Financing Authority	2010-1504	\$7,065,000.00

#### MISCELLANEOUS

Email sent requesting the amount of the Unpaid Taxes and amount of the Taxes Due.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2011	\$3,220,000	San Bernardino Joint Powers Financing Authority San Bernardino CDIAC Number: 2011-0427 Tax allocation bond Redevelopment, Multiple Purposes Northwest Area Series B	S:BBB	Neg	(BC) (TR) (UW)	Lewis Brisbois Bisgaard U.S. Bank NA Kinsell Newcomb	04-01-28 Comb	<u>TIC</u> <u>NIC</u> 7.516 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,035,000	\$255,300	\$4	\$255,300	\$0	\$255,300

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
4/1/2028	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Fiscal Agent	\$2,700
Total:	\$2,700

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Bernardino Redevelopment Agency	\$3,220,000.00	10/02/2018	2016-1156	\$0.00	\$2,035,000.00		
<b>Total</b>		<b>\$3,220,000.00</b>				<b>\$2,035,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2011	\$3,220,000	San Bernardino Redevelopment Agency San Bernardino CDIAC Number: 2016-1156 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Northwest Area Series B	NR	Neg	(BC) (LE) (TR)	Lewis Brisbois Bisgaard San Bernardino JPFA U.S. Bank NA	04-01-28 Comb	<u>TIC</u> <u>NIC</u> 7.516 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,035,000	\$255,300	\$4	\$0	\$255,300

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	6.000	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Bernardino Joint Powers Financing Authority	2011-0427	\$3,220,000.00

### MISCELLANEOUS

Email sent requesting the amount of the Unpaid Taxes and amount of the Taxes Due.



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-09-2018	\$4,414,002	San Bernardino Joint Powers Financing Authority San Bernardino CDIAC Number: 2017-3545 Public lease revenue bond Multiple Capital Improvements, Public Works City Hall	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Urban Futures Stifel Nicolaus & Co Inc Western Alliance U.S. Bank NA	01-01-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.080 4.080

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,414,002	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$135,001

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	San Bernardino	\$4,414,002.00	11/15/2018	2017-3684	\$0.00	\$4,414,002.00		
<b>Total</b>		<b>\$4,414,002.00</b>				<b>\$4,414,002.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-09-2018	\$4,414,002	San Bernardino San Bernardino CDIAC Number: 2017-3684 Certificates of participation/leases Multiple Capital Improvements, Public Works City Hall Refunding	NR	Neg PP	(BC) (FA) (PA) (TR)	Stradling Yocca Urban Futures Stifel Nicolaus & Co Inc U.S. Bank NA	01-01-23 Term	<u>TIC</u> 4.080 <u>NIC</u> 4.080 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,414,002	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.320	Y	\$3,024,978

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$39,993	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Bernardino Joint Powers Financing Authority	2017-3545	\$4,414,002.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-21-2013	\$72,100,000	Chula Vista Municipal Financing Authority San Diego CDIAC Number: 2013-1623 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 06-1, 07-1, 08-1, 2001-2 Refunding	S:AA/BBB+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America U.S. Bank NA E J De La Rosa	09-01-34 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.743 4.880

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$61,265,000	\$5,678,288	\$0	\$5,711,539	\$0	\$5,711,539

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Chula Vista CFD No 06-1	\$23,600,000.00	10/27/2018	2013-1624	\$20,000.00	\$18,715,000.00		
LTOB	Chula Vista CFD No 06-1	\$5,270,000.00	10/27/2018	2013-1625	\$20,000.00	\$4,650,000.00		
LTOB	Chula Vista CFD No 07-1	\$19,480,000.00	10/27/2018	2013-1626	\$20,000.00	\$17,175,000.00		
LTOB	Chula Vista CFD No 08-1	\$16,345,000.00	10/27/2018	2013-1627	\$20,000.00	\$14,265,000.00		
LTOB	Chula Vista CFD No 2001-2	\$7,405,000.00	10/27/2018	2013-1628	\$20,000.00	\$6,460,000.00		
<b>Total</b>		<b>\$72,100,000.00</b>				<b>\$61,265,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-21-2013	\$23,600,000	Chula Vista CFD No 06-1 San Diego CDIAC Number: 2013-1624 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Eastlake Woods Vistas & Land Swap Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.743 <u>NIC</u> 4.880 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,715,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,781,260

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$18,870	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2013-1623	\$72,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-21-2013	\$5,270,000	Chula Vista CFD No 06-1 San Diego CDIAC Number: 2013-1625 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B Eastlake Woods Vistas & Land Swap Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.798 <u>NIC</u> 4.914 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,650,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$429,864

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,208	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2013-1623	\$72,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-21-2013	\$19,480,000	Chula Vista CFD No 07-1 San Diego CDIAC Number: 2013-1626 Limited tax obligation bond Multiple Capital Improvements, Public Works Otay Ranch Village Eleven Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.798 <u>NIC</u> 4.914 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,175,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,548,646

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,643	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2013-1623	\$72,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-21-2013	\$16,345,000	Chula Vista CFD No 08-1 San Diego CDIAC Number: 2013-1627 Limited tax obligation bond Multiple Capital Improvements, Public Works Otay Ranch Village Six Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.744 <u>NIC</u> 4.880 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,265,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,345,963

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,639	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2013-1623	\$72,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-21-2013	\$7,405,000	Chula Vista CFD No 2001-2 San Diego CDIAC Number: 2013-1628 Limited tax obligation bond Multiple Capital Improvements, Public Works McMillin Otay Ranch Village Six Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 4.743 <u>NIC</u> 4.880 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,460,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$614,983

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,699	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2013-1623	\$72,100,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2015	\$30,460,000	Chula Vista Municipal Financing Authority San Diego CDIAC Number: 2015-1620 Revenue bond Multiple Capital Improvements, Public Works CFD 2001-1 IA B; 12-I, 13-I & 07-I Series A Refunding	S:BBB+	Neg	(BC) (DC) (FA) (TR) (UW)	Best Best & Krieger Stradling Yocca Fieldman Rolapp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-36 Serial	<u>TIC</u> 4.037 <u>NIC</u> 4.247 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$28,935,000	\$2,263,550	\$0	\$2,277,959	\$0	\$2,277,959

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>
							<u>Repay Date</u>	<u>Type</u>
LTOB	Chula Vista CFD No 2001-1	\$4,930,000.00	10/27/2018	2015-1622	\$20,000.00	\$4,655,000.00		
LTOB	Chula Vista CFD No 07-1	\$11,845,000.00	10/27/2018	2015-1626	\$20,000.00	\$11,385,000.00		
LTOB	Chula Vista CFD No 12-1	\$9,570,000.00	10/27/2018	2015-1629	\$20,000.00	\$9,035,000.00		
LTOB	Chula Vista CFD No 13-1	\$4,115,000.00	10/27/2018	2015-1630	\$20,000.00	\$3,860,000.00		
<b>Total</b>		<b>\$30,460,000.00</b>				<b>\$28,935,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2015	\$4,930,000	Chula Vista CFD No 2001-1 San Diego CDIAC Number: 2015-1622 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B San Miguel Ranch Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 3.960 <u>NIC</u> 4.167 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,655,000	\$0	\$0	\$20,000	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$398,541

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,247	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2015-1620	\$30,460,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2015	\$11,845,000	Chula Vista CFD No 07-1 San Diego CDIAC Number: 2015-1626 Limited tax obligation bond Multiple Capital Improvements, Public Works Otay Ranch Village Eleven Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 4.145 <u>NIC</u> 4.354 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,385,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$850,965

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,757	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2015-1620	\$30,460,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2015	\$9,570,000	Chula Vista CFD No 12-1 San Diego CDIAC Number: 2015-1629 Limited tax obligation bond Multiple Capital Improvements, Public Works McMillin Otay Ranch Village Seven Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Chula Vista Municipal FA Deutsche Bank Natl Trust	09-01-36 Serial	<u>TIC</u> 3.960 <u>NIC</u> 4.167 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,035,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$763,716

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,637	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2015-1620	\$30,460,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2015	\$4,115,000	Chula Vista CFD No 13-1 San Diego CDIAC Number: 2015-1630 Limited tax obligation bond Multiple Capital Improvements, Public Works Otay Ranch Village Seven Series A Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 3.960 <u>NIC</u> 4.166 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,860,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$291,222

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2015-1620	\$30,460,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
07-22-2015	\$43,695,000	Chula Vista Municipal Financing Authority San Diego CDIAC Number: 2015-2269 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 97-3, 99-1, 2000-1, 2001-1, ReAD 2005-2 Series B	S:A-	Neg	(BC) (DC) (FA) (TR) (UW)	Best Best & Krieger Stradling Yocca Fieldman Rolapp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-32 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.398 3.647

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$39,780,000	\$4,054,000	\$0	\$4,077,741	\$0	\$4,077,741

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Chula Vista	\$4,430,000.00	10/27/2018	2015-1621	\$15,000.00	\$3,965,000.00		
LTOB	Chula Vista CFD No 2001-1	\$6,575,000.00	10/27/2018	2015-1623	\$20,000.00	\$6,060,000.00		
LTOB	Chula Vista CFD No 97-3	\$5,975,000.00	10/27/2018	2015-1624	\$20,000.00	\$5,350,000.00		
LTOB	Chula Vista CFD No 99-1	\$22,675,000.00	10/27/2018	2015-1625	\$25,000.00	\$20,750,000.00		
LTOB	Chula Vista CFD No 2000-1	\$4,040,000.00	10/27/2018	2015-1627	\$20,000.00	\$3,655,000.00		
<b>Total</b>		<b>\$43,695,000.00</b>				<b>\$39,780,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-22-2015	\$4,430,000	Chula Vista San Diego CDIAC Number: 2015-1621 Special assessment bond Multiple Capital Improvements, Public Works ReAD No 2015-1 Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 3.215 <u>NIC</u> 3.445 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,965,000	\$0	\$0	\$15,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$452,205

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,607	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2015-2269	\$43,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-22-2015	\$6,575,000	Chula Vista CFD No 2001-1 San Diego CDIAC Number: 2015-1623 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A San Miguel Ranch Series F Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 3.529 <u>NIC</u> 3.788 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,060,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.200	\$597,795

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,196	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2015-2269	\$43,695,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-22-2015	\$5,975,000	Chula Vista CFD No 97-3 San Diego CDIAC Number: 2015-1624 Limited tax obligation bond Multiple Capital Improvements, Public Works Otay Ranch McMillin Spa One Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 3.213 <u>NIC</u> 3.443 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,350,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$604,746

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,567	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2015-2269	\$43,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-22-2015	\$22,675,000	Chula Vista CFD No 99-1 San Diego CDIAC Number: 2015-1625 Limited tax obligation bond Multiple Capital Improvements, Public Works Otay Ranch Spa One-Portions of Village One, Five, One West Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 3.444 <u>NIC</u> 3.696 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$20,750,000	\$0	\$0	\$25,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$2,063,985

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,475	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2015-2269	\$43,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-22-2015	\$4,040,000	Chula Vista CFD No 2000-1 San Diego CDIAC Number: 2015-1627 Limited tax obligation bond Multiple Capital Improvements, Public Works Sunbow II-Villages 5 thru 10 Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Chula Vista Municipal FA U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 3.331 <u>NIC</u> 3.573 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,655,000	\$0	\$0	\$20,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.070	Y
		\$398,881

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$290	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2015-2269	\$43,695,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-27-2017	\$61,355,000	Chula Vista Municipal Financing Authority San Diego CDIAC Number: 2017-1371 Public lease revenue bond Multiple Capital Improvements, Public Works	S:AA-	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Harrell & Co Advisors U.S. Bank NA JP Morgan Securities	05-01-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	1.906 2.115

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
CL	Chula Vista	\$61,355,000.00	11/07/2018	2017-1372	\$0.00	\$55,805,000.00		
<b>Total</b>		<b>\$61,355,000.00</b>				<b>\$55,805,000.00</b>		

#### MISCELLANEOUS

The closing date of this bond issuance was 7/18/2017. Therefore, there are no balances to report as of 6/30/2018.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-27-2017	\$61,355,000	Chula Vista San Diego CDIAC Number: 2017-1372 Capital Lease Multiple Capital Improvements, Public Works	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Harrell & Co Advisors U.S. Bank NA	05-01-27 Serial	<u>TIC</u> 1.906 <u>NIC</u> 2.115 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$55,805,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2017-1371	\$61,355,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2017	\$12,045,000	Chula Vista Municipal Financing Authority San Diego CDIAC Number: 2017-3260 Public lease revenue bond Multiple Capital Improvements, Public Works New CREBs Series A Federally Taxable	S:AA-	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Harrell & Co Advisors (TR) U.S. Bank NA (UW) Brandis Tallman LLC	12-01-48 Comb	<u>TIC</u> 1.347 <u>NIC</u> 4.143 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,045,000	\$0	\$66,400	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
12/1/2048	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Chula Vista	\$12,045,000.00	11/07/2018	2017-3263	\$0.00	\$12,045,000.00		
<b>Total</b>		<b>\$12,045,000.00</b>				<b>\$12,045,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2017	\$12,045,000	Chula Vista San Diego CDIAC Number: 2017-3263 Certificates of participation/leases Multiple Capital Improvements, Public Works New CREBs Series A Federally Taxable	S:AA-	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Harrell & Co Advisors Chula Vista Municipal FA U.S. Bank NA	12-01-48 Serial	<u>TIC</u> 1.347 <u>NIC</u> 4.143 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,045,000	\$0	\$66,400	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2017-3260	\$12,045,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-07-2017	\$1,085,000	Chula Vista Municipal Financing Authority San Diego CDIAC Number: 2017-3261 Public lease revenue bond Multiple Capital Improvements, Public Works Series B	S:AA-	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Harrell & Co Advisors U.S. Bank NA Brandis Tallman LLC	12-01-28 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	1.982 2.136

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,085,000	\$0	\$18,822	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$5,942

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Chula Vista	\$1,085,000.00	11/07/2018	2017-3264	\$0.00	\$1,085,000.00		
<b>Total</b>		<b>\$1,085,000.00</b>				<b>\$1,085,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2017	\$1,085,000	Chula Vista San Diego CDIAC Number: 2017-3264 Certificates of participation/leases Multiple Capital Improvements, Public Works Series B	S:AA-	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Harrell & Co Advisors Chula Vista Municipal FA U.S. Bank NA	12-01-28 Serial	<u>TIC</u> 1.982 <u>NIC</u> 2.136 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,085,000	\$0	\$18,822	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Chula Vista Municipal Financing Authority	2017-3261	\$1,085,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-10-2012	\$27,485,000	North City West School Facilities Financing Authority San Diego CDIAC Number: 2012-0476 Revenue bond K-12 School Facility CFD No 1 Series A Refunding	S:AA-/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Manatt Phelps & Phillips Orrick Herrington Fieldman Rolapp Assured Guaranty Corp U.S. Bank NA De La Rosa & Co	09-01-27 Serial	<u>TIC</u> <u>NIC</u> 3.385 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,950,000	\$3,103,231	\$0	\$0	\$3,103,231	\$3,103,231

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$79,067
Consultant	\$6,934
Trustee	\$8,163
<b>Total:</b>	<b>\$94,164</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	North City West School Facilities Financing Authority CFD No 1	\$21,857,921.00	10/29/2018	2002-1605	\$0.00	\$4,362,921.00		
LTOB	North City West School Facilities Financing Authority CFD No 1	\$79,805,000.00	10/29/2018	2005-1270	\$0.00	\$23,105,000.00		
<b>Total</b>		<b>\$101,662,921.00</b>				<b>\$27,467,921.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-05-2002	\$21,857,921	North City West School Facilities Financing Authority CFD No 1 San Diego CDIAC Number: 2002-1605 Limited tax obligation bond K-12 School Facility Solana Beach School District	S:AAA M:Aaa   Ins	Neg	(BC) (FA) (EN) (PU) (TR)	Orrick Herrington David Taussig Ambac N City W Sch FFA State Street Bank Corp	09-01-27 Comb	<u>TIC</u> <u>NIC</u> 6.038 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,362,921	\$2,185,792	\$0	\$0	\$2,333,088

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.170	\$8,327,840

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$14,246	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
North City West School Facilities Financing Authority	2012-0476	\$27,485,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-21-2005	\$79,805,000	North City West School Facilities Financing Authority CFD No 1 San Diego CDIAC Number: 2005-1270 Limited tax obligation bond K-12 School Facility Del Mar UnSD & Carmel Vly MS Sub Series A, B & C Refunding	S:AAA/A- M:Aaa/Baa1  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	O'Melveny & Myers BLX Group Ambac U.S. Bank NA Morgan Stanley	09-01-27 Comb	<u>TIC</u> 4.590 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$23,105,000	\$4,799,558	\$0	\$0	\$7,137,866

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.170	Y	\$8,327,840

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$14,246	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
North City West School Facilities Financing Authority	2012-0476	\$27,485,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-26-2018	\$5,500,000	Olivenhain Municipal Water District Financing Authority San Diego CDIAC Number: 2018-0903 Public enterprise revenue bond Water Supply, Storage, Distribution Headquarters Facility Series A	NR	Neg PP	(BC) (FA) (PU) (TR)	Stradling Yocca Fieldman Rolapp ZB, NA U.S. Bank NA	06-01-28 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.100 3.100

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,500,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$49,000

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$4,900
Total:	\$4,900

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Olivenhain Municipal Water District	\$5,500,000.00	10/29/2018	2018-0904	\$0.00	\$5,500,000.00		
<b>Total</b>		<b>\$5,500,000.00</b>				<b>\$5,500,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-26-2018	\$5,500,000	Olivenhain Municipal Water District San Diego CDIAC Number: 2018-0904 Certificates of participation/leases Water Supply, Storage, Distribution Headquarters Facility Series A	NR	Neg	(BC) (FA)	Stradling Yocca Fieldman Rolapp	06-01-28 Term	<u>TIC</u> 3.100 <u>NIC</u> 3.100 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,500,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Olivenhain Municipal Water District Financing Authority	2018-0903	\$5,500,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-31-2007	\$69,945,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2007-0888 Revenue bond K-12 School Facility CFD Nos 2, 4, 8, 9, 10 & 12	S:AAA/A-  F:AAA  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Best Best & Krieger McFarlin & Anderson Ambac ZB, NA Stone & Youngberg	09-15-42 Comb	<u>TIC</u> <u>NIC</u> 4.698 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$65,395,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>	
LTOB	Poway Unified School District CFD No 4	\$11,989,000.00	10/29/2018	2007-0890	\$0.00	\$0.00	9/15/2017	Redeemed	FY 17/18
LTOB	Poway Unified School District CFD No 8	\$7,329,000.00	10/29/2018	2007-0891	\$0.00	\$0.00	9/15/2017	Redeemed	FY 17/18
LTOB	Poway Unified School District CFD No 9	\$1,711,000.00	10/30/2018	2007-0892	\$0.00	\$0.00	9/15/2017	Redeemed	FY 17/18
LTOB	Poway Unified School District CFD No 10	\$38,230,000.00	10/30/2018	2007-0893	\$0.00	\$0.00	9/15/2017	Redeemed	FY 17/18
LTOB	Poway Unified School District CFD No 12	\$7,689,087.00	10/30/2018	2007-0894	\$0.00	\$0.00	9/15/2017	Redeemed	FY 17/18
<b>Total</b>		<b>\$68,546,934.00</b>				<b>\$0.00</b>			

#### MISCELLANEOUS

Refunded by 5 CFDs #2016-2348, #2016-2349, #2016-2350, #2016-2351, #2016-2365, that were purchased by the Authority #2016-2347 at which time the 6 related 2007 CFDs, were discharged.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-31-2007	\$1,598,847	Poway Unified School District CFD No 2 San Diego CDIAC Number: 2007-0889 Limited tax obligation bond K-12 School Facility Subarea IV - Torrey Highlands	NR	Neg	(BC) (DC) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Poway USD PFA ZB, NA	09-01-32 Serial CAB	<u>TIC</u> 4.800 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.210	\$1,761,875

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,794	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2007-0888	\$69,945,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-31-2007	\$11,989,000	Poway Unified School District CFD No 4 San Diego CDIAC Number: 2007-0890 Limited tax obligation bond K-12 School Facility Black Mountain Ranch	NR	Neg	(BC) (DC) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Poway USD PFA ZB, NA	09-01-42 Term	<u>TIC</u> 4.800 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.660	\$1,565,618

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,332	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2007-0888	\$69,945,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-31-2007	\$7,329,000	Poway Unified School District CFD No 8 San Diego CDIAC Number: 2007-0891 Limited tax obligation bond K-12 School Facility Black Mountain Ranch Phase II	NR	Neg	(BC) (DC) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Poway USD PFA ZB, NA	09-01-32 Term	<u>TIC</u> 4.801 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.080	\$587,164

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,350	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2007-0888	\$69,945,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-31-2007	\$1,711,000	Poway Unified School District CFD No 9 San Diego CDIAC Number: 2007-0892 Limited tax obligation bond K-12 School Facility Portswood	NR	Neg	(BC) (DC) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Poway USD PFA ZB, NA	09-01-32 Term	<u>TIC</u> 4.801 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$167,499

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2007-0888	\$69,945,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-31-2007	\$38,230,000	Poway Unified School District CFD No 10 San Diego CDIAC Number: 2007-0893 Limited tax obligation bond K-12 School Facility Torrey Highlands - Subarea IV, Zones 1 & 2	NR	Neg	(BC) (DC) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Poway USD PFA ZB, NA	09-01-38 Term	<u>TIC</u> 4.801 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.260	\$2,850,270

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,349	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2007-0888	\$69,945,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-31-2007	\$7,689,087	Poway Unified School District CFD No 12 San Diego CDIAC Number: 2007-0894 Limited tax obligation bond K-12 School Facility Black Mountain Ranch Phase II - Southern Village & The Clusters	NR	Neg	(BC) (DC) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Poway USD PFA ZB, NA	09-01-40 Serial CAB	<u>TIC</u> 4.801 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.670	\$1,229,877

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,218	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2007-0888	\$69,945,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-02-2009	\$8,995,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2009-0763 Revenue bond K-12 School Facility CFD No 11 StoneBridge Estates	NR	Neg	(BC) (TR) (UW)	Best Best & Krieger ZB, NA Stone & Youngberg	09-15-39 Comb	<u>TIC</u> <u>NIC</u> 7.808 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,105,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
					<u>Admin Fees</u>		<u>Repay Date</u>	
LTOB	Poway Unified School District CFD No 11	\$5,150,000.00	10/30/2018	2009-0764	\$0.00	\$2,970,000.00		
LTOB	Poway Unified School District CFD No 11	\$3,845,000.00	10/30/2018	2009-0765	\$0.00	\$2,135,000.00		
<b>Total</b>		<b>\$8,995,000.00</b>				<b>\$5,105,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-02-2009	\$5,150,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2009-0764 Limited tax obligation bond K-12 School Facility Zone 2 StoneBridge Estates	NR	Neg	(BC) (DC) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Poway USD PFA ZB, NA	09-01-39 Comb	<u>TIC</u> 9.226 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,970,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.740	\$559,013

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,131	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2009-0763	\$8,995,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-02-2009	\$3,845,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2009-0765 Limited tax obligation bond K-12 School Facility Zone 3 StoneBridge Estates	NR	Neg	(BC) (PU) (TR)	Best Best & Krieger Poway USD PFA ZB, NA	09-01-39 Comb	<u>TIC</u> 9.228 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,135,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.910	\$896,634

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,201	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2009-0763	\$8,995,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-14-2013	\$37,785,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2013-0377 Revenue bond K-12 School Facility CFD Nos 2, 10 & 11	S:BBB	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Dolinka Group LLC (TR) ZB, NA (UW) Stone Youngberg/Stifel	09-15-42 Comb	<u>TIC</u> 3.963 <u>NIC</u> 4.145 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$34,350,000	\$2,627,833	\$0	\$2,636,211	\$0	\$2,636,211

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
1/1/1900	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Poway Unified School District CFD No 10	\$2,625,000.00	10/30/2018	2013-0431	\$0.00	\$2,360,000.00		
LTOB	Poway Unified School District CFD No 10	\$4,275,000.00	10/30/2018	2013-0432	\$0.00	\$3,765,000.00		
LTOB	Poway Unified School District CFD No 10	\$4,800,000.00	10/30/2018	2013-0433	\$0.00	\$4,215,000.00		
LTOB	Poway Unified School District CFD No 2	\$2,830,000.00	10/30/2018	2013-0434	\$0.00	\$2,695,000.00		
LTOB	Poway Unified School District CFD No 11	\$9,685,000.00	10/30/2018	2013-0435	\$0.00	\$8,695,000.00		
LTOB	Poway Unified School District CFD No 11	\$10,310,000.00	10/30/2018	2013-0436	\$0.00	\$9,450,000.00		
LTOB	Poway Unified School District CFD No 11	\$1,870,000.00	10/30/2018	2013-0437	\$0.00	\$1,820,000.00		
LTOB	Poway Unified School District CFD No 11	\$1,390,000.00	10/30/2018	2013-0438	\$0.00	\$1,350,000.00		
<b>Total</b>		<b>\$37,785,000.00</b>				<b>\$34,350,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2013	\$2,625,000	Poway Unified School District CFD No 10 San Diego CDIAC Number: 2013-0431 Limited tax obligation bond K-12 School Facility IA C Torrey Highlands Subarea IV Refunding	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Dolinka Group LLC (PU) Poway USD PFA (TR) ZB, NA	09-01-33 Comb	<u>TIC</u> 4.639 <u>NIC</u> 4.668 <u>TYPE:</u>	

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,360,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
6.950	Y	0.200	\$321,090

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$658	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-0377	\$37,785,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2013	\$4,275,000	Poway Unified School District CFD No 10 San Diego CDIAC Number: 2013-0432 Limited tax obligation bond K-12 School Facility IA D Refunding	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Dolinka Group LLC (PU) Poway USD PFA (TR) ZB, NA	09-01-32 Comb	<u>TIC</u> 4.714 <u>NIC</u> 4.757 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,765,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
11.310	0	N	\$442,982

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-0377	\$37,785,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2013	\$4,800,000	Poway Unified School District CFD No 10 San Diego CDIAC Number: 2013-0433 Limited tax obligation bond K-12 School Facility IA E Torrey Highlands Subarea IV Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Dolinka Group LLC Poway USD PFA ZB, NA	09-01-32 Serial	<u>TIC</u> 4.713 <u>NIC</u> 4.757 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,215,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.700	0.850	Y	\$520,307

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,430	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-0377	\$37,785,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2013	\$2,830,000	Poway Unified School District CFD No 2 San Diego CDIAC Number: 2013-0434 Limited tax obligation bond K-12 School Facility IA 1 Subarea IV Torrey Highlands	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Dolinka Group LLC (PU) Poway USD PFA (TR) ZB, NA	09-01-42 Comb	<u>TIC</u> 4.365 <u>NIC</u> 4.355 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,695,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.490	N	\$185,134

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-0377	\$37,785,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2013	\$9,685,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2013-0435 Limited tax obligation bond K-12 School Facility IA A Stonebridge Estates Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Dolinka Group LLC Poway USD PFA ZB, NA	09-01-34 Comb	<u>TIC</u> 4.581 <u>NIC</u> 4.598 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,695,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
25.630	1.100 Y	\$905,911

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,646	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-0377	\$37,785,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2013	\$10,310,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2013-0436 Limited tax obligation bond K-12 School Facility Zone 1 Stonebridge Estates Refunding	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Dolinka Group LLC (PU) Poway USD PFA (TR) ZB, NA	09-01-37 Comb	<u>TIC</u> 4.550 <u>NIC</u> 4.550 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,450,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
27.290	Y	0.730	\$713,142

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,224	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-0377	\$37,785,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2013	\$1,870,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2013-0437 Limited tax obligation bond K-12 School Facility Zone 2 Stonebridge Estates Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Dolinka Group LLC Poway USD PFA ZB, NA	09-01-32 Serial	<u>TIC</u> 4.833 <u>NIC</u> 4.859 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,820,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.950	0.740 Y	\$559,013

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,131	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-0377	\$37,785,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-2013	\$1,390,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2013-0438 Limited tax obligation bond K-12 School Facility Zone 3 Stonebridge Estates Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Dolinka Group LLC (PU) Poway USD PFA (TR) ZB, NA	09-01-32 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,350,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.680	0.910	Y	\$896,634

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,201	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-0377	\$37,785,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2013	\$17,795,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2013-1194 Revenue bond K-12 School Facility CFD Nos 4, 12, & 13 Series B	S:BBB-	Neg	(BC)	Best Best & Krieger	09-01-42	<u>TIC</u> 4.423
					(DC)	McFarlin & Anderson	Comb	<u>NIC</u> 4.594
					(FA)	Dolinka Group LLC		<u>TYPE:</u>
					(TR)	ZB, NA		
					(UW)	Stifel Nicolaus & Co Inc		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,995,000	\$1,563,715	\$0	\$1,568,701	\$0	\$1,568,701

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
1/1/1900	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Poway Unified School District CFD No 4	\$7,990,000.00	10/30/2018	2013-1196	\$0.00	\$7,730,000.00		
LTOB	Poway Unified School District CFD No 12	\$4,430,000.00	10/30/2018	2013-1197	\$0.00	\$4,045,000.00		
LTOB	Poway Unified School District CFD No 13	\$5,375,000.00	10/30/2018	2013-1198	\$0.00	\$5,220,000.00		
<b>Total</b>		<b>\$17,795,000.00</b>				<b>\$16,995,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2013	\$7,990,000	Poway Unified School District CFD No 4 San Diego CDIAC Number: 2013-1196 Limited tax obligation bond K-12 School Facility Black Mountain Ranch	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Dolinka Group LLC Poway USD PFA ZB, NA	09-01-42 Comb	<u>TIC</u> 4.378 <u>NIC</u> 4.543 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,730,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
44.900	Y	0.660	\$1,565,618

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,332	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-1194	\$17,795,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2013	\$4,430,000	Poway Unified School District CFD No 12 San Diego CDIAC Number: 2013-1197 Limited tax obligation bond K-12 School Facility Black Mtn Ranch Phase II - Southern Village & The Clusters	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Dolinka Group LLC Poway USD PFA ZB, NA	09-01-42 Comb	<u>TIC</u> 4.510 <u>NIC</u> 4.685 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,045,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
24.890	Y	0.670	\$1,229,877

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,218	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-1194	\$17,795,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-22-2013	\$5,375,000	Poway Unified School District CFD No 13 San Diego CDIAC Number: 2013-1198 Limited tax obligation bond K-12 School Facility The Lakes	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Dolinka Group LLC (PU) Poway USD PFA (TR) ZB, NA	09-01-42 Comb	<u>TIC</u> 4.409 <u>NIC</u> 4.581 <u>TYPE:</u>	

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,220,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
30.210	Y	2.600	\$835,042

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$21,679	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2013-1194	\$17,795,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
07-16-2014	\$19,550,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2014-1201 Revenue bond K-12 School Facility CFD No 11 StoneBridge Estates IA B & C Series C	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Dolinka Group LLC (TR) ZB, NA (UW) Stifel Nicolaus & Co Inc	09-15-35 Serial	<u>TIC</u> 4.279 <u>NIC</u> 4.471 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$18,005,000	\$1,779,750	\$0	\$1,785,426	\$0	\$1,785,426

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Poway Unified School District CFD No 11	\$7,810,000.00	10/30/2018	2014-1202	\$0.00	\$7,245,000.00		
LTOB	Poway Unified School District CFD No 11	\$11,740,000.00	10/30/2018	2014-1203	\$0.00	\$10,760,000.00		
<b>Total</b>		<b>\$19,550,000.00</b>				<b>\$18,005,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-16-2014	\$7,810,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2014-1202 Limited tax obligation bond K-12 School Facility IA B StoneBridge Estates Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Dolinka Group LLC (PU) Poway USD PFA (TR) ZB, NA	09-01-35 Serial	<u>TIC</u> 4.282 <u>NIC</u> 4.473 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,245,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
39.950	0.760	Y	\$737,569

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,574	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2014-1201	\$19,550,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-16-2014	\$11,740,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2014-1203 Limited tax obligation bond K-12 School Facility IA C StoneBridge Estates Refunding	NR	Neg	(BC) Best Best & Krieger (FA) Dolinka Group LLC (PU) Poway USD PFA (TR) ZB, NA	09-01-35 Term	<u>TIC</u> 4.282 <u>NIC</u> 4.473 <u>TYPE:</u>	

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,760,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
60.050	0.950 Y	\$1,096,828

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,450	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2014-1201	\$19,550,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
07-16-2014	\$10,065,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2014-1231 Revenue bond K-12 School Facility CFD No 11 StoneBridge Estates Zones 2 & 3 Series B	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Dolinka Group LLC (TR) ZB, NA (UW) Stifel Nicolaus & Co Inc	09-15-43 Comb	<u>TIC</u> 4.737 <u>NIC</u> 4.809 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,520,000	\$904,353	\$0	\$925,484	\$0	\$925,484

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
1/1/1900	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Poway Unified School District CFD No 11	\$2,565,000.00	10/30/2018	2014-1232	\$0.00	\$2,430,000.00		
LTOB	Poway Unified School District CFD No 11	\$7,500,000.00	10/30/2018	2014-1233	\$0.00	\$7,090,000.00		
<b>Total</b>		<b>\$10,065,000.00</b>				<b>\$9,520,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-16-2014	\$2,565,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2014-1232 Limited tax obligation bond K-12 School Facility Zone 2 StoneBridge Estates Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Dolinka Group LLC Poway USD PFA ZB, NA	09-01-42 Serial	<u>TIC</u> 4.795 <u>NIC</u> 4.862 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,430,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
25.480	0.740 Y	\$559,013

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,131	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2014-1231	\$10,065,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-16-2014	\$7,500,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2014-1233 Limited tax obligation bond K-12 School Facility Zone 3 StoneBridge Estates Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Dolinka Group LLC Poway USD PFA ZB, NA	09-01-43 Serial	<u>TIC</u> 4.718 <u>NIC</u> 4.789 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,090,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
74.520	0.910	Y	\$896,634

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,201	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2014-1231	\$10,065,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
03-26-2015	\$89,405,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2015-0748 Revenue bond K-12 School Facility CFD No 14 1A A Series A	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Fieldman Rolapp (TR) ZB, NA (UW) Stifel Nicolaus & Co Inc	09-01-36 Serial	<u>TIC</u> 3.687 <u>NIC</u> 4.006 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$84,180,000	\$8,436,750	\$0	\$8,463,652	\$0	\$8,463,652

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Poway Unified School District CFD No 14	\$44,630,000.00	10/30/2018	2015-0752	\$0.00	\$42,015,000.00		
LTOB	Poway Unified School District CFD No 14	\$44,775,000.00	10/30/2018	2015-0753	\$0.00	\$42,165,000.00		
<b>Total</b>		<b>\$89,405,000.00</b>				<b>\$84,180,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-26-2015	\$44,630,000	Poway Unified School District CFD No 14 San Diego CDIAC Number: 2015-0752 Limited tax obligation bond K-12 School Facility Del Sur Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Fieldman Rolapp Poway USD PFA ZB, NA	09-01-36 Serial	<u>TIC</u> 3.686 <u>NIC</u> 4.005 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$42,015,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
49.920	0.400	Y	\$4,279,291

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$17,258	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2015-0748	\$89,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-26-2015	\$44,775,000	Poway Unified School District CFD No 14 San Diego CDIAC Number: 2015-0753 Limited tax obligation bond K-12 School Facility IA A Del Sur Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Fieldman Rolapp Poway USD PFA ZB, NA	09-01-36 Serial	<u>TIC</u> 3.687 <u>NIC</u> 4.006 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$42,165,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
50.080	0.400	Y	\$3,921,197

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$15,637	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2015-0748	\$89,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-13-2015	\$63,145,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2015-1226 Revenue bond K-12 School Facility CFD No 6 Series B	S:AA/BBB+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Best Best & Krieger McFarlin & Anderson Fieldman Rolapp Build America ZB, NA Stifel Nicolaus & Co Inc	09-01-36 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.017 4.143

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$59,065,300	\$6,244,309	\$0	\$0	\$6,244,309	\$6,244,309

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Poway Unified School District CFD No 6	\$39,065,000.00	10/30/2018	2015-1228	\$0.00	\$36,935,000.00		
LTOB	Poway Unified School District CFD No 6	\$24,080,000.00	10/30/2018	2015-1229	\$0.00	\$22,355,000.00		
<b>Total</b>		<b>\$63,145,000.00</b>				<b>\$59,290,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-13-2015	\$39,065,000	Poway Unified School District CFD No 6 San Diego CDIAC Number: 2015-1228 Limited tax obligation bond K-12 School Facility 4S Ranch Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Fieldman Rolapp Poway USD PFA ZB, NA	09-01-36 Serial	<u>TIC</u> 4.038 <u>NIC</u> 4.169 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$36,935,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
61.870	0.150	Y	\$9,968,362

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$14,615	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2015-1226	\$63,145,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-13-2015	\$24,080,000	Poway Unified School District CFD No 6 San Diego CDIAC Number: 2015-1229 Limited tax obligation bond K-12 School Facility IA B 4S Ranch Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Fieldman Rolapp Poway USD PFA ZB, NA	09-01-36 Serial	<u>TIC</u> 3.983 <u>NIC</u> 4.100 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,355,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
38.130	0.220	Y	\$2,736,558

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,104	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2015-1226	\$63,145,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-12-2015	\$14,405,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2015-1759 Revenue bond K-12 School Facility CFD Nos 2, 3, 5 & 7 Series C	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Best Best & Krieger McFarlin & Anderson Fieldman Rolapp Assured Guaranty Corp ZB, NA Stifel Nicolaus & Co Inc	09-01-28 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.260 3.245

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,960,000	\$1,440,500	\$0	\$0	\$1,440,500	\$1,440,500

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Poway Unified School District CFD No 2	\$8,520,000.00	10/30/2018	2015-1760	\$0.00	\$7,680,000.00		
LTOB	Poway Unified School District CFD No 3	\$3,680,000.00	10/30/2018	2015-1761	\$0.00	\$3,265,000.00		
LTOB	Poway Unified School District CFD No 5	\$1,125,000.00	10/30/2018	2015-1762	\$0.00	\$1,010,000.00		
LTOB	Poway Unified School District CFD No 7	\$1,080,000.00	10/30/2018	2015-1763	\$0.00	\$975,000.00		
<b>Total</b>		<b>\$14,405,000.00</b>				<b>\$13,155,000.00</b>		

#### MISCELLANEOUS

Email ws sent regarding clarification on outstanding balance for CDIAC# 2015-1761 Poway Unified School District CFD No 3.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2015	\$8,520,000	Poway Unified School District CFD No 2 San Diego CDIAC Number: 2015-1760 Limited tax obligation bond K-12 School Facility Series C Refunding	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Fieldman Rolapp (PU) Poway USD PFA (TR) ZB, NA	09-01-28 Serial	<u>TIC</u> 3.245 <u>NIC</u> 3.260 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,680,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,761,875

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,749	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2015-1759	\$14,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2015	\$3,680,000	Poway Unified School District CFD No 3 San Diego CDIAC Number: 2015-1761 Limited tax obligation bond K-12 School Facility Series C Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Fieldman Rolapp Poway USD PFA ZB, NA	09-01-28 Serial	<u>TIC</u> 3.260 <u>NIC</u> 3.244 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,265,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$462,705

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2015-1759	\$14,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2015	\$1,125,000	Poway Unified School District CFD No 5 San Diego CDIAC Number: 2015-1762 Limited tax obligation bond K-12 School Facility Series C Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger McFarlin & Anderson Fieldman Rolapp Poway USD PFA ZB, NA	09-01-28 Serial	<u>TIC</u> 3.256 <u>NIC</u> 3.238 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,010,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.340	Y	\$161,749

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$43	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2015-1759	\$14,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-12-2015	\$1,080,000	Poway Unified School District CFD No 7 San Diego CDIAC Number: 2015-1763 Limited tax obligation bond K-12 School Facility Series C Refunding	NR	Neg	(BC) Best Best & Krieger (DC) McFarlin & Anderson (FA) Fieldman Rolapp (PU) Poway USD PFA (TR) ZB, NA	09-01-28 Serial	<u>TIC</u> 3.265 <u>NIC</u> 3.248 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$975,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$152,713

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2015-1759	\$14,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-28-2016	\$46,770,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2016-2347 Revenue bond K-12 School Facility CFD Nos. 4, 8, 9, 10 & 12 Series A	S:AA/A-     Ins	Neg	(BC) Best Best & Krieger (DC) Anderson Law Firm (FA) Fieldman Rolapp (EN) Build America (PA) Anderson Law Firm (TR) ZB, NA (UW) Stifel Nicolaus & Co Inc	09-01-32 Serial	<u>TIC</u> 2.377 <u>NIC</u> 2.644 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$44,865,000	\$4,677,000	\$0	\$0	\$4,677,000	\$4,677,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Poway Unified School District CFD No 4	\$8,966,000.00	10/30/2018	2016-2348	\$0.00	\$8,486,000.00		
LTOB	Poway Unified School District CFD No 8	\$5,294,000.00	10/30/2018	2016-2349	\$0.00	\$4,943,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
LTOB	Poway Unified School District CFD No 9	\$1,270,000.00	10/30/2018	2016-2350	\$0.00	\$1,186,000.00		
LTOB	Poway Unified School District CFD No 12	\$6,635,000.00	10/30/2018	2016-2351	\$0.00	\$6,352,000.00		
LTOB	Poway Unified School District CFD No 10	\$34,006,000.00	10/30/2018	2016-2365	\$0.00	\$32,452,000.00		
<b>Total</b>		<b>\$56,171,000.00</b>				<b>\$53,419,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-28-2016	\$8,966,000	Poway Unified School District CFD No 4 San Diego CDIAC Number: 2016-2348 Limited tax obligation bond K-12 School Facility Black Mountain Ranch Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Poway USD PFA ZB, NA	09-01-31 Term	<u>TIC</u> 2.330 <u>NIC</u> 2.330 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,486,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.960	0.660 Y	\$1,565,618

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,332	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-2347	\$46,770,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-28-2016	\$5,294,000	Poway Unified School District CFD No 8 San Diego CDIAC Number: 2016-2349 Limited tax obligation bond K-12 School Facility Black Mountain Ranch Phase II Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Poway USD PFA ZB, NA	09-01-28 Term	<u>TIC</u> 2.400 <u>NIC</u> 2.400 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,943,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.420	1.080	Y	\$587,164

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,350	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-2347	\$46,770,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-28-2016	\$1,270,000	Poway Unified School District CFD No 9 San Diego CDIAC Number: 2016-2350 Limited tax obligation bond K-12 School Facility Portswood Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Anderson Law Firm (FA) Fieldman Rolapp (PU) Poway USD PFA (TR) ZB, NA	09-01-28 Term	<u>TIC</u> 2.420 <u>NIC</u> 2.420 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,186,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
2.260	0	N	\$167,449

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-2347	\$46,770,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-28-2016	\$6,635,000	Poway Unified School District CFD No 12 San Diego CDIAC Number: 2016-2351 Limited tax obligation bond K-12 School Facility Black Mtn Ranch Phase II - Southern Village & The Clusters Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Poway USD PFA ZB, NA	09-01-32 Term	<u>TIC</u> 2.240 <u>NIC</u> 2.240 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,352,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
11.810	0.670	Y	\$1,229,877

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,218	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-2347	\$46,770,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-28-2016	\$34,006,000	Poway Unified School District CFD No 10 San Diego CDIAC Number: 2016-2365 Limited tax obligation bond K-12 School Facility Torrey Highlands – Subarea IV Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Poway PFA ZB, NA	09-01-32 Term	<u>TIC</u> 2.220 <u>NIC</u> 2.220 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$32,452,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
60.540	0.260	Y	\$2,850,270

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$73,449	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-2347	\$46,770,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-10-2017	\$26,180,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2016-3896 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 6 & 10 Series A Refunding	S:AA/BBB+	Neg	(BC) Best Best & Krieger (DC) Anderson Law Firm (FA) Fieldman Rolapp (EN) Build America (TR) ZB, NA (UW) Stifel Nicolaus & Co Inc	09-01-33 Comb	<u>TIC</u> 3.566 <u>NIC</u> 3.718 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,785,000	\$2,609,325	\$0	\$0	\$2,609,325	\$2,609,325

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
							<u>Repay Date</u>	
LTOB	Poway Unified School District CFD No 6	\$14,470,000.00	10/30/2018	2016-3897	\$0.00	\$13,740,000.00		
LTOB	Poway Unified School District CFD No 10	\$7,030,000.00	10/30/2018	2016-3898	\$0.00	\$6,650,000.00		
LTOB	Poway Unified School District CFD No 10	\$4,680,000.00	10/30/2018	2016-3899	\$0.00	\$4,395,000.00		
<b>Total</b>		<b>\$26,180,000.00</b>				<b>\$24,785,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-10-2017	\$14,470,000	Poway Unified School District CFD No 6 San Diego CDIAC Number: 2016-3897 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A 4S Ranch Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Poway USD PFA ZB, NA	09-01-33 Comb	<u>TIC</u> 3.461 <u>NIC</u> 3.646 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,740,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
55.270	0	N	\$1,596,906

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-3896	\$26,180,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-10-2017	\$7,030,000	Poway Unified School District CFD No 10 San Diego CDIAC Number: 2016-3898 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A Torrey Highlands - Subarea IV Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Poway USD PFA ZB, NA	09-01-31 Serial	<u>TIC</u> 3.290 <u>NIC</u> 3.558 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,650,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
26.850	0.090	Y	\$1,006,616

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$918	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-3896	\$26,180,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-10-2017	\$4,680,000	Poway Unified School District CFD No 10 San Diego CDIAC Number: 2016-3899 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B Torrey Highlands - Subarea IV Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Poway USD PFA ZB, NA	09-01-33 Serial	<u>TIC</u> 3.300 <u>NIC</u> 3.570 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,395,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
17.880	0	N	\$615,678

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-3896	\$26,180,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-19-2017	\$5,815,000	Poway Unified School District Public Financing Authority San Diego CDIAC Number: 2016-3907 Revenue bond K-12 School Facility CFD No 11 Series B Refunding	S:AA     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Build America ZB, NA Piper Jaffray & Co	09-01-39 Serial	<u>TIC</u> 4.163 <u>NIC</u> 3.924 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,740,000	\$519,986	\$0	\$0	\$519,986	\$519,986

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired</u>
							<u>Receipt /</u>	<u>Type</u>
LTOB	Poway Unified School District CFD No 11	\$3,405,000.00	10/30/2018	2016-3908	\$0.00	\$3,295,000.00		
LTOB	Poway Unified School District CFD No 11	\$2,410,000.00	10/30/2018	2016-3909	\$0.00	\$2,360,000.00		
		<b>Total</b>				<b>\$5,655,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-19-2017	\$3,405,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2016-3908 Limited tax obligation bond K-12 School Facility Zone 2 Stonebridge Estates Refunding	NR	Neg PP	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Poway USD PFA ZB, NA	09-01-39 Serial	<u>TIC</u> 3.913 <u>NIC</u> 3.867 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,295,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
58.560	0.740	Y	\$559,013

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,131	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-3907	\$5,815,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-19-2017	\$2,410,000	Poway Unified School District CFD No 11 San Diego CDIAC Number: 2016-3909 Limited tax obligation bond K-12 School Facility Zone 3 StoneBridge Estates Refunding	NR	Neg PP	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Anderson Law Firm Fieldman Rolapp Poway USD PFA ZB, NA	09-01-39 Serial	<u>TIC</u> 3.784 <u>NIC</u> 3.774 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,360,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
41.440	0.910	Y	\$896,634

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,201	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Poway Unified School District Public Financing Authority	2016-3907	\$5,815,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-08-2007	\$20,685,000	R E Badger Water Facilities Financing Authority San Diego CDIAC Number: 2007-0805 Public enterprise revenue bond Water Supply, Storage, Distribution Santa Fe Irrigation District & San Dieguito WD Refunding	S:AAA/AA-  F:AAA  Ins	Comp	(BC) (DC) (FA) (EN) (TR) (UW)	Best Best & Krieger Best Best & Krieger Fieldman Rolapp MBIA Ins Corp The Bank of NY Trust Co Citigroup Global Markets	10-01-24 Serial	<u>TIC</u> <u>NIC</u> 4.011 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,415,000	\$1,894,591	\$0	\$2,030,405	\$0	\$2,030,405

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$2,236
Total:	\$2,236

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	San Dieguito Water District	\$7,705,000.00	11/27/2018	2016-1215	\$0.00	\$3,820,000.00		
COPL	Santa Fe Irrigation District	\$12,980,000.00	11/27/2018	2016-1216	\$0.00	\$2,595,000.00		
<b>Total</b>		<b>\$20,685,000.00</b>				<b>\$6,415,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-08-2007	\$7,705,000	San Dieguito Water District San Diego CDIAC Number: 2016-1215 Certificates of participation/leases Water Supply, Storage, Distribution	NR	Comp	(BC) (DC) (FA) (TR)	Best Best & Krieger Best Best & Krieger Fieldman Rolapp The Bank of NY Trust Co	10-01-24 Comb	<u>TIC</u> <u>NIC</u> 4.011 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,820,000	\$634,144	\$0	\$0	\$642,599

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
R E Badger Water Facilities Financing Authority	2007-0805	\$20,685,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-08-2007	\$12,980,000	Santa Fe Irrigation District San Diego CDIAC Number: 2016-1216 Certificates of participation/leases Water Supply, Storage, Distribution	NR	Comp	(BC) (DC) (FA) (TR)	Best Best & Krieger Best Best & Krieger Fieldman Rolapp The Bank of NY Trust Co	10-01-24 Comb	<u>TIC</u> <u>NIC</u> 4.011 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,595,000	\$1,260,447	\$0	\$0	\$1,387,806

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
R E Badger Water Facilities Financing Authority	2007-0805	\$20,685,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-07-2011	\$22,860,000	Rancho Santa Fe Community Services District Financing Authority San Diego CDIAC Number: 2011-0986 Revenue bond Multiple Capital Improvements, Public Works CFD No 1 Series A	S:BBB+	Neg	(BC) (FA) (TR) (UW)	Best Best & Krieger David Taussig The Bank of NY Mellon Piper Jaffray & Co	09-01-30 Comb	<u>TIC</u> <u>NIC</u> 5.658 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$19,090,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Rancho Santa Fe Community Services District CFD No 1	\$28,430,000.00	10/30/2018	2011-0985	\$0.00	\$23,215,000.00		
<b>Total</b>		<b>\$28,430,000.00</b>				<b>\$23,215,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-07-2011	\$5,570,000	Rancho Santa Fe Community Services District Financing Authority San Diego CDIAC Number: 2011-0987 Revenue bond Multiple Capital Improvements, Public Works CFD No 1 Series B	NR	Neg	(BC) (FA) (TR) (UW)	Best Best & Krieger David Taussig The Bank of NY Mellon Piper Jaffray & Co	09-01-30 Comb	<u>TIC</u> 5.658 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,125,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

### MISCELLANEOUS

Subordinate to issue 2011-0986 Rancho Santa Fe Community Services District Financing Authority.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-07-2011	\$28,430,000	Rancho Santa Fe Community Services District CFD No 1 San Diego CDIAC Number: 2011-0985 Limited tax obligation bond Multiple Capital Improvements, Public Works Santa Fe Valley Refunding	NR	Neg	(BC) (FA) (UW)	Best Best & Krieger David Taussig Piper Jaffray & Co	09-01-30 Comb	<u>TIC</u> 5.658 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$23,215,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.240 Y	\$3,259,882

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$40,453	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho Santa Fe Community Services District Financing Authority	2011-0986	\$22,860,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-27-2016	\$28,185,000	Rancho Santa Fe Community Services District Financing Authority San Diego CDIAC Number: 2016-2715 Revenue bond Multiple Capital Improvements, Public Works CFD No 1 Series A	S:AA/A-     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Best Best & Krieger David Taussig Build America The Bank of NY Mellon Piper Jaffray & Co	09-02-30 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.242 2.539

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$26,960,000	\$3,879,750	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Rancho Santa Fe Community Services District CFD No 1	\$28,185,000.00	10/30/2018	2016-2430	\$0.00	\$26,960,000.00		
<b>Total</b>		<b>\$28,185,000.00</b>				<b>\$26,960,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2016	\$28,185,000	Rancho Santa Fe Community Services District CFD No 1 San Diego CDIAC Number: 2016-2430 Limited tax obligation bond Multiple Capital Improvements, Public Works Santa Fe Valley Series A Refunding	NR	Neg PP	(BC) (FA) (PU) (TR)	Best Best & Krieger David Taussig Rancho Santa Fe CSD FA The Bank of NY Mellon	09-01-30 Serial	<u>TIC</u> 2.242 <u>NIC</u> 2.539 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$26,960,000	\$3,879,750	\$0	\$0	\$3,051,507

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	1.240	\$3,259,882

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$40,453	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho Santa Fe Community Services District Financing Authority	2016-2715	\$28,185,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-27-2016	\$7,650,000	Rancho Santa Fe Community Services District Financing Authority San Diego CDIAC Number: 2016-2716 Revenue bond Multiple Capital Improvements, Public Works CFD No 1 Series B	NR	Neg	(BC) (FA) (TR) (UW)	Best Best & Krieger David Taussig The Bank of NY Mellon Piper Jaffray & Co	09-01-30 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.369 2.361

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,180,000	\$3,879,750	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Rancho Santa Fe Community Services District CFD No 1	\$7,650,000.00	10/30/2018	2016-2437	\$0.00	\$7,180,000.00		
<b>Total</b>		<b>\$7,650,000.00</b>				<b>\$7,180,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-27-2016	\$7,650,000	Rancho Santa Fe Community Services District CFD No 1 San Diego CDIAC Number: 2016-2437 Limited tax obligation bond Multiple Capital Improvements, Public Works Santa Fe Valley Series B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger David Taussig Rancho Santa Fe CSD FA The Bank of NY Mellon	09-01-30 Serial	<u>TIC</u> 2.369 <u>NIC</u> 2.361 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,180,000	\$3,879,750	\$0	\$0	\$840,700

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	1.240	Y	\$3,259,882

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$40,453	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rancho Santa Fe Community Services District Financing Authority	2016-2716	\$7,650,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2018	\$129,320,000	San Diego Public Facilities Financing Authority San Diego CDIAC Number: 2018-0950 Public lease revenue bond Multiple Capital Improvements, Public Works Series A Federally Taxable Refunding	S:AA-  F:AA-	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca KNN Public Finance Wells Fargo Bank NA Citigroup Global Markets	10-15-38 Comb	<u>TIC</u> 3.963 <u>NIC</u> 3.986 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$129,320,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$615,125

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
COPL	San Diego	\$129,320,000.00	01/10/2019	2018-0958	\$0.00	\$129,320,000.00		
<b>Total</b>		<b>\$129,320,000.00</b>				<b>\$129,320,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2018	\$129,320,000	San Diego San Diego CDIAC Number: 2018-0958 Certificates of participation/leases Multiple Capital Improvements, Public Works Series A Federally Taxable	S:AA-  F:AA-	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca KNN Public Finance Wells Fargo Bank NA	10-10-38 Comb	<u>TIC</u> 3.963 <u>NIC</u> 3.986 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$129,320,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Diego Public Facilities Financing Authority	2018-0950	\$129,320,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$91,125,000	San Dieguito Public Financing Authority San Diego CDIAC Number: 2006-0407 Revenue bond K-12 School Facility CFD Nos 94-1,2,3; 95-1,2; 99-1,2,3 & 03-1 Refunding	S:AAA/A M:Aaa/A3  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	O'Melveny & Myers BLX Group Ambac U.S. Bank NA Morgan Stanley	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$69,660,000	\$5,702,433	\$0	\$5,704,234	\$0	\$5,704,234

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$407,014
Consultant	\$48,614
City / County Government	\$756
Trustee	\$2,754
Total:	\$459,138

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Dieguito Union High School District CFD No 94-2	\$27,798,623.00	09/28/2018	2016-1162	\$143,251.14	\$21,536,289.00		
MKRL	San Dieguito Union High School District CFD No 99-2	\$294,685.00	09/28/2018	2016-1163	\$1,515.16	\$238,737.00		
MKRL	San Dieguito Union High School District CFD No 95-1	\$32,999,271.00	09/28/2018	2016-1164	\$169,972.99	\$25,507,088.00		
MKRL	San Dieguito Union High School District CFD No 99-3	\$1,053,732.00	09/28/2018	2016-1165	\$5,417.83	\$834,991.00		
MKRL	San Dieguito Union High School District CFD No 95-2	\$4,557,412.00	09/28/2018	2016-1166	\$23,461.97	\$3,564,083.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
MKRL	San Dieguito Union High School District CFD No 94-3	\$8,401,096.00	09/28/2018	2016-1167	\$43,296.74	\$6,569,121.00		
MKRL	San Dieguito Union High School District CFD No 03-1	\$8,635,405.00	09/28/2018	2016-1168	\$44,490.50	\$7,092,834.00		
MKRL	San Dieguito Union High School District CFD No 99-1	\$5,289,508.00	09/28/2018	2016-1169	\$27,226.90	\$4,250,083.00		
MKRL	San Dieguito Union High School District CFD No 94-1	\$100,267.00	09/28/2018	2016-1170	\$505.05	\$66,774.00		
<b>Total</b>		<b>\$89,129,999.00</b>				<b>\$69,660,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$27,798,623	San Dieguito Union High School District CFD No 94-2 San Diego CDIAC Number: 2016-1162 Marks-Roos Authority Loan K-12 School Facility	NR	Neg	(BC) (FA) (LE) (TR)	O'Melveny & Myers BLX Group San Dieguito PFA U.S. Bank NA	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,536,289	\$0	\$0	\$143,251	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.300	\$2,124,800

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,400	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito Public Financing Authority	2006-0407	\$91,125,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$294,685	San Dieguito Union High School District CFD No 99-2 San Diego CDIAC Number: 2016-1163 Marks-Roos Authority Loan K-12 School Facility	NR	Neg	(BC) (FA) (LE) (TR)	O'Melveny & Myers BLX Group San Dieguito PFA U.S. Bank NA	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$238,737	\$0	\$0	\$1,515	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.170	\$26,220

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$570	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito Public Financing Authority	2006-0407	\$91,125,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$32,999,271	San Dieguito Union High School District CFD No 95-1 San Diego CDIAC Number: 2016-1164 Marks-Roos Authority Loan K-12 School Facility	NR	Neg	(BC) (FA) (LE) (TR)	O'Melveny & Myers BLX Group San Dieguito PFA U.S. Bank NA	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$25,507,088	\$0	\$0	\$169,973	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$2,420,799

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,543	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito Public Financing Authority	2006-0407	\$91,125,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$1,053,732	San Dieguito Union High School District CFD No 99-3 San Diego CDIAC Number: 2016-1165 Marks-Roos Authority Loan K-12 School Facility	NR	Neg	(BC) (FA) (LE) (TR)	O'Melveny & Myers BLX Group San Dieguito PFA U.S. Bank NA	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$834,991	\$0	\$0	\$5,418	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.710	\$80,370

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$570	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito Public Financing Authority	2006-0407	\$91,125,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$4,557,412	San Dieguito Union High School District CFD No 95-2 San Diego CDIAC Number: 2016-1166 Marks-Roos Authority Loan K-12 School Facility	NR	Neg	(BC) (FA) (LE) (TR)	O'Melveny & Myers BLX Group San Dieguito PFA U.S. Bank NA	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,564,083	\$0	\$0	\$23,462	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$458,682

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$400	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito Public Financing Authority	2006-0407	\$91,125,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$8,401,096	San Dieguito Union High School District CFD No 94-3 San Diego CDIAC Number: 2016-1167 Marks-Roos Authority Loan K-12 School Facility	NR	Neg	(BC) (FA) (LE) (TR)	O'Melveny & Myers BLX Group San Dieguito PFA U.S. Bank NA	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,569,121	\$0	\$0	\$43,297	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.190	\$537,046

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,018	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito Public Financing Authority	2006-0407	\$91,125,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$8,635,405	San Dieguito Union High School District CFD No 03-1 San Diego CDIAC Number: 2016-1168 Marks-Roos Authority Loan K-12 School Facility	NR	Neg	(BC) (FA) (LE) (TR)	O'Melveny & Myers BLX Group San Dieguito PFA U.S. Bank NA	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,092,834	\$0	\$0	\$44,491	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,941,035

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,738	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito Public Financing Authority	2006-0407	\$91,125,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$5,289,508	San Dieguito Union High School District CFD No 99-1 San Diego CDIAC Number: 2016-1169 Marks-Roos Authority Loan K-12 School Facility	NR	Neg	(BC) (FA) (LE) (TR)	O'Melveny & Myers BLX Group San Dieguito PFA U.S. Bank NA	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,250,083	\$0	\$0	\$27,227	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.140	\$485,789

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$659	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito Public Financing Authority	2006-0407	\$91,125,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-26-2006	\$100,267	San Dieguito Union High School District CFD No 94-1 San Diego CDIAC Number: 2016-1170 Marks-Roos Authority Loan K-12 School Facility	NR	Neg	(BC) (FA) (LE) (TR)	O'Melveny & Myers BLX Group San Dieguito PFA U.S. Bank NA	08-01-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$66,774	\$0	\$0	\$505	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$6,400

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito Public Financing Authority	2006-0407	\$91,125,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-16-2016	\$23,820,000	San Dieguito School Facilities Financing Authority San Diego CDIAC Number: 2016-3718 Revenue bond K-12 School Facility CFD Nos 03-1 & 94-2	S:AA/BBB+     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Orrick Herrington Fieldman Rolapp Build America U.S. Bank NA Stifel Nicolaus & Co Inc	03-01-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.100 4.000

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$23,665,000	\$1,661,200	\$0	\$0	\$1,661,200	\$1,661,200

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$110,171
Consultant	\$13,159
City / County Government	\$205
Trustee	\$746
Total:	\$124,280

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	San Dieguito Union High School District CFD No 94-2	\$6,030,000.00	09/27/2018	2016-3716	\$29,714.94	\$6,030,000.00		
LTOB	San Dieguito Union High School District CFD No 03-1	\$19,190,000.00	09/28/2018	2016-3717	\$94,565.44	\$19,070,000.00		
<b>Total</b>		<b>\$25,220,000.00</b>				<b>\$25,100,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2016	\$6,030,000	San Dieguito Union High School District CFD No 94-2 San Diego CDIAC Number: 2016-3716 Limited tax obligation bond K-12 School Facility	S:AA	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Fieldman Rolapp San Dieguito Sch Fac FA U.S. Bank NA	03-01-47 Serial	<u>TIC</u> 4.900 <u>NIC</u> 4.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,030,000	\$0	\$0	\$29,715	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.300	\$2,124,800

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,400	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito School Facilities Financing Authority	2016-3718	\$23,820,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-16-2016	\$19,190,000	San Dieguito Union High School District CFD No 03-1 San Diego CDIAC Number: 2016-3717 Limited tax obligation bond K-12 School Facility	S:AA	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Fieldman Rolapp San Dieguito Sch Fac FA U.S. Bank NA	03-01-47 Serial	<u>TIC</u> 0 <u>NIC</u> 4.700 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,070,000	\$0	\$0	\$94,565	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.190	\$1,941,035

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,738	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Dieguito School Facilities Financing Authority	2016-3718	\$23,820,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
12-08-2011	\$9,235,000	San Elijo Joint Powers Authority San Diego CDIAC Number: 2011-1511 Public enterprise revenue bond Wastewater Collection, Treatment Encinitas & Solana Beach Reclamation Facs Refunding	S:AA-/AA- F:AA Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall Assured Guaranty Corp Union Bank NA Brandis Tallman LLC	03-01-21 Serial	TIC NIC 1.841 TYPE:

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$1,650,000	\$165,000	\$0	\$0	\$165,000	\$165,000

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant
N

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
PERB	Encinitas	\$4,341,362.00	10/10/2018	2016-1173	\$0.00	\$781,345.00		
PERB	Solana Beach	\$4,893,638.00	10/10/2018	2016-1174	\$0.00	\$868,655.00		
		<b>Total</b>				<b>\$1,650,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-08-2011	\$4,341,362	Encinitas San Diego CDIAC Number: 2016-1173 Public enterprise revenue bond Wastewater Collection, Treatment	NR	Neg	(BC) (LE) (TR)	Jones Hall San Elijo JPA Union Bank NA	03-01-21 Serial	<u>TIC</u> <u>NIC</u> 1.841 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$781,345	\$78,135	\$0	\$0	\$78,135

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
47.350	Y	1.380	\$668,475	\$668,475

C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Elijo Joint Powers Authority	2011-1511	\$9,235,000.00



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-08-2011	\$4,893,638	Solana Beach San Diego CDIAC Number: 2016-1174 Public enterprise revenue bond Wastewater Collection, Treatment	NR	Neg	(BC) (LE) (TR)	Jones Hall San Elijo JPA Union Bank NA	03-01-21 Serial	<u>TIC</u> <u>NIC</u> 1.841 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$868,655	\$86,866	\$0	\$0	\$86,866

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
52.650	3.900	Y	\$282,893	\$282,893

C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Elijo Joint Powers Authority	2011-1511	\$9,235,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-21-2017	\$22,115,000	San Elijo Joint Powers Authority San Diego CDIAC Number: 2017-1619 Public enterprise revenue bond Wastewater Collection, Treatment Encinitas & Solana Beach Reclamation Facs	S:AA+	Neg	(BC) (DC) (FA) (TR) (UW)	Procopio Cory Procopio Cory Fieldman Rolapp MUFG Union Bank Hilltop Securities Inc	03-01-47 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.391 3.576

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,115,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PERB	Encinitas	\$11,941,726.10	10/10/2018	2017-2013	\$0.00	\$11,057,500.00		
PERB	Solana Beach	\$11,941,726.10	10/10/2018	2017-2014	\$0.00	\$11,057,000.00		
		<b>Total</b>				<b>\$22,114,500.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-21-2017	\$11,941,726	Encinitas San Diego CDIAC Number: 2017-2013 Public enterprise revenue bond Wastewater Collection, Treatment San Elijo Jt Powers Auth Clean Water	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Procopio Cory Procopio Cory Fieldman Rolapp San Elijo JPA MUFG Union Bank	03-01-47 Serial	<u>TIC</u> 3.391 <u>NIC</u> 3.576 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,057,500	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Elijo Joint Powers Authority	2017-1619	\$22,115,000.00

### MISCELLANEOUS

An Email was sent to the Customer requesting Delinquency information.

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-21-2017	\$11,941,726	Solana Beach San Diego CDIAC Number: 2017-2014 Public enterprise revenue bond Wastewater Collection, Treatment San Elijo Jt Powers Auth Clean Water	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Procopio Cory Procopio Cory Fieldman Rolapp San Elijo JPA MUFG Union Bank	03-01-47 Serial	<u>TIC</u> 3.391 <u>NIC</u> 3.576 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,057,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Elijo Joint Powers Authority	2017-1619	\$22,115,000.00

#### MISCELLANEOUS

An Email was sent to the Customer requesting Delinquency information.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2006	\$56,860,000	San Marcos Public Facilities Authority San Diego CDIAC Number: 2005-2010 Revenue bond K-12 School Facility Area No 3 San Series A Refunding	S:AAA M:Aaa  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Fulbright & Jaworski Fulbright & Jaworski Ambac Union Bank of CA Spelman Securities	10-01-39 Comb	<u>TIC</u> <u>NIC</u> 4.638 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$45,530,000	\$3,359,675	\$0	\$0	\$3,359,675	\$3,359,675

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Marcos Redevelopment Agency	\$56,860,000.00	10/25/2018	2016-1226	\$0.00	\$45,530,000.00		
<b>Total</b>		<b>\$56,860,000.00</b>				<b>\$45,530,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-26-2006	\$56,860,000	San Marcos Redevelopment Agency San Diego CDIAC Number: 2016-1226 Marks-Roos Authority Loan K-12 School Facility Area No 3	NR	Neg	(BC) (DC) (LE) (TR)	Fulbright & Jaworski Fulbright & Jaworski San Marcos PFA Union Bank of CA	10-01-39 Comb	<u>TIC</u> <u>NIC</u> 4.638 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$45,530,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Facilities Authority	2005-2010	\$56,860,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-22-2007	\$26,405,000	San Marcos Public Facilities Authority San Diego CDIAC Number: 2007-0966 Revenue bond Multiple Capital Improvements, Public Works IAs D2L, D2U, D3, D4, E1, E2, H2 & H3 Refunding	S:AAA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Ambac Union Bank of CA Stone & Youngberg	09-01-35 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.858

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$20,825,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
							<u>Repay Date</u>	
LTOB	San Marcos CFD No 99-01	\$4,325,000.00	10/26/2018	2007-0967	\$19,081.25	\$3,410,000.00		
LTOB	San Marcos CFD No 99-01	\$4,990,000.00	10/26/2018	2007-0968	\$19,081.25	\$3,925,000.00		
LTOB	San Marcos CFD No 99-01	\$2,745,000.00	10/26/2018	2007-0969	\$19,081.25	\$2,160,000.00		
LTOB	San Marcos CFD No 99-01	\$3,115,000.00	10/26/2018	2007-0970	\$19,081.25	\$2,455,000.00		
LTOB	San Marcos CFD No 99-01	\$2,755,000.00	10/26/2018	2007-0971	\$19,081.25	\$2,190,000.00		
LTOB	San Marcos CFD No 99-01	\$2,400,000.00	10/26/2018	2007-0972	\$19,081.25	\$1,895,000.00		
LTOB	San Marcos CFD No 99-01	\$2,045,000.00	10/26/2018	2007-0973	\$19,081.25	\$1,620,000.00		

**2018 MARKS ROOS FISCAL STATUS REPORT**

**F. LOCAL OBLIGORS**

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
LTOB	San Marcos CFD No 99-01	\$4,030,000.00	10/25/2018	2007-0974	\$19,081.25	\$3,170,000.00		
		<b>Total</b>				<b>\$20,825,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2007	\$4,325,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2007-0967 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D2L Saverne Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Ambac San Marcos PFA Union Bank of CA	09-01-35 Serial	<u>TIC</u> 5.273 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,410,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.050	Y	\$300,174

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,146	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Facilities Authority	2007-0966	\$26,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2007	\$4,990,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2007-0968 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D2U Azure Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Ambac San Marcos PFA Union Bank of CA	09-01-35 Serial	<u>TIC</u> 5.273 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,925,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.970	Y	\$345,803

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,358	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Facilities Authority	2007-0966	\$26,405,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2007	\$2,745,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2007-0969 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D3 Cambria Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Ambac San Marcos PFA Union Bank of CA	09-01-35 Serial	<u>TIC</u> 5.272 <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,160,000	\$0	\$0	\$19,081	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$201,325

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,840	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Facilities Authority	2007-0966	\$26,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2007	\$3,115,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2007-0970 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D4 Woodley Glen Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Ambac San Marcos PFA Union Bank of CA	09-01-35 Serial	<u>TIC</u> 5.274 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,455,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$228,962

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Facilities Authority	2007-0966	\$26,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2007	\$2,755,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2007-0971 Limited tax obligation bond Multiple Capital Improvements, Public Works IA E1 Crestview Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Ambac San Marcos PFA Union Bank of CA	09-01-35 Serial	<u>TIC</u> 5.275 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,190,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.650	Y	\$203,842

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,315	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Facilities Authority	2007-0966	\$26,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2007	\$2,400,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2007-0972 Limited tax obligation bond Multiple Capital Improvements, Public Works IA E2 Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Ambac San Marcos PFA Union Bank of CA	09-01-35 Serial	<u>TIC</u> 5.276 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,895,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.060	\$174,491

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,846	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Facilities Authority	2007-0966	\$26,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2007	\$2,045,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2007-0973 Limited tax obligation bond Multiple Capital Improvements, Public Works IA H2 Village Square Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Ambac San Marcos PFA Union Bank of CA	09-01-35 Serial	<u>TIC</u> 5.275 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,620,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$148,722

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Facilities Authority	2007-0966	\$26,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2007	\$4,030,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2007-0974 Limited tax obligation bond Multiple Capital Improvements, Public Works IA H3, The Village Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Ambac San Marcos PFA Union Bank of CA	09-01-35 Serial	<u>TIC</u> 5.273 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,170,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.380	\$279,326

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,057	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Facilities Authority	2007-0966	\$26,405,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-02-2012	\$22,425,000	San Marcos Public Financing Authority San Diego CDIAC Number: 2012-0282 Revenue bond Multiple Capital Improvements, Public Works CFD No 88-1 Refunding	S:A-	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) U.S. Bank NA (UW) Stifel Nicolaus & Co Inc	09-01-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.310

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,385,000	\$1,836,751	\$0	\$1,843,511	\$0	\$1,843,511

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
							<u>Repay Date</u>	
LTOB	San Marcos CFD No 88-1	\$8,107,846.00	10/25/2018	2012-0283	\$30,000.00	\$2,101,313.00		
LTOB	San Marcos CFD No 88-1	\$12,119,442.00	10/25/2018	2012-0284	\$24,000.00	\$8,717,752.00		
LTOB	San Marcos CFD No 88-1	\$2,238,600.00	10/25/2018	2012-0285	\$6,000.00	\$1,601,719.00		
<b>Total</b>		<b>\$22,465,888.00</b>				<b>\$12,420,784.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-02-2012	\$8,107,846	San Marcos CFD No 88-1 San Diego CDIAC Number: 2012-0283 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA U.S. Bank NA	09-01-19 Serial	<u>TIC</u> <u>NIC</u> 1.379 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,101,313	\$0	\$0	\$30,000	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
45.910	Y	0.360	\$1,157,882

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,134	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2012-0282	\$22,425,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-02-2012	\$12,119,442	San Marcos CFD No 88-1 San Diego CDIAC Number: 2012-0284 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 2 Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA U.S. Bank NA	09-01-27 Serial	<u>TIC</u> <u>NIC</u> 4.820 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,717,752	\$0	\$0	\$24,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
45.800	Y	0.190	\$1,144,947

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,133	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2012-0282	\$22,425,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-02-2012	\$2,238,600	San Marcos CFD No 88-1 San Diego CDIAC Number: 2012-0285 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 3 Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp San Marcos PFA U.S. Bank NA	09-01-27 Serial	<u>TIC</u> <u>NIC</u> 4.820 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,601,719	\$0	\$0	\$6,000	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
8.290	0	\$213,232

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2012-0282	\$22,425,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-06-2012	\$13,820,000	San Marcos Public Financing Authority San Diego CDIAC Number: 2012-0959 Revenue bond Multiple Capital Improvements, Public Works CFD No 2002-1, Series B	S:BBB	Neg	(BC) (DC) (FA) (TR) (UW)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Union Bank NA Piper Jaffray & Co	09-01-35 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.505 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,780,000	\$984,000	\$0	\$998,052	\$0	\$998,052

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	San Marcos CFD No 2002-1	\$13,820,000.00	10/25/2018	2012-0961	\$84,505.00	\$11,780,000.00		
<b>Total</b>		<b>\$13,820,000.00</b>				<b>\$11,780,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2012	\$13,820,000	San Marcos CFD No 2002-1 San Diego CDIAC Number: 2012-0961 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 University Commons Series A Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) Union Bank NA	09-01-35 Comb	<u>TIC</u> 4.599 <u>NIC</u> 0 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,780,000	\$0	\$0	\$84,505	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
97.480	Y	0.270	\$1,083,004

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,898	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2012-0959	\$13,820,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-06-2012	\$4,240,000	San Marcos Public Financing Authority San Diego CDIAC Number: 2012-0960 Revenue bond Multiple Capital Improvements, Public Works CFD No 2002-1, Series C	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Union Bank NA Piper Jaffray & Co	09-01-35 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.505 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$100,000	\$25,488	\$0	\$25,698	\$0	\$25,698

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	San Marcos CFD No 2002-1	\$4,240,000.00	10/25/2018	2012-0962	\$84,505.00	\$100,000.00		
<b>Total</b>		<b>\$4,240,000.00</b>				<b>\$100,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2012	\$4,240,000	San Marcos CFD No 2002-1 San Diego CDIAC Number: 2012-0962 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 University Commons Series B Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA Union Bank NA	09-01-35 Comb	<u>TIC</u> 4.599 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$100,000	\$0	\$0	\$84,505	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
2.520	0.270	Y	\$1,083,004

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,898	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2012-0960	\$4,240,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-24-2012	\$12,585,000	San Marcos Public Financing Authority San Diego CDIAC Number: 2012-1753 Revenue bond Multiple Capital Improvements, Public Works CFD 99-01 IA CB2, I1 & I2 Series D Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (TR) Union Bank NA (UW) Piper Jaffray & Co	09-01-36 Comb	<u>TIC</u> 4.141 <u>NIC</u> 4.502 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,665,000	\$863,978	\$0	\$876,191	\$0	\$876,191

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>
							<u>Repay Date</u>	<u>Type</u>
LTOB	San Marcos CFD No 99-01	\$5,865,000.00	10/25/2018	2012-1755	\$19,633.33	\$5,108,971.00		
LTOB	San Marcos CFD No 99-01	\$1,000,000.00	10/25/2018	2012-1756	\$19,633.33	\$871,517.00		
LTOB	San Marcos CFD No 99-01	\$5,720,000.00	10/25/2018	2012-1757	\$19,633.33	\$4,980,916.00		
<b>Total</b>		<b>\$12,585,000.00</b>				<b>\$10,961,404.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-10-2012	\$5,865,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2012-1755 Limited tax obligation bond Multiple Capital Improvements, Public Works IA II Refunding	NR	Neg PP	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA Union Bank NA	09-01-36 Comb	<u>TIC</u> 4.400 <u>NIC</u> 4.500 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,108,971	\$0	\$0	\$19,633	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
46.610	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$415,998	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2012-1753	\$12,585,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-10-2012	\$1,000,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2012-1756 Limited tax obligation bond Multiple Capital Improvements, Public Works IA C2B Refunding	NR	Neg PP	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA Union Bank NA	09-01-36 Comb	<u>TIC</u> 4.411 <u>NIC</u> 4.502 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$871,517	\$0	\$0	\$19,633	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
7.950	Y	4.640	\$81,339

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,778	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2012-1753	\$12,585,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-02-2012	\$5,720,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2012-1757 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 12 Refunding	NR	Neg PP	(BC) (FA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp San Marcos PFA Union Bank NA	09-01-36 Comb	<u>TIC</u> 4.411 <u>NIC</u> 4.502 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,980,916	\$0	\$0	\$19,633	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
45.440	0	N	\$403,064

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2012-1753	\$12,585,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
10-01-2014	\$32,395,000	San Marcos Public Financing Authority San Diego CDIAC Number: 2014-1707 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 91-01 & 99-01 Series A Refunding	S:AA/A-     Ins	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (EN) Assured Guaranty Corp (TR) MUFG Union Bank (UW) Stifel Nicolaus & Co Inc	09-01-38 Comb	<u>TIC</u> 3.496 <u>NIC</u> 3.728 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$28,180,000	\$2,509,411	\$0	\$1,265,814	\$1,254,706	\$2,520,520

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	San Marcos CFD No 99-01	\$1,475,359.00	10/25/2018	2014-1708	\$18,909.72	\$1,276,254.00		
LTOB	San Marcos CFD No 99-01	\$1,562,336.00	10/25/2018	2014-1709	\$18,909.72	\$1,366,724.00		
LTOB	San Marcos CFD No 99-01	\$1,481,086.00	10/25/2018	2014-1710	\$18,909.72	\$1,300,712.00		
LTOB	San Marcos CFD No 99-01	\$1,266,765.00	10/25/2018	2014-1711	\$18,909.72	\$1,097,465.00		
LTOB	San Marcos CFD No 99-01	\$2,403,562.00	10/25/2018	2014-1712	\$18,909.72	\$2,125,034.00		
LTOB	San Marcos CFD No 99-01	\$1,293,336.00	10/25/2018	2014-1713	\$18,909.72	\$1,133,605.00		
LTOB	San Marcos CFD No 99-01	\$2,555,000.00	10/25/2018	2014-1714	\$18,909.72	\$2,320,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	San Marcos CFD No 99-01	\$948,429.00	10/25/2018	2014-1715	\$18,909.72	\$823,291.00		
LTOB	San Marcos CFD No 99-01	\$1,029,351.00	10/25/2018	2014-1716	\$18,909.72	\$897,127.00		
LTOB	San Marcos CFD No 99-01	\$957,906.00	10/25/2018	2014-1717	\$18,909.72	\$844,254.00		
LTOB	San Marcos CFD No 99-01	\$3,715,000.00	10/25/2018	2014-1718	\$18,909.72	\$3,375,000.00		
LTOB	San Marcos CFD No 99-01	\$3,004,457.00	10/25/2018	2014-1719	\$18,909.72	\$2,636,035.00		
LTOB	San Marcos CFD No 99-01	\$1,856,316.00	10/25/2018	2014-1720	\$18,909.72	\$1,630,779.00		
LTOB	San Marcos CFD No 99-01	\$1,746,324.00	10/25/2018	2014-1721	\$18,909.72	\$1,543,369.00		
LTOB	San Marcos CFD No 99-01	\$1,937,774.00	10/25/2018	2014-1722	\$18,909.72	\$1,727,539.00		
LTOB	San Marcos CFD No 99-01	\$1,525,228.00	10/25/2018	2014-1723	\$18,909.72	\$1,351,146.00		
LTOB	San Marcos CFD No 99-01	\$2,185,000.00	10/25/2018	2014-1724	\$18,909.72	\$1,985,000.00		
LTOB	San Marcos CFD No 91-01	\$1,451,771.00	10/25/2018	2014-2135	\$50,000.00	\$746,667.00		
<b>Total</b>		<b>\$32,395,000.00</b>				<b>\$28,180,001.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$1,475,359	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1708 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A1 Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-32 Serial	<u>TIC</u> 5.000 <u>NIC</u> 0 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,276,254	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
4.530	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$141,778	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$1,562,336	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1709 Limited tax obligation bond Multiple Capital Improvements, Public Works IA 2 Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 3.720 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,366,724	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.850	0	N	\$142,728

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$1,481,086	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1710 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B1 Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 3.250 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,300,712	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.620	0	N	\$133,222

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-23-2014	\$1,266,765	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1711 Limited tax obligation bond Multiple Capital Improvements, Public Works IA B2 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA MUFG Union Bank	09-01-32 Serial	<u>TIC</u> 0 <u>NIC</u> 4.230 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,097,465	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.890	0	N	\$120,247

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$2,403,562	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1712 Limited tax obligation bond Multiple Capital Improvements, Public Works IA C1 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA MUFG Union Bank	09-01-34 Serial	<u>TIC</u> 0 <u>NIC</u> 3.623 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,125,034	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.540	Y	\$200,152

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$572	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$1,293,336	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1713 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D1 Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 3.820 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,133,605	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.020	0	N	\$122,101

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$2,555,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1714 Limited tax obligation bond Multiple Capital Improvements, Public Works IA F2 & V2 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA MUFG Union Bank	09-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 4.110 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,320,000	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
8.230	Y	0.460	\$186,908

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$865	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$948,429	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1715 Limited tax obligation bond Multiple Capital Improvements, Public Works IA G3 Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 3.980 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$823,291	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
2.920	0.640	Y	\$91,351

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$589	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-23-2014	\$1,029,351	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1716 Limited tax obligation bond Multiple Capital Improvements, Public Works IA H1A Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 4.180 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$897,127	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
3.180	Y	0.970	\$102,980

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,000	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-23-2014	\$957,906	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1717 Limited tax obligation bond Multiple Capital Improvements, Public Works IA H1B Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA MUFG Union Bank	09-01-34 Serial	<u>TIC</u> 0 <u>NIC</u> 4.234 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$844,254	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.000	0	N	\$90,242

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$3,715,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1718 Limited tax obligation bond Multiple Capital Improvements, Public Works IA J Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-38 Serial	<u>TIC</u> 0 <u>NIC</u> 4.618 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,375,000	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
11.980	0	N

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$264,445	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$3,004,457	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1719 Limited tax obligation bond Multiple Capital Improvements, Public Works IA M Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 4.333 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,636,035	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.350	0	N	\$256,527

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$1,856,316	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1720 Limited tax obligation bond Multiple Capital Improvements, Public Works IA N1 Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-33 Serial	<u>TIC</u> 0 <u>NIC</u> 4.378 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,630,779	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.790	0	N	\$165,632

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-23-2014	\$1,746,324	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1721 Limited tax obligation bond Multiple Capital Improvements, Public Works IA N2 Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-34 Serial	<u>TIC</u> 0 <u>NIC</u> 4.333 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,543,369	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.480	0	N	\$152,369

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$1,937,774	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1722 Limited tax obligation bond Multiple Capital Improvements, Public Works IA R1 Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-34 Serial	<u>TIC</u> 0 <u>NIC</u> 3.828 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,727,539	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.130	0	N	\$163,337

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$1,525,228	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1723 Limited tax obligation bond Multiple Capital Improvements, Public Works IA R2 Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-34 Serial	<u>TIC</u> 0 <u>NIC</u> 4.698 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,351,146	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.790	0	N	\$133,971

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$2,185,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2014-1724 Limited tax obligation bond Multiple Capital Improvements, Public Works IA VIA Refunding	NR	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (PU) San Marcos PFA (TR) MUFG Union Bank	09-01-38 Serial	<u>TIC</u> 0 <u>NIC</u> 4.442 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,985,000	\$0	\$0	\$18,910	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.040	0	N	\$167,236

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$1,451,771	San Marcos CFD No 91-01 San Diego CDIAC Number: 2014-2135 Limited tax obligation bond Multiple Capital Improvements, Public Works IA A1 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp San Marcos PFA MUFG Union Bank	09-01-20 Serial	<u>TIC</u> 0 <u>NIC</u> 4.233 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$746,667	\$0	\$0	\$50,000	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
2.650	Y	\$312,996

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,159	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2014-1707	\$32,395,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2017	\$3,535,000	San Marcos Public Financing Authority San Diego CDIAC Number: 2017-3007 Public lease revenue bond Public Building Safety Center Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Best Best & Krieger Fieldman Rolapp Raymond James Opus Bank MUFG Union Bank	11-01-28 Term	<u>TIC</u> 2.370 <u>NIC</u> 2.370 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,535,000	\$1,611,750	\$0	\$0	\$0	\$1,612,683

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$115,000

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
PLRB	San Marcos	\$3,535,000.00	10/25/2018	2017-3636	\$0.00	\$3,535,000.00		
<b>Total</b>		<b>\$3,535,000.00</b>				<b>\$3,535,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2017	\$3,535,000	San Marcos San Diego CDIAC Number: 2017-3636 Public lease revenue bond Public Building Safety Center	NR	Neg PP	(BC) (FA) (PA) (TR)	Best Best & Krieger Fieldman Rolapp Raymond James MUFG Union Bank	11-01-28 Term	<u>TIC</u> 2.370 <u>NIC</u> 2.370 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,535,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>
100.000	N

**C. TEETER PLAN**

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2017-3007	\$3,535,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$16,955,000	San Marcos Public Financing Authority San Diego CDIAC Number: 2018-0912 Revenue bond Multiple Capital Improvements, Public Works IAs D2L, D2U, D3, D4, E1, E2, H2 & H3	S:AA/A+	Neg	(BC) Best Best & Krieger (DC) Stradling Yocca (FA) Fieldman Rolapp (EN) Build America (UW) Stifel Nicolaus & Co Inc	09-01-35 Serial	<u>TIC</u> 3.254 <u>NIC</u> 3.552 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,955,000	\$1,611,750	\$0	\$403,870	\$1,208,813	\$1,612,683

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$437,566

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	San Marcos CFD No 99-01	\$2,775,000.00	10/26/2018	2018-0913	\$19,081.25	\$2,775,000.00		
LTOB	San Marcos CFD No 99-01	\$3,205,000.00	10/26/2018	2018-0914	\$19,081.25	\$3,205,000.00		
LTOB	San Marcos CFD No 99-01	\$1,755,000.00	10/26/2018	2018-0915	\$19,081.25	\$1,755,000.00		
LTOB	San Marcos CFD No 99-01	\$1,995,000.00	10/26/2018	2018-0916	\$19,081.25	\$1,995,000.00		
LTOB	San Marcos CFD No 99-01	\$1,775,000.00	10/26/2018	2018-0917	\$19,081.25	\$1,775,000.00		
LTOB	San Marcos CFD No 99-01	\$1,550,000.00	10/26/2018	2018-0918	\$19,081.25	\$1,550,000.00		
LTOB	San Marcos CFD No 99-01	\$1,310,000.00	10/26/2018	2018-0919	\$19,081.25	\$1,310,000.00		
LTOB	San Marcos CFD No 99-01	\$2,590,000.00	10/25/2018	2018-0920	\$19,081.25	\$2,590,000.00		
<b>Total</b>		<b>\$16,955,000.00</b>				<b>\$16,955,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$2,775,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2018-0913 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D2L Saverne Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America San Marcos PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 3.252 <u>NIC</u> 3.550 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,775,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
16.370	1.050	Y	\$300,174

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,146	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2018-0912	\$16,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$3,205,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2018-0914 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D2U Azure Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America San Marcos PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 3.253 <u>NIC</u> 3.552 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,205,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
18.850	0.970	Y	\$345,803

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,358	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2018-0912	\$16,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$1,755,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2018-0915 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D3 Cambria Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America San Marcos PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 2.256 <u>NIC</u> 3.555 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,755,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.370	0.910	Y	\$201,325

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,840	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2018-0912	\$16,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$1,995,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2018-0916 Limited tax obligation bond Multiple Capital Improvements, Public Works IA D4 Woodley Glen Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America San Marcos PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 3.254 <u>NIC</u> 3.552 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,995,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
11.790	0	N	\$228,962

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2018-0912	\$16,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$1,775,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2018-0917 Limited tax obligation bond Multiple Capital Improvements, Public Works IA E1 Crestview Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America San Marcos PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 3.256 <u>NIC</u> 3.554 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,775,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
10.520	Y	0.650	\$203,842

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,315	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2018-0912	\$16,955,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$1,550,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2018-0918 Limited tax obligation bond Multiple Capital Improvements, Public Works IA E2 Refunding	NR     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America San Marcos PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 3.252 <u>NIC</u> 3.550 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,550,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.100	1.060	Y	\$174,491

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,846	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2018-0912	\$16,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$1,310,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2018-0919 Limited tax obligation bond Multiple Capital Improvements, Public Works IA H2 Village Square Refunding	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America San Marcos PFA MUFG Securities Americas	09-01-35 Serial	<u>TIC</u> 3.254 <u>NIC</u> 3.553 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,310,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.780	0	N	\$148,722

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2018-0912	\$16,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2018	\$2,590,000	San Marcos CFD No 99-01 San Diego CDIAC Number: 2018-0920 Limited tax obligation bond Multiple Capital Improvements, Public Works IA H3 The Village Refunding	NR    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Best Best & Krieger Stradling Yocca Fieldman Rolapp Build America San Marcos PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 3.255 <u>NIC</u> 3.554 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,590,000	\$0	\$0	\$19,081	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
15.220	0.380	Y	\$279,326

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,057	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Public Financing Authority	2018-0912	\$16,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
03-21-2001	\$10,380,000	San Marcos Schools Financing Authority San Diego CDIAC Number: 2001-0153 Revenue bond K-12 School Facility CFD Nos 1, 2 & 3	S:AAA  F:AAA  Ins	Neg	(BC) (EN) (TR) (UW)	Bowie Arneson Wiles FSA US Trust Co of CA Stone & Youngberg	09-01-24 Comb	TIC NIC TYPE:	4.513

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$965,000	\$192,888	\$0	\$0	\$192,888	\$192,888

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
LTOB	San Marcos Unified School District CFD No 3	\$2,700,000.00	10/26/2018	2001-0150	\$0.00	\$965,000.00		
LTOB	San Marcos Unified School District CFD No 1	\$3,850,000.00		2001-0151			9/1/2015	Matured FY 15/16
LTOB	San Marcos Unified School District CFD No 2	\$3,830,000.00		2001-0152			9/1/2016	Matured FY 16/17
<b>Total</b>		<b>\$10,380,000.00</b>				<b>\$965,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-21-2001	\$2,700,000	San Marcos Unified School District CFD No 3 San Diego CDIAC Number: 2001-0150 Limited tax obligation bond K-12 School Facility Federally Taxable State Taxable	NR	Neg	(BC) (PU) (TR)	Bowie Arneson Wiles San Marcos School FA US Trust Co of CA	09-01-24 Serial	<u>TIC</u> 4.827 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$965,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
26.010	Y	0.670	\$237,710

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,600	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Schools Financing Authority	2001-0153	\$10,380,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2017	\$69,265,000	San Marcos Schools Financing Authority San Diego CDIAC Number: 2017-1259 Public lease revenue bond K-12 School Facility Refunding	S:AA/A+ M:A2/A1		Neg	(BC) Bowie Arneson Wiles (CB) Perry Israel Esq (DC) Best Best & Krieger (FA) Cooperative Strategies LL (EN) Assured Guaranty Corp (TR) MUFG Union Bank (UW) RBC Capital Mkts LLC	08-15-40 Comb	<u>TIC</u> 3.942 <u>NIC</u> 3.537 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$68,465,000	\$6,613,333	\$0	\$0	\$6,613,333	\$6,613,333

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,453,135

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	San Marcos Unified School District	\$69,265,000.00	11/28/2018	2017-2126	\$0.00	\$68,465,000.00		
<b>Total</b>		<b>\$69,265,000.00</b>				<b>\$68,465,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-2017	\$69,265,000	San Marcos Unified School District San Diego CDIAC Number: 2017-2126 Capital Lease K-12 School Facility 2019 Lease Agreement	NR	Neg	(BC) (CB) (DC) (FA) (TR)	Bowie Arneson Wiles Perry Israel Esq Best Best & Krieger Cooperative Strategies LL MUFG Union Bank	08-15-40 Comb	<u>TIC</u> 3.942 <u>NIC</u> 3.537 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$68,465,000	\$6,388,379	\$0	\$0	\$6,613,333

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	1.030	\$5,871,181

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$60,473	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Marcos Schools Financing Authority	2017-1259	\$69,265,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-27-2006	\$2,112,000	Solana Beach Public Financing Authority San Diego CDIAC Number: 2006-0834 Revenue bond Other Capital Improvements, Public Works AD Nos 2005-1 & 2005-2	NR	Neg	(BC) (FA) (TR) (UW)	Best Best & Krieger Del Rio Advisors LLC Wells Fargo Bank NA Wedbush Morgan Sec	09-02-36 Comb	<u>TIC</u> <u>NIC</u> 5.070 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,665,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$3,000
Total:	\$3,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Solana Beach	\$1,577,000.00	10/25/2018	2006-0835	\$9,500.00	\$1,245,000.00		
SAB	Solana Beach	\$535,000.00	10/25/2018	2006-0836	\$2,815.49	\$420,000.00		
<b>Total</b>		<b>\$2,112,000.00</b>				<b>\$1,665,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-27-2006	\$1,577,000	Solana Beach San Diego CDIAC Number: 2006-0835 Special assessment bond Other Capital Improvements, Public Works Barbara-Granados Utility Undergrounding AD No 2005-1 Federally Taxable	NR	Neg	(BC) (FA) (TR) (UW)	Best Best & Krieger Del Rio Advisors LLC Wells Fargo Bank NA Solana Beach PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> 5.070 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,245,000	\$104,603	\$0	\$9,500	\$123,163

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
73.790	Y	1.290	\$110,527

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,420	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Solana Beach Public Financing Authority	2006-0834	\$2,112,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-27-2006	\$535,000	Solana Beach San Diego CDIAC Number: 2006-0836 Special assessment bond Other Capital Improvements, Public Works Pacific Utility Undergrounding AD No 2005-2 Federally Taxable	NR	Neg	(BC) (FA) (TR) (UW)	Best Best & Krieger Del Rio Advisors LLC Wells Fargo Bank NA Solana Beach PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> 5.069 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$420,000	\$37,147	\$0	\$2,815	\$43,678

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
26.210	N	0	\$38,711

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Solana Beach Public Financing Authority	2006-0834	\$2,112,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
11-15-2012	\$34,450,000	Solana Beach School District Public Financing Authority San Diego CDIAC Number: 2012-1736 Revenue bond K-12 School Facility CFD Nos 99-1 & 2004-1	S:BBB	Neg	(BC) Rutan & Tucker LLP (DC) Jones Hall (FA) Capitol Public Fin Group (TR) The Bank of NY Mellon (UW) Stone Youngberg/Stifel	09-01-42 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$30,950,000	\$2,217,438	\$0	\$2,235,087	\$0	\$2,235,087

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$2,000
County Government	\$134
Consultant	\$11,900
Trustee	\$2,200
<b>Total:</b>	<b>\$16,234</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Solana Beach School District CFD No 2004-1	\$30,000,000.00	10/30/2018	2012-1734	\$8,523.70	\$27,080,000.00		
LTOB	Solana Beach School District CFD No 99-1	\$4,450,000.00	10/30/2018	2012-1735	\$7,710.50	\$3,870,000.00		
<b>Total</b>		<b>\$34,450,000.00</b>				<b>\$30,950,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-15-2012	\$30,000,000	Solana Beach School District CFD No 2004-1 San Diego CDIAC Number: 2012-1734 Limited tax obligation bond K-12 School Facility Solana Ranch ES	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Rutan & Tucker LLP Jones Hall Capitol Public Fin Group Solana Beach PFA The Bank of NY Mellon	09-01-42 Comb	<u>TIC</u> 4.229 <u>NIC</u> 4.474 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$27,080,000	\$1,940,168	\$0	\$8,524	\$1,947,285

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
87.500	Y	0.310	\$2,400,671

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,431	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Solana Beach School District Public Financing Authority	2012-1736	\$34,450,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-15-2012	\$4,450,000	Solana Beach School District CFD No 99-1 San Diego CDIAC Number: 2012-1735 Limited tax obligation bond K-12 School Facility Solana Ranch ES	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Rutan & Tucker LLP Jones Hall Capitol Public Fin Group Solana Beach PFA The Bank of NY Mellon	09-01-42 Comb	<u>TIC</u> 4.166 <u>NIC</u> 4.409 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,870,000	\$277,269	\$0	\$7,711	\$287,802

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
12.500	Y	0.660	\$726,724

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,790	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Solana Beach School District Public Financing Authority	2012-1736	\$34,450,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-09-2013	\$72,140,000	Sweetwater Union High School District Public Financing Authority San Diego CDIAC Number: 2013-1804 Revenue bond K-12 School Facility	S:AA/A    Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Bowie Arneson Wiles Orrick Herrington Springsted Incorporated Build America U.S. Bank NA Mitsubishi UFJ Securities	09-01-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.385

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$54,645,000	\$7,214,000	\$0	\$0	\$7,214,000	\$7,214,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Bank	\$4,400
Disclosure	\$750
Total:	\$5,150

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Sweetwater Union High School District	\$72,140,000.00	10/22/2018	2013-1932	\$0.00	\$54,645,000.00		
<b>Total</b>		<b>\$72,140,000.00</b>				<b>\$54,645,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation</u>	<u>Bond</u>	<u>Interest</u>
10-09-2013	\$72,140,000	Sweetwater Union High School District San Diego CDIAC Number: 2013-1932 Certificates of participation/leases K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (DC) (FA) (TR)	Bowie Arneson Wiles Orrick Herrington Springsted Incorporated U.S. Bank NA	09-01-27	Serial	<u>TIC</u> 3.385 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$54,645,000	\$7,214,000	\$0	\$0	\$7,214,000

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	1.040	\$25,773,421

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$268,505	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sweetwater Union High School District Public Financing Authority	2013-1804	\$72,140,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-1998	\$12,915,026	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 1998-0647 Tax allocation bond Redevelopment, Multiple Purposes Golden Gateway Series C Refunding	S:A M:A2	Comp	(BC) (FA) (TR) (UW)	Nossaman Guthner Stephens McCarthy BNY Mellon Capital BancAmerica Robert	08-01-25 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.249

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,072,519	\$955,002	\$0	\$963,186	\$0	\$963,186

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,948
Total:	\$1,948

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Francisco City & County Redevelopment Agency	\$4,166,605.00		2016-1187			8/1/2014	Matured FY 14/15
MKRL	San Francisco City & County Redevelopment Agency	\$577,192.00		2016-1188			8/1/2014	Matured FY 14/15
MKRL	San Francisco City & County Redevelopment Agency	\$5,339,381.00	10/25/2018	2016-1189	\$0.00	\$1,072,519.00		
MKRL	San Francisco City & County Redevelopment Agency	\$2,831,848.00		2016-1190			8/1/2014	Matured FY 14/15
<b>Total</b>		<b>\$12,915,026.00</b>				<b>\$1,072,519.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-24-1998	\$5,339,381	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-1189 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rincon Point	NR	Comp	(BC) (FA) (LE) (TR)	Nossaman Guthner Stephens McCarthy S F CtyCo Red FA BNY Mellon Capital	08-01-25 Comb	<u>TIC</u> 5.249 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,072,519	\$955,001	\$0	\$0	\$963,186

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	1.200	\$23,144,124

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$276,703	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	1998-0647	\$12,915,026.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-16-1998	\$11,869,002	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 1998-1525 Tax allocation bond Redevelopment, Multiple Purposes Hunters Pt, Golden Gateway/South of Market Earthquake Recovery, Western Addition A-2 & Yerba Buena Ctr Series D Refunding	S:AAA M:Aaa  Ins	Comp	(BC) (FA) (EN) (TR) (UW)	Nossaman Guthner Stephens McCarthy MBIA Corp BNY Mellon Capital Prudential Sec Inc	08-01-24 Serial CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.236

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,869,002	\$2,081,597	\$0	\$2,100,614	\$0	\$2,100,614

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,244
Total:	\$1,244

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Francisco City & County Redevelopment Agency	\$461,538.00	10/25/2018	2016-1195	\$0.00	\$461,538.00		
MKRL	San Francisco City & County Redevelopment Agency	\$3,526,019.00	10/25/2018	2016-1196	\$0.00	\$3,526,019.00		
MKRL	San Francisco City & County Redevelopment Agency	\$7,788,412.00	10/25/2018	2016-1197	\$0.00	\$7,788,412.00		
MKRL	San Francisco City & County Redevelopment Agency	\$93,033.00	10/25/2018	2016-1198	\$0.00	\$93,033.00		
<b>Total</b>		<b>\$11,869,002.00</b>				<b>\$11,869,002.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-16-1998	\$461,538	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-1195 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Hunters Point	NR	Comp	(BC) (FA) (LE) (TR)	Nossaman Guthner Stephens McCarthy S F CtyCo Red FA BNY Mellon Capital	08-01-24 Serial	<u>TIC</u> 5.236 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$461,538	\$80,945	\$0	\$0	\$81,684

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
4.000	Y	1.600	\$1,863,829

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$29,963	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	1998-1525	\$11,869,002.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-16-1998	\$3,526,019	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-1196 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Western Addition A-2	NR	Comp	(BC) (FA) (LE) (TR)	Nossaman Guthner Stephens McCarthy S F CtyCo Red FA BNY Mellon Capital	08-01-24 Serial	<u>TIC</u> 5.236 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,526,019	\$618,397	\$0	\$0	\$624,046

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
30.000	Y	2.800	\$30,012,993

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$838,039	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	1998-1525	\$11,869,002.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-16-1998	\$7,788,412	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-1197 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Yerba Buena Center	NR	Comp	(BC) (FA) (LE) (TR)	Nossaman Guthner Stephens McCarthy S F CtyCo Red FA BNY Mellon Capital	08-01-24 Serial	<u>TIC</u> 5.236 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,788,412	\$1,365,939	\$0	\$0	\$624,046

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
66.000	Y	1.700	\$47,933,746

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$799,551	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	1998-1525	\$11,869,002.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-16-1998	\$93,033	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-1198 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Golden Gateway/South of Market Earthquake Recovery	NR	Comp	(BC) (FA) (LE) (TR)	Nossaman Guthner Stephens McCarthy S F CtyCo Red FA BNY Mellon Capital	08-01-24 Serial	<u>TIC</u> 5.236 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$93,033	\$16,316	\$0	\$0	\$16,465

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
1.000	Y	1.000	\$44,061,199

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$426,089	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	1998-1525	\$11,869,002.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2003	\$78,025,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2003-0141 Tax allocation bond Redevelopment, Multiple Purposes Golden Gateway, Yerba Buena, Western Addition, Rincon Pt/So Beach Series A Federally Taxable	S:AAA/A M:Aaa/A2  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Webster & Anderson Kitahata & Co FGIC U.S. Bank NA Banc of America Sec	08-01-18 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.635

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,575
Total:	\$1,575

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>	
TAB	San Francisco City & County Redevelopment Financing Authority	\$15,130,000.00		2003-0143			1/12/2015	Redeemed	FY 14/15
MKRL	San Francisco City & County Redevelopment Agency	\$13,205,000.00		2016-0709			8/1/2009	Matured	FY 09/10
MKRL	San Francisco City & County Redevelopment Agency	\$46,895,000.00	10/25/2018	2016-0710	\$0.00	\$0.00	8/1/2018	Matured	FY 18/19
MKRL	San Francisco City & County Redevelopment Agency	\$11,575,000.00		2016-0711			6/30/2013	O	FY 12/13
MKRL	San Francisco City & County Redevelopment Agency	\$6,350,000.00		2016-0712			6/30/2014	O	FY 14/15
<b>Total</b>		<b>\$144,435,000.00</b>				<b>\$0.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2003	\$51,280,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2003-0142 Tax allocation bond Redevelopment, Multiple Purposes Golden Gateway, Yerba Buena, Western Addition, Rincon Pt/So Beach Series B	S:AAA/A M:Aaa/A2  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Webster & Anderson Kitahata & Co FGIC U.S. Bank NA E J De La Rosa	08-01-18 Serial	<u>TIC</u> <u>NIC</u> 4.289 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,575
Total:	\$1,575

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	San Francisco City & County Redevelopment Agency	\$4,420,000.00	10/25/2018	2016-0714	\$0.00	\$0.00	8/1/2018	Matured FY 18/19
MKRL	San Francisco City & County Redevelopment Agency	\$590,000.00		2016-0715	\$0.00	\$590.00		
MKRL	San Francisco City & County Redevelopment Agency	\$2,355,000.00	10/25/2018	2016-0716	\$0.00	\$0.00	8/1/2018	Matured FY 18/19
<b>Total</b>		<b>\$51,280,000.00</b>				<b>\$0.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2003	\$46,895,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0710 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Golden Gateway/SOMA Area Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Webster & Anderson Kitahata & Co S F CtyCo Red FA U.S. Bank NA	08-01-18 Serial	<u>TIC</u> 4.635 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.000	\$44,061,199

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$426,089	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2003-0141	\$78,025,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2003	\$43,915,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0713 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Yerba Buena Center Area	NR	Neg	(BC) (FA) (LE) (TR)	Webster & Anderson Kitahata & Co S F CtyCo Red FA U.S. Bank NA	08-01-18 Serial	<u>TIC</u> <u>NIC</u> 4.289 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.700	\$47,933,746

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$799,551	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2003-0142	\$51,280,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2003	\$4,420,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0714 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Western Addition Area	NR	Neg	(BC) (FA) (LE) (TR)	Webster & Anderson Kitahata & Co S F CtyCo Red FA U.S. Bank NA	08-01-18 Serial	<u>TIC</u> <u>NIC</u> 4.289 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.800	\$30,012,993

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$838,039	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2003-0142	\$51,280,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2003	\$2,355,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0716 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rincon Point South Beach Area	NR	Neg	(BC) (FA) (LE) (TR)	Webster & Anderson Kitahata & Co S F CtyCo Red FA U.S. Bank NA	08-01-18 Serial	<u>TIC</u> <u>NIC</u> 4.289 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.200	\$23,144,124

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$276,703	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2003-0142	\$51,280,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-10-2006	\$50,731,331	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2006-0981 Tax allocation bond Redevelopment, Multiple Purposes Yerba Buena, Golden Gateway, Rincon Pt & Mission Bay N Series A Federally Taxable	S:AAA/A M:Aaa/A2  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Quint & Thimmig Lofton & Jennings MBIA Corp The Bank of NY Trust Co UBS Securities	08-01-36 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.138

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$30,776,331	\$3,280,133	\$0	\$0	\$3,280,133	\$3,280,133

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,100
Total:	\$1,100

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Francisco City & County Redevelopment Agency	\$32,801,331.00	10/25/2018	2016-0621	\$0.00	\$30,776,331.00		
MKRL	San Francisco City & County Redevelopment Agency	\$3,900,000.00		2016-0622			4/10/2017	Redeemed FY 16/17
MKRL	San Francisco City & County Redevelopment Agency	\$10,235,000.00		2016-0623			8/1/2016	Redeemed FY 16/17
MKRL	San Francisco City & County Redevelopment Agency	\$3,795,000.00		2016-0624			12/30/2014	Redeemed FY 14/15
<b>Total</b>		<b>\$50,731,331.00</b>				<b>\$30,776,331.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-10-2006	\$32,801,331	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0621 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Golden Gateway	NR	Neg	(BC) (DC) (LE) (TR)	Quint & Thimmig Lofton & Jennings S F CtyCo Red FA The Bank of NY Trust Co	08-01-36 Comb	<u>TIC</u> 0 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$30,776,331	\$3,280,133	\$0	\$0	\$3,280,133

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
100.000	0.100	Y	\$30,322,404	\$17,636

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2006-0981	\$50,731,331.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
10-17-2007	\$118,285,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2007-1480 Tax allocation bond Redevelopment, Multiple Purposes Series A Federally Taxable	S:AAA/A M:Aaa/A2  Ins	Comp	(BC) Jones Hall (DC) Alexis S M Chiu (FA) Backstrom McCarley (EN) MBIA Ins Corp (TR) The Bank of NY Trust Co (UW) Lehman Brothers	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.870 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$101,260,000	\$11,339,282	\$0	\$762,330	\$1,062,330	\$1,824,660

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,100
Total:	\$1,100

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Francisco City & County Redevelopment Agency	\$14,225,000.00	10/25/2018	2016-0625	\$0.00	\$11,295,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$15,710,000.00	10/25/2018	2016-0626	\$0.00	\$4,290,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$6,200,000.00	10/25/2018	2016-0627	\$0.00	\$4,890,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$7,965,000.00	10/25/2018	2016-0628	\$0.00	\$7,510,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$4,350,000.00	10/25/2018	2016-0629	\$0.00	\$3,440,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$69,835,000.00	10/25/2018	2016-0630	\$0.00	\$69,835,000.00		
<b>Total</b>		<b>\$118,285,000.00</b>				<b>\$101,260,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$14,225,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0625 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Mission Bay North	NR	Comp	(BC) (FA) (LE) (TR)	Jones Hall Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.870 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,295,000	\$1,251,832	\$0	\$0	\$1,254,689

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
11.000	Y	1.500	\$21,928,151

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$330,177	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1480	\$118,285,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$15,710,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0626 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Yerba Buena	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.870 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,290,000	\$1,571,000	\$0	\$0	\$15,745,896

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
14.000	Y	1.700	\$47,933,746

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$799,551	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1480	\$118,285,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$6,200,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0627 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Transbay	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.870 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,890,000	\$432,075	\$0	\$0	\$433,061

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.000	3.300	Y	\$66,501,673

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,172,370	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1480	\$118,285,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$7,965,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0628 Marks-Roos Authority Loan Redevelopment, Multiple Purposes South of Market	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.870 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,510,000	\$796,500	\$0	\$0	\$798,318

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
7.000	Y	3.000	\$13,738,795

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$408,453	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1480	\$118,285,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$4,350,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0629 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Bayview Hunters Point	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.870 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,440,000	\$304,375	\$0	\$0	\$305,070

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
3.000	Y	3.000	\$25,519,258

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$832,324	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1480	\$118,285,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$69,835,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0630 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rincon Point South	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-37 Comb	<u>TIC</u> <u>NIC</u> 5.870 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$69,835,000	\$6,983,500	\$0	\$0	\$6,999,439

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
62.000	Y	1.200

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$23,144,424	\$276,703	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1480	\$118,285,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$94,115,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2007-1481 Tax allocation bond Redevelopment, Multiple Purposes Golden Gateway/SOMA/FOB, Hunters Pt, Indian Basin, Rincon Pt/So Beach, Western Addition A-2 & Yerba Buena Ctr Series B Refunding	S:AAA/A M:Aaa/A2  Ins	Comp	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Alexis S M Chiu Backstrom McCarley MBIA Ins Corp The Bank of NY Trust Co Merrill Lynch & Co	08-01-22 Serial	<u>TIC</u> <u>NIC</u> 3.917 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,445,000	\$1,238,344	\$0	\$9,406,356	\$77,000	\$9,483,356

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,100
Total:	\$1,100

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>	<u>Balance</u>			
MKRL	San Francisco City & County Redevelopment Agency	\$59,735,000.00	10/25/2018	2016-0631	\$0.00	\$0.00	8/1/2018	Matured	FY 18/19
MKRL	San Francisco City & County Redevelopment Agency	\$4,935,000.00	10/25/2018	2016-0632	\$0.00	\$0.00	8/1/2018	Matured	FY 18/19
MKRL	San Francisco City & County Redevelopment Agency	\$9,785,000.00	10/25/2018	2016-0633	\$0.00	\$0.00	8/1/2018	Matured	FY 18/19
MKRL	San Francisco City & County Redevelopment Agency	\$18,310,000.00	10/25/2018	2016-0634	\$0.00	\$4,445,000.00			
MKRL	San Francisco City & County Redevelopment Agency	\$625,000.00	10/25/2018	2016-0635	\$0.00	\$0.00	8/1/2018	Matured	FY 18/19

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u>	<u>Reporting</u>	<u>Current Year</u>	<u>Outstanding</u>	<u>Retired /</u>	<u>Retired</u>
		<u>Loan Amount</u>	<u>Date</u>	<u>Admin Fees</u>	<u>Balance</u>	<u>Repay Date</u>	<u>Type</u>
MKRL	San Francisco City & County Redevelopment Agency	\$725,000.00	10/25/2018	\$0.00	\$0.00	8/1/2018	Matured FY 18/19
<b>Total</b>		<b>\$94,115,000.00</b>			<b>\$4,445,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$59,735,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0631 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Golden Gateway SOMA/FOB	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-22 Comb	<u>TIC</u> <u>NIC</u> 3.917 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	\$44,061,199	\$426,089

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1481	\$94,115,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$4,935,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0632 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Western Addition	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-22 Comb	<u>TIC</u> <u>NIC</u> 3.917 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.800	\$30,012,993

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$838,039	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1481	\$94,115,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$9,785,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0633 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Yerba Buena	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-22 Comb	<u>TIC</u> <u>NIC</u> 3.917 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.700	Y	\$47,933,746

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$799,551	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1481	\$94,115,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$18,310,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0634 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rincon Point South	NR	Comp	(BC) (FA) (LE) (TR)	Jones Hall Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-22 Comb	<u>TIC</u> <u>NIC</u> 3.917 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,445,000	\$1,238,344	\$0	\$0	\$1,315,344

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	1.200	\$23,144,124

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$276,703	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1481	\$94,115,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$625,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0635 Marks-Roos Authority Loan Redevelopment, Multiple Purposes India Basin	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-22 Comb	<u>TIC</u> <u>NIC</u> 3.917 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	N	\$1,361,155	\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1481	\$94,115,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-17-2007	\$725,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0636 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Hunters Point	NR	Comp	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Backstrom McCarley S F CtyCo Red FA The Bank of NY Trust Co	08-01-22 Comb	<u>TIC</u> <u>NIC</u> 3.917 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.600	\$1,863,829

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$29,963	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2007-1481	\$94,115,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-18-2009	\$75,000,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2009-0930 Tax allocation bond Redevelopment, Multiple Purposes Bayview Hunters Pt Area B, Mission Bay N/S, Rincon Pt S Beach, Transbay, W Addition A-2 & Yerba Buena Ctr Series A Federally Taxable	S:A M:A2	Neg	(BC) Jones Hall (DC) Alexis S M Chiu (DC) Alexis S M Chiu (FA) The PFM Group (TR) U.S. Bank NA (UW) Piper Jaffray & Co	08-01-24 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	8.121	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,025,000	\$760,000	\$0	\$770,672	\$0	\$770,672

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,000
Total:	\$1,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Francisco City & County Redevelopment Agency	\$3,525,000.00	10/25/2018	2016-0729	\$0.00	11/30/2017	Redeemed FY 17/18	
MKRL	San Francisco City & County Redevelopment Agency	\$5,980,000.00	10/25/2018	2016-0730	\$0.00	11/30/2017	Redeemed FY 17/18	
MKRL	San Francisco City & County Redevelopment Agency	\$26,875,000.00	10/25/2018	2016-0731	\$0.00	11/30/2017	Redeemed FY 17/18	
MKRL	San Francisco City & County Redevelopment Agency	\$4,680,000.00	10/25/2018	2016-0732	\$0.00	\$630,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$2,920,000.00	10/25/2018	2016-0733	\$0.00	\$395,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$29,680,000.00	10/25/2018	2016-0734	\$0.00	\$0.00	11/30/2017 Redeemed FY 17/18	
<b>Total</b>		<b>\$75,000,000.00</b>				<b>\$1,025,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-18-2009	\$1,340,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0728 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Transbay Area	NR	Neg	(BC) (DC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-24 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	8.121

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
0	Y	3.300

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$66,501,673	\$2,172,370	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0930	\$75,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-18-2009	\$3,525,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0729 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Western Addition Area	NR	Neg	(BC) (DC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-24 Comb	<u>TIC</u> 8.121 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.800	\$30,012,993

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$838,039	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0930	\$75,000,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-18-2009	\$5,980,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0730 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Bayview Hunters Point Area	NR	Neg	(BC) (DC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-24 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	8.121

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	3.300	\$25,519,258	\$832,324

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0930	\$75,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-18-2009	\$26,875,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0731 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Yerba Buena Center Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-24 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	8.121

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.700	\$47,933,746

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$799,551	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0930	\$75,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-18-2009	\$4,680,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0732 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Mission Bay South Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-24 Comb	<u>TIC</u> 8.121 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$630,000	\$468,000	\$0	\$0	\$474,571

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
62.000	Y	0.400	\$47,080,176

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$191,826	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0930	\$75,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-18-2009	\$2,920,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0733 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Mission Bay North Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-24 Comb	<u>TIC</u> 8.121 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$395,000	\$292,000	\$0	\$0	\$474,571

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
38.000	Y	1.500	\$21,928,151

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$330,177	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0930	\$75,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-18-2009	\$29,680,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0734 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Rincon Point South Beach Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-24 Comb	<u>TIC</u> 8.121 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	\$23,144,124	\$2,276,703

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0930	\$75,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-14-2009	\$17,625,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2009-0931 Tax allocation bond Redevelopment, Multiple Purposes Bayview Hunters Pt Area B, South of Market, Transbay & Yerba Buena Ctr Series B	S:A M:A2	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Alexis S M Chiu The PFM Group U.S. Bank NA E J De La Rosa	08-01-39 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.893

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,000
Total:	\$1,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>	
MKRL	San Francisco City & County Redevelopment Agency	\$9,590,000.00	10/25/2018	2016-0877	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$2,905,000.00	10/25/2018	2016-0878	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$2,800,000.00	10/25/2018	2016-0879	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
<b>Total</b>		<b>\$17,625,000.00</b>				<b>\$0.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-14-2009	\$2,330,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0876 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Transbay Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 5.893 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.300	\$66,501,673

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0931	\$17,625,000.00

#### MISCELLANEOUS

Email was sent to the Customer regarding the Delinquency Taxes Unpaid.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-14-2009	\$9,590,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0877 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Yerba Buena Center Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 5.893 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	1.700	\$47,933,746	\$799,551

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0931	\$17,625,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
08-14-2009	\$2,905,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0878 Marks-Roos Authority Loan Redevelopment, Multiple Purposes SOMA Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.893

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	3.000	\$13,738,795	\$408,453

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0931	\$17,625,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-14-2009	\$2,800,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0879 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Bayview Hunters Pt Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 5.893 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.300	\$25,519,258

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$832,324	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-0931	\$17,625,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-03-2009	\$72,565,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2009-1318 Tax allocation bond Single-Family Housing Bayview Hunters Pt (Area B), Mission Bay North & So, Rincon Pt - So Bch, Western Addition A-2 & Yerba Buena Ctr Series E Federally Taxable	S:A M:A2	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Alexis S M Chiu The PFM Group U.S. Bank NA Piper Jaffray & Co	08-01-39 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	8.325

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$55,840,000	\$6,977,347	\$0	\$7,012,487	\$0	\$7,012,487

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,000
Total:	\$1,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Francisco City & County Redevelopment Agency	\$5,230,000.00	10/25/2018	2016-0883	\$0.00	\$805,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$1,780,000.00	10/25/2018	2016-0884	\$0.00	\$0.00	11/30/2017	Redeemed FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$10,785,000.00	10/25/2018	2016-0885	\$0.00	\$8,940,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$19,140,000.00	10/25/2018	2016-0886	\$0.00	\$16,350,000.00		
MKRL	San Francisco City & County Redevelopment Agency	\$975,000.00	10/25/2018	2016-0887	\$0.00	\$0.00	11/30/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$72,565,000.00</b>				<b>\$55,840,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2009	\$34,655,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0882 Marks-Roos Authority Loan Single-Family Housing Western Addition Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 8.325 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$29,745,000	\$3,465,500	\$0	\$0	\$3,648,048

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
52.000	Y	2.800	\$30,012,993

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$838,039	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-1318	\$72,565,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2009	\$5,230,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0883 Marks-Roos Authority Loan Single-Family Housing Mission Bay South Area Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 8.325 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$805,000	\$209,705	\$0	\$0	\$220,751

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.000	0.400	Y	\$47,080,176

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$191,826	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-1318	\$72,565,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2009	\$1,780,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0884 Marks-Roos Authority Loan Single-Family Housing Rincon Point South Beach Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 8.325 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.200	\$23,144,124

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$276,703	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-1318	\$72,565,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2009	\$10,785,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0885 Marks-Roos Authority Loan Single-Family Housing Bayview Hunters Point Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 8.325 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,940,000	\$1,072,379	\$0	\$0	\$1,128,867

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
16.000	Y	3.300	\$25,519,258

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$832,324	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-1318	\$72,565,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2009	\$19,140,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0886 Marks-Roos Authority Loan Single-Family Housing Yerba Buena Center Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 8.325 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,350,000	\$1,914,000	\$0	\$0	\$1,128,867

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
29.000	Y	1.700	\$47,933,746

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$799,551	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-1318	\$72,565,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2009	\$975,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0887 Marks-Roos Authority Loan Single-Family Housing Mission Bay North Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 8.325 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
1.340	Y	1.500	\$21,928,151

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$330,177	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-1318	\$72,565,000.00

#### MISCELLANEOUS

Per John Diagle, Yes, the defeased bonds are still outstanding until the call date but the investors are holding bonds backed by the US Treasury obligations that comprise the escrow—they have no other security and we, as issuer, have no liability.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-03-2009	\$6,610,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2009-1319 Tax allocation bond Redevelopment, Multiple Purposes Bayview Hunters Pt (Area B), South of Market & Transbay Series F	S:A M:A2	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Alexis S M Chiu The PFM Group U.S. Bank NA De La Rosa & Co	08-01-39 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.734

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$1,000
Total:	\$1,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>	
MKRL	San Francisco City & County Redevelopment Agency	\$1,280,000.00	10/25/2018	2016-0888	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$1,385,000.00	10/25/2018	2016-0889	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$3,945,000.00	10/25/2018	2016-0890	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
<b>Total</b>		<b>\$6,610,000.00</b>				<b>\$0.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2009	\$1,280,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0888 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Bayview Hunters Pt Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 5.734 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.300	\$25,519,258

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$832,324	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-1319	\$6,610,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
12-03-2009	\$1,385,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0889 Marks-Roos Authority Loan Redevelopment, Multiple Purposes SOMA Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.734

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	3.000	Y	\$13,738,795

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$408,453	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-1319	\$6,610,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2009	\$3,945,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0890 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Transbay Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-39 Comb	<u>TIC</u> 5.734 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	3.300	\$66,501,673	\$2,172,370

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2009-1319	\$6,610,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-16-2010	\$40,055,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2010-0899 Tax allocation bond Redevelopment, Multiple Purposes Western Addition, Golden Gateway & Transbay Series A Federally Taxable	S:A M:A1	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Alexis S M Chiu The PFM Group The Bank of NY Mellon Piper Jaffray & Co	08-01-40 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	7.021

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$500
Total:	\$500

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	San Francisco City & County Redevelopment Agency	\$24,995,000.00	10/25/2018	2016-0892	\$0.00	11/30/2017	Redeemed FY 17/18	
MKRL	San Francisco City & County Redevelopment Agency	\$7,535,000.00	11/30/2018	2016-0893	\$0.00	11/30/2017	Redeemed FY 17/18	
<b>Total</b>		<b>\$40,055,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-16-2010	\$7,525,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0891 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Golden Gateway Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA The Bank of NY Mellon	08-01-40 Comb	<u>TIC</u> <u>NIC</u> 7.021 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$30,322,404

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$17,636	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2010-0899	\$40,055,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-16-2010	\$24,995,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0892 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Transbay Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA The Bank of NY Mellon	08-01-40 Comb	<u>TIC</u> <u>NIC</u> 7.021 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	1.000	\$44,061,199	426,089

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2010-0899	\$40,055,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-16-2010	\$7,535,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0893 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Western Addition Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Alexis S M Chiu The PFM Group S F CtyCo Red FA The Bank of NY Mellon	08-01-40 Comb	<u>TIC</u> <u>NIC</u> 7.021 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	2.800	\$30,012,993

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$838,039	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2010-0899	\$40,055,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2011	\$22,370,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2011-0161 Tax allocation bond Redevelopment, Multiple Purposes Bayview Hunters Pt (B), Golden Gateway, Hunters Pt, So of Market, Transbay & Western Add A-2 Series A Federally Taxable	S:A M:A1	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Jones Hall The PFM Group U.S. Bank NA Piper Jaffray & Co	08-01-41 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	8.971

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>	
MKRL	San Francisco City & County Redevelopment Agency	\$2,505,000.00	10/25/2018	2016-0894	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$8,755,000.00	10/25/2018	2016-0895	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$1,440,000.00	10/25/2018	2016-0896	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$1,315,000.00	10/25/2018	2016-0897	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$2,355,000.00	10/25/2018	2016-0898	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
MKRL	San Francisco City & County Redevelopment Agency	\$6,000,000.00	10/25/2018	2016-0899	\$0.00	\$0.00	11/30/2017	Redeemed	FY 17/18
<b>Total</b>		<b>\$22,370,000.00</b>				<b>\$0.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2011	\$2,505,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0894 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Bayview Hunters Pt Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-41 Comb	<u>TIC</u> 1.496 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.300	\$25,519,258

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$832,324	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2011-0161	\$22,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2011	\$8,755,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0895 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Golden Gateway Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-41 Comb	<u>TIC</u> 1.496 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	\$30,322,404	\$17,636

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2011-0161	\$22,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2011	\$1,440,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0896 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Hunters Point Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-41 Comb	<u>TIC</u> 1.496 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	\$1,863,829	\$29,963

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2011-0161	\$22,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2011	\$1,315,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0897 Marks-Roos Authority Loan Redevelopment, Multiple Purposes SOMA Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-41 Comb	<u>TIC</u> 1.496 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	3.000	Y	\$13,738,795

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$408,453	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2011-0161	\$22,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2011	\$2,355,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0898 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Transbay Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-41 Comb	<u>TIC</u> 1.496 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	\$66,501,673	\$2,172,370

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2011-0161	\$22,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-17-2011	\$6,000,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0899 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Western Addition Area Federally Taxable	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-41 Comb	<u>TIC</u> 1.496 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	\$30,012,993	\$838,039

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2011-0161	\$22,370,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-18-2011	\$16,020,000	San Francisco City & County Redevelopment Financing Authority San Francisco CDIAC Number: 2011-0162 Tax allocation bond Redevelopment, Multiple Purposes Transbay, Bayview Hunters Pt & So of Market Series B	S:A M:A1	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Jones Hall The PFM Group U.S. Bank NA Piper Jaffray & Co	08-01-41 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.676

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$850
Total:	\$850

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>	
MKRL	San Francisco City & County Redevelopment Agency	\$940,000.00	11/28/2018	2016-0901	\$0.00	11/30/2017	Redeemed	FY 17/18	
MKRL	San Francisco City & County Redevelopment Agency	\$1,165,000.00	10/25/2018	2016-0902	\$0.00	11/30/2017	Redeemed	FY 17/18	
<b>Total</b>		<b>\$16,020,000.00</b>				<b>\$0.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-18-2011	\$13,915,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0900 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Transbay Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-41 Comb	<u>TIC</u> 6.676 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.300	\$66,501,673

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,172,370	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2011-0162	\$16,020,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-18-2011	\$940,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0901 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Bayview Hunters Pt Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-41 Comb	<u>TIC</u> 6.676 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.300	\$25,519,258

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$832,324	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2011-0162	\$16,020,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-18-2011	\$1,165,000	San Francisco City & County Redevelopment Agency San Francisco CDIAC Number: 2016-0902 Marks-Roos Authority Loan Redevelopment, Multiple Purposes South of Market Area	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall The PFM Group S F CtyCo Red FA U.S. Bank NA	08-01-41 Comb	<u>TIC</u> 6.676 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	3.000	\$13,738,795	\$408,453

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Francisco City & County Redevelopment Financing Authority	2011-0162	\$16,020,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-23-2013	\$18,975,000	Lathrop Financing Authority San Joaquin CDIAC Number: 2013-1216 Revenue bond Multiple Capital Improvements, Public Works Mossdale Village Series A Refunding	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) KNN Public Finance (TR) Union Bank NA (UW) Stifel Nicolaus & Co Inc	09-02-35 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.086 5.191	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,850,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
SAB	Lathrop	\$11,690,000.00	10/30/2018	2014-0199	\$31,806.00	\$9,930,000.00		
LTOB	Lathrop CFD No 2003-1	\$7,285,000.00	10/30/2018	2014-0200	\$37,460.00	\$5,920,000.00		
		<b>Total</b>				<b>\$15,850,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-23-2013	\$11,690,000	Lathrop San Joaquin CDIAC Number: 2014-0199 Special assessment bond Multiple Capital Improvements, Public Works Mossdale Village ReAD No 2013-1 Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Jones Hall KNN Public Finance Lathrop FA Union Bank NA	09-02-33 Term	<u>TIC</u> 5.037 <u>NIC</u> 5.150 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,930,000	\$946,050	\$0	\$31,806	\$951,566

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$968,044

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$12,397	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lathrop Financing Authority	2013-1216	\$18,975,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-23-2013	\$7,285,000	Lathrop CFD No 2003-1 San Joaquin CDIAC Number: 2014-0200 Limited tax obligation bond Multiple Capital Improvements, Public Works Mossdale Village Series A Refunding	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) KNN Public Finance (PU) Lathrop FA (TR) Union Bank NA	09-02-35 Term	<u>TIC</u> 5.162 <u>NIC</u> 5.253 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,920,000	\$264,122	\$0	\$37,460	\$264,122

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$560,392

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,044	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lathrop Financing Authority	2013-1216	\$18,975,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-02-2018	\$41,610,000	Lodi Public Financing Authority San Joaquin CDIAC Number: 2018-0828 Public enterprise revenue bond Power Generation/Transmission Electric System Refunding	S:AA/A- M:A2  Ins	Comp	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Stradling Yocca Fieldman Rolapp Assured Guaranty Corp MUFG Union Bank JP Morgan Securities	09-01-32 Serial	<u>TIC</u> 2.860 <u>NIC</u> 3.159 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$41,610,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$489,791

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Lodi	\$41,610,000.00	11/21/2018	2018-0829	\$0.00	\$41,610,000.00		
<b>Total</b>		<b>\$41,610,000.00</b>				<b>\$41,610,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-02-2018	\$41,610,000	Lodi San Joaquin CDIAC Number: 2018-0829 Certificates of participation/leases Power Generation/Transmission Electric System	NR	Comp	(BC) (DC) (FA) (EN) (TR)	Jones Hall Stradling Yocca Fieldman Rolapp Assured Guaranty Corp MUFG Union Bank	09-01-32 Serial	<u>TIC</u> 2.860 <u>NIC</u> 3.159 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$41,610,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$6,091,975

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lodi Public Financing Authority	2018-0828	\$41,610,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital</u>	<u>Appreciation Bond</u>	<u>Interest</u>	
07-12-2013	\$3,875,528	RD 17 Levee Area Public Financing Authority San Joaquin CDIAC Number: 2013-1525 Special assessment bond Flood Control, Storm Drainage Reclamation Dist No 17 Refunding	NR	Neg PP	(BC) (PA) (PU) (TR)	Jones Hall Southwest Securities Compass Bank Wells Fargo Bank NA	09-01-24 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.080 3.080

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,738,597	\$1,426,267	\$0	\$1,427,486	\$0	\$1,427,486

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Reclamation District No 17	\$3,875,528.00	10/30/2018	2016-1838	\$0.00	\$2,738,597.45		
<b>Total</b>		<b>\$3,875,528.00</b>				<b>\$2,738,597.45</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-12-2013	\$3,875,528	Reclamation District No 17 San Joaquin CDIAC Number: 2016-1838 Marks-Roos Authority Loan Flood Control, Storm Drainage	NR	Neg	(BC) (LE) (PA) (TR)	Jones Hall RD 17 Levee Area PFA Southwest Securities Wells Fargo Bank NA	09-01-24 Term	<u>TIC</u> 3.080 <u>NIC</u> 3.080 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,738,597	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.940	\$2,950,151

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$116,262	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
RD 17 Levee Area Public Financing Authority	2013-1525	\$3,875,528.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-08-2016	\$20,850,000	RD 17 Levee Area Public Financing Authority San Joaquin CDIAC Number: 2016-3807 Special assessment bond Flood Control, Storm Drainage Reclamation District No 17 Refunding	S:AA-/BBB     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Jones Hall Del Rio Advisors LLC National PFG Corp Wells Fargo Bank NA Piper Jaffray & Co	09-01-44 Comb	<u>TIC</u> 4.112 <u>NIC</u> 4.082 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$20,450,000	\$1,426,267	\$0	\$1,427,486	\$0	\$1,427,486

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Reclamation District No 17	\$20,850,000.00	10/30/2018	2017-3524	\$0.00	\$20,450,000.00		
<b>Total</b>		<b>\$20,850,000.00</b>				<b>\$20,450,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-08-2016	\$20,850,000	Reclamation District No 17 San Joaquin CDIAC Number: 2017-3524 Marks-Roos Authority Loan Flood Control, Storm Drainage	NR	Neg	(BC) (DC) (FA) (EN) (LE) (TR)	Jones Hall Jones Hall Del Rio Advisors LLC National PFG Corp RD 17 Levee Area PFA Wells Fargo Bank NA	09-01-44 Comb	<u>TIC</u> 4.112 <u>NIC</u> 4.082 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$20,450,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.940	\$2,950,151

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$116,262	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
RD 17 Levee Area Public Financing Authority	2016-3807	\$20,850,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
03-20-1998	\$15,670,000	Stockton Public Financing Authority San Joaquin CDIAC Number: 1998-0274 Revenue bond Multiple Capital Improvements, Public Works Arch Rd & Stockton Airport Bus Pk AD	NR	Neg	(BC) Timothy Hachman Law Off (TR) US Bank Corp Trust (UW) Westhoff Cone	09-02-20 Comb	<u>TIC</u> <u>NIC</u> 5.645 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$820,000	\$507,560	\$0	\$0	\$507,560	\$507,560

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$240
Consultant	\$4,198
Trustee	\$2,267
<b>Total:</b>	<b>\$6,704</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Stockton	\$7,890,000.00		1998-0271			9/2/2013	Matured FY 13/14
SAB	Stockton	\$2,840,000.00	10/26/2018	1998-0272	\$4,633.90	\$540,000.00		
SAB	Stockton	\$4,810,000.00	10/26/2018	1998-0273	\$6,218.59	\$295,000.00		
<b>Total</b>		<b>\$15,540,000.00</b>				<b>\$835,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-19-1998	\$2,840,000	Stockton San Joaquin CDIAC Number: 1998-0272 Special assessment bond Multiple Capital Improvements, Public Works Airport Bus Park AD No 84-1 Phase V Refunding	NR	Neg	(BC) (TR) (UW)	Timothy Hachman Law Off US Bank Corp Trust Stockton PFA	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 6.095 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$540,000	\$0	\$0	\$4,634	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$212,864

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$381	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	1998-0274	\$15,670,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-19-1998	\$4,810,000	Stockton San Joaquin CDIAC Number: 1998-0273 Special assessment bond Multiple Capital Improvements, Public Works Arch Rd Indus Pk AD No 84-2 Refunding	NR	Neg	(BC) (TR) (UW)	Timothy Hachman Law Off US Bank Corp Trust Stockton PFA	09-02-18 Serial	<u>TIC</u> <u>NIC</u> 5.787 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$295,000	\$0	\$0	\$6,219	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$283,261

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	1998-0274	\$15,670,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
08-05-1999	\$11,610,000	Stockton Public Financing Authority San Joaquin CDIAC Number: 1999-1536 Revenue bond Multiple Capital Improvements, Public Works W Eighth St AD	NR	Neg	(BC) Jones Hall (DC) Jones Hall (TR) US Bank Corp Trust (UW) Sutro & Co	09-02-21 Comb	<u>TIC</u> <u>NIC</u> 6.225 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,475,000	\$837,738	\$0	\$440,819	\$405,000	\$845,819

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Audit	\$2,742
County Government	\$6,324
Consultant	\$14,627
Trustee	\$2,650
Total:	\$26,342

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Stockton	\$10,619,463.00	10/26/2018	1999-1534	\$135,705.58	\$2,895,000.00		
<b>Total</b>		<b>\$10,619,463.00</b>				<b>\$2,895,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-05-1999	\$10,619,463	Stockton San Joaquin CDIAC Number: 1999-1534 Special assessment bond Multiple Capital Improvements, Public Works W Eighth St AD No 90-5 Phases I and II Refunding	NR	Neg	(BC) (PU) (TR)	Jones Hall Stockton PFA US Bank Corp Trust	09-02-21 Serial	<u>TIC</u> <u>NIC</u> 8.246 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,895,000	\$0	\$0	\$135,706	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$870,719

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,648	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	1999-1536	\$11,610,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-13-2014	\$13,020,000	Stockton Public Financing Authority San Joaquin CDIAC Number: 2014-1220 Revenue bond Multiple Capital Improvements, Public Works Mosher ReAD 2014-1 Refunding	S:BBB+	Neg	(BC) (DC) (FA) (UW)	Orrick Herrington Orrick Herrington Del Rio Advisors LLC RBC Capital Mkts LLC	09-02-33 Comb	<u>TIC</u> 3.746 <u>NIC</u> 3.781 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,465,000	\$977,406	\$0	\$985,941	\$0	\$985,941

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$4,149
Consultant	\$10,197
Trustee	\$1,350
<b>Total:</b>	<b>\$15,696</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Stockton	\$13,020,000.00	10/26/2018	2014-1531	\$89,245.18	\$11,465,000.00		
<b>Total</b>		<b>\$13,020,000.00</b>				<b>\$11,465,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-13-2014	\$13,020,000	Stockton San Joaquin CDIAC Number: 2014-1531 Special assessment bond Other Capital Improvements, Public Works Mosher ReAD 2014-1 Refunding	NR	Neg	(BC) (FA) (PU)	Orrick Herrington Del Rio Advisors LLC Stockton PFA	09-02-33 Comb	<u>TIC</u> 4.738 <u>NIC</u> 4.751 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,465,000	\$0	\$0	\$89,245	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.110	Y	\$1,146,939

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$12,775	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2014-1220	\$13,020,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$33,350,000	Stockton Public Financing Authority San Joaquin CDIAC Number: 2016-1783 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2001-1, 2003-1, 2006-1 & 2006-3 Series A Refunding	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Wells Fargo Bank NA Hilltop Securities Inc	09-02-37 Comb	<u>TIC</u> 2.541 <u>NIC</u> 2.702 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$31,785,000	\$2,687,452	\$0	\$0	\$2,687,452	\$2,687,452

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$15,339
Consultant	\$44,808
Trustee	\$19,150
<b>Total:</b>	<b>\$79,297</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Stockton	\$1,610,000.00	10/29/2018	2016-2498	\$22,299.60	\$1,552,928.77		
SAB	Stockton	\$1,630,000.00	10/29/2018	2016-2499	\$17,091.04	\$1,568,876.18		
LTOB	Stockton CFD No 2001-1	\$13,760,000.00	10/29/2018	2016-2500	\$84,030.85	\$13,143,626.50		
LTOB	Stockton CFD No 2006-3	\$5,020,000.00	10/29/2018	2016-2501	\$24,917.77	\$4,935,547.84		
LTOB	Stockton CFD No 2003-1	\$1,980,000.00	10/29/2018	2016-2502	\$30,439.74	\$1,905,369.15		
LTOB	Stockton CFD No 2006-1	A \$3,569,000.00	10/29/2018	2016-2503	\$40,262.27	\$2,661,551.41		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
SAB	Stockton	\$2,961,082.00	10/29/2018	2016-3326	\$70,217.76	\$3,734,476.73		
SAB	Stockton	\$1,702,702.00	10/29/2018	2016-3327	\$40,191.94	\$2,328,783.06		
SAB	Stockton	\$2,092,323.00	10/29/2018	2016-3328	\$19,235.13	\$2,010,006.62		
SAB	Stockton	\$3,928,051.00	8/18/2005	2005-1331	\$0.00	\$0.00	8/17/2016 Redeemed	FY 17/18
<b>Total</b>		\$33,496,107.00				<b>\$33,841,166.26</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$1,610,000	Stockton San Joaquin CDIAC Number: 2016-2498 Special assessment bond Multiple Capital Improvements, Public Works March Lane/Holman ReAD No 2016-1 Refunding	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Stockton PFA Wells Fargo Bank NA	09-02-34 Comb	<u>TIC</u> 2.655 <u>NIC</u> 2.793 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,552,929	\$0	\$0	\$22,300	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$158,799

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$269	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$1,630,000	Stockton San Joaquin CDIAC Number: 2016-2499 Special assessment bond Multiple Capital Improvements, Public Works Waterford Estates East Phase II ReAD No 2016-2 Refunding	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Stockton PFA Wells Fargo Bank NA	09-02-33 Comb	<u>TIC</u> 2.597 <u>NIC</u> 2.753 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,568,876	\$0	\$0	\$17,091	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$160,222

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$13,760,000	Stockton CFD No 2001-1 San Joaquin CDIAC Number: 2016-2500 Limited tax obligation bond Multiple Capital Improvements, Public Works Spanos Park West Refunding	S:AA/A-      Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Stockton PFA Wells Fargo Bank NA	09-01-31 Comb	<u>TIC</u> 2.435 <u>NIC</u> 2.628 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,143,627	\$0	\$0	\$84,031	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.200	\$1,408,519

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,801	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$5,020,000	Stockton CFD No 2006-3 San Joaquin CDIAC Number: 2016-2501 Limited tax obligation bond Multiple Capital Improvements, Public Works IA No 1 Northbrook Woodside Refunding	S:AA/A-      Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Stockton PFA Wells Fargo Bank NA	09-01-37 Comb	<u>TIC</u> 2.858 <u>NIC</u> 2.945 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,935,548	\$0	\$0	\$24,918	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$345,688

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,026	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$1,980,000	Stockton CFD No 2003-1 San Joaquin CDIAC Number: 2016-2502 Limited tax obligation bond Multiple Capital Improvements, Public Works Camera Estates Refunding	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Stockton PFA Wells Fargo Bank NA	09-01-33 Comb	<u>TIC</u> 2.598 <u>NIC</u> 2.754 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,905,369	\$0	\$0	\$30,440	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.460	Y	\$202,639

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$940	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$2,740,000	Stockton CFD No 2006-1 San Joaquin CDIAC Number: 2016-2503 Limited tax obligation bond Multiple Capital Improvements, Public Works Riverbend Refunding	S:AA/A-      Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Stockton PFA Wells Fargo Bank NA	09-01-36 Comb	<u>TIC</u> 2.760 <u>NIC</u> 2.872 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,661,551	\$0	\$0	\$40,262	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$251,949

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$864	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$2,961,082	Stockton San Joaquin CDIAC Number: 2016-3326 Special assessment bond Multiple Capital Improvements, Public Works Morada Ranch AD No 2000-01 Refunding	S:AA/A-    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Stockton PFA Wells Fargo Bank NA	09-02-27 Comb	<u>TIC</u> 2.541 <u>NIC</u> 2.702 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,734,477	\$0	\$0	\$70,218	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.760	\$433,232

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,303	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$1,702,702	Stockton San Joaquin CDIAC Number: 2016-3327 Special assessment bond Multiple Capital Improvements, Public Works Morada North AD No 2002-01 Refunding	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Stockton PFA Wells Fargo Bank NA	09-02-27 Comb	<u>TIC</u> 2.541 <u>NIC</u> 2.702 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,328,783	\$0	\$0	\$40,192	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.460	\$248,595

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,145	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2016	\$2,092,323	Stockton San Joaquin CDIAC Number: 2016-3328 Special assessment bond Multiple Capital Improvements, Public Works Waterford Estates East AD No 2002-03 Refunding	S:AA/A-    Ins	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Quint & Thimmig Del Rio Advisors LLC Build America Stockton PFA Wells Fargo Bank NA	09-02-32 Comb	<u>TIC</u> 2.541 <u>NIC</u> 2.702 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,010,007	\$0	\$0	\$19,235	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.140	\$216,469

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$310	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00

## 2017 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-18-2005	\$3,928,051	Stockton San Joaquin CDIAC Number: 2005-1331 Special assessment bond Multiple Capital Improvements, Public Works Waterford Estates East AD No 2002-03 Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Stradling Yocca Del Rio Advisors LLC Stockton PFA Wells Fargo Bank NA	09-02-32 Serial	<u>TIC</u> 4.447 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
16/17	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.140	\$216,469.32

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$310.48	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Stockton Public Financing Authority	2016-1783	\$33,350,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
08-04-2005	\$73,555,000	Tracy Operating Partnership Joint Powers Authority San Joaquin CDIAC Number: 2005-1320 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 98-1 & 98-3 Sr Lien Series A & Jr Lien Series B	M:Aaa     Ins	Comp	(BC) Jones Hall (FA) John C Fitzgerald & Assoc (EN) Ambac (TR) The Bank of NY Trust Co (UW) UBS Financial Services	08-01-35 Comb	<u>TIC</u> <u>NIC</u> 4.306 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$44,995,000	\$1,287,375	\$0	\$106,504	\$1,189,500	\$1,296,004

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
8/1/2028	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$14,886
Trustee	\$5,300
Total:	\$20,186

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Tracy CFD No 98-1	\$65,945,000.00	10/22/2018	2005-1432	\$34,526	\$41,950,000.00		
LTOB	Tracy CFD No 98-3	\$3,660,000.00	10/22/2018	2005-1433	\$8,616	\$1,680,000.00		
<b>Total</b>		<b>\$69,605,000.00</b>				<b>\$43,630,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-04-2005	\$65,945,000	Tracy CFD No 98-1 San Joaquin CDIAC Number: 2005-1432 Limited tax obligation bond Water Supply, Storage, Distribution Plan C Properties Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Jones Hall John C Fitzgerald & Assoc Tracy Oper Ptrs JPA The Bank of NY Trust Co	08-01-28 Serial	<u>TIC</u> <u>NIC</u> 5.192 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$41,950,000	\$1,189,500	\$0	\$34,526	\$1,189,500

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
100.000	Y	0.270	\$5,371,420	\$14,567

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tracy Operating Partnership Joint Powers Authority	2005-1320	\$73,555,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale Private Placement</u>	<u>Role Participant</u>	<u>Maturity Date, Type Capital Appreciation Bond Interest</u>
08-04-2005	\$3,660,000	Tracy CFD No 98-3 San Joaquin CDIAC Number: 2005-1433 Limited tax obligation bond Water Supply, Storage, Distribution Plan C Development Agreements Refunding	NR	Neg	(BC) Jones Hall (FA) John C Fitzgerald & Assoc (PU) Tracy Oper Ptrs JPA (TR) The Bank of NY Trust Co	08-01-35 Serial TIC NIC 5.100 TYPE:

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,680,000	\$97,875	\$0	\$8,616	\$106,504

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.770	\$313,620

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,425	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tracy Operating Partnership Joint Powers Authority	2005-1320	\$73,555,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2005	\$14,965,000	Tracy Operating Partnership Joint Powers Authority San Joaquin CDIAC Number: 2005-1853 Revenue bond Multiple Capital Improvements, Public Works CFD No 2000-1	S:AAA     Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Jones Hall Jones Hall Ambac The Bank of NY Trust Co Stone & Youngberg	09-01-35 Comb	<u>TIC</u> <u>NIC</u> 4.686 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,220,000	\$1,087,071	\$0	\$0	\$1,087,071	\$1,087,071

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$5,186
Trustee	\$2,100
Total:	\$7,286

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Tracy CFD No 2000-1	\$14,585,000.00	10/23/2018	2005-2040	\$10,305	\$10,900,000.00		
<b>Total</b>		<b>\$14,585,000.00</b>				<b>\$10,900,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-14-2005	\$14,585,000	Tracy CFD No 2000-1 San Joaquin CDIAC Number: 2005-2040 Limited tax obligation bond Multiple Capital Improvements, Public Works Presidio Planning Area	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Tracy Oper Ptrs JPA The Bank of NY Trust Co	09-01-35 Serial	<u>TIC</u> 4.799 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,900,000	\$1,087,071	\$0	\$10,305	\$1,087,071

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.730	\$1,036,563

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,539	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tracy Operating Partnership Joint Powers Authority	2005-1853	\$14,965,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-09-2011	\$13,685,000	Tracy Operating Partnership Joint Powers Authority San Joaquin CDIAC Number: 2011-1420 Revenue bond Multiple Capital Improvements, Public Works CFD 99-2 & ReAD No 2011-1 Series A	NR	Neg	(BC) Jones Hall (DC) Jones Hall (TR) The Bank of NY Mellon (UW) Stone & Youngberg	09-02-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.736	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,500,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2027	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$9,457
Trustee	\$6,350
Total:	\$15,807

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Tracy CFD No 99-2	\$10,560,000.00	10/23/2018	2011-1418	\$11,841	\$7,930,000.00		
SAB	Tracy	\$2,220,000.00	10/23/2018	2011-1419	\$9,552	\$1,290,000.00		
<b>Total</b>		<b>\$12,780,000.00</b>				<b>\$9,220,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-09-2011	\$10,560,000	Tracy CFD No 99-2 San Joaquin CDIAC Number: 2011-1418 Limited tax obligation bond Multiple Capital Improvements, Public Works S MacArthur Series A Federally Taxable Refunding	NR	Comp	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Tracy Oper Ptrs JPA The Bank of NY Mellon	09-01-27 Serial	<u>TIC</u> 5.887 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$7,930,000	\$960,558	\$0	\$11,841	\$960,558	0	0.760	Y	\$1,009,450	\$7,682	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tracy Operating Partnership Joint Powers Authority	2011-1420	\$13,685,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-09-2011	\$2,220,000	Tracy San Joaquin CDIAC Number: 2011-1419 Special assessment bond Multiple Capital Improvements, Public Works ReAD No 2011-1 Morrison Homes:Heartland Three Federally Taxable Refunding	NR	Comp	(BC) (DC) (TR) (UW)	Jones Hall Jones Hall The Bank of NY Mellon Tracy Oper Ptrs JPA	09-02-25 Comb	<u>TIC</u> 5.798 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$1,290,000	\$192,485	\$0	\$9,552	\$192,485	0	1.140	Y	\$250,181	\$2,845	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tracy Operating Partnership Joint Powers Authority	2011-1420	\$13,685,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
05-20-2014	\$17,215,000	Tracy Public Financing Authority San Joaquin CDIAC Number: 2014-0724 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 89-1 & 99-1 Re AD No 2014-1 Series A	NR	Neg	(BC) Jones Hall (DC) Jones Hall (TR) The Bank of NY Mellon (UW) Stifel Nicolaus & Co Inc	09-02-24 Serial	<u>TIC</u> 2.435 <u>NIC</u> 2.592 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,720,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Audit	\$1,931
Consultant	\$16,247
Trustee	\$19,200
<b>Total:</b>	<b>\$37,378</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	Tracy CFD No 1989-1	\$5,730,000.00	10/23/2018	2014-0721	\$6,290.17	\$2,740,000.00		
SAB	Tracy	\$6,060,000.00	10/23/2018	2014-0722	\$33,743.31	\$3,155,000.00		
LTOB	Tracy CFD No 99-1	\$5,425,000.00	10/23/2018	2014-0723	\$5,932.53	\$3,825,000.00		
<b>Total</b>		<b>\$17,215,000.00</b>				<b>\$9,720,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2014	\$5,730,000	Tracy CFD No 1989-1 San Joaquin CDIAC Number: 2014-0721 Limited tax obligation bond Multiple Capital Improvements, Public Works Indus Specific Plan Northeast Area Series A Refunding	NR	Neg	(BC) Jones Hall (DC) Jones Hall (PU) Tracy PFA (TR) The Bank of NY Mellon	09-02-20 Serial	<u>TIC</u> 2.729 <u>NIC</u> 2.673 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,740,000	\$506,750	\$0	\$6,290	\$512,189

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$1,004,643

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tracy Public Financing Authority	2014-0724	\$17,215,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
05-20-2014	\$6,060,000	Tracy San Joaquin CDIAC Number: 2014-0722 Special assessment bond Multiple Capital Improvements, Public Works ReAD No 2014-1 Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Tracy PFA The Bank of NY Mellon	09-02-22 Serial	TIC 2.821 NIC 2.414 TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$3,155,000	\$495,500	\$0	\$33,743	\$478,051

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	Y	\$985,867

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$2,084	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Tracy Public Financing Authority	2014-0724	\$17,215,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-20-2014	\$5,425,000	Tracy CFD No 99-1 San Joaquin CDIAC Number: 2014-0723 Limited tax obligation bond Multiple Capital Improvements, Public Works Northeast Industrial Area Series A Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Jones Hall Jones Hall Tracy PFA The Bank of NY Mellon	09-02-24 Serial	<u>TIC</u> 2.729 <u>NIC</u> 2.673 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,825,000	\$326,113	\$0	\$5,933	\$334,440

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$651,457

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tracy Public Financing Authority	2014-0724	\$17,215,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-24-2017	\$7,685,000	Pismo Beach Public Financing Agency San Luis Obispo CDIAC Number: 2017-1054 Public lease revenue bond Ports, Marinas Pismo Beach Municipal Pier	S:AA+	Neg	(BC) (DC) (FA) (TR) (UW)	Weist Law Firm Weist Law Firm Isom Advis Urban Futures Wilmington Trust Stifel Nicolaus & Co Inc	12-01-47 Comb	<u>TIC</u> 3.397 <u>NIC</u> 3.421 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,415,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$2,000
Total:	\$2,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	Pismo Beach	\$7,685,000.00	10/12/2018	2017-1325	\$0.00	\$7,415,000.00		
<b>Total</b>		<b>\$7,685,000.00</b>				<b>\$7,415,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-24-2017	\$7,685,000	Pismo Beach San Luis Obispo CDIAC Number: 2017-1325 Capital Lease Ports, Marinas Pismo Beach Municipal Pier	S:AA+	Neg	(BC) (DC) (FA) (TR)	Weist Law Firm Weist Law Firm Isom Advis Urban Futures Wilmington Trust	12-01-47 Comb	<u>TIC</u> 3.397 <u>NIC</u> 3.421 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,415,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pismo Beach Public Financing Agency	2017-1054	\$7,685,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-28-2017	\$4,061,917	Pismo Beach Public Financing Agency San Luis Obispo CDIAC Number: 2017-3378 Public lease revenue bond Multiple Capital Improvements, Public Works NCREBs Solar & Energy Storage Series B Federally Taxable	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Stradling Yocca Isom Advis Urban Futures Brandis Tallman LLC River City Bank Wilmington Trust	12-01-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.370 4.370

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,061,917	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$86,630

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$2,000
Total:	\$2,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Pismo Beach	\$4,061,917.00	10/12/2018	2017-3379	\$0.00	\$4,061,917.00		
<b>Total</b>		<b>\$4,061,917.00</b>				<b>\$4,061,917.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-28-2017	\$4,061,917	Pismo Beach San Luis Obispo CDIAC Number: 2017-3379 Certificates of participation/leases Multiple Capital Improvements, Public Works NCREBs Solar & Energy Storage Series B Federally Taxable	NR	Neg PP	(BC) (FA) (PA) (TR)	Stradling Yocca Isom Advis Urban Futures Brandis Tallman LLC Wilmington Trust	12-01-37 Term	<u>TIC</u> 4.370 <u>NIC</u> 4.370 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,061,917	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	N	\$0	\$0

C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pismo Beach Public Financing Agency	2017-3378	\$4,061,917.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-12-2011	\$22,750,000	San Luis Obispo County Financing Authority San Luis Obispo CDIAC Number: 2010-1587 Public enterprise revenue bond Water Supply, Storage, Distribution Lopez Dam Imp Series A Refunding	S:AA+/A+ M:Aa3  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Fulbright & Jaworski The PFM Group Assured Guaranty Corp U.S. Bank NA Wedbush Securities Inc	08-01-30 Comb	<u>TIC</u> <u>NIC</u> 4.634 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,765,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$2,585
Total:	\$2,585

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	San Luis Obispo County Flood Control & Water Conservation District	\$10,760,000.00	10/24/2018	2010-1586	\$770	\$7,925,000.00		
COPL	San Luis Obispo County Flood Control & Water Conservation District	\$11,990,000.00	10/24/2018	2016-1210	\$1,815	\$8,840,000.00		
<b>Total</b>		<b>\$22,750,000.00</b>				<b>\$16,765,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-12-2011	\$10,760,000	San Luis Obispo County Flood Control & Water Conservation District San Luis Obispo CDIAC Number: 2010-1586 General obligation bond Water Supply, Storage, Distribution Zone 3 Series A Refunding	S:AA+ M:Aa3  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Fulbright & Jaworski The PFM Group Assured Guaranty Corp U.S. Bank NA San Luis Obispo Co FA	08-01-30 Comb	<u>TIC</u> <u>NIC</u> 4.634 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,925,000	\$0	\$0	\$770	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.640	Y	\$624,838

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,022	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Luis Obispo County Financing Authority	2010-1587	\$22,750,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
05-12-2011	\$11,990,000	San Luis Obispo County Flood Control & Water Conservation District San Luis Obispo CDIAC Number: 2016-1210 Certificates of participation/leases Water Supply, Storage, Distribution Lopez Dam Imp	S:AA+/A+ M:Aa3	Neg	(BC) (FA) (EN) (TR)	Fulbright & Jaworski The PFM Group Assured Guaranty Corp U.S. Bank NA	08-01-30 Comb	<u>TIC</u> <u>NIC</u> 4.634 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$8,840,000	\$0	\$0	\$1,815	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due
0	Y	0.640	\$624,838

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$4,022	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
San Luis Obispo County Financing Authority	2010-1587	\$22,750,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-05-2018	\$27,045,000	San Luis Obispo County Financing Authority San Luis Obispo CDIAC Number: 2018-0430 Public enterprise revenue bond Water Supply, Storage, Distribution Nacimiento Series A Refunding	S:AA/A+  F:A+  Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Norton Rose Fulbright The PFM Group Build America U.S. Bank NA Raymond James	09-01-40 Serial	<u>TIC</u> 3.657 <u>NIC</u> 3.671 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$27,045,000	\$0	\$0	\$1,730,432	\$81,489	\$1,811,921

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$542,491

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$75,000
Other agency type	\$107,630
<b>Total:</b>	<b>\$462,855</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	San Luis Obispo County Flood Control & Water Conservation District	\$27,045,000.00	10/30/2018	2018-0431	\$0.00	\$27,045,000.00		
<b>Total</b>		<b>\$27,045,000.00</b>				<b>\$27,045,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-05-2018	\$27,045,000	San Luis Obispo County Flood Control & Water Conservation District San Luis Obispo CDIAC Number: 2018-0431 Certificates of participation/leases Water Supply, Storage, Distribution Pledge Agmt w/San Luis Obispo Co FA (Nacimiento Series A)	NR     Ins	Neg	(BC) (FA) (EN) (TR)	Norton Rose Fulbright The PFM Group Build America U.S. Bank NA	09-01-40 Serial	<u>TIC</u> 3.657 <u>NIC</u> 3.671 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$27,045,000	\$0	\$0	\$0	\$1,811,921

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.960	\$1,969,270

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$18,863	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Luis Obispo County Financing Authority	2018-0430	\$27,045,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-04-2018	\$16,905,000	San Luis Obispo Public Financing Authority San Luis Obispo CDIAC Number: 2018-0234 Public lease revenue bond Multiple Capital Improvements, Public Works Refunding	S:AA	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington The PFM Group U.S. Bank NA Raymond James	06-01-39 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.002 3.173

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,905,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$262,068

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	San Luis Obispo	\$16,905,000.00	10/29/2018	2018-0235	\$0.00	\$16,905,000.00		
		<b>Total</b>				<b>\$16,905,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
04-04-2018	\$16,905,000	San Luis Obispo San Luis Obispo CDIAC Number: 2018-0235 Capital Lease Multiple Capital Improvements, Public Works	NR	Neg PP	(BC) (FA)	Orrick Herrington The PFM Group	06-01-39 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.002 3.173

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,905,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.910	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Luis Obispo Public Financing Authority	2018-0234	\$16,905,000.00

### MISCELLANEOUS

Email was sent to the Customer regarding the Delinquency section.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-11-2007	\$9,995,000	Jefferson Elementary School Facilities Financing Authority San Mateo CDIAC Number: 2007-0003 Revenue bond K-12 School Facility	M:Aaa/A1    Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Jones Hall Jones Hall MBIA Corp U.S. Bank NA Piper Jaffray & Co	09-01-22 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.940

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$9,229,269.00</b>				<b>\$0.00</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-11-2007	\$9,229,269	Jefferson Elementary School District San Mateo CDIAC Number: 2007-0043 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (TR) (UW)	Jones Hall Jones Hall San Mateo Co Jefferson ESFFA San Mateo	09-01-22 Serial	<u>TIC</u> <u>NIC</u> 4.025 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$6,388,231

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$38,281	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Jefferson Elementary School Facilities Financing Authority	2007-0003	\$9,995,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-13-2018	\$18,630,000	Millbrae Public Financing Authority San Mateo CDIAC Number: 2018-0135 Public enterprise revenue bond Wastewater Collection, Treatment Millbrae Sewer Modernization Program	S:AA-	Comp	(BC) Jones Hall (DC) Jones Hall (FA) The PFM Group (TR) The Bank of NY Mellon (UW) Raymond James	12-01-47 Comb	<u>TIC</u> 3.387 <u>NIC</u> 3.425 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$18,630,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$374,831

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Millbrae	\$18,630,000.00	01/07/2019	2018-0145	\$0.00	\$18,630,000.00		
<b>Total</b>		<b>\$18,630,000.00</b>				<b>\$18,630,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-13-2018	\$18,630,000	Millbrae San Mateo CDIAC Number: 2018-0145 Certificates of participation/leases Wastewater Collection, Treatment Millbrae Sewer Modernization Program	NR	Comp	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall The PFM Group The Bank of NY Mellon	12-01-47 Comb	<u>TIC</u> 3.387 <u>NIC</u> 3.425 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,630,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Millbrae Public Financing Authority	2018-0135	\$18,630,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2017	\$22,100,000	Pacifica Financing Authority San Mateo CDIAC Number: 2017-1313 Public enterprise revenue bond Wastewater Collection, Treatment Wet Weather Equalization Basin	S:AA-	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Jones Hall Backstrom McCarley U.S. Bank NA U.S. Bank NA	10-01-34 Comb	<u>TIC</u> 3.381 <u>NIC</u> 3.761 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,100,000	\$0	\$537,511	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
10/1/2042	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Pacifica	\$22,100,000.00	10/22/2018	2017-1997	\$0.00	\$22,100,000.00		
<b>Total</b>		<b>\$22,100,000.00</b>				<b>\$22,100,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-06-2017	\$22,100,000	Pacifica San Mateo CDIAC Number: 2017-1997 Certificates of participation/leases Wastewater Collection, Treatment Installment Sale Agreement w/Pacifica Financing Auth	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Jones Hall Jones Hall Backstrom McCarley Pacifica FA U.S. Bank NA	10-01-34 Comb	<u>TIC</u> 3.390 <u>NIC</u> 3.770 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,100,000	\$0	\$537,511	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Pacifica Financing Authority	2017-1313	\$22,100,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-09-2017	\$11,615,000	San Bruno Public Finance Authority San Mateo CDIAC Number: 2017-3024 Public enterprise revenue bond Water Supply, Storage, Distribution	S:AA+	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Jones Hall Fieldman Rolapp MUFG Union Bank Prager & Co	07-01-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.309 3.513

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,615,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$261,644

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$975
Total:	\$975

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	San Bruno	\$11,615,000.00	12/05/2018	2017-3565	\$0.00	\$11,615,000.00		
<b>Total</b>		<b>\$11,615,000.00</b>				<b>\$11,615,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-09-2017	\$11,615,000	San Bruno San Mateo CDIAC Number: 2017-3565 Certificates of participation/leases Water Supply, Storage, Distribution	NR	Neg	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall Fieldman Rolapp MUFG Union Bank	07-01-47 Comb	<u>TIC</u> 3.309 <u>NIC</u> 3.513 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,615,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Bruno Public Finance Authority	2017-3024	\$11,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-09-2017	\$24,470,000	San Bruno Public Finance Authority San Mateo CDIAC Number: 2017-3025 Public enterprise revenue bond Wastewater Collection, Treatment	S:AA+	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Fieldman Rolapp (TR) MUFG Union Bank (UW) Prager & Co	07-01-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.309 3.513	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,470,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$396,017

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$975
Total:	\$975

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	San Bruno	\$24,470,000.00	12/05/2018	2017-3566	\$0.00	\$24,470,000.00		
<b>Total</b>		<b>\$24,470,000.00</b>				<b>\$24,470,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
11-09-2017	\$24,470,000	San Bruno San Mateo CDIAC Number: 2017-3566 Certificates of participation/leases Wastewater Collection, Treatment	NR	Neg	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall Fieldman Rolapp MUFG Union Bank	07-01-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.309 3.513

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$24,470,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Bruno Public Finance Authority	2017-3025	\$24,470,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2018	\$6,050,000	San Mateo Joint Powers Financing Authority San Mateo CDIAC Number: 2018-0863 Public lease revenue bond Recreation and Sports Facilities Golf Course Series A	M:Aa1	Comp	(BC) (DC) (FA) (TR) (UW)	Jones Hall Jones Hall William Euphrat The Bank of NY Mellon Hilltop Securities Inc	08-01-29 Serial	<u>TIC</u> 0 <u>NIC</u> 2.315 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,050,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$188,022

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
8/1/2029	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$2,200
Total:	\$2,200

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	San Mateo	\$6,050,000.00	10/30/2018	2018-0864	\$0.00	\$0.00		
<b>Total</b>		<b>\$6,050,000.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2018	\$6,050,000	San Mateo San Mateo CDIAC Number: 2018-0864 Certificates of participation/leases Recreation and Sports Facilities Golf Course Series A	NR	Comp	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall William Euphrat The Bank of NY Mellon	08-01-29 Serial	<u>TIC</u> 0 <u>NIC</u> 2.315 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Mateo Joint Powers Financing Authority	2018-0863	\$6,050,000.00

### MISCELLANEOUS

Email has been sent to the Customer regarding the Principal Amount Outstanding.

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-13-2005	\$79,975,000	San Mateo-Foster City School Facilities Financing Authority San Mateo CDIAC Number: 2005-1732 Revenue bond Other, Multiple Educational Uses (Equipment, etc.)	S:AAA/AA-    Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington FSA U.S. Bank NA Piper Jaffray & Co	08-15-23 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.292

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,565,000	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	San Mateo-Foster City School District	\$76,752,425.00	10/26/2018	2005-1634	\$0.00	\$14,122,835.48		
<b>Total</b>		<b>\$76,752,425.00</b>				<b>\$14,122,835.48</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-13-2005	\$76,752,425	San Mateo-Foster City School District San Mateo CDIAC Number: 2005-1634 General obligation bond Other, Multiple Educational Uses (Equipment, etc.) Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington San Mateo Co San Mateo-Foster Cty SFFA	08-01-23 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.199

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,122,835	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.290	\$18,628,307

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$54,803	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
San Mateo-Foster City School Facilities Financing Authority	2005-1732	\$79,975,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
02-08-2018	\$140,955,000	Silicon Valley Clean Water San Mateo CDIAC Number: 2017-3711 Public enterprise revenue bond Wastewater Collection, Treatment Redwood City, San Carlos, & West Bay SD Wastewater Treatment Plant	S:AA M:Aa2	Comp	(BC) Jones Hall (DC) Jones Hall (FA) Feldman Rolapp & Ass (TR) ZB, NA (UW) Morgan Stanley	08-01-48 Comb	<u>TIC</u> 3.433 <u>NIC</u> 3.543 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$140,955,000	\$0	\$0	\$0	\$0	\$0

<u>Issuance Cost</u>
\$1,384,134

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>

Total:

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Redwood City	\$82,210,000.00	12/14/2018	2018-0020	\$6,288.76	\$82,210,000.00		
COPL	San Carlos	\$25,635,000.00	07/24/2018	2018-0042	\$1,961.00	\$25,635,000.00		
COPL	West Bay Sanitary District	\$33,110,000.00	01/07/2019	2018-0043	\$2,532.79	\$33,110,000.00		
<b>Total</b>		<b>\$140,955,000.00</b>				<b>\$140,955,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-08-2018	\$82,210,000	Redwood City San Mateo CDIAC Number: 2018-0020 Certificates of participation/leases Wastewater Collection, Treatment SVCW Regional Wastewater Treatment Plant	NR	Comp	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall Feldman Rolapp & Ass ZB, NA	08-01-48 Serial	<u>TIC</u> 3.422 <u>NIC</u> 3.543 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$82,210,000	\$0	\$0	\$6,289	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Silicon Valley Clean Water	2017-3711	\$140,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-08-2018	\$25,635,000	San Carlos San Mateo CDIAC Number: 2018-0042 Certificates of participation/leases Wastewater Collection, Treatment SVCW Regional WSTW Treatment Plant	NR	Neg	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall Fieldman Rolapp ZB, NA	08-01-48 Serial	<u>TIC</u> 3.422 <u>NIC</u> 3.543 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$25,635,000	\$0	\$0	\$1,961	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Silicon Valley Clean Water	2017-3711	\$140,955,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-08-2018	\$33,110,000	West Bay Sanitary District San Mateo CDIAC Number: 2018-0043 Certificates of participation/leases Wastewater Collection, Treatment SVCW Regional Wastewater Treatment Plant	NR	Neg	(BC) (DC) (FA) (TR)	Jones Hall Jones Hall Feldman Rolapp & Ass ZB, NA	08-01-48 Serial	<u>TIC</u> 3.433 <u>NIC</u> 3.533 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$33,110,000	\$0	\$0	\$2,533	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Silicon Valley Clean Water	2017-3711	\$140,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-17-1999	\$31,720,000	South San Francisco Capital Improvements Financing Authority San Mateo CDIAC Number: 1998-1918 Revenue bond Redevelopment, Multiple Purposes S San Francisco RDA Series A Refunding	S:A     Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall ACA US Bank Corp Trust A G Edwards	09-01-18 Comb	<u>TIC</u> <u>NIC</u> 4.946 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$285,000	\$276,872	\$0	\$325,654	\$0	\$325,654

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	South San Francisco Redevelopment Agency	\$3,675,000.00	01/14/2019	1999-0208	\$0.00	\$285,000.00		
<b>Total</b>		<b>\$3,675,000.00</b>				<b>\$285,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-17-1999	\$3,675,000	South San Francisco Redevelopment Agency San Mateo CDIAC Number: 1999-0208 Tax allocation bond Multifamily Housing Housing Set-Aside Series B	NR	Neg	(BC) (PU) (TR)	Jones Hall S San Francisco CIFA US Bank Corp Trust	09-01-18 Serial	<u>TIC</u> <u>NIC</u> 4.827 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$285,000	\$276,872	\$0	\$0	\$325,654

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South San Francisco Capital Improvements Financing Authority	1998-1918	\$31,720,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-10-2006	\$39,035,000	South San Francisco Unified School District School Facilities Financing Auth San Mateo CDIAC Number: 2006-0027 Revenue bond K-12 School Facility S San Francisco USD	M:Aaa/A1     Ins	Neg	(BC) (BC) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington MBIA Corp U.S. Bank NA Piper Jaffray & Co	09-15-23 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.081

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,980,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	South San Francisco Unified School District	\$36,825,170.00	09/14/2018	2006-0028	\$0.00	\$21,660,682.01		
<b>Total</b>		<b>\$36,825,170.00</b>				<b>\$21,660,682.01</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-10-2006	\$36,825,170	South San Francisco Unified School District San Mateo CDIAC Number: 2006-0028 General obligation bond K-12 School Facility Refunding	M:Aaa/A1	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington S San Francisco USD SFFA San Francisco Cty/Co Trea	09-01-23 Serial	<u>TIC</u> 5.015 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,660,682	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$7,714,869

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$22,798	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
South San Francisco Unified School District School Facilities Financing Authority	2006-0027	\$39,035,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-24-2007	\$10,195,000	Woodside Elementary School District School Facilities Financing Authority San Mateo CDIAC Number: 2007-0073 Revenue bond K-12 School Facility ESD GOB	S:AAA/A+     Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington FSA U.S. Bank NA Piper Jaffray & Co	10-15-30 Comb	<u>TIC</u> <u>NIC</u> 4.456 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Woodside Elementary School District	\$9,516,693.00	10/29/2018	2007-0348	\$0.00	\$0.00	10/1/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$9,516,693.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-24-2007	\$9,516,693	Woodside Elementary School District San Mateo CDIAC Number: 2007-0348 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington Woodside ESD School FFA San Mateo Co	10-01-30 Serial	<u>TIC</u> <u>NIC</u> 4.968 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Woodside Elementary School District School Facilities Financing Authority	2007-0073	\$10,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>
11-09-2004	\$9,955,000	Lompoc Public Financing Authority Santa Barbara CDIAC Number: 2004-1734 Revenue bond Recreation and Sports Facilities Aquatic Center	M:Aaa/Baa1    Ins	Neg	(BC) Jones Hall (FA) KNN (EN) XL Capital Assurance (TR) The Bank of NY Trust Co (UW) UBS Financial Services	09-02-34 Comb	<u>TIC</u> 4.589 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$1,920,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
County Government	\$9,825
Trustee	\$385
Total:	\$10,210

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
SAB	Lompoc	\$2,605,000.00	01/08/2019	2004-1927	\$10,210.00	\$1,920,000.00		
SAB	Lompoc Redevelopment Agency	\$7,350,000.00		2016-0943				
<b>Total</b>		<b>\$9,955,000.00</b>				<b>\$1,920,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-09-2004	\$2,605,000	Lompoc Santa Barbara CDIAC Number: 2004-1927 Special assessment bond Recreation and Sports Facilities AD No 2002-1	M:Aaa/Baa1	Neg	(BC) (DC) (FA) (TR) (UW)	Jones Hall Jones Hall KNN The Bank of NY Trust Co Lompoc PFA	09-02-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,920,000	\$0	\$0	\$10,210	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.100	\$50,594

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$50,594	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Lompoc Public Financing Authority	2004-1734	\$9,955,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-18-2007	\$23,650,000	Santa Barbara Schools Financing Authority Santa Barbara CDIAC Number: 2007-0983 Revenue bond K-12 School Facility HSD Series B	M:Aaa/Aa3    Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Jones Hall Dale Scott & Co Inc MBIA Corp U.S. Bank NA Piper Jaffray & Co	08-01-28 Serial	<u>TIC</u> <u>NIC</u> 4.439 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$22,149,730.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-18-2007	\$22,149,730	Santa Barbara Secondary/High School District Santa Barbara CDIAC Number: 2007-0982 General obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Dale Scott & Co Inc (PU) Santa Barbara Schools FA (TR) U.S. Bank NA	08-01-28 Serial	<u>TIC</u> <u>NIC</u> 5.481 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$0	\$0	\$0	\$0	\$0	0	0	N	\$0	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Barbara Schools Financing Authority	2007-0983	\$23,650,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-18-2007	\$13,265,000	Santa Barbara Schools Financing Authority Santa Barbara CDIAC Number: 2007-1162 Revenue bond K-12 School Facility ESD Series A	M:Aaa/Aa3    Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Jones Hall Dale Scott & Co Inc MBIA Corp U.S. Bank NA Piper Jaffray & Co	08-01-24 Serial	<u>TIC</u> <u>NIC</u> 4.505 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
<b>Total</b>		<b>\$12,697,109.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-18-2007	\$12,697,109	Santa Barbara Elementary School District Santa Barbara CDIAC Number: 2007-0981 General obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (FA) (TR) (UW)	Jones Hall Dale Scott & Co Inc U.S. Bank NA Santa Barbara Schools FA	08-01-24 Serial	<u>TIC</u> <u>NIC</u> 5.347 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$0	\$0	\$0	\$0	\$0	0	0	N	\$0	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Barbara Schools Financing Authority	2007-1162	\$13,265,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-27-2013	\$110,670,000	Gilroy School Facilities Financing Authority Santa Clara CDIAC Number: 2013-0091 Revenue bond K-12 School Facility Gilroy USD, Series A Refunding	S:A+	Neg	(BC)	Orrick Herrington	08-01-47	<u>TIC</u>	4.312
			M:Aa3		(DC)	Orrick Herrington	Comb	<u>NIC</u>	4.435
					(TR)	The Bank of NY Mellon		<u>TYPE:</u>	
					(UW)	George K Baum			

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$110,345,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired /</u>	<u>Retired Type</u>
							<u>Receipt / Repay Date</u>	
GOB	Gilroy Unified School District	\$40,670,000.00	08/16/2018	2013-0092	\$0.00	\$38,591,487.59		
GOB	Gilroy Unified School District	\$70,000,000.00	08/16/2018	2013-0093	\$0.00	\$48,992,171.68		
<b>Total</b>		<b>\$110,670,000.00</b>				<b>\$87,583,659.27</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-27-2013	\$40,670,000	Gilroy Unified School District Santa Clara CDIAC Number: 2013-0092 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington The Bank of NY Mellon George K Baum	08-01-47 Comb	<u>TIC</u> <u>NIC</u> 4.313 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$38,591,488	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Gilroy School Facilities Financing Authority	2013-0091	\$110,670,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-27-2013	\$70,000,000	Gilroy Unified School District Santa Clara CDIAC Number: 2013-0093 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington The Bank of NY Mellon George K Baum	08-01-32 Comb	<u>TIC</u> 4.313 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$48,992,172	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Gilroy School Facilities Financing Authority	2013-0091	\$110,670,000.00



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
11-15-2017	\$7,940,000	Santa Cruz County Capital Financing Authority Santa Cruz CDIAC Number: 2017-3041 Public lease revenue bond Power Generation/Transmission Direct Pay Subsidy NCREBs Series A Federally Taxable	S:AA+	Neg	(BC) Jones Hall (DC) Norton Rose Fulbright (FA) Harrell & Co Advisors (TR) The Bank of NY Mellon (UW) Stifel Nicolaus & Co Inc	06-01-35 Comb	TIC 3.396 NIC 3.394 TYPE:	

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$7,940,000	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

Issuance Cost
\$194,196

C. TEETER PLAN

Teeter Plan Participant
Y

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Trustee	\$2,500
Total:	\$2,500

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
COPL	Santa Cruz County	\$7,940,000.00	11/30/2018	2017-3568	\$0.00	\$7,940,000.00		
<b>Total</b>		<b>\$7,940,000.00</b>				<b>\$7,940,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-15-2017	\$7,940,000	Santa Cruz County Santa Cruz CDIAC Number: 2017-3568 Certificates of participation/leases Power Generation/Transmission NCREBs Series A Federally Taxable	NR	Neg	(BC) Jones Hall (DC) Norton Rose Fulbright (FA) Harrell & Co Advisors (TR) The Bank of NY Mellon	06-01-35 Comb	<u>TIC</u> 3.396 <u>NIC</u> 3.394 <u>TYPE:</u>	

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,940,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Cruz County Capital Financing Authority	2017-3041	\$7,940,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
08-18-1999	\$895,000	Santa Cruz County Public Financing Authority Santa Cruz CDIAC Number: 1999-1413 Revenue bond Water Supply, Storage, Distribution	NR	Neg	(BC) Robert M Haight (TR) BNY Mellon Capital (UW) Mark Pressman	09-02-19 Serial	<u>TIC</u> 5.194 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$70,000	\$44,750	\$0	\$45,152	\$0	\$45,152

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Bank	\$3,250
Disclosure	\$1,000
Fiscal Agent	\$1,730
<b>Total:</b>	<b>\$5,980</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Santa Cruz County	\$266,000.00		1999-1411			9/2/2007	Matured <b>FY 07/08</b>
SAB	Santa Cruz County	\$135,000.00		1999-1412			9/2/2008	Matured <b>FY 08/09</b>
SAB	Santa Cruz County	\$440,535.00	10/31/2018	1999-1414	\$0.00	\$70,000.00		
<b>Total</b>		<b>\$841,535.00</b>				<b>\$70,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-18-1999	\$440,535	Santa Cruz County Santa Cruz CDIAC Number: 1999-1414 Special assessment bond Water Supply, Storage, Distribution Sunset Beach AD	NR	Neg	(BC) (TR) (UW)	Robert M Haight BNY Mellon Capital Santa Cruz Co PFA	09-02-19 Serial	<u>TIC</u> 6.331 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$70,000	\$44,750	\$0	\$0	\$45,152

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$35,912

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Santa Cruz County Public Financing Authority	1999-1413	\$895,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2013	\$3,798,902	Dixon Public Financing Authority Solano CDIAC Number: 2013-1598 Revenue bond Multiple Capital Improvements, Public Works Reassessment Refunding	NR	Neg PP	(BC) (FA) (PA) (PU) (TR)	Orrick Herrington Del Rio Advisors LLC Piper Jaffray & Co Umpqua Bank The Bank of NY Mellon	09-02-20 Serial	<u>TIC</u> 3.459 <u>NIC</u> 3.460 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,694,368	\$379,890	\$0	\$383,948	\$0	\$383,948

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Dixon	\$6,847,053.00		1998-0016			9/2/2013	O FY 13/14
SAB	Dixon	\$20,997,433.00	10/29/2018	1998-0017	\$0.00	\$1,781,942.00		
		<b>Total</b>				<b>\$1,781,942.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-22-1998	\$20,997,433	Dixon Solano CDIAC Number: 1998-0017 Special assessment bond Multiple Capital Improvements, Public Works North First St AD Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Jones Hall KNN Dixon PFA Dixon	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 6.821 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,781,942	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0.040	Y	\$685,588

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$253	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Dixon Public Financing Authority	2013-1598	\$3,798,902.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-28-2018	\$9,615,000	Rio Vista Public Financing Authority Solano CDIAC Number: 2018-1067 Revenue bond Multiple Capital Improvements, Public Works CFDs 2018-1069 & 2018-1070	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Kronick Moskovitz Kronick Moskovitz Del Rio Advisors LLC Assured Guaranty Corp MUFU Union Bank Piper Jaffray & Co	09-01-36 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.322 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,615,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$500,655

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Rio Vista CFD No 2004-1	\$3,350,000.00	10/30/2018	2018-1069	\$0.00	\$3,350,000.00		
LTOB	Rio Vista CFD No 2006-1	\$6,265,000.00	10/30/2018	2018-1070	\$0.00	\$6,265,000.00		
<b>Total</b>		<b>\$9,615,000.00</b>				<b>\$9,615,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-28-2018	\$3,350,000	Rio Vista CFD No 2004-1 Solano CDIAC Number: 2018-1069 Limited tax obligation bond Multiple Capital Improvements, Public Works Northwest Facility Refunding	NR	Neg PP	(BC) (DC) (FA) (EN) (PU) (TR)	Kronick Moskovitz Kronick Moskovitz Del Rio Advisors LLC Assured Guaranty Corp Rio Vista PFA MUFG Union Bank	09-01-35 Serial	<u>TIC</u> 3.296 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,350,000	\$276,294	\$0	\$0	\$276,294

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.300	Y	\$1,140,937

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,426	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rio Vista Public Financing Authority	2018-1067	\$9,615,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-28-2018	\$6,265,000	Rio Vista CFD No 2006-1 Solano CDIAC Number: 2018-1070 Limited tax obligation bond Multiple Capital Improvements, Public Works Northwest Treatment Plant Phase I Refunding	NR	Neg PP	(BC) (DC) (FA) (EN) (PU) (TR)	Kronick Moskovitz Kronick Moskovitz Del Rio Advisors LLC Assured Guaranty Corp Rio Vista PFA MUFG Union Bank	09-01-36 Serial	<u>TIC</u> 3.335 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,265,000	\$491,969	\$0	\$0	\$491,969

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,041,281

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,533	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Rio Vista Public Financing Authority	2018-1067	\$9,615,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>		<u>Maturity Date, Type</u>		
			<u>Enhancement</u>		<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-30-2014	\$25,545,000	Healdsburg School Facilities Financing Authority Sonoma CDIAC Number: 2014-1472 Revenue bond K-12 School Facility	S:AA-	M:Aa3	Neg	(BC) Stradling Yocca (DC) Stradling Yocca (FA) Isom Advis Urban Futures (TR) The Bank of NY Mellon (UW) George K Baum	07-15-39 Comb	<u>TIC</u> 3.671 <u>NIC</u> 3.767 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,955,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$323,390

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Healdsburg Unified School District	\$6,630,000.00	10/16/2018	2014-1549	\$0.00	\$5,687,948.81		
GOB	Healdsburg Unified School District	\$12,000,000.00	10/16/2018	2014-1550	\$0.00	\$12,000,000.00		
<b>Total</b>		<b>\$25,545,000.00</b>				<b>\$21,229,911.98</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-30-2014	\$6,915,000	Healdsburg Unified School District Sonoma CDIAC Number: 2014-1548 General obligation bond K-12 School Facility Series A Refunding	S:AA- M:Aa3	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Isom Advis Urban Futures Healdsburg School Fac FA The Bank of NY Mellon	07-15-27 Serial	<u>TIC</u> 3.671 <u>NIC</u> 3.767 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,541,963	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
0	0.780	Y

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,034,576,211	\$8,042,524	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Healdsburg School Facilities Financing Authority	2014-1472	\$25,545,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-30-2014	\$6,630,000	Healdsburg Unified School District Sonoma CDIAC Number: 2014-1549 General obligation bond K-12 School Facility Series B Refunding	S:AA- M:Aa3	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Isom Advis Urban Futures Healdsburg School Fac FA The Bank of NY Mellon	07-01-26 Serial	<u>TIC</u> 3.671 <u>NIC</u> 3.767 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,687,949	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>
0	0.780	Y

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,034,576,211	\$8,042,524	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Healdsburg School Facilities Financing Authority	2014-1472	\$25,545,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-30-2014	\$12,000,000	Healdsburg Unified School District Sonoma CDIAC Number: 2014-1550 General obligation bond K-12 School Facility Series B	S:AA- M:Aa3	Neg	(BC) (DC) (FA) (PU) (TR)	Stradling Yocca Stradling Yocca Isom Advis Urban Futures Healdsburg School Fac FA The Bank of NY Mellon	07-15-39 Comb	<u>TIC</u> 3.671 <u>NIC</u> 3.767 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,000,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>
0	Y	0.780

#### C. TEETER PLAN

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,034,576,211	\$8,042,524	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Healdsburg School Facilities Financing Authority	2014-1472	\$25,545,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2009	\$342,122	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-0457 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable Refunding	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>	<u>Issuance Cost</u>
17/18	\$69,026	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2029	\$0	\$0

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$342,122.00	10/31/2018	2016-1281	\$0.00	\$69,025.94		
<b>Total</b>		<b>\$342,122.00</b>				<b>\$69,025.94</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2009	\$342,122	Sonoma County Sonoma CDIAC Number: 2016-1281 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	02-02-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$69,026	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-0457	\$342,122.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2009	\$427,655	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-0828 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co	09-02-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$88,031	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2029	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$427,655.00	10/30/2018	2016-1304	\$0.00	\$88,031.17		
		<b>Total</b>				<b>\$88,031.17</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2009	\$427,655	Sonoma County Sonoma CDIAC Number: 2016-1304 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$88,031	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-0828	\$427,655.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2009	\$139,813	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-0841 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co	09-02-19 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,734	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2019	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$139,813.00	10/30/2018	2016-1305	\$0.00	\$9,733.67		
<b>Total</b>						<b>\$139,813.00</b>	<b>\$9,733.67</b>	

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-01-2009	\$139,813	Sonoma County Sonoma CDIAC Number: 2016-1305 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-19 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,734	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-0841	\$139,813.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2009	\$159,981	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-0924 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-19 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,771	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2019	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$159,981.00	10/30/2018	2016-1307	\$0.00	\$9,770.86		
<b>Total</b>						<b>\$9,770.86</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2009	\$159,981	Sonoma County Sonoma CDIAC Number: 2016-1307 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-19 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,771	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-0924	\$159,981.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2009	\$957,473	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-0925 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$264,061	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2029	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$957,473.00	10/30/2018	2016-1308	\$0.00	\$264,060.98		
<b>Total</b>		<b>\$957,473.00</b>				<b>\$264,060.98</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2009	\$957,473	Sonoma County Sonoma CDIAC Number: 2016-1308 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$264,061	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-0925	\$957,473.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
09-01-2009	\$146,236	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1034 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-19 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$14,444	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2019	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$146,236.00	10/30/2018	2016-1310	\$0.00	\$14,444.09		
<b>Total</b>		<b>\$146,236.00</b>				<b>\$14,444.09</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2009	\$146,236	Sonoma County Sonoma CDIAC Number: 2016-1310 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-19 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,444	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-1034	\$146,236.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2009	\$3,856,497	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1035 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,192,912	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2029	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$3,856,497.00	10/30/2018	2016-1311	\$0.00	\$2,192,912.40		
<b>Total</b>		<b>\$3,856,497.00</b>				<b>\$2,192,912.40</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2009	\$3,856,497	Sonoma County Sonoma CDIAC Number: 2016-1311 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-09 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,192,912	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-1035	\$3,856,497.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2009	\$1,889,774	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1135 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-29 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$705,907	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2029	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,889,774.00	10/30/2018	2016-1312	\$0.00	\$705,907.25		
<b>Total</b>						<b>\$1,889,774.00</b>	<b>\$705,907.25</b>	

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2009	\$1,889,774	Sonoma County Sonoma CDIAC Number: 2016-1312 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$705,907	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-1135	\$1,889,774.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2009	\$1,034,499	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1136 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-19 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$167,304	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2019	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,034,499.00	10/30/2018	2016-1313	\$0.00	\$167,303.61		
<b>Total</b>		<b>\$1,034,499.00</b>				<b>\$167,303.61</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2009	\$1,034,499	Sonoma County Sonoma CDIAC Number: 2016-1313 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-19 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$167,304	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2009-1136	\$1,034,499.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-02-2009	\$1,665,180	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1287 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$338,148	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,665,180.00	10/30/2018	2016-1314	\$0.00	\$338,147.88		
<b>Total</b>		<b>\$1,665,180.00</b>				<b>\$338,147.88</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-02-2009	\$1,665,180	Sonoma County Sonoma CDIAC Number: 2016-1314 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$338,148	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-1287	\$1,665,180.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-02-2009	\$164,954	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1289 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$18,279	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2020	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$164,954.00	10/30/2018	2016-1316	\$0.00	\$18,279.10		
		<b>Total</b>				<b>\$18,279.10</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-02-2009	\$164,954	Sonoma County Sonoma CDIAC Number: 2016-1316 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,279	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-1289	\$164,954.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2009	\$178,432	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1396 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$24,049	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$178,432.00	10/30/2018	2016-1317	\$0.00	\$24,049.14		
<b>Total</b>		<b>\$178,432.00</b>				<b>\$24,049.14</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2009	\$178,432	Sonoma County Sonoma CDIAC Number: 2016-1317 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-09 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$24,049	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2009-1396	\$178,432.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2009	\$2,528,032	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1397 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,261,838	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2030	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$2,528,032.00	10/30/2018	2016-1318	\$0.00	\$1,261,838.46		
<b>Total</b>		<b>\$2,528,032.00</b>				<b>\$1,261,838.46</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2009	\$2,528,032	Sonoma County Sonoma CDIAC Number: 2016-1318 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,261,838	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-1397	\$2,528,032.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-04-2010	\$303,788	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1544 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$19,355	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$303,788.00	10/30/2018	2016-1320	\$0.00	\$19,354.59		
<b>Total</b>		<b>\$303,788.00</b>				<b>\$19,354.59</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-04-2010	\$303,788	Sonoma County Sonoma CDIAC Number: 2016-1320 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,355	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2009-1544	\$303,788.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-04-2010	\$3,099,465	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2009-1546 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,008,504	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$3,099,465.00	10/30/2018	2016-1322	\$0.00	\$1,008,504.20		
<b>Total</b>		<b>\$3,099,465.00</b>				<b>\$1,008,504.20</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-04-2010	\$3,099,465	Sonoma County Sonoma CDIAC Number: 2016-1322 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$1,008,504	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2009-1546	\$3,099,465.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2010	\$2,220,683	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0088 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$917,900	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$2,220,683.00	10/30/2018	2016-1359	\$0.00	\$917,899.61		
<b>Total</b>		<b>\$2,220,683.00</b>				<b>\$917,899.61</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2010	\$2,220,683	Sonoma County Sonoma CDIAC Number: 2016-1359 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$917,900	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0088	\$2,220,683.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2010	\$154,750	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0089 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,067	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$154,750.00	10/30/2018	2016-1360	\$0.00	\$16,066.75		
		<b>Total</b>				<b>\$16,066.75</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2010	\$154,750	Sonoma County Sonoma CDIAC Number: 2016-1360 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,067	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0089	\$154,750.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2010	\$2,022,604	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0169 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$699,731	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$2,022,604.00	10/30/2018	2016-1363	\$0.00	\$699,731.35		
<b>Total</b>		<b>\$2,022,604.00</b>				<b>\$699,731.35</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2010	\$2,022,604	Sonoma County Sonoma CDIAC Number: 2016-1363 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$699,731	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0169	\$2,022,604.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2010	\$195,689	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0170 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$50,034	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2020	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$195,689.00	10/30/2018	2016-1364	\$0.00	\$50,033.52		
		<b>Total</b>				<b>\$50,033.52</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2010	\$195,689	Sonoma County Sonoma CDIAC Number: 2016-1364 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$50,034	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2010-0170	\$195,689.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2010	\$123,251	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0345 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,775	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2020	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$123,251.00	10/30/2018	2016-1361	\$0.00	\$10,775.11		
		<b>Total</b>				<b>\$10,775.11</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2010	\$123,251	Sonoma County Sonoma CDIAC Number: 2016-1361 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,775	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0345	\$123,251.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2010	\$2,300,901	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0346 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$580,179	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$2,300,901.00	10/30/2018	2016-1367	\$0.00	\$580,179.02		
<b>Total</b>		<b>\$2,300,901.00</b>				<b>\$580,179.02</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2010	\$2,300,901	Sonoma County Sonoma CDIAC Number: 2016-1367 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$580,179	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0346	\$2,300,901.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2010	\$1,955,599	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0439 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$612,300	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,955,599.00	10/30/2018	2016-1362	\$0.00	\$612,299.87		
<b>Total</b>		<b>\$1,955,599.00</b>				<b>\$612,299.87</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2010	\$1,955,599	Sonoma County Sonoma CDIAC Number: 2016-1362 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$612,300	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0439	\$1,955,599.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2010	\$62,438	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0440 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$14,500	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$62,438.00	10/30/2018	2016-1469	\$0.00	\$14,499.60		
<b>Total</b>		<b>\$62,438.00</b>				<b>\$14,499.60</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-03-2010	\$62,438	Sonoma County Sonoma CDIAC Number: 2016-1469 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,500	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0440	\$62,438.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2010	\$280,811	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0654 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$63,957	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$280,811.00	10/30/2018	2016-1368	\$0.00	\$63,957.44		
<b>Total</b>		<b>\$280,811.00</b>				<b>\$63,957.44</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2010	\$280,811	Sonoma County Sonoma CDIAC Number: 2016-1368 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$63,957	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0654	\$280,811.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2010	\$2,136,930	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0655 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$851,766	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$2,136,930.00	10/30/2018	2016-1369	\$0.00	\$851,766.29		
<b>Total</b>		<b>\$2,136,930.00</b>				<b>\$851,766.29</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2010	\$2,136,930	Sonoma County Sonoma CDIAC Number: 2016-1369 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$851,766	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0655	\$2,136,930.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2010	\$223,877	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0935 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$56,722	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$223,877.00	10/30/2018	2016-1370	\$0.00	\$56,721.52		
<b>Total</b>		<b>\$223,877.00</b>				<b>\$56,721.52</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2010	\$223,877	Sonoma County Sonoma CDIAC Number: 2016-1370 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$56,722	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-0935	\$223,877.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2010	\$1,839,000	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-0936 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$592,244	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,839,000.00	10/30/2018	2016-1371	\$0.00	\$592,243.70		
<b>Total</b>		<b>\$1,839,000.00</b>				<b>\$592,243.70</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2010	\$1,839,000	Sonoma County Sonoma CDIAC Number: 2016-1371 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$592,244	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2010-0936	\$1,839,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2010	\$246,321	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1073 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$25,485	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$246,321.00	10/30/2018	2016-1373	\$0.00	\$25,485.28		
<b>Total</b>		<b>\$246,321.00</b>				<b>\$25,485.28</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2010	\$246,321	Sonoma County Sonoma CDIAC Number: 2016-1373 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$25,485	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1073	\$246,321.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2010	\$2,141,200	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1074 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (TR) (UW)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$358,858	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$2,141,200.00	10/30/2018	2016-1374	\$0.00	\$358,857.90		
<b>Total</b>		<b>\$2,141,200.00</b>				<b>\$358,857.90</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-02-2010	\$2,141,200	Sonoma County Sonoma CDIAC Number: 2016-1374 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$358,858	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1074	\$2,141,200.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2010	\$324,930	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1173 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (TR) (UW)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,410	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2020	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$324,930.00	10/30/2018	2016-1375	\$0.00	\$8,409.69		
<b>Total</b>		<b>\$324,930.00</b>				<b>\$8,409.69</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2010	\$324,930	Sonoma County Sonoma CDIAC Number: 2016-1375 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,410	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1173	\$324,930.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2010	\$1,639,853	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1175 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (TR) (UW)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$633,688	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2030	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,639,853.00	10/30/2018	2016-1376	\$0.00	\$633,687.95		
<b>Total</b>		<b>\$1,639,853.00</b>				<b>\$633,687.95</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2010	\$1,639,853	Sonoma County Sonoma CDIAC Number: 2016-1376 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$633,688	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1175	\$1,639,853.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2010	\$119,995	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1291 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (TR) (UW)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-20 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,022	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2021	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$119,995.00	10/30/2018	2016-1377	\$0.00	\$7,021.68		
<b>Total</b>		<b>\$119,995.00</b>				<b>\$7,021.68</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2010	\$119,995	Sonoma County Sonoma CDIAC Number: 2016-1377 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$7,022	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Rate(%)	Taxes Due
0	N	0	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2010-1291	\$119,995.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2010	\$1,327,264	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1292 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (TR) (UW)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$356,731	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,327,264.00	10/30/2018	2016-1378	\$0.00	\$356,731.04		
<b>Total</b>		<b>\$1,327,264.00</b>				<b>\$356,731.04</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2010	\$1,327,264	Sonoma County Sonoma CDIAC Number: 2016-1378 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-30 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$356,731	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1292	\$1,327,264.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2010	\$1,428,167	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1475 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (TR) (UW)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$490,672	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2031	\$0	\$0

Type of Service                      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,428,167.00	10/30/2018	2016-1380	\$0.00	\$490,671.78		
<b>Total</b>						<b>\$1,428,167.00</b>	<b>\$490,671.78</b>	



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2010	\$1,428,167	Sonoma County Sonoma CDIAC Number: 2016-1380 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$490,672	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1475	\$1,428,167.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2010	\$348,720	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1603 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$81,648	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2021	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$348,720.00	10/30/2018	2016-1381	\$0.00	\$81,647.63		
<b>Total</b>		<b>\$348,720.00</b>				<b>\$81,647.63</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2010	\$348,720	Sonoma County Sonoma CDIAC Number: 2016-1381 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$81,648	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1603	\$348,720.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2010	\$1,582,286	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1604 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$468,576	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,582,286.00	10/30/2018	2016-1382	\$0.00	\$468,575.94		
<b>Total</b>		<b>\$1,582,286.00</b>				<b>\$468,575.94</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2010	\$1,582,286	Sonoma County Sonoma CDIAC Number: 2016-1382 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$468,576	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1604	\$1,582,286.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-03-2011	\$1,278,369	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1744 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$349,572	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,278,369.00	10/30/2018	2016-1383	\$0.00	\$349,572.32		
<b>Total</b>						<b>\$1,278,369.00</b>	<b>\$349,572.32</b>	

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-03-2011	\$1,278,369	Sonoma County Sonoma CDIAC Number: 2016-1383 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$349,572	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1744	\$1,278,369.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-03-2011	\$45,797	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2010-1745 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,920	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2021	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$45,797.00	10/30/2018	2016-1384	\$0.00	\$4,920.35		
<b>Total</b>		<b>\$45,797.00</b>				<b>\$4,920.35</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-03-2011	\$45,797	Sonoma County Sonoma CDIAC Number: 2016-1384 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,920	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2010-1745	\$45,797.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2011	\$118,476	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0054 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,877	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2021	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$118,476.00	10/30/2018	2016-1471	\$0.00	\$17,876.60		
<b>Total</b>		<b>\$118,476.00</b>				<b>\$17,876.60</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2011	\$118,476	Sonoma County Sonoma CDIAC Number: 2016-1471 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,877	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0054	\$118,476.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2011	\$1,280,022	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0055 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$473,796	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,280,022.00	10/30/2018	2016-1472	\$0.00	\$473,795.82		
<b>Total</b>		<b>\$1,280,022.00</b>				<b>\$473,795.82</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2011	\$1,280,022	Sonoma County Sonoma CDIAC Number: 2016-1472 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$473,796	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0055	\$1,280,022.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2011	\$1,109,898	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0153 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$297,304	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,109,898.00	10/30/2018	2016-1473	\$0.00	\$297,304.26		
<b>Total</b>						<b>\$1,109,898.00</b>	<b>\$297,304.26</b>	

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2011	\$1,109,898	Sonoma County Sonoma CDIAC Number: 2016-1473 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$297,304	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0153	\$1,109,898.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2011	\$130,261	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0154 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$27,269	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2021	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$130,261.00	10/30/2018	2016-1474	\$0.00	\$27,268.94		
<b>Total</b>		<b>\$130,261.00</b>				<b>\$27,268.94</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2011	\$130,261	Sonoma County Sonoma CDIAC Number: 2016-1474 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$27,269	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0154	\$130,261.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2011	\$1,370,210	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0348 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$392,159	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,370,210.00	10/30/2018	2016-1475	\$0.00	\$392,158.74		
<b>Total</b>		<b>\$1,370,210.00</b>				<b>\$392,158.74</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2011	\$1,370,210	Sonoma County Sonoma CDIAC Number: 2016-1475 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$392,159	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0348	\$1,370,210.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2011	\$286,402	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0349 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$117,414	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2021	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$286,402.00	10/30/2018	2016-1476	\$0.00	\$117,414.44		
<b>Total</b>		<b>\$286,402.00</b>				<b>\$117,414.44</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2011	\$286,402	Sonoma County Sonoma CDIAC Number: 2016-1476 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$117,414	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0349	\$286,402.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-02-2011	\$784,004	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0465 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$276,739	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$784,004.00	10/30/2018	2016-1477	\$0.00	\$276,739.37		
<b>Total</b>		<b>\$784,004.00</b>				<b>\$276,739.37</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-02-2011	\$784,004	Sonoma County Sonoma CDIAC Number: 2016-1477 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$276,739	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0465	\$784,004.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-02-2011	\$134,479	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0466 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,091	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2021	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$134,479.00	10/30/2018	2016-1478	\$0.00	\$12,090.76		
<b>Total</b>		<b>\$134,479.00</b>				<b>\$12,090.76</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-02-2011	\$134,479	Sonoma County Sonoma CDIAC Number: 2016-1478 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,091	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0466	\$134,479.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2011	\$731,280	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0640 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$332,848	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$731,280.00	10/30/2018	2016-1479	\$0.00	\$332,847.94		
<b>Total</b>		<b>\$731,280.00</b>				<b>\$332,847.94</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2011	\$731,280	Sonoma County Sonoma CDIAC Number: 2016-1479 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$332,848	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0640	\$731,280.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2011	\$203,142	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0641 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,884	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2021	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$203,142.00	10/30/2018	2016-1480	\$0.00	\$22,883.76		
<b>Total</b>		<b>\$203,142.00</b>				<b>\$22,883.76</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2011	\$203,142	Sonoma County Sonoma CDIAC Number: 2016-1480 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,884	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0641	\$203,142.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2011	\$1,367,654	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0898 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$476,251	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2031	\$0	\$0

Type of Service                      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,367,654.00	10/30/2018	2016-1481	\$0.00	\$476,251.35		
<b>Total</b>		<b>\$1,367,654.00</b>				<b>\$476,251.35</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2011	\$1,367,654	Sonoma County Sonoma CDIAC Number: 2016-1481 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$476,251	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0898	\$1,367,654.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2011	\$88,131	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-0899 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,949	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2021	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$88,131.00	10/30/2018	2016-1482	\$0.00	\$11,948.56		
<b>Total</b>		<b>\$88,131.00</b>				<b>\$11,948.56</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2011	\$88,131	Sonoma County Sonoma CDIAC Number: 2016-1482 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$11,949	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-0899	\$88,131.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2011	\$936,736	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1029 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$289,515	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$936,736.00	10/30/2018	2016-1483	\$0.00	\$289,514.56		
		<b>Total</b>				<b>\$289,514.56</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2011	\$936,736	Sonoma County Sonoma CDIAC Number: 2016-1483 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$289,515	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-1029	\$936,736.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2011	\$275,226	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1030 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$38,333	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2021	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$275,226.00	10/30/2018	2016-1484	\$0.00	\$38,333.36		
<b>Total</b>		<b>\$275,226.00</b>				<b>\$38,333.36</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2011	\$275,226	Sonoma County Sonoma CDIAC Number: 2016-1484 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$38,333	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-1030	\$275,226.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2011	\$208,200	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1153 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$44,315	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2021	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$208,200.00	10/30/2018	2016-1485	\$0.00	\$44,314.58		
<b>Total</b>		<b>\$208,200.00</b>				<b>\$44,314.58</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2011	\$208,200	Sonoma County Sonoma CDIAC Number: 2016-1485 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-21 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$44,315	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-1153	\$208,200.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2011	\$1,257,073	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1154 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$470,475	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2031	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,257,073.00	10/30/2018	2016-1486	\$0.00	\$470,475.13		
<b>Total</b>		<b>\$1,257,073.00</b>				<b>\$470,475.13</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-01-2011	\$1,257,073	Sonoma County Sonoma CDIAC Number: 2016-1486 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-31 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$470,475	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-1154	\$1,257,073.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2011	\$310,937	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1259 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$166,811	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$310,937.00	10/31/2018	2016-1488	\$0.00	\$166,810.50		
<b>Total</b>		<b>\$310,937.00</b>				<b>\$166,810.50</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2011	\$310,937	Sonoma County Sonoma CDIAC Number: 2016-1488 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$166,811	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2011-1259	\$310,937.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2011	\$109,067	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1261 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,616	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2022	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$109,067.00	10/30/2018	2016-1489	\$0.00	\$2,616.38		
<b>Total</b>						<b>\$109,067.00</b>	<b>\$2,616.38</b>	

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2011	\$109,067	Sonoma County Sonoma CDIAC Number: 2016-1489 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,616	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-1261	\$109,067.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2011	\$511,268	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1262 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$160,783	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2032	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$511,268.00	10/30/2018	2016-1490	\$0.00	\$160,782.63		
		<b>Total</b>				<b>\$160,782.63</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2011	\$511,268	Sonoma County Sonoma CDIAC Number: 2016-1490 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$160,783	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-1262	\$511,268.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2011	\$124,852	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1263 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-22 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$29,360	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2022	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$124,852.00	10/30/2018	2016-1491	\$0.00	\$29,359.67		
<b>Total</b>		<b>\$124,852.00</b>				<b>\$29,359.67</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2011	\$124,852	Sonoma County Sonoma CDIAC Number: 2016-1491 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$29,360	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-1263	\$124,852.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2011	\$270,655	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1359 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$13,742	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$270,655.00	10/30/2018	2016-1493	\$0.00	\$13,742.34		
<b>Total</b>		<b>\$270,655.00</b>				<b>\$13,742.34</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2011	\$270,655	Sonoma County Sonoma CDIAC Number: 2016-1493 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$13,742	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2011-1359	\$270,655.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-03-2012	\$26,298	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1499 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-22 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,794	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2022	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$26,298.00	10/30/2018	2016-1494	\$0.00	\$2,794.12		
<b>Total</b>		<b>\$26,298.00</b>				<b>\$2,794.12</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-03-2012	\$26,298	Sonoma County Sonoma CDIAC Number: 2016-1494 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,794	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-1499	\$26,298.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-03-2012	\$163,594	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2011-1501 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$41,866	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$163,594.00	10/30/2018	2016-1495	\$0.00	\$41,866.27		
<b>Total</b>		<b>\$163,594.00</b>				<b>\$41,866.27</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-03-2012	\$163,594	Sonoma County Sonoma CDIAC Number: 2016-1495 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$41,866	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2011-1501	\$163,594.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2012	\$85,911	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0022 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,543	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2022	\$0	\$0

Type of Service                      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$85,911.00	10/30/2018	2016-1496	\$0.00	\$5,543.21		
<b>Total</b>		<b>\$85,911.00</b>				<b>\$5,543.21</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2012	\$85,911	Sonoma County Sonoma CDIAC Number: 2016-1496 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,543	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-0022	\$85,911.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2012	\$1,089,673	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0023 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$652,995	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$1,089,673.00	10/30/2018	2016-1497	\$0.00	\$652,995.44		
<b>Total</b>		<b>\$1,089,673.00</b>				<b>\$652,995.44</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2012	\$1,089,673	Sonoma County Sonoma CDIAC Number: 2016-1497 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$652,995	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-0023	\$1,089,673.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2012	\$12,377	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0092 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,625	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2022	\$0	\$0

Type of Service                      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$12,377.00	10/30/2018	2016-1498	\$0.00	\$6,625.16		
<b>Total</b>		<b>\$12,377.00</b>				<b>\$6,625.16</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2012	\$12,377	Sonoma County Sonoma CDIAC Number: 2016-1498 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,625	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-0092	\$12,377.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2012	\$122,081	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0093 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$54,602	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$122,081.00	10/30/2018	2016-1499	\$0.00	\$54,601.79		
<b>Total</b>		<b>\$122,081.00</b>				<b>\$54,601.79</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2012	\$122,081	Sonoma County Sonoma CDIAC Number: 2016-1499 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$54,602	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-0093	\$122,081.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-02-2012	\$31,108	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0361 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,011	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2022	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$31,108.00	10/30/2018	2016-1500	\$0.00	\$7,010.76		
<b>Total</b>		<b>\$31,108.00</b>				<b>\$7,010.76</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-02-2012	\$31,108	Sonoma County Sonoma CDIAC Number: 2016-1500 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,011	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-0361	\$31,108.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-02-2012	\$537,914	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0362 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$197,648	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$537,914.00	10/30/2018	2016-1501	\$0.00	\$197,648.32		
		<b>Total</b>				<b>\$197,648.32</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-02-2012	\$537,914	Sonoma County Sonoma CDIAC Number: 2016-1501 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$197,648	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-0362	\$537,914.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2012	\$159,553	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0521 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,849	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$159,553.00	10/30/2018	2016-1502	\$0.00	\$8,849.21		
<b>Total</b>						<b>\$159,553.00</b>	<b>\$8,849.21</b>	

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2012	\$159,553	Sonoma County Sonoma CDIAC Number: 2016-1502 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$8,849	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2012-0521	\$159,553.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2012	\$250,885	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0615 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$54,909	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$250,885.00	10/30/2018	2016-1504	\$0.00	\$54,909.26		
<b>Total</b>		<b>\$250,885.00</b>				<b>\$54,909.26</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2012	\$250,885	Sonoma County Sonoma CDIAC Number: 2016-1504 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$54,909	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-0615	\$250,885.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2012	\$7,896	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0861 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,226	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2022	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$7,896.00	10/30/2018	2016-1505	\$0.00	\$4,226.35		
<b>Total</b>		<b>\$7,896.00</b>				<b>\$4,226.35</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2012	\$7,896	Sonoma County Sonoma CDIAC Number: 2016-1505 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,226	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-0861	\$7,896.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2012	\$110,898	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-0862 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,842	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2032	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$110,898.00	10/30/2018	2016-1506	\$0.00	\$15,841.53		
		<b>Total</b>				<b>\$15,841.53</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2012	\$110,898	Sonoma County Sonoma CDIAC Number: 2016-1506 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,842	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-0862	\$110,898.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2012	\$65,025	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1094 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,798	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2022	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$65,025.00	10/30/2018	2016-1507	\$0.00	\$8,798.20		
<b>Total</b>		<b>\$65,025.00</b>				<b>\$8,798.20</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2012	\$65,025	Sonoma County Sonoma CDIAC Number: 2016-1507 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-22 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,798	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1094	\$65,025.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2012	\$485,569	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1095 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$372,324	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2032	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$485,569.00	10/30/2018	2016-1508	\$0.00	\$372,323.82		
		<b>Total</b>				<b>\$372,323.82</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2012	\$485,569	Sonoma County Sonoma CDIAC Number: 2016-1508 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$372,324	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1095	\$485,569.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-04-2012	\$437,446	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1418 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$93,150	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2032	\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$437,446.00	10/30/2018	2016-1510	\$0.00	\$93,150.29		
<b>Total</b>		<b>\$437,446.00</b>				<b>\$93,150.29</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-04-2012	\$437,446	Sonoma County Sonoma CDIAC Number: 2016-1510 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-32 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$93,150	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1418	\$437,446.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2012	\$91,921	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1531 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-23-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$41,301	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2023	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$91,921.00	10/30/2018	2016-1511	\$0.00	\$41,301.07		
<b>Total</b>		<b>\$91,921.00</b>				<b>\$41,301.07</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2012	\$91,921	Sonoma County Sonoma CDIAC Number: 2016-1511 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series J-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-23-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$41,301	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1531	\$91,921.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2012	\$289,534	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1532 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$101,617	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2033	\$0	\$0

Type of Service                      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$289,534.00	10/30/2018	2016-1512	\$0.00	\$101,617.40		
		<b>Total</b>				<b>\$101,617.40</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2012	\$289,534	Sonoma County Sonoma CDIAC Number: 2016-1512 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$101,617	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1532	\$289,534.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-03-2012	\$9,375	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1662 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,606	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2023	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$9,375.00	10/30/2018	2016-1513	\$0.00	\$2,605.94		
<b>Total</b>		<b>\$9,375.00</b>				<b>\$2,605.94</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2012	\$9,375	Sonoma County Sonoma CDIAC Number: 2016-1513 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,606	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1662	\$9,375.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2012	\$741,188	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1663 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$286,384	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$741,188.00	10/30/2018	2016-1514	\$0.00	\$286,383.70		
<b>Total</b>		<b>\$741,188.00</b>				<b>\$286,383.70</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2012	\$741,188	Sonoma County Sonoma CDIAC Number: 2016-1514 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$286,384	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1663	\$741,188.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-03-2012	\$491,250	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1810 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$221,392	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$491,250.00	10/30/2018	2016-1529	\$0.00	\$221,392.38		
		<b>Total</b>				<b>\$221,392.38</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-03-2012	\$491,250	Sonoma County Sonoma CDIAC Number: 2016-1529 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$221,392	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1810	\$491,250.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-02-2013	\$10,430	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1923 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,606	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2023	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$10,430.00	10/30/2018	2016-1515	\$0.00	\$6,605.66		
<b>Total</b>		<b>\$10,430.00</b>				<b>\$6,605.66</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-02-2013	\$10,430	Sonoma County Sonoma CDIAC Number: 2016-1515 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,606	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1923	\$10,430.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-02-2013	\$420,511	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-1924 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$138,070	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$420,511.00	10/30/2018	2016-1528	\$0.00	\$138,070.18		
<b>Total</b>		<b>\$420,511.00</b>				<b>\$138,070.18</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-02-2013	\$420,511	Sonoma County Sonoma CDIAC Number: 2016-1528 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$138,070	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-1924	\$420,511.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-01-2013	\$514,619	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2012-2129 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$171,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$514,619.00	10/30/2018	2016-1517	\$0.00	\$171,000.22		
<b>Total</b>		<b>\$514,619.00</b>				<b>\$171,000.22</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2013	\$514,619	Sonoma County Sonoma CDIAC Number: 2016-1517 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$171,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2012-2129	\$514,619.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-01-2013	\$61,282	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-0337 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,735	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2023	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$61,282.00	10/30/2018	2016-1565	\$0.00	\$15,734.92		
<b>Total</b>		<b>\$61,282.00</b>				<b>\$15,734.92</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2013	\$61,282	Sonoma County Sonoma CDIAC Number: 2016-1565 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,735	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-0337	\$61,282.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2013	\$616,559	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-0338 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$288,571	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$616,559.00	10/30/2018	2016-1566	\$0.00	\$288,571.14		
<b>Total</b>		<b>\$616,559.00</b>				<b>\$288,571.14</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2013	\$616,559	Sonoma County Sonoma CDIAC Number: 2016-1566 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$288,571	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-0338	\$616,559.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-01-2013	\$594,928	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-0597 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$282,813	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$594,928.00	10/30/2018	2016-1568	\$0.00	\$282,812.60		
<b>Total</b>		<b>\$594,928.00</b>				<b>\$282,812.60</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2013	\$594,928	Sonoma County Sonoma CDIAC Number: 2016-1568 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$282,813	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-0597	\$594,928.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-01-2013	\$20,670	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-0679 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$6,609	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2023	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$20,670.00	10/30/2018	2016-1569	\$0.00	\$6,609.04		
<b>Total</b>		<b>\$20,670.00</b>				<b>\$6,609.04</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2013	\$20,670	Sonoma County Sonoma CDIAC Number: 2016-1569 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,609	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-0679	\$20,670.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-01-2013	\$767,061	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-0680 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$246,548	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$767,061.00	10/30/2018	2016-1570	\$0.00	\$246,547.63		
<b>Total</b>		<b>\$767,061.00</b>				<b>\$246,547.63</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2013	\$767,061	Sonoma County Sonoma CDIAC Number: 2016-1570 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$246,548	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-0680	\$767,061.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-03-2013	\$464,571	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-0909 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$238,989	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$464,571.00	10/30/2018	2016-1572	\$0.00	\$238,988.96		
<b>Total</b>		<b>\$464,571.00</b>				<b>\$238,988.96</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-03-2013	\$464,571	Sonoma County Sonoma CDIAC Number: 2016-1572 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$238,989	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-0909	\$464,571.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-28-2013	\$480,561	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-1248 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$208,382	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$480,561.00	10/30/2018	2016-1573	\$0.00	\$208,382.39		
<b>Total</b>		<b>\$480,561.00</b>				<b>\$208,382.39</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-28-2013	\$480,561	Sonoma County Sonoma CDIAC Number: 2016-1573 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$208,382	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-1248	\$480,561.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-01-2013	\$40,276	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-1457 Special assessment bond Power Generation/Transmission Energy Independence Series H-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$25,509	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2023	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$40,276.00	10/30/2018	2016-1574	\$0.00	\$25,509.31		
<b>Total</b>		<b>\$40,276.00</b>				<b>\$25,509.31</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2013	\$40,276	Sonoma County Sonoma CDIAC Number: 2016-1574 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series H-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$25,509	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-1457	\$40,276.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-01-2013	\$589,515	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-1465 Special assessment bond Power Generation/Transmission Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$75,069	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$589,515.00	10/30/2018	2016-1575	\$0.00	\$75,069.07		
		<b>Total</b>				<b>\$75,069.07</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2013	\$589,515	Sonoma County Sonoma CDIAC Number: 2016-1575 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series H-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$75,069	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-1465	\$589,515.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-03-2013	\$35,495	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-1614 Special assessment bond Power Generation/Transmission Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,481	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2023	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$35,495.00	10/30/2018	2016-1576	\$0.00	\$22,481.26		
<b>Total</b>		<b>\$35,495.00</b>				<b>\$22,481.26</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-03-2013	\$35,495	Sonoma County Sonoma CDIAC Number: 2016-1576 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series I-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,481	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-1614	\$35,495.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-03-2013	\$204,858	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-1615 Special assessment bond Power Generation/Transmission Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$129,633	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2033	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$204,858.00	10/30/2018	2016-1577	\$0.00	\$129,632.51		
<b>Total</b>		<b>\$204,858.00</b>				<b>\$129,632.51</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-03-2013	\$204,858	Sonoma County Sonoma CDIAC Number: 2016-1577 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series I-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$129,633	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-1615	\$204,858.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2013	\$149,776	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-1742 Special assessment bond Power Generation/Transmission Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$81,616	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$149,776.00	10/30/2018	2016-1578	\$0.00	\$81,615.98		
<b>Total</b>		<b>\$149,776.00</b>				<b>\$81,615.98</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2013	\$149,776	Sonoma County Sonoma CDIAC Number: 2016-1578 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series J-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$81,616	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-1742	\$149,776.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2013	\$260,691	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-1743 Special assessment bond Power Generation/Transmission Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$176,361	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2034	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$260,691.00	10/30/2018	2016-1579	\$0.00	\$176,360.92		
<b>Total</b>		<b>\$260,691.00</b>				<b>\$176,360.92</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2013	\$260,691	Sonoma County Sonoma CDIAC Number: 2016-1579 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series J-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$176,361	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-1743	\$260,691.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2013	\$292,157	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-1894 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$76,976	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$292,157.00	10/30/2018	2016-1581	\$0.00	\$76,976.22		
<b>Total</b>		<b>\$292,157.00</b>				<b>\$76,976.22</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2013	\$292,157	Sonoma County Sonoma CDIAC Number: 2016-1581 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$76,976	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-1894	\$292,157.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-02-2013	\$115,572	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-2001 Special assessment bond Power Generation/Transmission Energy Independence Series L-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$19,016	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$115,572.00	10/30/2018	2016-1582	\$0.00	\$19,016.25		
<b>Total</b>		<b>\$115,572.00</b>				<b>\$19,016.25</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-02-2013	\$115,572	Sonoma County Sonoma CDIAC Number: 2016-1582 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series L-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,016	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-2001	\$115,572.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-02-2013	\$246,695	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-2002 Special assessment bond Power Generation/Transmission Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$59,484	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2034	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$246,695.00	10/30/2018	2016-1583	\$0.00	\$59,484.12		
<b>Total</b>		<b>\$246,695.00</b>				<b>\$59,484.12</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-02-2013	\$246,695	Sonoma County Sonoma CDIAC Number: 2016-1583 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series L-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$59,484	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-2002	\$246,695.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-02-2014	\$73,379	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-2156 Special assessment bond Power Generation/Transmission Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Sonoma County	\$73,379.00	10/31/2018	2016-1584	\$0.00	\$0.00	6/30/2018	O FY 17/18
		<b>Total</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-02-2014	\$73,379	Sonoma County Sonoma CDIAC Number: 2016-1584 Special assessment bond Power Generation/Transmission Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-2156	\$73,379.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-02-2014	\$263,081	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-2157 Special assessment bond Power Generation/Transmission Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$138,646	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$263,081.00	10/30/2018	2016-1585	\$0.00	\$138,645.71		
		<b>Total</b>				<b>\$138,645.71</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-02-2014	\$263,081	Sonoma County Sonoma CDIAC Number: 2016-1585 Marks-Roos Authority Loan Power Generation/Transmission Energy Independence Series A-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$138,646	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-2157	\$263,081.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-03-2014	\$39,287	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-2302 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$13,264	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$39,287.00	10/30/2018	2016-1586	\$0.00	\$13,264.09		
<b>Total</b>		<b>\$39,287.00</b>				<b>\$13,264.09</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-03-2014	\$39,287	Sonoma County Sonoma CDIAC Number: 2016-1586 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	12-26-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,264	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-2302	\$39,287.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-03-2014	\$417,218	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2013-2303 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$145,174	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2034	\$0	\$0

Type of Service                      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$417,218.00	10/30/2018	2016-1587	\$0.00	\$145,173.80		
<b>Total</b>		<b>\$417,218.00</b>				<b>\$145,173.80</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-03-2014	\$417,218	Sonoma County Sonoma CDIAC Number: 2016-1587 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$145,174	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2013-2303	\$417,218.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-03-2014	\$50,024	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0104 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$36,263	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2024	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$50,024.00	10/30/2018	2016-1408	\$0.00	\$36,263.24		
<b>Total</b>		<b>\$50,024.00</b>				<b>\$36,263.24</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-03-2014	\$50,024	Sonoma County Sonoma CDIAC Number: 2016-1408 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$36,263	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0104	\$50,024.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-03-2014	\$195,527	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0108 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$62,305	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2034	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$195,527.00	10/30/2018	2016-1409	\$0.00	\$62,304.50		
<b>Total</b>		<b>\$195,527.00</b>				<b>\$62,304.50</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-03-2014	\$195,527	Sonoma County Sonoma CDIAC Number: 2016-1409 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-23-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$62,305	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0108	\$195,527.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2014	\$259,080	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0314 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$110,750	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2034	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$259,080.00	10/30/2018	2016-1410	\$0.00	\$110,750.44		
<b>Total</b>		<b>\$259,080.00</b>				<b>\$110,750.44</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2014	\$259,080	Sonoma County Sonoma CDIAC Number: 2016-1410 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$110,750	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0314	\$259,080.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2014	\$17,302	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0315 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,080	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$17,302.00	10/30/2018	2016-1411	\$0.00	\$5,080.12		
<b>Total</b>		<b>\$17,302.00</b>				<b>\$5,080.12</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2014	\$17,302	Sonoma County Sonoma CDIAC Number: 2016-1411 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,080	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0315	\$17,302.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2014	\$10,235	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0445 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$10,235.00	10/31/2018	2016-1412	\$0.00	\$0.00	6/30/2018	O
<b>Total</b>		<b>\$10,235.00</b>				<b>\$0.00</b>		<b>FY 17/18</b>

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2014	\$10,235	Sonoma County Sonoma CDIAC Number: 2016-1412 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0445	\$10,235.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2014	\$138,623	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0447 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,418	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$138,623.00	10/30/2018	2016-1413	\$0.00	\$12,418.01		
<b>Total</b>		<b>\$138,623.00</b>				<b>\$12,418.01</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2014	\$138,623	Sonoma County Sonoma CDIAC Number: 2016-1413 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,418	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0447	\$138,623.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2014	\$3,658	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0641 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Home FA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,652	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$3,658.00	10/30/2018	2016-1414	\$0.00	\$2,651.69		
<b>Total</b>		<b>\$3,658.00</b>				<b>\$2,651.69</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2014	\$3,658	Sonoma County Sonoma CDIAC Number: 2016-1414 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,652	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0641	\$3,658.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2014	\$160,989	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0642 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Home FA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$74,135	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$160,989.00	10/30/2018	2016-1415	\$0.00	\$74,135.40		
<b>Total</b>		<b>\$160,989.00</b>				<b>\$74,135.40</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-02-2014	\$160,989	Sonoma County Sonoma CDIAC Number: 2016-1415 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$74,135	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0642	\$160,989.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2014	\$63,936	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0817 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$63,936.00	10/31/2018	2016-1416	\$0.00	\$0.00	6/30/2018	O FY 17/18
<b>Total</b>		<b>\$63,936.00</b>				<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2014	\$63,936	Sonoma County Sonoma CDIAC Number: 2016-1416 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0817	\$63,936.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2014	\$386,943	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-0818 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$188,468	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2034	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$386,943.00	10/30/2018	2016-1417	\$0.00	\$188,467.55		
		<b>Total</b>				<b>\$188,467.55</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2014	\$386,943	Sonoma County Sonoma CDIAC Number: 2016-1417 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$188,468	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-0818	\$386,943.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-01-2014	\$141,421	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1037 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$33,731	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$141,421.00	10/30/2018	2016-1418	\$0.00	\$33,731.32		
<b>Total</b>		<b>\$141,421.00</b>				<b>\$33,731.32</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2014	\$141,421	Sonoma County Sonoma CDIAC Number: 2016-1418 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$33,731	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1037	\$141,421.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-01-2014	\$305,258	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1038 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$110,226	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$305,258.00	10/30/2018	2016-1419	\$0.00	\$110,226.18		
<b>Total</b>		<b>\$305,258.00</b>				<b>\$110,226.18</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2014	\$305,258	Sonoma County Sonoma CDIAC Number: 2016-1419 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$110,226	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1038	\$305,258.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-02-2014	\$37,220	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1186 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-23 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,432	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$37,220.00	10/30/2018	2016-1420	\$0.00	\$4,432.27		
<b>Total</b>		<b>\$37,220.00</b>				<b>\$4,432.27</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-02-2014	\$37,220	Sonoma County Sonoma CDIAC Number: 2016-1420 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-23 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,432	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1186	\$37,220.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-02-2014	\$287,865	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1187 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-33 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$141,575	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2034	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$287,865.00	10/30/2018	2016-1421	\$0.00	\$141,575.17		
<b>Total</b>		<b>\$287,865.00</b>				<b>\$141,575.17</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-02-2014	\$287,865	Sonoma County Sonoma CDIAC Number: 2016-1421 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-33 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$141,575	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1187	\$287,865.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-01-2014	\$5,316	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1365 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$4,308	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
N

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$5,316.00	10/30/2018	2016-1422	\$0.00	\$4,308.38		
<b>Total</b>		<b>\$5,316.00</b>				<b>\$4,308.38</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$5,316	Sonoma County Sonoma CDIAC Number: 2016-1422 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,308	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1365	\$5,316.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-01-2014	\$238,579	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1366 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$134,035	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$238,579.00	10/30/2018	2016-1423	\$0.00	\$134,034.72		
<b>Total</b>		<b>\$238,579.00</b>				<b>\$134,034.72</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2014	\$238,579	Sonoma County Sonoma CDIAC Number: 2016-1423 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$134,035	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1366	\$238,579.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-03-2014	\$55,217	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1553 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Home FA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$29,875	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$55,217.00	10/30/2018	2016-1424	\$0.00	\$29,874.82		
<b>Total</b>		<b>\$55,217.00</b>				<b>\$29,874.82</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-03-2014	\$55,217	Sonoma County Sonoma CDIAC Number: 2016-1424 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$29,875	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1553	\$55,217.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-03-2014	\$262,469	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1555 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Home FA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$130,530	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$262,469.00	10/30/2018	2016-1425	\$0.00	\$130,530.29		
<b>Total</b>		<b>\$262,469.00</b>				<b>\$130,530.29</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-03-2014	\$262,469	Sonoma County Sonoma CDIAC Number: 2016-1425 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$130,530	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1555	\$262,469.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-01-2014	\$109,523	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1825 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-25 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$22,050	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$109,523.00	10/30/2018	2016-1426	\$0.00	\$22,050.41		
		<b>Total</b>				<b>\$22,050.41</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2014	\$109,523	Sonoma County Sonoma CDIAC Number: 2016-1426 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,050	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1825	\$109,523.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
12-01-2014	\$155,479	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1826 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-35 Term	TIC NIC TYPE:	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$75,213	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$155,479.00	10/30/2018	2016-1427	\$0.00	\$75,212.60		
<b>Total</b>		<b>\$155,479.00</b>				<b>\$75,212.60</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2014	\$155,479	Sonoma County Sonoma CDIAC Number: 2016-1427 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$75,213	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1826	\$155,479.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-05-2015	\$53,405	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1978 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$25,204	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$53,405.00	10/30/2018	2016-1428	\$0.00	\$25,203.97		
<b>Total</b>		<b>\$53,405.00</b>				<b>\$25,203.97</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-05-2015	\$53,405	Sonoma County Sonoma CDIAC Number: 2016-1428 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$25,204	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1978	\$53,405.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-05-2015	\$248,028	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-1979 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-35 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$152,289	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$248,028.00	10/30/2018	2016-1429	\$0.00	\$152,289.10		
<b>Total</b>		<b>\$248,028.00</b>				<b>\$152,289.10</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-05-2015	\$248,028	Sonoma County Sonoma CDIAC Number: 2016-1429 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$152,289	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-1979	\$248,028.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-02-2015	\$212,592	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2014-2128 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$83,899	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$212,592.00	10/30/2018	2016-1431	\$0.00	\$83,898.85		
<b>Total</b>		<b>\$212,592.00</b>				<b>\$83,898.85</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-02-2015	\$212,592	Sonoma County Sonoma CDIAC Number: 2016-1431 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$83,899	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2014-2128	\$212,592.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-02-2015	\$44,534	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-0200 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,271	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$44,534.00	10/30/2018	2016-1432	\$0.00	\$3,271.09		
<b>Total</b>		<b>\$44,534.00</b>				<b>\$3,271.09</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-02-2015	\$44,534	Sonoma County Sonoma CDIAC Number: 2016-1432 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,271	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-0200	\$44,534.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-02-2015	\$337,027	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-0202 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$169,099	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$337,027.00	10/30/2018	2016-1433	\$0.00	\$169,099.11		
<b>Total</b>		<b>\$337,027.00</b>				<b>\$169,099.11</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-02-2015	\$337,027	Sonoma County Sonoma CDIAC Number: 2016-1433 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$169,099	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-0202	\$337,027.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-01-2015	\$239,017	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-0402 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$123,615	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$239,017.00	10/30/2018	2016-1435	\$0.00	\$123,614.75		
<b>Total</b>		<b>\$239,017.00</b>				<b>\$123,614.75</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2015	\$239,017	Sonoma County Sonoma CDIAC Number: 2016-1435 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$123,615	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-0402	\$239,017.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-01-2015	\$226,765	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-0589 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-35 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$63,253	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$226,765.00	10/30/2018	2016-1437	\$0.00	\$63,253.07		
<b>Total</b>		<b>\$226,765.00</b>				<b>\$63,253.07</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2015	\$226,765	Sonoma County Sonoma CDIAC Number: 2016-1437 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$63,253	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-0589	\$226,765.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-01-2015	\$23,352	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-0897 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Home FA	09-02-25 Term	TIC NIC TYPE:	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$9,271	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$23,352.00	10/30/2018	2016-1438	\$0.00	\$9,270.65		
<b>Total</b>		<b>\$23,352.00</b>				<b>\$9,270.65</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2015	\$23,352	Sonoma County Sonoma CDIAC Number: 2016-1438 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$9,271	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2015-0897	\$23,352.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-01-2015	\$147,838	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-0900 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$85,639	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$147,838.00	10/30/2018	2016-1439	\$0.00	\$85,639.47		
<b>Total</b>		<b>\$147,838.00</b>				<b>\$85,639.47</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2015	\$147,838	Sonoma County Sonoma CDIAC Number: 2016-1439 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$85,639	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-0900	\$147,838.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-30-2015	\$77,555	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-1169 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$40,397	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
Y	9/2/2035	\$0	\$0

Type of Service

Service  
Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$77,555.00	10/30/2018	2016-1720	\$0.00	\$40,397.17		
<b>Total</b>		<b>\$77,555.00</b>				<b>\$40,397.17</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2015	\$77,555	Sonoma County Sonoma CDIAC Number: 2016-1720 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$40,397	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-1169	\$77,555.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-03-2015	\$26,768	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-1474 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-25 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$18,189	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$26,768.00	10/30/2018	2016-1723	\$0.00	\$18,188.80		
<b>Total</b>		<b>\$26,768.00</b>				<b>\$18,188.80</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2015	\$26,768	Sonoma County Sonoma CDIAC Number: 2016-1723 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-25 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,189	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-1474	\$26,768.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-03-2015	\$136,042	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-1475 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$71,069	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$136,042.00	10/30/2018	2016-1721	\$0.00	\$71,068.58		
<b>Total</b>		<b>\$136,042.00</b>				<b>\$71,068.58</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-03-2015	\$136,042	Sonoma County Sonoma CDIAC Number: 2016-1721 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-35 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$71,069	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-1475	\$136,042.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-02-2015	\$18,445	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-1775 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$14,947	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2025	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$18,445.00	10/30/2018	2016-2604	\$0.00	\$14,947.42		
<b>Total</b>		<b>\$18,445.00</b>				<b>\$14,947.42</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-02-2015	\$18,445	Sonoma County Sonoma CDIAC Number: 2016-2604 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-25 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,947	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-1775	\$18,445.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
09-02-2015	\$295,503	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-1776 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-35 Term	TIC NIC TYPE:	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$155,155	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2035	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$295,503.00	11/01/2018	2018-2608	\$0.00	\$155,155.16		
<b>Total</b>		<b>\$295,503.00</b>				<b>\$155,155.16</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-02-2015	\$295,503	Sonoma County Sonoma CDIAC Number: 2018-2608 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-35 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$155,155	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-1776	\$295,503.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2015	\$50,937	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-1989 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Home FA	09-02-26 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$41,179	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2026	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$50,937.00	10/30/2018	2016-1726	\$0.00	\$41,179.12		
<b>Total</b>		<b>\$50,937.00</b>				<b>\$41,179.12</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2015	\$50,937	Sonoma County Sonoma CDIAC Number: 2016-1726 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co Home FA	09-02-26 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$41,179	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-1989	\$50,937.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
10-01-2015	\$178,548	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-1990 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$153,672	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$178,548.00	10/30/2018	2016-1725	\$0.00	\$153,671.88		
<b>Total</b>		<b>\$178,548.00</b>				<b>\$153,671.88</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-01-2015	\$178,548	Sonoma County Sonoma CDIAC Number: 2016-1725 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$153,672	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-1990	\$178,548.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-02-2015	\$85,910	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-2263 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-26 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$59,529	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2026	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$85,910.00	10/30/2018	2016-2605	\$0.00	\$59,528.55		
<b>Total</b>		<b>\$85,910.00</b>				<b>\$59,528.55</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-02-2015	\$85,910	Sonoma County Sonoma CDIAC Number: 2016-2605 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-26 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$59,529	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-2263	\$85,910.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-02-2015	\$282,583	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-2264 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$104,598	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$282,583.00	10/30/2018	2016-1724	\$0.00	\$104,597.56		
<b>Total</b>		<b>\$282,583.00</b>				<b>\$104,597.56</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-02-2015	\$282,583	Sonoma County Sonoma CDIAC Number: 2016-1724 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$104,598	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-2264	\$282,583.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
12-01-2015	\$65,455	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-2474 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-26 Term	TIC NIC TYPE:	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$37,539	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2026	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$65,455.00	10/30/2018	2016-2637	\$0.00	\$37,538.91		
<b>Total</b>		<b>\$65,455.00</b>				<b>\$37,538.91</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2015	\$65,455	Sonoma County Sonoma CDIAC Number: 2016-2637 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-26 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$37,539	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-2474	\$65,455.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-01-2015	\$247,195	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-2475 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$60,285	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$247,195.00	10/30/2018	2016-2638	\$0.00	\$60,284.55		
<b>Total</b>		<b>\$247,195.00</b>				<b>\$60,284.55</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2015	\$247,195	Sonoma County Sonoma CDIAC Number: 2016-2638 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$60,285	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-2475	\$247,195.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-04-2016	\$286,839	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-2754 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$242,861	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$286,839.00	10/30/2018	2016-0175	\$0.00	\$242,860.86		
<b>Total</b>		<b>\$286,839.00</b>				<b>\$242,860.86</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-04-2016	\$286,839	Sonoma County Sonoma CDIAC Number: 2016-0175 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$242,861	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-2754	\$286,839.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-01-2016	\$35,353	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-2923 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-26 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$31,470	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2026	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$35,353.00	10/30/2018	2016-0416	\$0.00	\$31,470.10		
<b>Total</b>		<b>\$35,353.00</b>				<b>\$31,470.10</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2016	\$35,353	Sonoma County Sonoma CDIAC Number: 2016-0416 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-26 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$31,470	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-2923	\$35,353.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-01-2016	\$311,514	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2015-2924 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$210,497	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$311,514.00	10/30/2018	2016-0417	\$0.00	\$210,497.08		
<b>Total</b>		<b>\$311,514.00</b>				<b>\$210,497.08</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2016	\$311,514	Sonoma County Sonoma CDIAC Number: 2016-0417 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg	(BC) (LE) (TR)	Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$210,497	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2015-2924	\$311,514.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-01-2016	\$155,177	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-0090 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Richards Watson Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$34,368	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$155,177.00	10/30/2018	2016-0637	\$0.00	\$34,368.23		
<b>Total</b>		<b>\$155,177.00</b>				<b>\$34,368.23</b>		



2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2016	\$155,177	Sonoma County Sonoma CDIAC Number: 2016-0637 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Richards Watson Sonoma Co PFA Sonoma Co PFA	09-02-36 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$34,368	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-0090	\$155,177.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-01-2016	\$20,652	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-0290 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Home FA	09-02-26 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$18,384	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2026	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$20,652.00	10/30/2018	2016-1624	\$0.00	\$18,383.66		
<b>Total</b>		<b>\$20,652.00</b>				<b>\$18,383.66</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2016	\$20,652	Sonoma County Sonoma CDIAC Number: 2016-1624 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-26 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,384	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-0290	\$20,652.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-01-2016	\$181,798	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-0291 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Home FA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$110,774	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$181,798.00	10/30/2018	2016-1625	\$0.00	\$110,774.16		
<b>Total</b>		<b>\$181,798.00</b>				<b>\$110,774.16</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-01-2016	\$181,798	Sonoma County Sonoma CDIAC Number: 2016-1625 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$110,774	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-0291	\$181,798.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-02-2016	\$262,322	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-0512 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$168,550	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$262,322.00	10/30/2018	2016-1605	\$0.00	\$168,549.61		
<b>Total</b>		<b>\$262,322.00</b>				<b>\$168,549.61</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-02-2016	\$262,322	Sonoma County Sonoma CDIAC Number: 2016-1605 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$168,550	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-0512	\$262,322.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-01-2016	\$188,451	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-1138 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$131,374	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$188,451.00	10/30/2018	2016-1598	\$0.00	\$131,373.80		
<b>Total</b>		<b>\$188,451.00</b>				<b>\$131,373.80</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2016	\$188,451	Sonoma County Sonoma CDIAC Number: 2016-1598 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$131,374	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-1138	\$188,451.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-30-2016	\$259,325	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-1853 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-26 Term	TIC NIC TYPE:	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$230,841	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2026	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$259,325.00	10/30/2018	2016-1869	\$0.00	\$230,840.95		
<b>Total</b>		<b>\$259,325.00</b>				<b>\$230,840.95</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-30-2016	\$259,325	Sonoma County Sonoma CDIAC Number: 2016-1869 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-26 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$230,841	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-1853	\$259,325.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-30-2016	\$132,004	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-1894 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$127,154	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$132,004.00	10/30/2018	2016-1852	\$0.00	\$127,154.35		
		<b>Total</b>				<b>\$127,154.35</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2016	\$132,004	Sonoma County Sonoma CDIAC Number: 2016-1852 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg	(BC) (FA) (LE) (TR)	Richards Watson Sonoma Co Sonoma Co PFA Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$127,154	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-1894	\$132,004.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-01-2016	\$86,415	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-1957 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$35,754	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$86,415.00	10/30/2018	2016-1960	\$0.00	\$35,753.74		
<b>Total</b>		<b>\$86,415.00</b>				<b>\$35,753.74</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2016	\$86,415	Sonoma County Sonoma CDIAC Number: 2016-1960 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$35,754	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-1957	\$86,415.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2016	\$2,817	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-1959 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Pub Fin Corp	09-02-22 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,507	\$0	\$0	\$0	\$0	\$0

Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2026	\$0	\$0

Type of Service      Service  
Amount

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$2,817.00	10/30/2018	2016-1958	\$0.00	\$2,507.47		
<b>Total</b>						<b>\$2,817.00</b>	<b>\$2,507.47</b>	



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-01-2016	\$2,817	Sonoma County Sonoma CDIAC Number: 2016-1958 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-10 Federally Taxable	NR	Neg	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-26 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,507	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-1959	\$2,817.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-02-2016	\$65,298	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-2245 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-26 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$58,125	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2026	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$65,298.00	10/30/2018	2016-2248	\$0.00	\$58,125.35		
<b>Total</b>		<b>\$65,298.00</b>				<b>\$58,125.35</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-02-2016	\$65,298	Sonoma County Sonoma CDIAC Number: 2016-2248 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-26 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$58,125	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-2245	\$65,298.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-02-2016	\$217,667	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-2250 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$151,353	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2036	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$217,667.00	10/30/2018	2016-2251	\$0.00	\$151,353.48		
<b>Total</b>		<b>\$217,667.00</b>				<b>\$151,353.48</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-02-2016	\$217,667	Sonoma County Sonoma CDIAC Number: 2016-2251 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-36 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$151,353	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-2250	\$217,667.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-03-2016	\$140,163	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-2785 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$95,227	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$140,163.00	10/30/2018	2016-2786	\$0.00	\$95,227.40		
<b>Total</b>		<b>\$140,163.00</b>				<b>\$95,227.40</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2016	\$140,163	Sonoma County Sonoma CDIAC Number: 2016-2786 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$95,227	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-2785	\$140,163.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2016	\$85,599	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-2923 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Home FA	09-02-27 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$82,572	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2027	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$85,599.00	10/30/2018	2016-2950	\$0.00	\$82,572.04		
<b>Total</b>		<b>\$85,599.00</b>				<b>\$82,572.04</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2016	\$85,599	Sonoma County Sonoma CDIAC Number: 2016-2950 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-10 Federally Taxable	NR	Neg	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-27 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$82,572	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-2923	\$85,599.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-01-2016	\$287,601	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-2924 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$185,020	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$287,601.00	10/30/2018	2016-2951	\$0.00	\$185,020.03		
<b>Total</b>		<b>\$287,601.00</b>				<b>\$185,020.03</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2016	\$287,601	Sonoma County Sonoma CDIAC Number: 2016-2951 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$185,020	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-2924	\$287,601.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
12-01-2016	\$52,375	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-3411 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-27 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$21,615	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2027	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$52,375.00	10/30/2018	2016-3412	\$0.00	\$21,615.30		
<b>Total</b>		<b>\$52,375.00</b>				<b>\$21,615.30</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2016	\$52,375	Sonoma County Sonoma CDIAC Number: 2016-3412 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-27 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,615	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-3411	\$52,375.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-01-2016	\$85,911	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-3413 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$84,895	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$85,911.00	10/30/2018	2016-3414	\$0.00	\$84,894.86		
<b>Total</b>		<b>\$85,911.00</b>				<b>\$84,894.86</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2016	\$85,911	Sonoma County Sonoma CDIAC Number: 2016-3414 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg	(BC) Richards Watson (FA) Sonoma Co (LE) Sonoma Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$84,895	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-3413	\$85,911.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-03-2017	\$30,632	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-3629 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-27 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$19,454	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2027	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$30,632.00	10/30/2018	2016-3630	\$0.00	\$19,454.46		
<b>Total</b>		<b>\$30,632.00</b>				<b>\$19,454.46</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-03-2017	\$30,632	Sonoma County Sonoma CDIAC Number: 2016-3630 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-27 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$19,454	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-3629	\$30,632.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-03-2017	\$283,745	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-3633 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$205,125	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$283,745.00	10/30/2018	2016-3634	\$0.00	\$205,125.14		
<b>Total</b>		<b>\$283,745.00</b>				<b>\$205,125.14</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>			
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>			
01-03-2017	\$283,745	Sonoma County Sonoma CDIAC Number: 2016-3634 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR		

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$205,125	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-3633	\$283,745.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-01-2017	\$86,204	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-3959 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-27 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$57,850	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2027	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$86,204.39	10/30/2018	2016-3960	\$0.00	\$57,849.87		
<b>Total</b>		<b>\$86,204.39</b>				<b>\$57,849.87</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2017	\$86,204	Sonoma County Sonoma CDIAC Number: 2016-3960 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-27 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$57,850	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-3959	\$86,204.39

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-01-2017	\$114,478	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2016-3961 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$73,287	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$114,478.36	10/30/2018	2016-3962	\$0.00	\$73,286.77		
<b>Total</b>		<b>\$114,478.36</b>				<b>\$73,286.77</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2017	\$114,478	Sonoma County Sonoma CDIAC Number: 2016-3962 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$73,287	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2016-3961	\$114,478.36

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-01-2017	\$7,107	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-0168 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Home FA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,023	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$7,106.61	10/30/2018	2017-0170	\$0.00	\$7,022.56		
<b>Total</b>		<b>\$7,106.61</b>				<b>\$7,022.56</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-01-2017	\$7,107	Sonoma County Sonoma CDIAC Number: 2017-0170 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,023	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-0168	\$7,106.61

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-03-2017	\$15,435	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-0294 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Home FA	09-02-27 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$14,889	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2027	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$15,434.58	10/30/2018	2017-0295	\$0.00	\$14,888.80		
<b>Total</b>		<b>\$15,434.58</b>				<b>\$14,888.80</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-03-2017	\$15,435	Sonoma County Sonoma CDIAC Number: 2017-0295 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	04-02-27 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,889	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-0294	\$15,434.58

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-03-2017	\$154,501	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-0297 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Home FA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$122,443	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$154,501.01	10/30/2018	2017-0298	\$0.00	\$122,443.34		
		<b>Total</b>				<b>\$122,443.34</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-03-2017	\$154,501	Sonoma County Sonoma CDIAC Number: 2017-0298 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$122,443	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-0297	\$154,501.01

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-01-2017	\$147,357	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-0577 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$141,458	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$147,356.81	10/30/2018	2017-0578	\$0.00	\$141,458.35		
		<b>Total</b>				<b>\$141,458.35</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-01-2017	\$147,357	Sonoma County Sonoma CDIAC Number: 2017-0578 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$141,458	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-0577	\$147,356.81

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-01-2017	\$27,062	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-1069 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-27 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$26,105	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2027	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$27,062.12	10/30/2018	2017-1070	\$0.00	\$26,105.17		
<b>Total</b>		<b>\$27,062.12</b>				<b>\$26,105.17</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2017	\$27,062	Sonoma County Sonoma CDIAC Number: 2017-1070 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-27 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$26,105	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-1069	\$27,062.12

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-01-2017	\$175,800	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-1071 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$116,571	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$175,800.24	10/30/2018	2017-1072	\$0.00	\$116,570.52		
<b>Total</b>		<b>\$175,800.24</b>				<b>\$116,570.52</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2017	\$175,800	Sonoma County Sonoma CDIAC Number: 2017-1072 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$116,571	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-1071	\$175,800.24

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-30-2017	\$47,477	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-1394 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$49,915	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$47,476.54	10/30/2018	2017-1395	\$0.00	\$46,915.02		
<b>Total</b>		<b>\$47,476.54</b>				<b>\$46,915.02</b>		

#### MISCELLANEOUS

Email sent to Customer requesting clarification on the Principal Outstanding balance verses the Local Obligor's Principal Outstanding balance.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-30-2017	\$47,477	Sonoma County Sonoma CDIAC Number: 2017-1395 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>		<u>C. TEETER PLAN</u>		
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$46,915	\$0	\$0	\$0	\$0	0	0	N	\$0	\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-1394	\$47,476.54

### MISCELLANEOUS

Email sent to Customer requesting clarification on the Principal Outstanding balance verses the Local Obligor's Principal Outstanding balance.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-01-2017	\$101,587	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-1792 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$49,178	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$5,000

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$101,586.67	10/30/2018	2017-1793	\$0.00	\$49,177.58		
		<b>Total</b>				<b>\$49,177.58</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-01-2017	\$101,587	Sonoma County Sonoma CDIAC Number: 2017-1793 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series H-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$49,178	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-1792	\$101,586.67

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-05-2017	\$10,000	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-1824 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-27 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,646	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2027	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$10,000.00	10/30/2018	2017-1825	\$0.00	\$9,646.39		
<b>Total</b>		<b>\$10,000.00</b>				<b>\$9,646.39</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-05-2017	\$10,000	Sonoma County Sonoma CDIAC Number: 2017-1825 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,646	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-1824	\$10,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-05-2017	\$519,002	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-1826 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Pub Fin Corp	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$428,026	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2037	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$519,002.00	10/30/2018	2017-1827	\$0.00	\$428,026.39		
<b>Total</b>		<b>\$519,002.00</b>				<b>\$428,026.39</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
09-05-2017	\$519,002	Sonoma County Sonoma CDIAC Number: 2017-1827 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series I-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-37 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$428,026	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-1826	\$519,002.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
10-02-2017	\$173,225	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-2393 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-28 Term	TIC NIC TYPE:	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$173,130	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$1,250

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2028	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$173,224.60	10/30/2018	2017-2394	\$0.00	\$173,129.69	6/30/2018	Repaid
<b>Total</b>		<b>\$173,224.60</b>				<b>\$173,129.69</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-02-2017	\$173,225	Sonoma County Sonoma CDIAC Number: 2017-2394 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$173,130	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-2393	\$173,224.60

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-02-2017	\$68,796	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-2396 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$68,759	\$0	\$0	\$0	\$0	\$0

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2038	\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$68,796.38	10/30/2018	2017-2397	\$0.00	\$68,758.68	6/30/2018	Repaid
		<b>Total</b>				<b>\$68,758.68</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-02-2017	\$68,796	Sonoma County Sonoma CDIAC Number: 2017-2397 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series J-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$68,759	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-2396	\$68,796.38

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-01-2017	\$114,454	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-2529 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma PFA	09-02-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$34,872	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$2,500

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$114,453.76	10/30/2018	2017-2530	\$0.00	\$34,871.71	6/30/2018	Repaid
		<b>Total</b>				<b>\$34,871.71</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-01-2017	\$114,454	Sonoma County Sonoma CDIAC Number: 2017-2530 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series K-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$34,872	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-2529	\$114,453.76

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
12-01-2017	\$95,167	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-2729 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-28 Term	TIC NIC TYPE:	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$95,167	\$0	\$0	\$0	\$0	\$0

Issuance Cost
\$1,250

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
Y	9/2/2028	\$0	\$0

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$95,166.74	10/30/2018	2017-2730	\$0.00	\$95,166.74	6/30/2018	Repaid
		<b>Total</b>				<b>\$95,166.74</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2017	\$95,167	Sonoma County Sonoma CDIAC Number: 2017-2730 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-28 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$95,167	\$0	\$0	\$0	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	Y

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
Sonoma County Public Financing Authority	2017-2729	\$95,166.74

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-01-2017	\$124,087	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-2737 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$124,087	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$124,087.15	10/30/2018	2017-2738	\$0.00	\$124,087.15	6/30/2018	Repaid
		<b>Total</b>				<b>\$124,087.15</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2017	\$124,087	Sonoma County Sonoma CDIAC Number: 2017-2738 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series L-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-38 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$124,087	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-2737	\$124,087.15

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-02-2018	\$114,009	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2017-3416 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$114,009	\$0	\$0	\$0	\$0	\$0

<u>Issuance Cost</u>
\$2,500

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2038	\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$114,009.22	10/30/2018	2017-3417	\$0.00	\$114,009.22	6/30/2018	Repaid
		<b>Total</b>				<b>\$114,009.22</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-02-2018	\$114,009	Sonoma County Sonoma CDIAC Number: 2017-3417 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series A-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-28 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$114,009	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2017-3416	\$114,009.22

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2018	\$423,339	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-0012 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Pub Fin Corp	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$375,803	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$2,500

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$423,339.25	10/30/2018	2018-0013	\$0.00	\$375,803.11	6/30/2018	Repaid
		<b>Total</b>				<b>\$375,803.11</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-01-2018	\$423,339	Sonoma County Sonoma CDIAC Number: 2018-0013 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series B-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co Pub Fin Corp	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$375,803	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$375,803

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$375,803	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-0012	\$423,339.25

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-02-2018	\$20,437	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-0139 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co Pub Fin Corp	09-02-28 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,262	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2028	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$20,437.21	10/30/2018	2018-0140	\$0.00	\$15,262.21	6/30/2018	Repaid
		<b>Total</b>				<b>\$15,262.21</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-02-2018	\$20,437	Sonoma County Sonoma CDIAC Number: 2018-0140 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co Pub Fin Corp	09-02-28 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,262	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$15,262

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$15,262	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-0139	\$20,437.21

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-02-2018	\$305,187	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-0141 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$305,187	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$305,186.63	10/30/2018	2018-0142	\$0.00	\$305,186.63	6/30/2018	Repaid
		<b>Total</b>				<b>\$305,186.63</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-02-2018	\$305,187	Sonoma County Sonoma CDIAC Number: 2018-0142 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series C-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co Pub Fin Corp	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$305,187	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$305,187

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$305,187	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-0141	\$305,186.66

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-02-2018	\$83,798	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-0404 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-28 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$83,798	\$0	\$0	\$0	\$0	\$0

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2028	\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$83,797.83	10/30/2018	2018-0406	\$0.00	\$83,797.83	6/30/2018	Repaid
		<b>Total</b>				<b>\$83,797.83</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-02-2018	\$83,798	Sonoma County Sonoma CDIAC Number: 2018-0406 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-28 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$83,798	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$83,798

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$83,798	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-0404	\$83,797.83

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-02-2018	\$113,597	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-0405 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$113,597	\$0	\$0	\$0	\$0	\$0

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y	9/2/2038	\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$113,597.36	10/30/2018	2018-0407	\$0.00	\$113,597.36	6/30/2018	Repaid
		<b>Total</b>				<b>\$113,597.36</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-02-2018	\$113,597	Sonoma County Sonoma CDIAC Number: 2018-0407 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series D-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$113,597	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$113,597

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$113,597	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-0405	\$113,597.36

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-01-2018	\$207,250	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-0567 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$201,885	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$2,500

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$207,249.59	10/30/2018	2018-0568	\$0.00	\$201,884.71	6/30/2018	Repaid
		<b>Total</b>				<b>\$201,884.71</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2018	\$207,250	Sonoma County Sonoma CDIAC Number: 2018-0568 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series E-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$201,885	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$201,885

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$201,885	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-0567	\$207,249.59

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-01-2018	\$18,712	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-0795 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-28 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$18,712	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2028	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$18,712.35	10/30/2018	2018-0796	\$0.00	\$18,712.35	6/30/2018	Repaid
<b>Total</b>		<b>\$18,712.35</b>				<b>\$18,712.35</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2018	\$18,712	Sonoma County Sonoma CDIAC Number: 2018-0796 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-28 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$18,712	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$18,712

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$18,712	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-0795	\$18,712.35

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-01-2018	\$28,357	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-0797 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$28,357	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2038	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$28,357.04	10/30/2018	2018-0798	\$0.00	\$28,357.04	6/30/2018	Repaid
<b>Total</b>		<b>\$28,357.04</b>				<b>\$28,357.04</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-01-2018	\$28,357	Sonoma County Sonoma CDIAC Number: 2018-0798 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series F-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-38 Serial	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$28,357	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$28,357

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$28,357	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-0797	\$28,357.04

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-29-2018	\$94,551	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-1119 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-28 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	0 0 VAR

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$94,551	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,250

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2028	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Sonoma County	\$94,551.23	10/30/2018	2018-1120	\$0.00	\$94,551.23	6/30/2018	Repaid
		<b>Total</b>				<b>\$94,551.23</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2018	\$94,551	Sonoma County Sonoma CDIAC Number: 2018-1120 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-10 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-28 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$94,551	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$94,551

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$94,551	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-1119	\$94,551.23

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-29-2018	\$96,006	Sonoma County Public Financing Authority Sonoma CDIAC Number: 2018-1126 Special assessment bond Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Richards Watson Sonoma Co Sonoma Co Sonoma Co PFA	09-02-38 Term	TIC NIC TYPE:	0 0 VAR

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$96,006	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

Issuance Cost
\$1,250

C. TEETER PLAN

Teeter Plan Participant
Y

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
9/2/2038	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
MKRL	Sonoma County	\$96,005.84	10/30/2018	2018-1127	\$0.00	\$96,005.84	6/30/2018	Repaid
		<b>Total</b>				<b>\$96,005.84</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-29-2018	\$96,006	Sonoma County Sonoma CDIAC Number: 2018-1127 Marks-Roos Authority Loan Residential Energy Conservation, Improvement Energy Independence Series G-20 Federally Taxable	NR	Neg PP	(BC) (FA) (LE)	Richards Watson Sonoma Co Sonoma Co PFA	09-02-38 Term	<u>TIC</u> 0 <u>NIC</u> 0 <u>TYPE:</u> VAR

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$96,006	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$96,006

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$96,006	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Sonoma County Public Financing Authority	2018-1126	\$96,005.84

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-07-2011	\$820,000	Oakdale Public Financing Authority Stanislaus CDIAC Number: 2011-0247 Tax allocation bond Multifamily Housing Central City Hsg Federally Taxable	S:BBB	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Northcross Hill Ach (TR) U.S. Bank NA (UW) E J De La Rosa	06-01-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	10.016

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Oakdale Redevelopment Agency	\$820,000.00	11/30/2018	2016-1042	\$0.00	\$0.00	5/4/2018	Redeemed
		<b>Total</b>				<b>\$0.00</b>		<b>FY 17/18</b>

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-07-2011	\$820,000	Oakdale Redevelopment Agency Stanislaus CDIAC Number: 2016-1042 Marks-Roos Authority Loan Multifamily Housing Central City Hsg Federally Taxable	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Northcross Hill Ach (LE) Oakdale PFA (TR) U.S. Bank NA	06-01-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	10.016

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oakdale Public Financing Authority	2011-0247	\$820,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-07-2011	\$2,550,000	Oakdale Public Financing Authority Stanislaus CDIAC Number: 2011-0248 Tax allocation bond Redevelopment, Multiple Purposes Central City Non-Hsg	S:BBB	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Northcross Hill Ach (TR) U.S. Bank NA (UW) E J De La Rosa	06-01-36 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	7.738

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Oakdale Redevelopment Agency	\$2,550,000.00	11/30/2018	2016-1043	\$0.00	\$0.00	5/4/2018	Redeemed
<b>Total</b>		<b>\$2,550,000.00</b>				<b>\$0.00</b>		<b>FY 17/18</b>

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-07-2011	\$2,550,000	Oakdale Redevelopment Agency Stanislaus CDIAC Number: 2016-1043 Marks-Roos Authority Loan Redevelopment, Multiple Purposes Central City Non-Hsg	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Jones Hall Jones Hall Northcross Hill Ach Oakdale PFA U.S. Bank NA	06-01-36 Term	<u>TIC</u> <u>NIC</u> 7.738 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oakdale Public Financing Authority	2011-0248	\$2,550,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
02-12-2015	\$7,765,000	Oakdale Public Financing Authority Stanislaus CDIAC Number: 2015-0217 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 2003-2, 2004-1 & 2005-1 Bridle Ridge	NR	Neg	(BC) Jones Hall (DC) Nossaman LLP (FA) A M Peche (TR) U.S. Bank NA (UW) RBC Capital Mkts LLC	09-01-35 Comb	<u>TIC</u> 3.822 <u>NIC</u> 3.940 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,030,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Oakdale CFD No 2003-2	\$3,505,000.00	11/30/2018	2015-0668	\$0.00	\$3,160,000.00		
LTOB	Oakdale CFD No 2004-1	\$1,325,000.00	11/30/2018	2015-0669	\$0.00	\$1,200,000.00		
LTOB	Oakdale CFD No 2005-1	\$2,935,000.00	11/30/2018	2015-0670	\$0.00	\$2,670,000.00		
<b>Total</b>		<b>\$7,765,000.00</b>				<b>\$7,030,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2015	\$3,505,000	Oakdale CFD No 2003-2 Stanislaus CDIAC Number: 2015-0668 Limited tax obligation bond Multiple Capital Improvements, Public Works Bridge Ridge Refunding	NR	Neg	(BC) Jones Hall (DC) Nossaman LLP (FA) A M Peche (PU) Oakdale PFA (TR) U.S. Bank NA	09-01-34 Serial	<u>TIC</u> 3.782 <u>NIC</u> 3.888 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,160,000	\$266,515	\$0	\$0	\$266,515

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	\$297,280.50	\$942.75

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oakdale Public Financing Authority	2015-0217	\$7,765,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2015	\$1,325,000	Oakdale CFD No 2004-1 Stanislaus CDIAC Number: 2015-0669 Limited tax obligation bond Multiple Capital Improvements, Public Works Bridge Ridge Phase 2 Refunding	NR	Neg	(BC) Jones Hall (DC) Nossaman LLP (FA) A M Peche (PU) Oakdale PFA (TR) U.S. Bank NA	09-01-34 Serial	<u>TIC</u> 3.808 <u>NIC</u> 3.921 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,200,000	\$101,447	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	\$115,742.26	\$639.46

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oakdale Public Financing Authority	2015-0217	\$7,765,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-12-2015	\$2,935,000	Oakdale CFD No 2005-1 Stanislaus CDIAC Number: 2015-0670 Limited tax obligation bond Multiple Capital Improvements, Public Works Bridge Ridge Phase 3 Refunding	NR	Neg	(BC) Jones Hall (DC) Nossaman LLP (FA) A M Peche (PU) Oakdale PFA (TR) U.S. Bank NA	09-01-35 Serial	<u>TIC</u> 3.873 <u>NIC</u> 4.005 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,670,000	\$219,220	\$0	\$0	\$219,220

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>
0	Y	1.080	\$199,379.58	\$2,170.00

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oakdale Public Financing Authority	2015-0217	\$7,765,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-30-2013	\$4,963,568	Patterson Public Financing Authority Stanislaus CDIAC Number: 2013-1568 Revenue bond Multiple Capital Improvements, Public Works Heartland Ranch ReAD Refunding	NR	Neg PP	(BC) (PA) (PU) (TR)	Quint & Thimmig Wulff Hansen & Co Alliance Bank of Arizona The Bank of NY Mellon	09-02-27 Term	<u>TIC</u> 3.729 <u>NIC</u> <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,531,771	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Patterson	\$6,989,926.00	10/29/2018	2002-1501	\$0.00	\$3,205,625.96		
		<b>Total</b>				<b>\$3,205,625.96</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-07-2002	\$6,989,926	Patterson Stanislaus CDIAC Number: 2002-1501 Special assessment bond Multiple Capital Improvements, Public Works Heartland Ranch ReAD Federally Taxable Refunding	NR	Neg	(BC) (PU) (TR)	Quint & Thimmig Patterson PFA BNY Mellon Capital	09-02-27 Serial	<u>TIC</u> 6.162 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<b>B. DELINQUENCY</b>			<b>C. TEETER PLAN</b>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$3,205,626	\$0	\$0	\$0	\$0	0	0.780	Y	\$625,802	\$4,879	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Patterson Public Financing Authority	2013-1568	\$4,963,568.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond Interest</u>	
08-14-2013	\$73,655,000	Patterson Public Financing Authority Stanislaus CDIAC Number: 2013-1649 Revenue bond Multiple Capital Improvements, Public Works CFD No 2001-1 Series A-1, A-2 & B	NR	Neg PP	(BC) Quint & Thimmig (DC) Quint & Thimmig (PA) Wulff Hansen & Co (PU) Nuveen & Co (TR) The Bank of NY Mellon	09-01-39 Term	<u>TIC</u> <u>NIC</u> 5.701 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$68,020,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Audit	\$3,500
Fiscal Agent	\$5,000
Special Tax Consultant	\$21,000
Total:	\$29,500

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
LTOB	West Patterson Financing Authority CFD No 2001-1	\$69,554,521.00	10/30/2018	2013-1650	\$79,049.80	\$65,192,338.00		
<b>Total</b>		<b>\$69,554,521.00</b>				<b>\$65,192,338.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-14-2013	\$69,554,521	West Patterson Financing Authority CFD No 2001-1 Stanislaus CDIAC Number: 2013-1650 Limited tax obligation bond Multiple Capital Improvements, Public Works Series A-1, A-2 & B Federally Taxable Refunding	NR	Neg	(BC) (DC) (PA) (PU) (TR)	Quint & Thimmig Quint & Thimmig Wulff Hansen & Co Patterson PFA The Bank of NY Mellon	09-01-39 Term	<u>TIC</u> <u>NIC</u> 6.073 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>		
						<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$65,192,338	\$395,256	\$0	\$79,050	\$396,786	0	0.880	Y	\$5,230,766	\$46,126	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Patterson Public Financing Authority	2013-1649	\$73,655,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-31-2005	\$945,000	Riverbank Public Financing Authority Stanislaus CDIAC Number: 2005-0953 Revenue bond Other Capital Improvements, Public Works AD Nos 1991-1 & 1991-3 Refunding	NR	Neg	(BC) Cameron Weist (FA) Delta Managed Solutions (TR) Union Bank of CA (UW) M L Stern & Co	09-15-21 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.619

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$110,000	\$91,768	\$0	\$92,302	\$0	\$92,302

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Consultant	\$3,616
Total:	\$3,616

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>	
							<u>Repay Date</u>	<u>Type</u>	
SAB	Riverbank	\$1,605,000.00	10/25/2018	1991-0588	\$5,432.46	\$235,708.22			
SAB	Riverbank	\$2,217,000.00		1991-1420			9/2/2016	Matured	
<b>Total</b>						<b>\$3,822,000.00</b>	<b>\$235,708.22</b>		<b>FY 16/17</b>



2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-11-1991	\$1,605,000	Riverbank Stanislaus CDIAC Number: 1991-0588 Special assessment bond Multiple Capital Improvements, Public Works AD No 1991-1	NR	Neg	(BC) (FA) (UW)	Orrick Herrington First CA Regnl Sec Riverbank PFA	Serial	<u>TIC</u> <u>NIC</u> 9.750 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$235,708	\$0	\$0	\$5,432	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$69,700

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Riverbank Public Financing Authority	2005-0953	\$945,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-17-2014	\$24,040,000	Schools Infrastructure Financing Agency Stanislaus CDIAC Number: 2014-0686 Revenue bond K-12 School Facility CFD Nos 1994-1, 1997-1 & 1998-1 Refunding	S:AA/A     Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Richards Watson Richards Watson Assured Guaranty Corp U.S. Bank NA Stifel Nicolaus & Co Inc	09-01-34 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.485

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,175,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Audit	\$8,600
Consultant	\$360
Legal, Law Firm	\$4,200
Tax Consultant	\$12,692
Trustee	\$4,125
Total:	\$29,977

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Schools Infrastructure Financing Agency CFD No 1994-1	\$9,565,000.00	10/26/2018	2014-0688	\$14,716.50	\$7,610,000.00		
LTOB	Schools Infrastructure Financing Agency CFD No 1997-1	\$9,005,000.00	10/26/2018	2014-0689	\$7,735.25	\$8,440,000.00		
LTOB	Schools Infrastructure Financing Agency CFD No 1998-1	\$5,470,000.00	10/26/2018	2014-0690	\$7,524.75	\$5,125,000.00		
<b>Total</b>		<b>\$24,040,000.00</b>				<b>\$21,175,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-17-2014	\$9,565,000	Schools Infrastructure Financing Agency CFD No 1994-1 Stanislaus CDIAC Number: 2014-0688 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) Richards Watson (DC) Richards Watson (PU) Schools Infrastructure FA (TR) U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 2.879 <u>NIC</u> <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,610,000	\$705,903	\$0	\$14,717	\$705,903

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.400	\$1,159,232

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,640	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Schools Infrastructure Financing Agency	2014-0686	\$24,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-17-2014	\$9,005,000	Schools Infrastructure Financing Agency CFD No 1997-1 Stanislaus CDIAC Number: 2014-0689 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Richards Watson Richards Watson Schools Infrastructure FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.747 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,440,000	\$842,400	\$0	\$7,735	\$842,400

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$742,703

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,201	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Schools Infrastructure Financing Agency	2014-0686	\$24,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-17-2014	\$5,470,000	Schools Infrastructure Financing Agency CFD No 1998-1 Stanislaus CDIAC Number: 2014-0690 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Richards Watson Richards Watson Schools Infrastructure FA U.S. Bank NA	09-01-34 Comb	<u>TIC</u> 3.746 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,125,000	\$509,600	\$0	\$7,525	\$509,600

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$764,685

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,143	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Schools Infrastructure Financing Agency	2014-0686	\$24,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-07-2017	\$22,405,000	Turlock Public Financing Authority Stanislaus CDIAC Number: 2017-1216 Public enterprise revenue bond Water Supply, Storage, Distribution Refunding	S:AA	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Stradling Yocca Montague DeRose U.S. Bank NA Stifel Nicolaus & Co Inc	03-01-38 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.952 3.213

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,445,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
COPL	Turlock	\$22,405,000.00	02/13/2019	2018-0464	\$0.00	\$21,445,000.00		
<b>Total</b>		<b>\$22,405,000.00</b>				<b>\$21,445,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-07-2017	\$22,405,000	Turlock Stanislaus CDIAC Number: 2018-0464 Certificates of participation/leases Water Supply, Storage, Distribution	NR	Neg	(BC) (DC) (FA) (TR)	Orrick Herrington Stradling Yocca Montague DeRose U.S. Bank NA	03-01-38 Serial	<u>TIC</u> 2.952 <u>NIC</u> 3.213 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$21,445,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Turlock Public Financing Authority	2017-1216	\$22,405,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-13-2012	\$4,095,000	Waterford Public Financing Authority Stanislaus CDIAC Number: 2012-0269 Revenue bond Multiple Capital Improvements, Public Works Refunding	NR	Neg	(BC) Meyers Nave (DC) Jones Hall (FA) Del Rio Advisors LLC (TR) The Bank of NY Mellon (UW) Southwest Securities	09-15-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.432

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,370,000	\$409,500	\$0	\$415,454	\$0	\$415,454

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/12/2027	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$7,372
Bank	\$5,000
Disclosure	\$2,901
Legal, Law Firm	\$1,500
Total:	\$16,773

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Waterford	\$394,000.00	10/05/2018	1996-1211	\$722.00	\$47,209.26		
SAB	Waterford	\$156,000.00	10/22/2018	1996-1212	\$199.00	\$14,349.64		
SAB	Waterford	\$218,000.00	10/22/2018	1996-1213	\$94.00	\$2,570.34		
SAB	Waterford	\$944,000.00		1996-1214			9/2/2015	Matured FY 15 /16
SAB	Waterford	\$509,000.00	10/22/2018	1996-1215	\$2,074.00	\$120,000.00		



2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Waterford	\$2,564,000.00		1996-1216			6/30/2018	O FY 17/18
SAB	Waterford	\$1,950,000.00	10/22/2018	1996-1728	\$3,448.00	\$261,823.45		
SAB	Waterford	\$270,000.00	10/22/2018	1996-1729	\$188.00	\$6,039.20		
SAB	Waterford	\$408,000.00	10/22/2018	1996-1730	\$648.00	\$33,750.06		
MKRL	Waterford	\$2,564,000.00	10/30/2018	2016-1340	\$0.00	\$1,435,000.00		
MKRL	Waterford Redevelopment Agency	\$670,000.00	10/30/2018	2016-1341	\$0.00	\$380,000.00		
<b>Total</b>		<b>\$10,647,000.00</b>				<b>\$2,300,741.95</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-1996	\$394,000	Waterford Stanislaus CDIAC Number: 1996-1211 Special assessment bond Multiple Capital Improvements, Public Works ReAD 1991-1 Refunding	NR	Neg	(BC) (PU) (TR)	Buchalter Nemer Waterford PFA US Trust Co of CA	09-15-27 Serial	<u>TIC</u> <u>NIC</u> 8.950 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$47,209	\$0	\$0	\$722	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$20,992

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$958	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Waterford Public Financing Authority	2012-0269	\$4,095,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-1996	\$156,000	Waterford Stanislaus CDIAC Number: 1996-1212 Special assessment bond Multiple Capital Improvements, Public Works ReAD 1991-3 Refunding	NR	Neg	(BC) (PU) (TR)	Buchalter Nemer Waterford PFA US Trust Co of CA	09-15-27 Serial	<u>TIC</u> <u>NIC</u> 8.950 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,350	\$0	\$0	\$199	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$5,008

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Waterford Public Financing Authority	2012-0269	\$4,095,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-1996	\$218,000	Waterford Stanislaus CDIAC Number: 1996-1213 Special assessment bond Multiple Capital Improvements, Public Works ReAD 1992-2 Refunding	NR	Neg	(BC) (PU) (TR)	Buchalter Nemer Waterford PFA US Trust Co of CA	09-15-27 Serial	<u>TIC</u> <u>NIC</u> 8.950 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,570	\$0	\$0	\$94	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$1,551

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Waterford Public Financing Authority	2012-0269	\$4,095,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-1996	\$509,000	Waterford Stanislaus CDIAC Number: 1996-1215 Special assessment bond Multiple Capital Improvements, Public Works ReAD 1992-5 Refunding	NR	Neg	(BC) (PU) (TR)	Buchalter Nemer Waterford PFA US Trust Co of CA	09-15-27 Serial	<u>TIC</u> <u>NIC</u> 8.950 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$120,000	\$0	\$0	\$2,074	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$54,852

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Waterford Public Financing Authority	2012-0269	\$4,095,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-1997	\$1,950,000	Waterford Stanislaus CDIAC Number: 1996-1728 Special assessment bond Multiple Capital Improvements, Public Works ReAD No 1991-5 Refunding	NR	Neg	(BC) (PU) (TR)	Buchalter Nemer Waterford PFA US Trust Co of CA	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 8.950 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$261,823	\$0	\$0	\$3,448	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	9.970	\$112,501

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$11,211	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Waterford Public Financing Authority	2012-0269	\$4,095,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-1997	\$270,000	Waterford Stanislaus CDIAC Number: 1996-1729 Special assessment bond Street Construction and Improvements ReAD No 1991-7 Refunding	NR	Neg	(BC) (PU) (TR)	Buchalter Nemer Waterford PFA US Trust Co of CA	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 8.950 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,039	\$0	\$0	\$188	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	Y	\$6,936

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$299	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Waterford Public Financing Authority	2012-0269	\$4,095,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-1997	\$408,000	Waterford Stanislaus CDIAC Number: 1996-1730 Special assessment bond Multiple Capital Improvements, Public Works ReAD No 1993-1 Refunding	NR	Neg	(BC) (PU) (TR)	Buchalter Nemer Waterford PFA US Trust Co of CA	09-02-20 Serial	<u>TIC</u> <u>NIC</u> 8.950 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$33,750	\$0	\$0	\$648	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$16,092

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Waterford Public Financing Authority	2012-0269	\$4,095,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-13-2012	\$2,564,000	Waterford Stanislaus CDIAC Number: 2016-1340 Marks-Roos Authority Loan Multiple Capital Improvements, Public Works	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Meyers Nave Jones Hall Del Rio Advisors LLC Waterford PFA The Bank of NY Mellon	09-15-27 Serial	<u>TIC</u> <u>NIC</u> 4.432 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,435,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Waterford Public Financing Authority	2012-0269	\$4,095,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-14-1997	\$670,000	Waterford Redevelopment Agency Stanislaus CDIAC Number: 2016-1341 Marks-Roos Authority Loan Multiple Capital Improvements, Public Works	NR	Neg	(BC) (DC) (FA) (LE) (TR)	Meyers Nave Jones Hall Del Rio Advisors LLC Waterford PFA The Bank of NY Mellon	09-15-27 Serial	<u>TIC</u> <u>NIC</u> 4.432 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$380,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Waterford Public Financing Authority	2012-0269	\$4,095,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-27-2018	\$26,675,000	Tulare Public Financing Authority Tulare CDIAC Number: 2018-0386 Public lease revenue bond Multiple Capital Improvements, Public Works Refunding	S:AA/A  O:AA Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Hawkins Delafield Hawkins Delafield Bartle Wells Build America U.S. Bank NA Morgan Stanley	04-01-37 Comb	<u>TIC</u> 3.394 <u>NIC</u> 3.539 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$26,675,000	\$2,228,600	\$0	\$0	\$2,228,600	\$2,228,600

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$461,402

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
COPL	Tulare	\$26,675,000.00	10/29/2018	2018-0387	\$0.00	\$26,675,000.00		
<b>Total</b>		<b>\$26,675,000.00</b>				<b>\$26,675,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-27-2018	\$26,675,000	Tulare Tulare CDIAC Number: 2018-0387 Certificates of participation/leases Multiple Capital Improvements, Public Works	NR    Ins	Neg	(BC) (DC) (FA) (EN) (TR)	Hawkins Delafield Hawkins Delafield Bartle Wells Build America U.S. Bank NA	04-01-37 Comb	<u>TIC</u> 3.394 <u>NIC</u> 3.539 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$26,675,000	\$2,228,600	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tulare Public Financing Authority	2018-0386	\$26,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-13-2006	\$20,530,000	Oxnard Financing Authority Ventura CDIAC Number: 2006-1537 Revenue bond Redevelopment, Multiple Purposes HERO, Ormond Beach & Southwinds	S:AAA     Ins	Neg	(BC) (EN) (TR) (UW)	Goodwin Procter Ambac Wells Fargo Bank NA E J De La Rosa	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 4.424 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,505,000	\$1,230,241	\$0	\$1,241,036	\$0	\$1,241,036

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$5,750
Total:	\$5,750

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Oxnard Community Development Commission	\$11,490,000.00	10/08/2018	2006-1538	\$0.00	\$8,755,000.00		
TAB	Oxnard Community Development Commission	\$5,750,000.00	10/08/2018	2006-1539	\$0.00	\$4,295,000.00		
TAB	Oxnard Community Development Commission	\$3,290,000.00	10/08/2018	2006-1540	\$0.00	\$2,455,000.00		
<b>Total</b>		<b>\$20,530,000.00</b>				<b>\$15,505,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-13-2006	\$11,490,000	Oxnard Community Development Commission Ventura CDIAC Number: 2006-1538 Tax allocation bond Redevelopment, Multiple Purposes Historic Enhancement & Revitalization Area (HERO)	NR	Neg	(BC) (TR) (UW)	Goodwin Procter Wells Fargo Bank NA Oxnard FA	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 5.822 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,755,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
56.000	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oxnard Financing Authority	2006-1537	\$20,530,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-13-2006	\$5,750,000	Oxnard Community Development Commission Ventura CDIAC Number: 2006-1539 Tax allocation bond Redevelopment, Multiple Purposes Ormond Beach Area	NR	Neg	(BC) (TR) (UW)	Goodwin Procter Wells Fargo Bank NA Oxnard FA	09-01-35 Comb	<u>TIC</u> <u>NIC</u> 4.416 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,295,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
28.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oxnard Financing Authority	2006-1537	\$20,530,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-13-2006	\$3,290,000	Oxnard Community Development Commission Ventura CDIAC Number: 2006-1540 Tax allocation bond Redevelopment, Multiple Purposes Southwinds Area	NR	Neg	(BC) (TR) (UW)	Goodwin Procter Wells Fargo Bank NA Oxnard FA	09-01-35 Comb	<u>TIC</u> <u>NIC</u> 4.416 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,455,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
16.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oxnard Financing Authority	2006-1537	\$20,530,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-2012	\$19,505,000	Oxnard Financing Authority Ventura CDIAC Number: 2012-1003 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 1 & 2000-3 Senior Lien Series A	S:A-	Neg	(BC) (DC) (FA) (TR) (UW)	Goodwin Procter Goodwin Procter First Southwest Wells Fargo Bank NA Stone Youngberg/Stifel	09-02-33 Comb	<u>TIC</u> <u>NIC</u> 4.283 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,895,000	\$1,559,750	\$0	\$1,559,750	\$0	\$1,559,750

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
				<u>CDIAC Nbr</u>	<u>Admin Fees</u>			
LTOB	Oxnard CFD No 1	\$8,750,000.00	10/29/2018	2012-1005	\$58,813.68	\$7,720,000.00		
LTOB	Oxnard CFD No 2000-3	\$7,980,000.00	10/29/2018	2012-1006	\$30,935.89	\$5,925,000.00		
SAB	Oxnard	\$11,835,000.00	10/29/2018	2012-1007	\$51,140.00	\$9,635,000.00		
<b>Total</b>		<b>\$28,565,000.00</b>				<b>\$23,280,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-2012	\$9,060,000	Oxnard Financing Authority Ventura CDIAC Number: 2012-1004 Revenue bond Multiple Capital Improvements, Public Works Sub Lien Bonds Series B	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Goodwin Procter Goodwin Procter First Southwest Wells Fargo Bank NA Stone Youngberg/Stifel	09-02-33 Comb	<u>TIC</u> <u>NIC</u> 4.283 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,385,000	\$721,250	\$0	\$721,250	\$0	\$721,250

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-2012	\$8,750,000	Oxnard CFD No 1 Ventura CDIAC Number: 2012-1005 Limited tax obligation bond Multiple Capital Improvements, Public Works Westport at Mandalay Bay Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Goodwin Procter Goodwin Procter First Southwest Oxnard FA Wells Fargo Bank NA	09-02-33 Serial	<u>TIC</u> <u>NIC</u> 4.652 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,720,000	\$0	\$0	\$58,814	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.220	Y

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$8,207	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oxnard Financing Authority	2012-1003	\$19,505,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-2012	\$7,980,000	Oxnard CFD No 2000-3 Ventura CDIAC Number: 2012-1006 Limited tax obligation bond Multiple Capital Improvements, Public Works Oxnard Boulevard/Highway 101 Interchange Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Goodwin Procter Goodwin Procter First Southwest Oxnard FA Wells Fargo Bank NA	09-02-32 Serial	<u>TIC</u> <u>NIC</u> 4.514 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,925,000	\$0	\$0	\$30,936	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$583,723

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$25,681	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oxnard Financing Authority	2012-1003	\$19,505,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-31-2012	\$11,835,000	Oxnard Ventura CDIAC Number: 2012-1007 Special assessment bond Multiple Capital Improvements, Public Works AD No 2001-1 Rice Ave/Hwy 101 Interchange Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Goodwin Procter Goodwin Procter First Southwest Oxnard FA Wells Fargo Bank NA	09-02-32 Serial	<u>TIC</u> <u>NIC</u> 4.514 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,635,000	\$0	\$0	\$51,140	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$953,420

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,810	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oxnard Financing Authority	2012-1003	\$19,505,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-12-2018	\$28,810,000	Oxnard Financing Authority Ventura CDIAC Number: 2018-0842 Public lease revenue bond Public Building Police Station & Annex Refunding	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Feldman Law Group LLP Feldman Law Group LLP NHA Advisors Build America Wells Fargo Bank NA JP Morgan Securities	06-01-36 Serial	<u>TIC</u> 3.271 <u>NIC</u> 3.596 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$27,825,000	\$2,707,950	\$0	\$0	\$2,707,950	\$2,707,950

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$713,381

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Oxnard	\$28,810,000.00	11/14/2018	2018-0843	\$0.00	\$27,825,000.00		
		<b>Total</b>				<b>\$27,825,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-12-2018	\$28,810,000	Oxnard Ventura CDIAC Number: 2018-0843 Certificates of participation/leases Public Building Police Station & Annex	NR    Ins	Neg	(BC) (DC) (FA) (EN) (TR)	Feldman Law Group LLP Feldman Law Group LLP NHA Advisors Build America Wells Fargo Bank NA	06-01-36 Serial	<u>TIC</u> 3.347 <u>NIC</u> 3.511 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$27,825,000	\$2,707,950	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Oxnard Financing Authority	2018-0842	\$28,810,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-27-2007	\$95,675,000	Simi Valley School Financing Authority Ventura CDIAC Number: 2007-0951 Revenue bond K-12 School Facility Simi Valley Unified School District	S:AAA/A+ M:Aaa/A1  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Jones Hall Jones Hall CFW Inc FSA U.S. Bank NA Piper Jaffray & Co	08-01-27 Comb	<u>TIC</u> <u>NIC</u> 4.703 <u>TYPE:</u>

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$0	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant
Y

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
GOB	Simi Valley Unified School District	\$93,036,667.00	09/18/2018	2007-0950	\$0.00	\$0.00	8/1/2017	Redeemed FY 17/18
<b>Total</b>		<b>\$93,036,667.00</b>				<b>\$0.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-27-2007	\$93,036,667	Simi Valley Unified School District Ventura CDIAC Number: 2007-0950 General obligation bond K-12 School Facility Federally Taxable Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Jones Hall CFW Inc Simi Valley School FA U.S. Bank NA	08-01-27 Serial CAB	<u>TIC</u> <u>NIC</u> 5.286 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<b>A. FUND BALANCES</b>				<b>B. DELINQUENCY</b>				<b>C. TEETER PLAN</b>		
	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$0	\$0	\$0	\$0	\$0	0	0.810	Y	\$51,734,046	\$419,523	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Simi Valley School Financing Authority	2007-0951	\$95,675,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-26-2009	\$9,570,000	Davis Public Facilities Financing Authority Yolo CDIAC Number: 2008-0752 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 1990-1, 1990-2, 1990-3, 1990-4 & 1990-5 Refunding	S:AAA/A     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Jones Hall Northcross Hill Ach Assured Guaranty Corp U.S. Bank NA E J De La Rosa	09-01-29 Comb	<u>TIC</u> <u>NIC</u> 4.685 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$8,510,000	\$950,250	\$0	\$952,074	\$0	\$952,074

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
LTOB	Davis CFD No 1990-1	\$620,531.00	10/11/2018	2017-2252	\$2,420.00	\$551,798.00		
LTOB	Davis CFD No 1990-2	\$3,441,122.00	10/11/2018	2017-2253	\$2,420.00	\$3,059,974.00		
LTOB	Davis CFD No 1990-3	\$2,063,494.00	10/11/2018	2017-2254	\$0.00	\$1,834,936.00		
LTOB	Davis CFD No 1990-4	\$2,942,077.00	10/11/2018	2017-2255	\$2,420.00	\$2,616,204.00		
LTOB	Davis CFD No 1990-5	\$502,777.00	10/11/2018	2017-2256	\$2,420.00	\$447,088.00		
<b>Total</b>		<b>\$9,570,001.00</b>				<b>\$8,510,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-26-2009	\$620,531	Davis CFD No 1990-1 Yolo CDIAC Number: 2017-2252 Limited tax obligation bond Multiple Capital Improvements, Public Works East Davis Area	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Jones Hall Northcross Hill Ach Assured Guaranty Corp Davis PFFA U.S. Bank NA	09-01-29 Comb	<u>TIC</u> 5.019 <u>NIC</u> 4.782 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$551,798	\$950,250	\$0	\$2,420	\$952,074

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$88,187

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Davis Public Facilities Financing Authority	2008-0752	\$9,570,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-26-2009	\$3,441,122	Davis CFD No 1990-2 Yolo CDIAC Number: 2017-2253 Limited tax obligation bond Multiple Capital Improvements, Public Works E Davis-Mace Ranch Area	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Jones Hall Northcross Hill Ach Assured Guaranty Corp Davis PFFA U.S. Bank NA	09-01-29 Comb	<u>TIC</u> 5.019 <u>NIC</u> 4.782 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,059,974	\$950,250	\$0	\$2,420	\$952,074

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.120	\$300,950

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$366	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Davis Public Facilities Financing Authority	2008-0752	\$9,570,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-26-2009	\$2,063,494	Davis CFD No 1990-3 Yolo CDIAC Number: 2017-2254 Limited tax obligation bond Multiple Capital Improvements, Public Works North Central Davis	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Jones Hall Northcross Hill Ach Assured Guaranty Corp Davis PFFA U.S. Bank NA	09-01-29 Comb	<u>TIC</u> 5.019 <u>NIC</u> 4.782 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,834,936	\$950,250	\$0	\$0	\$952,074

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.250	\$223,182

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$546	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Davis Public Facilities Financing Authority	2008-0752	\$9,570,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-26-2009	\$2,942,077	Davis CFD No 1990-4 Yolo CDIAC Number: 2017-2255 Limited tax obligation bond Multiple Capital Improvements, Public Works South Davis Area	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Jones Hall Northcross Hill Ach Assured Guaranty Corp Davis PFFA U.S. Bank NA	09-01-29 Comb	<u>TIC</u> 5.019 <u>NIC</u> 4.782 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,616,204	\$950,250	\$0	\$2,420	\$952,074

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.010	\$314,301

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$311	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Davis Public Facilities Financing Authority	2008-0752	\$9,570,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
08-26-2009	\$502,777	Davis CFD No 1990-5 Yolo CDIAC Number: 2017-2256 Limited tax obligation bond Multiple Capital Improvements, Public Works West Davis Area	NR	Neg	(BC) (DC) (FA) (EN) (PU) (TR)	Jones Hall Jones Hall Northcross Hill Ach Assured Guaranty Corp Davis PFFA U.S. Bank NA	09-01-29 Comb	<u>TIC</u> 5.019 <u>NIC</u> 4.782 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$447,088	\$950,250	\$0	\$2,420	\$952,074

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$55,127

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Davis Public Facilities Financing Authority	2008-0752	\$9,570,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale		Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond Interest	
05-23-2006	\$53,340,000	West Sacramento Financing Authority Yolo CDIAC Number: 2006-0483 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 10, 11, 15, 16, 18 & 19 Series A & Sub Series B	S:AAA/BBB     Ins	Neg	(BC) Sidley Austin LLP (DC) Jones Hall (EN) XL Capital Assurance (TR) U.S. Bank NA (UW) Westhoff Cone	09-01-34 Comb	TIC NIC 4.783 TYPE:

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$35,455,000	\$3,638,878	\$0	\$1,972,945	\$1,707,388	\$3,680,333

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Accounting	\$35,410
Administration	\$54,415
County Government	\$2,915
Consultant	\$44,887
Trustee	\$13,448
Total:	\$151,076

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
LTOB	West Sacramento CFD No 10	\$24,330,379.00	10/22/2018	2006-0519	\$64,306.42	\$15,985,630.00		
LTOB	West Sacramento CFD No 11	\$4,427,762.00	10/22/2018	2006-0520	\$18,294.76	\$2,875,504.00		
LTOB	West Sacramento CFD No 15	\$1,960,220.00	10/22/2018	2006-0521	\$12,778.94	\$1,385,301.00		
LTOB	West Sacramento CFD No 16	\$14,263,376.00	10/22/2018	2006-0522	\$35,160.87	\$9,991,698.00		
LTOB	West Sacramento CFD No 18	\$828,722.00	10/22/2018	2006-0523	\$7,724.35	\$610,252.00		
LTOB	West Sacramento CFD No 19	\$2,780,803.00	10/22/2018	2006-0524	\$12,810.57	\$2,057,415.00		
<b>Total</b>		<b>\$48,591,262.00</b>				<b>\$32,905,800.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2006	\$24,330,379	West Sacramento CFD No 10 Yolo CDIAC Number: 2006-0519 Limited tax obligation bond Multiple Capital Improvements, Public Works Bridgeway Island Refunding	NR	Neg	(BC) (PU) (TR)	Sidley Austin LLP W Sacramento FA U.S. Bank NA	09-01-30 Serial	<u>TIC</u> <u>NIC</u> 5.834 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,985,630	\$0	\$0	\$64,306	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,928,039

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,132	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2006-0483	\$53,340,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2006	\$4,427,762	West Sacramento CFD No 11 Yolo CDIAC Number: 2006-0520 Limited tax obligation bond Multiple Capital Improvements, Public Works Southport Gateway Refunding	NR	Neg	(BC) (PU) (TR)	Sidley Austin LLP W Sacramento FA U.S. Bank NA	09-01-29 Serial	<u>TIC</u> <u>NIC</u> 5.859 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,875,504	\$0	\$0	\$18,295	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$361,971

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,798	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2006-0483	\$53,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2006	\$1,960,220	West Sacramento CFD No 15 Yolo CDIAC Number: 2006-0521 Limited tax obligation bond Multiple Capital Improvements, Public Works Pheasant Hollow Refunding	NR	Neg	(BC) (DC) (PU) (TR)	Sidley Austin LLP Jones Hall W Sacramento FA U.S. Bank NA	09-01-32 Serial	<u>TIC</u> <u>NIC</u> 5.646 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,385,301	\$0	\$0	\$12,779	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$150,844

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$563	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2006-0483	\$53,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2006	\$14,263,376	West Sacramento CFD No 16 Yolo CDIAC Number: 2006-0522 Limited tax obligation bond Multiple Capital Improvements, Public Works Bridgeway Lakes Refunding	NR	Neg	(BC) (PU) (TR)	Sidley Austin LLP W Sacramento FA U.S. Bank NA	09-01-33 Serial	<u>TIC</u> <u>NIC</u> 5.386 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,991,698	\$0	\$0	\$35,161	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.280	Y	\$986,484

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,730	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2006-0483	\$53,340,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2006	\$828,722	West Sacramento CFD No 18 Yolo CDIAC Number: 2006-0523 Limited tax obligation bond Multiple Capital Improvements, Public Works Southport Gateway Unit 4 Refunding	NR	Neg	(BC) (PU) (TR)	Sidley Austin LLP W Sacramento FA U.S. Bank NA	09-01-34 Serial	<u>TIC</u> <u>NIC</u> 5.202 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$610,252	\$0	\$0	\$7,724	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$63,030

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2006-0483	\$53,340,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-23-2006	\$2,780,803	West Sacramento CFD No 19 Yolo CDIAC Number: 2006-0524 Limited tax obligation bond Multiple Capital Improvements, Public Works River Ranch Refunding	NR	Neg	(BC) (PU) (TR)	Sidley Austin LLP W Sacramento FA U.S. Bank NA	09-01-34 Serial	<u>TIC</u> <u>NIC</u> 5.487 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,057,415	\$0	\$0	\$12,811	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$201,373

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,089	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2006-0483	\$53,340,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-17-2012	\$6,585,000	West Sacramento Financing Authority Yolo CDIAC Number: 2012-1398 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 14 & 17 Refunding	NR	Neg	(BC) Kronick Moskovitz (DC) Del Rio Advisors LLC (FA) Del Rio Advisors LLC (TR) U.S. Bank NA (UW) Piper Jaffray & Co	09-01-33 Comb	<u>TIC</u> 4.261 <u>NIC</u> <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,270,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Accounting	\$2,553
Administration	\$7,307
County Government	\$537
Consultant	\$10,386
Trustee	\$3,541
Total:	\$24,324

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	West Sacramento CFD No 14	\$3,855,000.00	10/24/2018	2013-1960	\$10,451.69	\$3,045,000.00		
LTOB	West Sacramento CFD No 17	\$2,545,333.00	10/24/2018	2013-1961	\$13,872.22	\$2,225,000.00		
<b>Total</b>		<b>\$6,400,333.00</b>				<b>\$5,270,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-17-2012	\$3,855,000	West Sacramento CFD No 14 Yolo CDIAC Number: 2013-1960 Limited tax obligation bond Multiple Capital Improvements, Public Works Newport Estates Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Kronick Moskovitz Del Rio Advisors LLC W Sacramento FA U.S. Bank NA	09-01-31 Serial	<u>TIC</u> 4.261 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,045,000	\$294,869	\$0	\$10,452	\$302,729

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$790,233

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2012-1398	\$6,585,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-17-2012	\$2,545,333	West Sacramento CFD No 17 Yolo CDIAC Number: 2013-1961 Limited tax obligation bond Multiple Capital Improvements, Public Works Parella Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Kronick Moskovitz Del Rio Advisors LLC W Sacramento FA Union Bank NA	09-01-33 Serial	<u>TIC</u> 4.261 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,225,000	\$197,408	\$0	\$13,872	\$199,173

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$370,672

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$956	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2012-1398	\$6,585,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-05-2014	\$34,970,000	West Sacramento Financing Authority Yolo CDIAC Number: 2013-1599 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 8 & 9 Refunding	NR	Neg	(BC) Kronick Moskowitz (DC) Jones Hall (FA) Del Rio Advisors LLC (TR) U.S. Bank NA (UW) Westhoff Cone	09-01-31 Comb	<u>TIC</u> 5.495 <u>NIC</u> 5.429 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$28,520,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>	\$0
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#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Accounting	\$31,570
Administration	\$47,955
County Government	\$63
Consultant	\$13,087
Trustee	\$10,600
<b>Total:</b>	<b>\$103,275</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	West Sacramento CFD No 8	\$34,800,000.00	10/22/2018	2014-0323	\$99,488.13	\$28,380,000.00		
LTOB	West Sacramento CFD No 9	\$170,000.00	10/22/2018	2014-0324	\$3,786.79	\$140,000.00		
<b>Total</b>		<b>\$34,970,000.00</b>				<b>\$28,520,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-05-2014	\$34,800,000	West Sacramento CFD No 8 Yolo CDIAC Number: 2014-0323 Limited tax obligation bond Multiple Capital Improvements, Public Works Southport Indus Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Kronick Moskovitz Jones Hall Del Rio Advisors LLC W Sacramento FA U.S. Bank NA	09-01-31 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$28,380,000	\$3,137,625	\$0	\$99,488	\$3,627,035

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$3,239,563

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2013-1599	\$34,970,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-05-2014	\$170,000	West Sacramento CFD No 9 Yolo CDIAC Number: 2014-0324 Limited tax obligation bond Multiple Capital Improvements, Public Works Staples Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Kronick Moskovitz Jones Hall Del Rio Advisors LLC W Sacramento FA U.S. Bank NA	09-01-29 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u> VAR

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$140,000	\$17,000	\$0	\$3,787	\$17,127

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$20,712

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2013-1599	\$34,970,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
02-17-2016	\$12,325,000	West Sacramento Financing Authority Yolo CDIAC Number: 2016-0020 Revenue bond Multiple Capital Improvements, Public Works CFD Nos 17, 21, 23 & 24 Sr Series A & Sub Series B	S:BBB	Neg	(BC) Kronick Moskowitz (DC) Jones Hall (FA) Del Rio Advisors LLC (TR) U.S. Bank NA (UW) Hilltop Securities Inc	09-01-38 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.256 0	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,650,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Accounting	\$7,396
Administration	\$13,325
County Government	\$662
Consultant	\$21,807
Trustee	\$7,848
<b>Total:</b>	<b>\$51,038</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	West Sacramento CFD No 17	\$2,190,000.00	10/24/2018	2016-0021	\$11,128.26	\$2,070,000.00		
LTOB	West Sacramento CFD No 21	\$2,800,000.00	10/24/2018	2016-0022	\$13,151.18	\$2,645,000.00		
LTOB	West Sacramento CFD No 23	\$6,180,000.00	10/24/2018	2016-0023	\$17,372.83	\$5,830,000.00		
LTOB	West Sacramento CFD No 24	\$1,155,000.00	10/24/2018	2016-0024	\$9,385.55	\$1,105,000.00		
<b>Total</b>		<b>\$12,325,000.00</b>				<b>\$11,650,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-17-2016	\$2,190,000	West Sacramento CFD No 17 Yolo CDIAC Number: 2016-0021 Limited tax obligation bond Multiple Capital Improvements, Public Works Parella Refunding	NR	Neg	(BC) Kronick Moskovitz (DC) Jones Hall (FA) Del Rio Advisors LLC (PU) W Sacramento FA (TR) U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 3.208 <u>NIC</u> 0 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,070,000	\$165,694	\$0	\$11,128	\$167,851

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$370,672

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$956	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2016-0020	\$12,325,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-17-2016	\$2,800,000	West Sacramento CFD No 21 Yolo CDIAC Number: 2016-0022 Limited tax obligation bond Multiple Capital Improvements, Public Works Parlin Ranch Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Kronick Moskovitz Jones Hall Del Rio Advisors LLC W Sacramento FA U.S. Bank NA	09-01-36 Serial	<u>TIC</u> 3.186 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,645,000	\$201,394	\$0	\$13,151	\$202,871

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	2.110	Y	\$212,395

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$4,481	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2016-0020	\$12,325,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-17-2016	\$6,180,000	West Sacramento CFD No 23 Yolo CDIAC Number: 2016-0023 Limited tax obligation bond Multiple Capital Improvements, Public Works Triangle Area Refunding	NR	Neg	(BC) Kronick Moskowitz (DC) Jones Hall (FA) Del Rio Advisors LLC (PU) W Sacramento FA (TR) U.S. Bank NA	09-01-37 Serial	<u>TIC</u> 3.298 <u>NIC</u> 0 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,830,000	\$411,359	\$0	\$17,373	\$414,732

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$424,082

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$84	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2016-0020	\$12,325,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-17-2016	\$1,155,000	West Sacramento CFD No 24 Yolo CDIAC Number: 2016-0024 Limited tax obligation bond Multiple Capital Improvements, Public Works Bridgeway Lakes North Refunding	NR	Neg	(BC) Kronick Moskowitz (DC) Jones Hall (FA) Del Rio Advisors LLC (PU) W Sacramento FA (TR) U.S. Bank NA	09-01-38 Serial	<u>TIC</u> 3.290 <u>NIC</u> 0 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,105,000	\$80,594	\$0	\$9,386	\$81,185

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$87,079

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2016-0020	\$12,325,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-25-2017	\$14,875,000	West Sacramento Financing Authority Yolo CDIAC Number: 2017-2901 Public enterprise revenue bond Water Supply, Storage, Distribution Water	S:AA/A+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Kronick Moskovitz Kronick Moskovitz Del Rio Advisors LLC Build America U.S. Bank NA Hilltop Securities Inc	10-01-47 Comb	<u>TIC</u> 3.322 <u>NIC</u> 0 <u>TYPE:</u>

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$14,875,000	\$817,650	\$0	\$0	\$817,650	\$817,650

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$348,701

C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$1,400
Trustee	\$2,500
Total:	\$3,900

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	West Sacramento	\$14,875,000.00	12/07/2018	2017-2935	\$0.00	\$14,875,000.00		
<b>Total</b>		<b>\$14,875,000.00</b>				<b>\$14,875,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-25-2017	\$14,875,000	West Sacramento Yolo CDIAC Number: 2017-2935 Certificates of participation/leases Water Supply, Storage, Distribution	NR	Neg	(BC) (DC) (FA) (TR)	Kronick Moskovitz Kronick Moskovitz Del Rio Advisors LLC U.S. Bank NA	10-01-47 Comb	<u>TIC</u> 3.322 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,875,000	\$817,650	\$0	\$0	\$817,650

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2017-2901	\$14,875,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-25-2017	\$9,010,000	West Sacramento Financing Authority Yolo CDIAC Number: 2017-2902 Public enterprise revenue bond Wastewater Collection, Treatment Wastewater	S:AA/A+     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Kronick Moskovitz Kronick Moskovitz Del Rio Advisors LLC Build America U.S. Bank NA Hilltop Securities Inc	10-01-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.304 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,010,000	\$491,625	\$0	\$0	\$491,625	\$491,625

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$231,169

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Disclosure	\$900
Trustee	\$2,500
Total:	\$3,400

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	West Sacramento	\$9,010,000.00	12/07/2018	2017-2936	\$0.00	\$9,010,000.00		
<b>Total</b>		<b>\$9,010,000.00</b>				<b>\$9,010,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-25-2017	\$9,010,000	West Sacramento Yolo CDIAC Number: 2017-2936 Certificates of participation/leases Wastewater Collection, Treatment	NR	Neg	(BC) Kronick Moskovitz (DC) Kronick Moskovitz (FA) Del Rio Advisors LLC (TR) U.S. Bank NA	10-01-47 Comb	<u>TIC</u> 3.304 <u>NIC</u> 0 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,010,000	\$491,625	\$0	\$0	\$491,625

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
West Sacramento Financing Authority	2017-2902	\$9,010,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2017	\$17,165,000	Yolo County Public Agencies Financing Authority Yolo CDIAC Number: 2017-1612 Public lease revenue bond Prisons, Jails, Correctional Facilities Law Enforcement, Public Safety & Health Bldgs Series A	S:AA/A+     Ins	Comp	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Stradling Yocca KNN Public Finance Assured Guaranty Corp The Bank of NY Mellon Morgan Stanley	12-01-36 Serial	<u>TIC</u> 2.785 <u>NIC</u> 2.889 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,165,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$484,335

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
8/1/2042	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
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#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
CL	Yolo County	\$17,165,000.00	01/10/2019	2017-2136	\$500.00	\$17,165,000.00		
<b>Total</b>		<b>\$17,165,000.00</b>				<b>\$17,165,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-19-2017	\$17,165,000	Yolo County Yolo CDIAC Number: 2017-2136 Capital Lease Prisons, Jails, Correctional Facilities Facilities Lease Agreement w/Yolo Co PFA	NR	Comp	(BC) (DC) (FA) (TR)	Orrick Herrington Stradling Yocca KNN Public Finance The Bank of NY Mellon	12-01-36 Serial	<u>TIC</u> 2.785 <u>NIC</u> 2.889 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,165,000	\$0	\$0	\$500	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.650	\$558,299

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,629	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Yolo County Public Agencies Financing Authority	2017-1612	\$17,165,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-20-2014	\$31,805,000	ABAG Finance Authority for Nonprofit Corporations Multiple Counties CDIAC Number: 2014-1254 Revenue bond Multiple Capital Improvements, Public Works Windemere Ranch Series A Refunding	S:A-	Neg	(BC) Quint & Thimmig (TR) MUFG Union Bank (UW) Stifel Nicolaus & Co Inc	09-02-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.266	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$28,395,000	\$2,844,500	\$0	\$2,880,871	\$0	\$2,880,871

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	ABAG Finance Authority for Nonprofit Corporations CFD No 2004-2	\$30,235,000.00	10/30/2018	2007-0579	\$0.00	\$22,814,693.08		
LTOB	ABAG Finance Authority for Nonprofit Corporations CFD No 2004-2	\$15,000,000.00	10/30/2018	2007-0580	\$0.00	\$10,465,938.87		
<b>Total</b>		<b>\$45,235,000.00</b>				<b>\$33,280,631.95</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-08-2007	\$30,235,000	ABAG Finance Authority for Nonprofit Corporations CFD No 2004-2 Alameda CDIAC Number: 2007-0579 Limited tax obligation bond Multiple Capital Improvements, Public Works Windemere Ranch Series A Refunding	NR	Neg	(BC) (PU) (TR)	Quint & Thimmig ABAG FA for NPC Union Bank of CA	09-02-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,814,693	\$0	\$0	\$0	\$467,520

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$2,002,737

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$13,437	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
ABAG Finance Authority for Nonprofit Corporations	2014-1254	\$31,805,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-08-2007	\$15,000,000	ABAG Finance Authority for Nonprofit Corporations CFD No 2004-2 Alameda CDIAC Number: 2007-0580 Limited tax obligation bond Multiple Capital Improvements, Public Works Windemere Ranch Series B Federally Taxable	NR	Neg	(BC) (PU) (TR)	Quint & Thimmig ABAG FA for NPC Union Bank of CA	09-02-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,465,939	\$0	\$0	\$0	\$214,469

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.670	\$929,360

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$6,235	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
ABAG Finance Authority for Nonprofit Corporations	2014-1254	\$31,805,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-08-2017	\$47,425,000	ABAG Finance Authority for Nonprofit Corporations Multiple Counties CDIAC Number: 2017-1469 Revenue bond Multiple Capital Improvements, Public Works Windemere Ranch Series A Refunding	S:AA/A-     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Quint & Thimmig Quint & Thimmig NHA Advisors Assured Guaranty Corp MUFG Union Bank Stifel Nicolaus & Co Inc	09-02-34 Serial	<u>TIC</u> 2.997 <u>NIC</u> 2.749 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$47,365,000	\$5,965,500	\$0	\$0	\$5,965,500	\$5,965,500

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Association of Bay Area Governments	\$104,520,000.00	10/30/2018	2007-0576	\$0.00	\$72,259,663.71		
<b>Total</b>		<b>\$104,520,000.00</b>				<b>\$72,259,663.71</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-08-2017	\$12,460,000	ABAG Finance Authority for Nonprofit Corporations Multiple Counties CDIAC Number: 2017-1470 Revenue bond Multiple Capital Improvements, Public Works Windemere Ranch Series B Federally Taxable Refunding	S:A-	Neg	(BC) (DC) (FA) (TR) (UW)	Quint & Thimmig Quint & Thimmig NHA Advisors MUFG Union Bank Stifel Nicolaus & Co Inc	09-02-21 Serial	<u>TIC</u> 2.770 <u>NIC</u> 2.667 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,445,000	\$5,965,500	\$0	\$0	\$5,965,500	\$5,965,500

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	Association of Bay Area Governments	\$104,520,000.00	10/30/2018	2007-0576	\$0.00	\$72,259,663.71		
<b>Total</b>		<b>\$104,520,000.00</b>				<b>\$72,259,663.71</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-08-2007	\$104,520,000	Association of Bay Area Governments Multiple Counties CDIAC Number: 2007-0576 Special assessment bond Multiple Capital Improvements, Public Works Windemere Ranch ReAD No 1999-1 Refunding	NR	Neg	(BC) (TR) (UW)	Quint & Thimmig Union Bank of CA ABAG FA for NPC	09-02-32 Serial	<u>TIC</u> <u>NIC</u> 4.759 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$72,259,664	\$0	\$0	\$0	\$2,198,882	0	0.750	Y	\$7,988,057	\$60,202	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
ABAG Finance Authority for Nonprofit Corporations	2007-0577	\$112,545,000.00

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$72,259,664	\$0	\$0	\$0	\$2,198,882	0	0.750	Y	\$7,988,057	\$60,202	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
ABAG Finance Authority for Nonprofit Corporations	2017-1469	\$47,425,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$72,259,664	\$0	\$0	\$0	\$2,198,882	0	0.750	Y	\$7,988,057	\$60,202	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
ABAG Finance Authority for Nonprofit Corporations	2017-1470	\$12,460,000.00

#### MISCELLANEOUS

Issue 2007-0576 will be removed from issue 2007-0577 after the FY 17/18 MKR Calendar has been published.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-22-1998	\$23,025,000	Association of Bay Area Governments Multiple Counties CDIAC Number: 1998-0554 Revenue bond Multiple Capital Improvements, Public Works Coastside Co WD, Fort Bragg, Rialto, San Bernardino MWD, Stinson Beach Co WD & W San Bernardino Co WD Refunding	S:AAA      Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Stradling Yocca Bartle Wells FSA Union Bank of CA Henderson Capital	10-01-21 Comb	<u>TIC</u> <u>NIC</u> 5.110 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$55,000	\$57,915	\$0	\$0	\$62,760	\$62,760

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$286,635

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Stinson Beach County Water District	\$1,140,000.00		2016-0592			7/15/2013	Redeemed FY 13/14
COPL	Fort Bragg	\$770,000.00	06/28/2018	2016-0593	\$0.00	\$55,000.00		
COPL	Rialto	\$7,220,000.00		2016-0594			11/1/2012	Redeemed FY 12/13
COPL	San Bernardino Municipal Water Department	\$8,610,000.00		2016-0595			9/15/2005	O FY 05/06
COPL	West San Bernardino County Water District	\$2,430,000.00		2016-0596			10/1/2005	Matured FY 05/06
COPL	Coastside County Water District	\$2,855,000.00		2016-0597			9/6/2013	Repaid FY 13/14
		<b>Total</b>				<b>\$55,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-13-1998	\$770,000	Fort Bragg Mendocino CDIAC Number: 2016-0593 Certificates of participation/leases Multiple Capital Improvements, Public Works Series A	NR	Neg	(BC) (FA) (TR)	Stradling Yocca Bartle Wells Union Bank of CA	10-01-18 Comb	<u>TIC</u> <u>NIC</u> 5.110 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$55,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	1998-0554	\$23,025,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-20-2001	\$15,110,000	Association of Bay Area Governments Multiple Counties CDIAC Number: 2001-1382 Public lease revenue bond Multiple Capital Improvements, Public Works Antioch, Cypress, Half Moon Bay & Marysville Series A Refunding	S:AAA      Ins	Neg	(BC) (EN) (TR) (UW)	Quint & Thimmig Ambac Wells Fargo Bank NA Sutro & Co	07-01-31 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.908

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$895,000	\$59,500	\$0	\$59,500	\$742,667	\$802,167

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$357,592

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Marysville	\$2,725,000.00	11/14/2018	2016-0603	\$0.00	\$420,000.00		
COPL	Antioch	\$6,300,000.00		2016-0604			3/18/2015	Redeemed FY 14/15
COPL	Cypress	\$5,505,000.00	10/16/2018	2016-0606	\$0.00	\$475,000.00		
COPL	Half Moon Bay	\$580,000.00		2016-0607			6/28/2013	O FY 12/13
<b>Total</b>		<b>\$15,110,000.00</b>				<b>\$895,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-20-2001	\$2,725,000	Marysville Yuba CDIAC Number: 2016-0603 Certificates of participation/leases Multiple Capital Improvements, Public Works Series A	S:AAA	Neg	(BC) (PU) (TR)	Quint & Thimmig ABAG Wells Fargo Bank NA	07-01-31 Comb	<u>TIC</u> 4.908 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$420,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2001-1382	\$15,110,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
07-20-2001	\$5,505,000	Cypress Orange CDIAC Number: 2016-0606 Certificates of participation/leases Multiple Capital Improvements, Public Works Series A	S:AAA	Neg	(BC) (TR)	Quint & Thimmig Wells Fargo Bank NA	07-01-31 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.908

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$475,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2001-1382	\$15,110,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-20-2001	\$14,355,000	Association of Bay Area Governments Multiple Counties CDIAC Number: 2001-2111 Public lease revenue bond Multiple Capital Improvements, Public Works Grass Valley, Hayward, Solana Beach & S Lake Tahoe Refunding	S:AAA     Ins	Neg	(BC) (EN) (TR) (UW)	Quint & Thimmig Ambac Wells Fargo Bank NA Sutro & Co	12-01-25 Comb	<u>TIC</u> <u>NIC</u> 4.629 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$915,000	\$91,500	\$0	\$96,825	\$673,353	\$770,177

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$296,960

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Hayward	\$7,475,000.00	11/08/2018	2016-0608	\$0.00	\$235,000.00		
MKRL	Grass Valley	\$2,005,000.00		2016-0609				
COPL	South Lake Tahoe	\$1,410,000.00		2016-0610			12/1/2010	O FY 10/11
COPL	Solana Beach	\$3,465,000.00		2016-0611			12/1/2011	Redeemed FY 12/13
<b>Total</b>		<b>\$14,355,000.00</b>				<b>\$235,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-20-2001	\$7,475,000	Hayward Alameda CDIAC Number: 2016-0608 Certificates of participation/leases Multiple Capital Improvements, Public Works Series 2001-2	S:AAA	Neg	(BC) (PU) (TR)	Quint & Thimmig ABAG Wells Fargo Bank NA	12-01-25 Comb	<u>TIC</u> <u>NIC</u> 4.629 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$235,000	\$0	\$0	\$0	\$46,374

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.030	\$31,255,890

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,096,935	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2001-2111	\$14,355,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2004	\$8,875,000	Association of Bay Area Governments Multiple Counties CDIAC Number: 2004-2018 Tax allocation bond Redevelopment, Multiple Purposes Lakeport & W Sacramento RDAs Series B Federally Taxable	S:AAA      Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall XL Capital Assurance Union Bank of CA Henderson Capital	09-01-26 Comb	<u>TIC</u> <u>NIC</u> 5.117 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,020,000	\$1,794,265	\$0	\$0	\$1,794,265	\$1,794,265

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$67,210

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Lakeport Redevelopment Agency	\$1,170,000.00	09/14/2018	2004-2014	\$0.00	\$635,000.00		
TAB	West Sacramento Redevelopment Agency	\$7,705,000.00	10/29/2018	2004-2016	\$0.00	\$1,385,000.00		
<b>Total</b>		<b>\$8,875,000.00</b>				<b>\$2,020,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2004	\$1,170,000	Lakeport Redevelopment Agency Lake CDIAC Number: 2004-2014 Tax allocation bond Redevelopment, Multiple Purposes Series B Federally Taxable	NR     Ins	Neg	(BC) (EN) (PU) (TR)	Jones Hall XL Capital Assurance ABAG Union Bank of CA	09-01-26 Serial	<u>TIC</u> <u>NIC</u> 5.741 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$635,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2004-2018	\$8,875,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-01-2004	\$7,705,000	West Sacramento Redevelopment Agency Yolo CDIAC Number: 2004-2016 Tax allocation bond Redevelopment, Multiple Purposes Series B Federally Taxable	NR     Ins	Neg	(BC) (EN) (PU) (TR)	Jones Hall XL Capital Assurance ABAG Union Bank of CA	09-01-19 Serial	<u>TIC</u> <u>NIC</u> 5.741 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,385,000	\$1,644,338	\$0	\$0	\$1,644,338

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2004-2018	\$8,875,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
03-29-2006	\$9,605,000	Association of Bay Area Governments Multiple Counties CDIAC Number: 2006-0284 Revenue bond Redevelopment, Multiple Purposes Vacaville RDA & Glendora CRDA	S:AAA     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Quint & Thimmig Harrell & Co Advisors Ambac U.S. Bank NA Henderson Capital	09-01-26 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.661

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,405,000	\$694,500	\$0	\$0	\$694,500	\$694,500

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$142,811

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year CDIAC Nbr Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	Vacaville Redevelopment Agency	\$2,660,000.00		2006-0285		9/1/2016	Redeemed FY 16/17
TAB	Glendora Community Redevelopment Agency	\$6,945,000.00	10/24/2018	2006-0286 \$881.00	\$4,405,000.00		
<b>Total</b>		<b>\$9,605,000.00</b>			<b>\$4,405,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
03-29-2006	\$6,945,000	Glendora Community Redevelopment Agency Los Angeles CDIAC Number: 2006-0286 Tax allocation bond Redevelopment, Multiple Purposes Area No One	S:AAA     Ins	Neg	(BC) (FA) (EN) (TR) (UW)	Quint & Thimmig Harrell & Co Advisors Ambac U.S. Bank NA ABAG	09-01-25 Comb	<u>TIC</u> 4.661 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,405,000	\$0	\$0	\$881	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>
0	N

**C. TEETER PLAN**

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2006-0284	\$9,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-27-2007	\$38,835,000	Association of Bay Area Governments Multiple Counties CDIAC Number: 2007-1539 Revenue bond Redevelopment, Multiple Purposes Ojai, W Sacramento, San Carlos RDAs Series A	S:AAA     Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall Ambac U.S. Bank NA Henderson Capital	09-01-36 Comb	<u>TIC</u> <u>NIC</u> 4.890 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$0	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$197,708

#### C. TEETER PLAN

Teeter Plan Participant
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or	Reporting	Current Year		Outstanding Balance	Retired /	Retired Type	
		Loan Amount	Date	CDIAC Nbr	Admin Fees		Receipt / Repay Date		
TAB	West Sacramento Redevelopment Agency	\$22,830,000.00	10/29/2018	2007-1541	\$0.00	\$0.00	9/1/2017	Redeemed	FY 17/18
TAB	Ojai Redevelopment Agency	\$3,130,000.00		2007-1543			9/1/2012	O	FY 12/13
TAB	San Carlos Redevelopment Agency	\$12,875,000.00	07/23/2018	2007-1545	\$0.00	\$0.00	4/20/2018	O	FY 17/18
<b>Total</b>		<b>\$38,835,000.00</b>				<b>\$0.00</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-27-2007	\$22,830,000	West Sacramento Redevelopment Agency Yolo CDIAC Number: 2007-1541 Tax allocation bond Redevelopment, Multiple Purposes Series A	NR	Neg	(BC) (PU) (TR)	Jones Hall ABAG Union Bank of CA	09-01-36 Serial	<u>TIC</u> 5.018 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2007-1539	\$38,835,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-27-2007	\$12,875,000	San Carlos Redevelopment Agency San Mateo CDIAC Number: 2007-1545 Tax allocation bond Redevelopment, Multiple Purposes Series A Refunding	NR	Neg	(BC) (TR) (UW)	Jones Hall U.S. Bank NA ABAG	09-01-33 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.003

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2007-1539	\$38,835,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-27-2007	\$16,590,000	Association of Bay Area Governments Multiple Counties CDIAC Number: 2007-1540 Revenue bond Redevelopment, Multiple Purposes San Carlos & W Sacramento RDAs Series B Federally Taxable	S:AAA      Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall Ambac U.S. Bank NA Henderson Capital	09-01-18 Comb	<u>TIC</u> <u>NIC</u> 5.757 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,935,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2018	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
TAB	West Sacramento Redevelopment Agency	\$13,455,000.00	10/29/2018	2007-1542	\$0.00	\$1,570,000.00		
TAB	San Carlos Redevelopment Agency	\$3,135,000.00	07/23/2018	2007-1547	\$0.00	\$365,000.00		
<b>Total</b>		<b>\$16,590,000.00</b>				<b>\$1,935,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-27-2007	\$13,455,000	West Sacramento Redevelopment Agency Yolo CDIAC Number: 2007-1542 Tax allocation bond Redevelopment, Multiple Purposes Series B Federally Taxable	NR	Neg	(BC) (TR) (UW)	Jones Hall Union Bank of CA ABAG	09-01-18 Serial	<u>TIC</u> 5.847 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,570,000	\$2,725,250	\$0	\$0	\$2,725,250

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2007-1540	\$16,590,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-27-2007	\$3,135,000	San Carlos Redevelopment Agency San Mateo CDIAC Number: 2007-1547 Tax allocation bond Redevelopment, Multiple Purposes Series B Federally Taxable	NR	Neg	(BC) (TR) (UW)	Jones Hall U.S. Bank NA ABAG	09-01-18 Serial	<u>TIC</u> 4.909 <u>NIC</u> <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$365,000	\$0	\$0	\$0	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Association of Bay Area Governments	2007-1540	\$16,590,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-21-2003	\$3,250,000	California Community College Financing Authority Multiple Counties CDIAC Number: 2003-0708 Conduit revenue bond College, University Housing Feather River CCD Series A	S:BBB-	Neg	(BC) (TR) (UW)	Stradling Yocca U.S. Bank NA George K Baum	07-01-22 Comb	<u>TIC</u> <u>NIC</u> 5.496 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$1,265,000	\$319,828	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
MKRL	Feather River Community College District	\$3,250,000.00	01/03/2019	2016-0648	\$0.00	\$1,265,000.00		
<b>Total</b>		<b>\$3,250,000.00</b>				<b>\$1,265,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-21-2003	\$3,250,000	Feather River Community College District Plumas CDIAC Number: 2016-0648 Marks-Roos Authority Loan College, University Housing Student Housing Facility	NR	Neg	(BC) (LE) (TR)	Stradling Yocca CA Comm College FA U.S. Bank NA	07-01-22 Comb	<u>TIC</u> <u>NIC</u> 5.496 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,265,000	\$318,828	\$0	\$0	\$319,828

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Community College Financing Authority	2003-0708	\$3,250,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-15-2018	\$7,370,000	California Community College Financing Authority Multiple Counties CDIAC Number: 2018-0111 Public lease revenue bond College, University Facility Marin Comm College Dist Series A	S:AA+	Neg	(BC) (DC) (FA) (TR) (UW)	Stradling Yocca Stradling Yocca Keygent LLC U.S. Bank NA Piper Jaffray & Co	06-01-37 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.131 3.188

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,370,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Marin Community College District	\$7,370,000.00	11/09/2018	2018-0302	\$0.00	\$7,370,000.00		
<b>Total</b>		<b>\$7,370,000.00</b>				<b>\$7,370,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-15-2018	\$7,370,000	Marin Community College District Marin CDIAC Number: 2018-0302 Certificates of participation/leases College, University Facility Series A	NR	Neg	(BC) (DC) (FA) (TR)	Stradling Yocca Stradling Yocca Keygent LLC U.S. Bank NA	06-01-37 Serial	<u>TIC</u> 3.131 <u>NIC</u> 3.188 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,370,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.630	\$24,551,075

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$153,459	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Community College Financing Authority	2018-0111	\$7,370,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-06-2010	\$25,000,000	California Qualified School Bond Joint Powers Authority Multiple Counties CDIAC Number: 2010-0429 Revenue bond K-12 School Facility San Leandro USD, 2006 Measure B, Qualified School Construction Federally Taxable	NR	Neg	(BC) Jones Hall (FA) Dale Scott & Co Inc (TR) U.S. Bank NA (UW) JP Morgan Chase & Co	03-15-26 Term	<u>TIC</u> <u>NIC</u> 0.980 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,300,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	San Leandro Unified School District	\$18,327,344.00	10/07/2018	2010-0430	\$0.00	\$14,599,735.71		
<b>Total</b>		<b>\$18,327,344.00</b>				<b>\$14,599,735.71</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-06-2010	\$18,327,344	San Leandro Unified School District Alameda CDIAC Number: 2010-0430 General obligation bond K-12 School Facility Series D, 2006 Measure B, Qualified School Construction Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Jones Hall Dale Scott & Co Inc CA Qualified School JPA U.S. Bank NA	02-01-26 Term	<u>TIC</u> 4.550 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,599,736	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>
0	Y

**C. TEETER PLAN**

<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,374,251.90	\$112,396	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Qualified School Bond Joint Powers Authority	2010-0429	\$25,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-26-2010	\$25,000,000	California Qualified School Bond Joint Powers Authority Multiple Counties CDIAC Number: 2010-0642 Revenue bond K-12 School Facility Palm Springs USD, Qualified School Construction Federally Taxable	NR	Neg	(BC) (FA) (TR) (UW)	Jones Hall Dale Scott & Co Inc U.S. Bank NA JP Morgan Chase Bank	06-15-26 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	1.103

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$14,485,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Palm Springs Unified School District	\$19,539,035.00	10/07/2018	2010-0641	\$0.00	\$12,280,521.40		
<b>Total</b>		<b>\$19,539,035.00</b>				<b>\$12,280,521.40</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-26-2010	\$19,539,035	Palm Springs Unified School District Riverside CDIAC Number: 2010-0641 General obligation bond K-12 School Facility Measure E Series A, Qualified School Construction Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Bowie Arneson Wiles Dale Scott & Co Inc CA Qualified School JPA U.S. Bank NA	02-01-26 Term	<u>TIC</u> <u>NIC</u> 4.370 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,280,521	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.000	\$30,868,982

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$486,103	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Qualified School Bond Joint Powers Authority	2010-0642	\$25,000,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2010	\$25,000,000	California Qualified School Bond Joint Powers Authority Multiple Counties CDIAC Number: 2010-1065 Revenue bond K-12 School Facility Antioch USD Series C-1, Qualified School Construction Federally Taxable	S:A+	Neg	(BC) Jones Hall (FA) Dale Scott & Co Inc (TR) The Bank of NY Mellon (UW) E J De La Rosa	03-01-27 Comb	<u>TIC</u> <u>NIC</u> 7.001 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$21,500,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Antioch Unified School District	\$20,582,056.00	10/07/2018	2010-0926	\$0.00	\$17,068,114.37		
<b>Total</b>		<b>\$20,582,056.00</b>				<b>\$17,068,114.37</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2010	\$20,582,056	Antioch Unified School District Contra Costa CDIAC Number: 2010-0926 General obligation bond K-12 School Facility ID No 1 Series C-1, Quallified School Construction Federally Taxable	S:A+	Neg	(BC) Jones Hall (DC) Jones Hall (FA) Dale Scott & Co Inc (TR) The Bank of NY Mellon (UW) CA Qualified School JPA	08-01-13 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.519

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,068,114	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.800	\$5,000,543

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$43,239	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Qualified School Bond Joint Powers Authority	2010-1065	\$25,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-25-2011	\$18,000,000	California Qualified School Bond Joint Powers Authority Multiple Counties CDIAC Number: 2011-0408 Revenue bond K-12 School Facility Cajon Valley USD, Qualified School Construction Bonds Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Jones Hall Dale Scott & Co Inc JP Morgan Chase Bank U.S. Bank NA	09-01-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.020

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,200,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Cajon Valley Union School District	\$13,093,060.00	10/07/2018	2011-0407	\$0.00	\$9,012,842.98		
<b>Total</b>		<b>\$13,093,060.00</b>				<b>\$9,012,842.98</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-10-2011	\$13,093,060	Cajon Valley Union School District San Diego CDIAC Number: 2011-0407 General obligation bond K-12 School Facility Series B, California Qualified School Construction Bond Federally Taxable	NR	Neg PP	(BC) (PU) (TR)	Jones Hall CA Qualified School JPA U.S. Bank NA	08-01-25 Term	<u>TIC</u> 4.000 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,012,843	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$32,083,819

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$33,492	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Qualified School Bond Joint Powers Authority	2011-0408	\$18,000,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-22-2011	\$14,900,000	California Qualified School Bond Joint Powers Authority Multiple Counties CDIAC Number: 2011-0737 Revenue bond K-12 School Facility John Swett USD, Qualified School Construction Bonds Federally Taxable	S:A+	Neg	(BC) (FA) (TR) (UW)	Jones Hall Dale Scott & Co Inc U.S. Bank NA Stone & Youngberg	09-01-26 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	1.222

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,750,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	John Swett Unified School District	\$11,963,755.00	10/07/2018	2011-0738	\$0.00	\$9,433,893.67		
<b>Total</b>		<b>\$11,963,755.00</b>				<b>\$9,433,893.67</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-22-2011	\$11,963,755	John Swett Unified School District Contra Costa CDIAC Number: 2011-0738 General obligation bond K-12 School Facility Series B, Qualifed School Construction Bonds Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Jones Hall Dale Scott & Co Inc CA Qualified School JPA U.S. Bank NA	08-01-26 Comb	<u>TIC</u> 3.750 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,433,894	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$3,605,572

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$47,042	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Qualified School Bond Joint Powers Authority	2011-0737	\$14,900,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-07-2011	\$25,000,000	California Qualified School Bond Joint Powers Authority Multiple Counties CDIAC Number: 2011-1028 Revenue bond K-12 School Facility East Side UnHSD, Qualified School Construction Bonds Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Jones Hall Dale Scott & Co Inc JP Morgan Chase Bank U.S. Bank NA	03-01-26 Term	<u>TIC</u> <u>NIC</u> 6.080 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,750,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	East Side Union High School District	\$20,026,088.00	10/07/2018	2011-1027	\$0.00	\$13,185,967.87		
<b>Total</b>		<b>\$20,026,088.00</b>				<b>\$13,185,967.87</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-07-2011	\$20,026,088	East Side Union High School District Santa Clara CDIAC Number: 2011-1027 General obligation bond K-12 School Facility Qualified School Construction Bonds, Series C Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Jones Hall Dale Scott & Co Inc CA Qualified School JPA U.S. Bank NA	02-01-26 Term	<u>TIC</u> <u>NIC</u> 4.000 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,185,968	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.400	\$30,904,230

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$142,701	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Qualified School Bond Joint Powers Authority	2011-1028	\$25,000,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2004	\$197,084,195	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2004-0688 Revenue bond Insurance and Pension Funds Monterey Pk, Daly city, Butte Co, Merced, Riverside, Millbrae & King Co Pension Obligation Series A-1 & A-2 Federally Taxable	S:AAA M:Aaa  Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Ambac Wells Fargo Bank NA Morgan Stanley	06-01-34 Comb CAB	<u>TIC</u> <u>NIC</u> 6.329 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$153,108,130	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
POB	Monterey Park	\$17,405,000.00	08/13/2018	2016-0651	\$1,961.00	\$13,075,000.00		
POB	Daly City	\$36,235,000.00	01/04/2019	2016-0652	\$3,078.00	\$20,520,000.00		
POB	Butte County	\$28,020,000.00	07/24/2018	2016-0653	\$7,030.00	\$26,990,000.00		
POB	Merced	\$7,355,000.00	07/18/2018	2016-0654	\$653.00	\$4,350,000.00		
POB	Riverside	\$89,540,000.00	10/30/2018	2016-0655	\$6,660.00	\$44,400,000.00		
POB	Millbrae	\$11,521,630.00	11/09/2018	2016-0656	\$2,236.62	\$14,720,759.60		
POB	Kings County	\$7,007,565.00	07/18/2018	2016-0657	\$1,377.00	\$1,870,155.65		
<b>Total</b>		<b>\$197,084,195.00</b>				<b>\$125,925,915.25</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2004	\$17,405,000	Monterey Park Los Angeles CDIAC Number: 2016-0651 Pension obligation bonds Insurance and Pension Funds Pension Obligation Series A-1	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	06-01-34 Comb	<u>TIC</u> <u>NIC</u> 6.329 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$13,075,000	\$0	\$0	\$1,961	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-0688	\$197,084,195.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2004	\$36,235,000	Daly City San Mateo CDIAC Number: 2016-0652 Pension obligation bonds Insurance and Pension Funds Pension Obligation Series A-1	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	06-01-34 Comb	<u>TIC</u> <u>NIC</u> 6.329 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$20,520,000	\$0	\$0	\$3,078	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-0688	\$197,084,195.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2004	\$28,020,000	Butte County Butte CDIAC Number: 2016-0653 Pension obligation bonds Insurance and Pension Funds Pension Obligation Series A-1	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	06-01-34 Comb	<u>TIC</u> <u>NIC</u> 6.329 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$26,990,000	\$0	\$0	\$7,030	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-0688	\$197,084,195.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
06-15-2004	\$7,355,000	Merced Merced CDIAC Number: 2016-0654 Pension obligation bonds Insurance and Pension Funds Pension Obligation Series A-1	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	06-01-34 Comb	TIC NIC 6.329 TYPE:

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$4,350,000	\$0	\$0	\$653	\$0

B. DELINQUENCY

Authority Reserve Fund (%)	Del Taxes Reported YES/NO	Taxes Due
0	N	\$0

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$0	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
California Statewide Communities Development Authority	2004-0688	\$197,084,195.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2004	\$89,540,000	Riverside Riverside CDIAC Number: 2016-0655 Pension obligation bonds Insurance and Pension Funds Riverside	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	06-01-34 Comb	<u>TIC</u> <u>NIC</u> 6.329 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$44,400,000	\$0	\$0	\$6,660	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-0688	\$197,084,195.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2004	\$11,521,630	Millbrae San Mateo CDIAC Number: 2016-0656 Pension obligation bonds Insurance and Pension Funds Pension Obligation Series A-2	NR	Neg	(BC) (LE) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	06-01-34 Comb	<u>TIC</u> <u>NIC</u> 6.329 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,720,760	\$0	\$0	\$2,237	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-0688	\$197,084,195.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2004	\$7,007,565	Kings County Kings CDIAC Number: 2016-0657 Pension obligation bonds Insurance and Pension Funds Pension Obligation Series A-2	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	06-01-34 Comb	<u>TIC</u> <u>NIC</u> 6.329 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,870,156	\$0	\$3,834,844	\$1,377	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-0688	\$197,084,195.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-24-2004	\$3,590,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2004-1837 Revenue bond Multiple Capital Improvements, Public Works Contra Costa/Napa/Placer & Sacramento Counties AD No 04-01	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA RBC Dain Rauscher	09-02-34 Comb	<u>TIC</u> <u>NIC</u> 5.557 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$2,560,000	\$239,115	\$0	\$239,115	\$0	\$239,115

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
County Government	\$1,529
Consultant	\$4,556
Disclosure	\$2,576
Trustee	\$7,500
<b>Total:</b>	<b>\$16,161</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$447,353.00	10/24/2018	2004-1841	\$2,042.29	\$333,334.12		
SAB	California Statewide Communities Development Authority	\$352,496.00	10/24/2018	2004-1842	\$897.74	\$147,654.05		
SAB	California Statewide Communities Development Authority	\$1,580,473.00	10/24/2018	2004-1843	\$7,230.79	\$1,177,650.58		
SAB	California Statewide Communities Development Authority	\$1,209,677.00	10/24/2018	2004-1844	\$5,522.53	\$901,361.26		
<b>Total</b>		<b>\$3,589,999.00</b>				<b>\$2,560,000.01</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-24-2004	\$447,353	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2004-1841 Special assessment bond Multiple Capital Improvements, Public Works Contra Costa County AD No 04-01	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA Wells Fargo Bank NA	09-02-34 Comb	<u>TIC</u> <u>NIC</u> 5.557 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$333,334	\$0	\$0	\$2,042	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$33,393

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-1837	\$3,590,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-24-2004	\$352,496	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2004-1842 Special assessment bond Multiple Capital Improvements, Public Works Sacramento County AD No 04-01	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA Wells Fargo Bank NA	09-02-34 Comb	<u>TIC</u> <u>NIC</u> 5.557 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$147,654	\$0	\$0	\$898	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$14,679

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-1837	\$3,590,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-24-2004	\$1,580,473	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2004-1843 Special assessment bond Multiple Capital Improvements, Public Works Placer County AD No 04-01	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA Wells Fargo Bank NA	09-02-34 Comb	<u>TIC</u> <u>NIC</u> 5.557 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,177,651	\$0	\$0	\$7,231	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.830	\$117,990

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$977	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-1837	\$3,590,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-24-2004	\$1,209,677	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2004-1844 Special assessment bond Other Capital Improvements, Public Works Napa County AD No 04-01 Refunding	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA Wells Fargo Bank NA	09-02-34 Comb	<u>TIC</u> <u>NIC</u> 5.557 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$901,361	\$0	\$0	\$5,523	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$90,297

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2004-1837	\$3,590,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2005	\$20,635,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2005-0964 Pension obligation bonds Insurance and Pension Funds Downey Series A Federally Taxable	S:AAA M:Aaa  Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Ambac Wells Fargo Bank NA RBC Dain Rauscher	06-01-34 Comb	<u>TIC</u> <u>NIC</u> 5.033 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$17,090,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
OTHB	Downey	\$20,635,000.00	10/30/2018	2005-1349	\$2,564.00	\$17,090,000.00		
<b>Total</b>		<b>\$20,635,000.00</b>				<b>\$17,090,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2005	\$20,635,000	Downey Los Angeles CDIAC Number: 2005-1349 Other bond Insurance and Pension Funds Federally Taxable	S:AAA M:Aaa    Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Ambac Wells Fargo Bank NA CSCDA	06-01-34 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.033

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,090,000	\$0	\$0	\$2,564	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2005-0964	\$20,635,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
06-15-2006	\$62,813,647	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2006-0759 Pension obligation bonds Insurance and Pension Funds Auburn, Benicia, Novato, Pacific Grove & Pinole Series A-1 & 2 Federally Taxable	S:AAA M:Aaa    Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Ambac Wells Fargo Bank NA Morgan Stanley	06-01-36 Comb CAB	TIC NIC TYPE:	6.136

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$57,931,483	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
OTHB	Auburn	\$4,965,000.00		2006-0918			10/15/2015	Redeemed FY 15/16
OTHB	Benicia	\$13,972,596.00	01/15/2019	2006-0919	\$2,500.00	\$7,701,537.00		
POB	Novato	\$18,296,066.00	11/21/2018	2006-0920	\$2,790.00	\$15,711,066.00		
POB	Pacific Grove	\$19,365,355.00	12/17/2018	2006-0921	\$0.00	\$7,185,366.00		
POB	Pinole	\$6,214,630.00	07/02/2018	2006-0922	\$1,131.00	\$3,436,175.30		
<b>Total</b>		<b>\$62,813,647.00</b>				<b>\$34,034,144.30</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-15-2006	\$13,972,596	Benicia Solano CDIAC Number: 2006-0919 Other bond Insurance and Pension Funds Federally Taxable	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-36	TIC Comb CAB	6.112 NIC TYPE:

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,701,537	\$0	\$0	\$2,500	\$1,101,082

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2006-0759	\$62,813,647.00

#### MISCELLANEOUS

Email was sent to the Customer regarding the Principal Outstanding Balance.

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2006	\$18,296,066	Novato Marin CDIAC Number: 2006-0920 Pension obligation bonds Insurance and Pension Funds Federally Taxable	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-35 Comb CAB	<u>TIC</u> 6.117 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,711,066	\$0	\$0	\$2,790	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2006-0759	\$62,813,647.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-15-2006	\$19,365,355	Pacific Grove Monterey CDIAC Number: 2006-0921 Pension obligation bonds Insurance and Pension Funds Series A Federally Taxable	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	06-01-29 Serial	<u>TIC</u> 6.159 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,185,366	\$0	\$7,495,438	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2006-0759	\$62,813,647.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-15-2006	\$6,214,630	Pinole Contra Costa CDIAC Number: 2006-0922 Pension obligation bonds Insurance and Pension Funds	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-36 Serial CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.194

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,436,175	\$0	\$0	\$1,131	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2006-0759	\$62,813,647.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type		
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest		
04-04-2007	\$87,475,699	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-0201 Pension obligation bonds Insurance and Pension Funds Baldwin Pk, Marina, Oroville, Palm Springs, Paradise, Port Hueneme, San Marino, Seaside & Yuba City Series A-1 & 2 Federally Taxable	S:AAA M:Aaa    Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington Ambac Wells Fargo Bank NA RBC Capital Mkts LLC	06-01-35 Comb CAB	TIC NIC TYPE:	5.778

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$51,453,012	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

Issuance Cost
\$0

#### C. TEETER PLAN

Teeter Plan Participant	Maturity Date	Commission Amount	Interest Earn
N		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

#### F. LOCAL OBLIGORS

Type	Issuer	Purchase or	Reporting	Current Year		Outstanding	Retired /	Retired
		Loan Amount	Date	CDIAC Nbr	Admin Fees	Balance	Repay Date	Type
POB	Baldwin Park	\$12,810,000.00	10/24/2018	2007-0670	\$0.00	\$0.00	6/1/2018	Matured FY 17/18
POB	Marina	\$4,315,000.00	06/27/2018	2007-0671	\$22.00	\$145,000.00		
POB	Oroville	\$7,260,000.00	11/13/2018	2007-0672	\$602.00	\$4,010,000.00		
POB	Palm Springs	\$19,832,588.00	10/24/2018	2007-0673	\$3,084.23	\$17,590,685.15		
POB	Paradise	\$10,918,154.00	07/05/2018	2007-0674	\$1,699.00	\$5,560,893.80		
POB	Port Hueneme	\$10,679,956.00	08/07/2018	2007-0675	\$1,193.74	\$3,938,921.00		
POB	San Marino	\$7,095,000.00	12/03/2018	2007-0676	\$488.00	\$3,250,000.00		
POB	Seaside	\$6,880,000.00	12/04/2018	2007-0677	\$644.00	\$4,290,000.00		

## 2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u>	<u>Reporting</u>	<u>Current Year</u>	<u>Outstanding</u>	<u>Retired /</u>	<u>Retired</u>
		<u>Loan Amount</u>	<u>Date</u>	<u>Admin Fees</u>	<u>Balance</u>	<u>Repay Date</u>	<u>Type</u>
POB	Yuba City	\$7,685,000.00	11/30/2018	\$0.00	\$0.00	6/1/2017	Matured FY 16/17
<b>Total</b>		<b>\$87,475,698.00</b>			<b>\$38,785,499.95</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-04-2007	\$12,810,000	Baldwin Park Los Angeles CDIAC Number: 2007-0670 Pension obligation bonds Insurance and Pension Funds Series A-1 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-18 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.526

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2007-0201	\$87,475,699.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-04-2007	\$4,315,000	Marina Monterey CDIAC Number: 2007-0671 Pension obligation bonds Insurance and Pension Funds Series A-1 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Marina Wells Fargo Bank NA CSCDA	06-01-19 Serial	<u>TIC</u> 5.512 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$145,000	\$0	\$0	\$22	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2007-0201	\$87,475,699.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-04-2007	\$7,260,000	Oroville Butte CDIAC Number: 2007-0672 Pension obligation bonds Insurance and Pension Funds Series A-1 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-24 Serial	<u>TIC</u> 5.585 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,010,000	\$0	\$0	\$602	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2007-0201	\$87,475,699.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-04-2007	\$19,832,588	Palm Springs Riverside CDIAC Number: 2007-0673 Pension obligation bonds Insurance and Pension Funds Series A-1 & A-2 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-35 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.669

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$17,590,685	\$0	\$0	\$3,084	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2007-0201	\$87,475,699.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-04-2007	\$10,918,154	Paradise Butte CDIAC Number: 2007-0674 Pension obligation bonds Insurance and Pension Funds Series A-2 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-31	<u>TIC</u> <u>NIC</u> <u>CAB</u>	5.714 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,560,894	\$0	\$4,216,684	\$1,699	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2007-0201	\$87,475,699.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-04-2007	\$10,679,956	Port Hueneme Ventura CDIAC Number: 2007-0675 Pension obligation bonds Insurance and Pension Funds Series A-1 & A-2 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-35 Serial CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.707

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,938,921	\$0	\$0	\$1,194	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2007-0201	\$87,475,699.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-04-2007	\$7,095,000	San Marino Los Angeles CDIAC Number: 2007-0676 Pension obligation bonds Insurance and Pension Funds Series A-1 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-24 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.542

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,250,000	\$0	\$0	\$488	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2007-0201	\$87,475,699.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-04-2007	\$6,880,000	Seaside Monterey CDIAC Number: 2007-0677 Pension obligation bonds Insurance and Pension Funds Series A-1 Federally Taxable	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	06-01-25 Serial	<u>TIC</u> 5.574 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,290,000	\$0	\$0	\$644	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2007-0201	\$87,475,699.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-06-2010	\$17,650,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2009-1534 Pension obligation bonds Insurance and Pension Funds San Ramon Series A Federally Taxable	S:AA+	Neg	(BC) (FA) (TR) (UW)	Orrick Herrington Urban Futures Wells Fargo Bank NA De La Rosa & Co	06-01-39 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.563

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,860,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
POB	San Ramon	\$17,650,000.00	10/08/2018	2010-0004	\$2,379.00	\$15,860,000.00		
		<b>Total</b>				<b>\$15,860,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-06-2010	\$17,650,000	San Ramon Contra Costa CDIAC Number: 2010-0004 Pension obligation bonds Insurance and Pension Funds Series A Federally Taxable	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Urban Futures CSCDA Wells Fargo Bank NA	06-01-39 Comb	<u>TIC</u> 6.563 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$15,860,000	\$0	\$0	\$2,379	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2009-1534	\$17,650,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-15-2011	\$9,190,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2011-1090 Revenue bond Multiple Capital Improvements, Public Works El Dorado, Sutter & San Joaquin Counties Series A	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA RBC Capital Mkts LLC	09-02-41 Term	<u>TIC</u> <u>NIC</u> 8.252 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$7,380,000	\$699,600	\$0	\$707,400	\$0	\$707,400

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$22,638
County Government	\$57
Consultant	\$4,464
Disclosure	\$7,398
Trustee	\$6,500
<b>Total:</b>	<b>\$41,058</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$384,762.00	10/24/2018	2011-1091	\$2,018.75	\$362,991.09		
SAB	California Statewide Communities Development Authority	\$8,307,426.00	10/24/2018	2011-1092	\$39,038.86	\$7,017,364.96		
SAB	California Statewide Communities Development Authority	\$497,812.00		2011-1093			9/2/2014	Redeemed
		<b>Total</b>				<b>\$7,380,356.05</b>		<b>FY 14/15</b>

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-15-2011	\$384,762	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2011-1091 Special assessment bond Multiple Capital Improvements, Public Works Sutter Co AD No 11-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-41 Term	<u>TIC</u> <u>NIC</u> 8.252 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$362,991	\$0	\$0	\$2,019	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$36,501

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2011-1090	\$9,190,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-15-2011	\$8,307,426	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2011-1092 Special assessment bond Multiple Capital Improvements, Public Works San Joaquin Co AD No 11-01	NR	Neg	(BC) Orrick Herrington (TR) Wells Fargo Bank NA (UW) CSCDA	09-02-41 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	8.252

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,017,365	\$0	\$0	\$39,039	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$705,636

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$347,584	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2011-1090	\$9,190,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-06-2012	\$21,030,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2012-1236 Revenue bond Multiple Capital Improvements, Public Works Bakersfield Consolidated ReAD No 12-1	S:BBB-	Neg	(BC) (TR) (UW)	Orrick Herrington Wilmington Trust RBC Capital Mkts LLC	09-02-24 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.390 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,575,000	\$2,103,000	\$0	\$2,103,000	\$0	\$2,103,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2024	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$2,000
Total:	\$2,000

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	Bakersfield	\$21,030,000.00	10/17/2018	2012-1867	\$1,596.75	\$10,575,000.00		
<b>Total</b>		<b>\$21,030,000.00</b>				<b>\$10,575,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-06-2012	\$21,030,000	Bakersfield Kern CDIAC Number: 2012-1867 Special assessment bond Multiple Capital Improvements, Public Works Consolidated ReAD No 12-1 Refunding	NR	Neg PP	(BC) (PU) (TR)	Orrick Herrington CSCDA Wilmington Trust	09-02-24 Term	<u>TIC</u> 3.390 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,575,000	\$2,037,000	\$0	\$1,597	\$2,047,119

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	Y	0.860	\$2,708,951

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$23,325	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2012-1236	\$21,030,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-13-2013	\$6,055,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2013-0363 Revenue bond Multiple Capital Improvements, Public Works Pleasant Hill Downtown CFD No 1, Series A	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) NHA Advisors (TR) U.S. Bank NA (UW) Westhoff Cone	09-01-32 Comb	<u>TIC</u> 4.129 <u>NIC</u> 4.141 <u>TYPE:</u>	

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,045,000	\$464,725	\$0	\$466,227	\$0	\$466,227

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
LTOB	Pleasant Hill Downtown CFD No 1	\$6,055,000.00	10/24/2018	2013-0743	\$0.00	\$5,045,000.00		
<b>Total</b>		<b>\$6,055,000.00</b>				<b>\$5,045,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-13-2013	\$6,055,000	Pleasant Hill Downtown CFD No 1 Contra Costa CDIAC Number: 2013-0743 Limited tax obligation bond Multiple Capital Improvements, Public Works Series A Refunding	NR	Neg	(BC) Jones Hall (DC) Jones Hall (FA) NHA Advisors (PU) CSCDA (TR) U.S. Bank NA	09-01-32 Comb	<u>TIC</u> 4.129 <u>NIC</u> 4.141 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,045,000	\$464,725	\$0	\$0	\$466,267

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-0363	\$6,055,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
08-29-2013	\$14,402,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2013-1186 Revenue bond Multiple Capital Improvements, Public Works Infrastructure Series A Refunding	NR	Neg PP	(BC) (PA) (PU) (TR)	Orrick Herrington RBC Capital Mkts LLC Umpqua Bank Wells Fargo Bank NA	09-02-35 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.400 4.400

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$12,583,000	\$1,048,748	\$0	\$1,078,592	\$0	\$1,078,592

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$35,449
County Government	\$4,018
Consultant	\$11,026
Disclosure	\$12,667
Trustee	\$12,500
<b>Total:</b>	<b>\$75,660</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$192,561.00	10/24/2018	2003-1742	\$921.41	\$139,737.24		
SAB	California Statewide Communities Development Authority	\$1,005,338.00	10/24/2018	2003-1743	\$4,826.04	\$729,551.51		
SAB	California Statewide Communities Development Authority	\$1,863,488.00	10/24/2018	2003-1744	\$8,978.76	\$1,352,291.92		
SAB	California Statewide Communities Development Authority	\$3,208,613.00	10/24/2018	2003-1746	\$15,384.28	\$2,328,419.32		



2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
SAB	California Statewide Communities Development Authority	\$3,786,985.00	10/24/2018	2005-1368	\$15,626.20	\$2,723,373.39		
SAB	California Statewide Communities Development Authority	\$709,728.00	10/24/2018	2005-1369	\$3,095.82	\$540,380.24		
SAB	California Statewide Communities Development Authority	\$3,174,563.00	10/24/2018	2005-1370	\$13,856.31	\$2,327,081.37		
SAB	California Statewide Communities Development Authority	\$992,136.00	10/24/2018	2005-1371	\$4,327.68	\$755,403.59		
SAB	California Statewide Communities Development Authority	\$1,981,589.00	10/24/2018	2005-1372	\$8,643.65	\$1,508,762.43		
<b>Total</b>		<b>\$16,915,001.00</b>				<b>\$12,405,001.01</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-10-2003	\$192,561	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2003-1742 Special assessment bond Other Purpose Development Impact Fees Ventura Co AD No 03-01 Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA Wells Fargo Bank NA	09-02-33 Comb	<u>TIC</u> <u>NIC</u> 5.843 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$139,737	\$0	\$0	\$921	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$14,398

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-1186	\$14,402,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-10-2003	\$1,005,338	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2003-1743 Special assessment bond Other Purpose Development Impact Fees Placer Co AD No 03-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA Wells Fargo Bank NA	09-02-33 Comb	<u>TIC</u> <u>NIC</u> 5.843 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$729,552	\$0	\$0	\$4,826	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$52,011

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-1186	\$14,402,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale Private Placement</u>	<u>Role Participant</u>	<u>Maturity Date, Type Capital Appreciation Bond Interest</u>
10-10-2003	\$1,863,488	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2003-1744 Special assessment bond Other Purpose Development Impact Fees San Mateo Co AD No 03-01	NR	Neg	(BC) Orrick Herrington (TR) Wells Fargo Bank NA (UW) Wells Fargo Bank NA	09-02-33 Comb <u>TIC</u> <u>NIC</u> 5.843 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,352,292	\$0	\$0	\$8,979	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$131,196

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,790	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-1186	\$14,402,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-10-2003	\$3,208,613	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2003-1746 Special assessment bond Other Purpose Development Impact Fees Contra Costa Co AD No 03-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA Wells Fargo Bank NA	09-02-33 Comb	<u>TIC</u> <u>NIC</u> 5.843 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,328,419	\$0	\$0	\$15,384	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.760	\$220,340

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,669	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-1186	\$14,402,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-21-2005	\$3,786,985	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2005-1368 Special assessment bond Wastewater Collection, Treatment Los Trancos Woods San Mateo Co AD No 05-01	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-35 Comb	<u>TIC</u> <u>NIC</u> 5.240 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,723,373	\$0	\$0	\$15,626	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$230,603

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,224	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-1186	\$14,402,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-21-2005	\$709,728	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2005-1369 Special assessment bond Street Construction and Improvements Beltway II & Laguna 5 Sacramento Co AD No 05-01	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-35 Comb	<u>TIC</u> <u>NIC</u> 5.240 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$540,380	\$0	\$0	\$3,096	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$38,737

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-1186	\$14,402,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-21-2005	\$3,174,563	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2005-1370 Special assessment bond Water Supply, Storage, Distribution Rocklin Hwy 65, Blue Oaks Town Ctr, John Mourier/Parkside Estates Placer Co AD No 05-01	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-35 Comb	<u>TIC</u> <u>NIC</u> 5.240 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,327,081	\$0	\$0	\$13,856	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.750	\$186,300

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,402	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-1186	\$14,402,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-21-2005	\$992,136	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2005-1371 Special assessment bond Water Supply, Storage, Distribution Napa Co AD No 05-01 Refunding	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-35 Comb	<u>TIC</u> <u>NIC</u> 5.240 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$755,404	\$0	\$0	\$4,328	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$60,201

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-1186	\$14,402,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-21-2005	\$1,981,589	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2005-1372 Special assessment bond Other Capital Improvements, Public Works Sand Creek, Brentwood Asst Living/Medical & B & M Property Contra Costa Co AD No 05-01	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-35 Comb	<u>TIC</u> <u>NIC</u> 5.240 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,508,762	\$0	\$0	\$8,644	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$127,770

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2013-1186	\$14,402,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
04-22-2014	\$4,680,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2014-0233 Revenue bond Multiple Capital Improvements, Public Works Placer & San Joaquin SCIP AD 14-01	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA RBC Capital Mkts LLC	09-02-43 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.980 4.915

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$4,375,000	\$300,936	\$0	\$300,957	\$0	\$300,957

B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2043	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$26,111
Total:	\$26,111

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$1,855,000.00	10/29/2018	2014-0274	\$10,324.34	\$1,735,000.00		
SAB	California Statewide Communities Development Authority	\$2,825,000.00	10/29/2018	2014-0275	\$15,786.20	\$2,640,000.00		
<b>Total</b>		<b>\$4,680,000.00</b>				<b>\$4,375,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-22-2014	\$1,855,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2014-0274 Special assessment bond Multiple Capital Improvements, Public Works San Joaquin Co AD No 14-01	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-43 Comb	<u>TIC</u> 4.980 <u>NIC</u> 4.915 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,735,000	\$118,900	\$0	\$10,324	\$118,908

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
39.510	0	\$127,161

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2014-0233	\$4,680,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-22-2014	\$2,825,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2014-0275 Special assessment bond Multiple Capital Improvements, Public Works Placer Co AD No 14-01	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-43 Comb	<u>TIC</u> 4.980 <u>NIC</u> 4.915 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,640,000	\$182,036	\$0	\$15,786	\$182,049

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
60.490	Y	0.600	\$197,526

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,194	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2014-0233	\$4,680,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
11-05-2014	\$11,015,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2014-1730 Revenue bond Multiple Capital Improvements, Public Works Statewide Comm Infrastructure	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA RBC Capital Mkts LLC	09-02-44 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.562 6.294

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,860,000	\$786,016	\$0	\$786,016	\$0	\$786,016

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2044	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$43,482
Total:	\$43,482

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$11,015,000.00	10/30/2018	2014-1731	\$43,481.76	\$10,860,000.00		
<b>Total</b>		<b>\$11,015,000.00</b>				<b>\$10,860,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-05-2014	\$11,015,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2014-1731 Special assessment bond Multiple Capital Improvements, Public Works AD No 14-01 Yucaipa Vly WD	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-44 Term	<u>TIC</u> 6.562 <u>NIC</u> 6.294 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$10,860,000	\$786,016	\$0	\$43,482	\$786,016

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
100.000	N	\$880,352

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2014-1730	\$11,015,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-14-2015	\$10,605,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-0134 Revenue bond Multiple Capital Improvements, Public Works Placer, San Joaquin & San Diego Counties ADs 14-02; San Diego Co AD 14-03 Series B	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA RBC Capital Mkts LLC	09-02-44 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.490 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,165,000	\$676,000	\$0	\$676,091	\$0	\$676,091

#### Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N	9/1/2044	\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$52,843
Total:	\$52,843

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$4,300,000.00	10/29/2018	2015-0135	\$21,483.76	\$4,120,000.00		
SAB	California Statewide Communities Development Authority	\$4,390,000.00	10/29/2018	2015-0136	\$21,820.44	\$4,205,000.00		
SAB	California Statewide Communities Development Authority	\$500,000.00	10/29/2018	2015-0137	\$2,464.67	\$480,000.00		
SAB	California Statewide Communities Development Authority	\$1,415,000.00	10/29/2018	2015-0138	\$7,074.16	\$1,355,000.00		
<b>Total</b>		<b>\$10,605,000.00</b>				<b>\$10,160,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-14-2015	\$4,300,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-0135 Special assessment bond Multiple Capital Improvements, Public Works Placer Co AD No 14-02	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-44 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.490 0

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,120,000	\$274,118	\$0	\$21,484	\$274,155

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
40.550	Y	0.630	\$291,733

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,837	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-0134	\$10,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-14-2015	\$4,390,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-0136 Special assessment bond Multiple Capital Improvements, Public Works San Diego Co AD No 14-03	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-44 Comb	<u>TIC</u> 4.490 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,205,000	\$279,864	\$0	\$21,820	\$279,902

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
41.400	Y	2.650	\$295,571

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$7,828	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-0134	\$10,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-14-2015	\$500,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-0137 Special assessment bond Multiple Capital Improvements, Public Works San Diego Co AD No 14-02	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-44 Comb	<u>TIC</u> 4.490 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$480,000	\$31,840	\$0	\$2,465	\$31,844

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.710	N	\$33,021

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-0134	\$10,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-14-2015	\$1,415,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-0138 Special assessment bond Multiple Capital Improvements, Public Works San Joaquin Co AD No 14-02	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA RBC Capital Mkts LLC	09-02-44 Comb	<u>TIC</u> 4.490 <u>NIC</u> 0 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,355,000	\$90,178	\$0	\$7,074	\$90,191

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
13.340	N	\$94,742

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-0134	\$10,605,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
06-11-2015	\$29,195,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-1192 Revenue bond Multiple Capital Improvements, Public Works Series R1 Refunding	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA RBC Capital Mkts LLC	09-02-40 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.541 4.628

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$25,660,000	\$1,955,258	\$0	\$1,945,801	\$0	\$1,945,801

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$71,122
County Government	\$6,675
Consultant	\$14,759
Disclosure	\$25,795
Trustee	\$20,500
<b>Total:</b>	<b>\$138,851</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$390,487.00	10/25/2018	2007-1549	\$1,117.49	\$197,210.16		
SAB	California Statewide Communities Development Authority	\$4,910,149.00	10/25/2018	2007-1550	\$11,474.47	\$2,024,479.94		
SAB	California Statewide Communities Development Authority	\$1,447,538.00	10/25/2018	2007-1551	\$4,854.94	\$857,970.24		
SAB	California Statewide Communities Development Authority	\$1,131,679.00	10/25/2018	2007-1552	\$5,317.86	\$948,295.36		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$2,580,146.00	10/25/2018	2007-1553	\$12,278.23	\$2,162,044.30		
SAB	California Statewide Communities Development Authority	\$1,104,031.00	10/25/2018	2008-0697	\$5,193.26	\$944,034.11		
SAB	California Statewide Communities Development Authority	\$6,519,038.00	10/25/2018	2008-0698	\$30,573.47	\$5,574,293.48		
SAB	California Statewide Communities Development Authority	\$1,136,758.00	10/25/2018	2008-0699	\$5,407.70	\$972,017.82		
SAB	California Statewide Communities Development Authority	\$465,856.00	10/25/2018	2008-0700	\$2,184.81	\$398,343.85		
SAB	California Statewide Communities Development Authority	\$5,977,848.00	10/25/2018	2008-0701	\$12,256.87	\$2,236,532.71		
SAB	California Statewide Communities Development Authority	\$2,349,262.00	10/25/2018	2008-0702	\$11,017.74	\$2,008,804.85		
SAB	California Statewide Communities Development Authority	\$4,252,207.00	10/25/2018	2008-0703	\$19,942.31	\$3,635,973.15		
SAB	California Statewide Communities Development Authority	\$2,058,889.00	10/25/2018	2010-0725	\$9,367.73	\$1,844,004.75		
SAB	California Statewide Communities Development Authority	\$1,003,012.00	10/25/2018	2010-0726	\$4,563.60	\$898,328.98		
SAB	California Statewide Communities Development Authority	\$1,924,018.00		2010-0727			3/17/2017	O FY 16/17
SAB	California Statewide Communities Development Authority	\$247,592.00	10/25/2018	2010-0728	\$1,126.52	\$221,750.80		
SAB	California Statewide Communities Development Authority	\$946,489.00	10/26/2018	2010-0729	\$2,174.22	\$435,800.21		
<b>Total</b>		<b>\$38,444,999.00</b>				<b>\$25,359,884.71</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2007	\$390,487	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-1549 Special assessment bond Multiple Capital Improvements, Public Works Estepa, El Dorado Co AD No 07-02	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-37 Comb	<u>TIC</u> <u>NIC</u> 6.154 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$197,210	\$0	\$0	\$1,117	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$17,734

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2007	\$4,910,149	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-1550 Special assessment bond Multiple Capital Improvements, Public Works Citadel Dental, Vineyard Pointe & Coastal/KMS Commerce & Corp Ctr, Placer Co AD No 07-02	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-37 Comb	<u>TIC</u> <u>NIC</u> 6.154 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,024,480	\$0	\$0	\$11,474	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$180,138

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2007	\$1,447,538	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-1551 Special assessment bond Multiple Capital Improvements, Public Works Parker Indus Ctr & Venture Commerce Ctr Elk Grove, Sacramento Co AD No 07-02	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-37 Comb	<u>TIC</u> <u>NIC</u> 6.154 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$857,970	\$0	\$0	\$4,855	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	N	0	\$77,587

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2007	\$1,131,679	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-1552 Special assessment bond Multiple Capital Improvements, Public Works Otay Mesa, San Diego Co AD No 07-02 Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-37 Comb	<u>TIC</u> <u>NIC</u> 6.154 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$948,295	\$0	\$0	\$5,318	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$83,992

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,801	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-07-2007	\$2,580,146	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-1553 Special assessment bond Multiple Capital Improvements, Public Works Pulgas Ave, San Mateo Co AD No 07-02	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-37 Comb	<u>TIC</u> <u>NIC</u> 6.154 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,162,044	\$0	\$0	\$12,278	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.620	\$198,585

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,221	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2008	\$1,104,031	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2008-0697 Special assessment bond Multiple Capital Improvements, Public Works Alameda Co AD No 08-01A	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-38 Comb	<u>TIC</u> <u>NIC</u> 6.613 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$944,034	\$0	\$0	\$5,193	\$0

B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$86,793

C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2008	\$6,519,038	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2008-0698 Special assessment bond Multiple Capital Improvements, Public Works Contra Costa Co AD No 08-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-38 Comb	<u>TIC</u> <u>NIC</u> 6.613 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,574,293	\$0	\$0	\$30,573	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$512,402

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2008	\$1,136,758	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2008-0699 Special assessment bond Multiple Capital Improvements, Public Works El Dorado Co AD No 08-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-38 Comb	<u>TIC</u> <u>NIC</u> 6.613 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$972,018	\$0	\$0	\$5,408	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.540	\$89,472

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,166	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2008	\$465,856	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2008-0700 Special assessment bond Multiple Capital Improvements, Public Works Napa Co AD No 08-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-38 Comb	<u>TIC</u> <u>NIC</u> 6.613 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$398,344	\$0	\$0	\$2,185	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$36,617

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2008	\$5,977,848	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2008-0701 Special assessment bond Multiple Capital Improvements, Public Works Placer Co AD No 08-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-38 Comb	<u>TIC</u> <u>NIC</u> 6.613 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,236,533	\$0	\$0	\$12,257	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$198,107

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,763	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2008	\$2,349,262	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2008-0702 Special assessment bond Multiple Capital Improvements, Public Works Sacramento Co AD No 08-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-38 Comb	<u>TIC</u> <u>NIC</u> 6.613 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,008,805	\$0	\$0	\$11,018	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$184,654

**C. TEETER PLAN**

<u>Teeter Plan Participant</u>	<u>Taxes Unpaid</u>
N	\$0

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-19-2008	\$4,252,207	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2008-0703 Special assessment bond Multiple Capital Improvements, Public Works Yolo Co AD No 08-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-38 Comb	<u>TIC</u> <u>NIC</u> 6.613 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,635,973	\$0	\$0	\$19,942	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$334,227

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$10,996	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-10-2010	\$2,058,889	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2010-0725 Special assessment bond Industrial Development Rocklin Industrial Park, Placer Co AD No 10-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-40 Comb	<u>TIC</u> <u>NIC</u> 6.566 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,844,005	\$0	\$0	\$9,368	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$162,739

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-10-2010	\$1,003,012	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2010-0726 Special assessment bond Commercial Development Hampton Inn & Suites, Sacramento Co AD No 10-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-40 Comb	<u>TIC</u> <u>NIC</u> 6.566 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$898,329	\$0	\$0	\$4,564	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$79,280

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-10-2010	\$247,592	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2010-0728 Special assessment bond Commercial Development Candlewood Suites, Stanislaus Co AD No 10-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-40 Comb	<u>TIC</u> <u>NIC</u> 6.566 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$221,751	\$0	\$0	\$1,127	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$19,570

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-10-2010	\$946,489	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2010-0729 Special assessment bond Multiple Capital Improvements, Public Works Jefferson Plaza & Fitness System, Yolo Co AD No 10-01	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-40 Comb	<u>TIC</u> <u>NIC</u> 6.566 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$435,800	\$0	\$0	\$2,174	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$36,752

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1192	\$29,195,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-10-2015	\$5,320,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-1788 Revenue bond Multiple Capital Improvements, Public Works SCIP Program Series A	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA RBC Capital Mkts LLC	09-02-45 Comb	<u>TIC</u> 4.711 <u>NIC</u> 4.738 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$5,230,000	\$342,719	\$0	\$342,730	\$0	\$342,730

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/1/2045	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$30,835
Total:	\$30,835

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u>	<u>Reporting</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
		<u>Loan Amount</u>	<u>Date</u>	<u>CDIAC Nbr</u>	<u>Admin Fees</u>		<u>Repay Date</u>	
SAB	California Statewide Communities Development Authority	\$1,845,000.00	10/29/2018	2015-1789	\$10,580.29	\$1,810,000.00		
SAB	California Statewide Communities Development Authority	\$1,370,000.00	10/29/2018	2015-1790	\$7,773.51	\$1,345,000.00		
SAB	California Statewide Communities Development Authority	\$690,000.00	10/29/2018	2015-1791	\$4,128.52	\$675,000.00		
SAB	California Statewide Communities Development Authority	\$1,415,000.00	10/29/2018	2015-1792	\$8,352.99	\$1,390,000.00		
<b>Total</b>		<b>\$5,320,000.00</b>				<b>\$5,220,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-10-2015	\$1,845,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-1789 Special assessment bond Multiple Capital Improvements, Public Works San Joaquin Orchard Pk III AD No 15-01	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-45 Comb	<u>TIC</u> 4.710 <u>NIC</u> 4.737 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,810,000	\$118,855	\$0	\$10,580	\$118,859

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
34.680	0	\$125,966

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1788	\$5,320,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-10-2015	\$1,370,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-1790 Special assessment bond Multiple Capital Improvements, Public Works San Joaquin Wildwood AD No 14-03	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-45 Comb	<u>TIC</u> 4.713 <u>NIC</u> 4.740 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,345,000	\$88,250	\$0	\$7,774	\$88,253

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
25.750	N	0	\$92,567

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1788	\$5,320,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-10-2015	\$690,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-1791 Special assessment bond Multiple Capital Improvements, Public Works Riverside Arrive Palm Springs AD No 15-01	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-45 Comb	<u>TIC</u> 4.710 <u>NIC</u> 4.737 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$675,000	\$44,451	\$0	\$4,129	\$44,452

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.970	0	\$49,122

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1788	\$5,320,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
09-10-2015	\$1,415,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-1792 Special assessment bond Multiple Capital Improvements, Public Works Contra Costa Bella Fiore AD No 15-01	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-45 Comb	<u>TIC</u> 4.710 <u>NIC</u> 4.737 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,390,000	\$91,163	\$0	\$8,353	\$91,166

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
26.600	0	\$100,095

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-1788	\$5,320,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-15-2015	\$15,305,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-2431 Revenue bond Multiple Capital Improvements, Public Works Emerson Ranch	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wilmington Trust RBC Capital Mkts LLC	09-02-45 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.442 4.614

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$15,015,000	\$990,196	\$0	\$990,196	\$0	\$990,196

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2045	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$54,460
Total:	\$54,460

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$15,305,000.00	10/30/2018	2015-2918	\$55,760.34	\$14,720,000.00		
<b>Total</b>		<b>\$15,305,000.00</b>				<b>\$14,720,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-15-2015	\$15,305,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2015-2918 Special assessment bond Multiple Capital Improvements, Public Works AD No 14-01 Contra Costa County	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wilmington Trust	09-02-45 Comb	<u>TIC</u> 4.442 <u>NIC</u> 4.614 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$14,720,000	\$990,196	\$0	\$55,760	\$990,196

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
100.000	N	0	\$1,041,999

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2015-2431	\$15,305,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-04-2016	\$10,090,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-0531 Revenue bond Multiple Capital Improvements, Public Works AD 16-01 Contra Costa, LA, San Joaquin & El Dorado Co, 16-02 San Joaquin & 15-01 Placer Co Series A	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wilmington Trust RBC Capital Mkts LLC	09-02-45 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.195 4.401

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,880,000	\$641,250	\$0	\$620,107	\$0	\$620,107

#### Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N	9/2/2045	\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$57,431
Total:	\$57,431

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$1,280,000.00	10/29/2018	2016-0534	\$10,695.53	\$1,255,000.00		
SAB	California Statewide Communities Development Authority	\$925,000.00	10/29/2018	2016-0535	\$7,877.78	\$905,000.00		
SAB	California Statewide Communities Development Authority	\$3,200,000.00	10/29/2018	2016-0536	\$27,311.31	\$3,130,000.00		
SAB	California Statewide Communities Development Authority	\$1,240,000.00	10/29/2018	2016-0537	\$10,302.97	\$1,220,000.00		
SAB	California Statewide Communities Development Authority	\$1,340,000.00	10/29/2018	2016-0539	\$11,548.18	\$1,310,000.00		
SAB	California Statewide Communities Development Authority	\$2,105,000.00	10/29/2018	2016-0540	\$17,860.08	\$2,060,000.00		
<b>Total</b>		<b>\$10,090,000.00</b>				<b>\$9,880,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-04-2016	\$1,280,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-0534 Special assessment bond Multiple Capital Improvements, Public Works AD No 15-01 Placer County	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-45 Comb	<u>TIC</u> 4.196 <u>NIC</u> 4.402 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,255,000	\$81,375	\$0	\$10,696	\$78,692

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.690	0	\$93,396

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-0531	\$10,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-04-2016	\$925,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-0535 Special assessment bond Multiple Capital Improvements, Public Works AD No 16-01 Los Angeles County	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-45 Comb	<u>TIC</u> 4.197 <u>NIC</u> 4.403 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$905,000	\$58,803	\$0	\$7,878	\$56,864

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
9.170	N	0	\$65,915

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-0531	\$10,090,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-04-2016	\$3,200,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-0536 Special assessment bond Multiple Capital Improvements, Public Works AD No 16-01 Contra Costa County	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-45 Comb	<u>TIC</u> 4.193 <u>NIC</u> 4.398 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,130,000	\$203,340	\$0	\$27,311	\$196,636

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
31.710	0	\$228,502

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-0531	\$10,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-04-2016	\$1,240,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-0537 Special assessment bond Multiple Capital Improvements, Public Works AD No 16-01 San Joaquin County	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-45 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.193 4.398

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,220,000	\$78,810	\$0	\$10,303	\$76,211

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
12.290	Y	2.630	\$86,234

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,299	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-0531	\$10,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-04-2016	\$1,340,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-0539 Special assessment bond Multiple Capital Improvements, Public Works AD No 16-01 El Dorado County	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-45 Comb	<u>TIC</u> 4.197 <u>NIC</u> 4.403 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,310,000	\$85,158	\$0	\$11,548	\$82,350

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
13.280	0	\$96,611

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-0531	\$10,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-04-2016	\$2,105,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-0540 Special assessment bond Multiple Capital Improvements, Public Works AD No 16-02 San Joaquin County	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-45 Comb	<u>TIC</u> 4.197 <u>NIC</u> 4.403 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,060,000	\$133,765	\$0	\$17,860	\$129,354

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
20.860	0	\$149,447

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-0531	\$10,090,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
02-16-2017	\$40,085,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-2888 Revenue bond K-12 School Facility Monterey County GO Saver Bond Program	S:AA  F:AA  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington The PFM Group Build America U.S. Bank NA Barclays Capital Inc	08-01-40 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.092 3.219

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$26,675,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Issuing Agency	\$5,023
Trustee	\$3,000
<b>Total:</b>	<b>\$8,023</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Chualar Union Elementary School District	\$2,500,000.00	10/31/2018	2016-2875	\$0.00	\$2,445,000.00		
GOB	Santa Rita Union School District	\$7,545,000.00	10/30/2018	2016-2882	\$0.00	\$7,245,000.00		
GOB	Mission Union Elementary School District	\$245,000.00	10/31/2018	2016-2885	\$0.00	\$235,000.00		
GOB	Alisal Union School District	\$7,255,000.00	10/31/2018	2016-2886	\$0.00	\$6,820,000.00		
GOB	Salinas Union High School District	\$22,540,000.00	10/25/2018	2016-2887	\$0.00	\$16,740,000.00		
<b>Total</b>		<b>\$40,085,000.00</b>				<b>\$33,485,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-16-2017	\$2,500,000	Chualar Union Elementary School District Monterey CDIAC Number: 2016-2875 General obligation bond K-12 School Facility Series A & B Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington The PFM Group CSCDA U.S. Bank NA	08-01-40 Comb	<u>TIC</u> 3.847 <u>NIC</u> 3.863 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,445,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$231,385

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,187	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2888	\$40,085,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-16-2017	\$7,545,000	Santa Rita Union School District Monterey CDIAC Number: 2016-2882 General obligation bond K-12 School Facility (CSCDA Monterey Co Local Obligation) Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington The PFM Group CSCDA U.S. Bank NA	08-01-34 Comb	<u>TIC</u> 3.286 <u>NIC</u> 3.377 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,245,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.680	\$1,334,584

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,106	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2888	\$40,085,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-16-2017	\$245,000	Mission Union Elementary School District Monterey CDIAC Number: 2016-2885 General obligation bond K-12 School Facility (CSCDA Monterey Co Local Obligation) Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington The PFM Group CSCDA U.S. Bank NA	08-01-30 Serial	<u>TIC</u> 2.927 <u>NIC</u> 3.064 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$235,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.020	\$18,674

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2888	\$40,085,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-16-2017	\$7,255,000	Alisal Union School District Monterey CDIAC Number: 2016-2886 General obligation bond K-12 School Facility (CSCDA Monterey Co Local Obligation) Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington The PFM Group CSCDA U.S. Bank NA	08-01-30 Serial	<u>TIC</u> 2.874 <u>NIC</u> 3.026 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$6,820,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.740	\$6,697,801

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$49,853	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2888	\$40,085,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
02-16-2017	\$22,540,000	Salinas Union High School District Monterey CDIAC Number: 2016-2887 General obligation bond K-12 School Facility CSCDA Monterey Co Local Obligation Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington The PFM Group CSCDA U.S. Bank NA	02-01-31 Serial	<u>TIC</u> 2.829 <u>NIC</u> 2.960 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$16,740,000	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.700	Y	\$9,863,496

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$68,945	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2888	\$40,085,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-06-2016	\$11,040,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-2949 Revenue bond Multiple Capital Improvements, Public Works AD No. 16-03 San Joaquin, San Diego, Solano, & Placer Co & AD No. 16-02 Placer Co, & AD No. 16-01 Placer Co Series B	NR	Neg	(BC) Orrick Herrington (TR) Wilmington Trust (UW) RBC Capital Mkts LLC	09-02-46 Comb	<u>TIC</u> 4.370 <u>NIC</u> 4.572 <u>TYPE:</u>		

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,040,000	\$720,250	\$0	\$720,250	\$0	\$720,250

#### Issuance Cost

\$0

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N	9/2/2046	\$0	\$0

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$92,228
Total:	\$92,228

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired Type</u>
					<u>Admin Fees</u>		<u>Repav Date</u>	
SAB	California Statewide Communities Development Authority	\$4,505,000.00	10/29/2018	2016-2943	\$37,390.34	\$4,505,000.00		
SAB	California Statewide Communities Development Authority	\$2,655,000.00	10/29/2018	2016-2944	\$22,295.41	\$2,655,000.00		
SAB	California Statewide Communities Development Authority	\$1,340,000.00	10/29/2018	2016-2945	\$10,904.88	\$1,340,000.00		
SAB	California Statewide Communities Development Authority	\$685,000.00	10/29/2018	2016-2946	\$5,851.27	\$685,000.00		
SAB	California Statewide Communities Development Authority	\$715,000.00	10/29/2018	2016-2947	\$6,044.24	\$715,000.00		
SAB	California Statewide Communities Development Authority	\$1,140,000.00	10/29/2018	2016-2948	\$9,741.38	\$1,140,000.00		
<b>Total</b>		<b>\$11,040,000.00</b>				<b>\$11,040,000.00</b>		

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
10-06-2016	\$4,505,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-2943 Special assessment bond Multiple Capital Improvements, Public Works AD No. 16-03 San Joaquin Co	NR	Neg	(BC) (PU)	Orrick Herrington CSCDA	09-02-46 Comb	<u>TIC</u> 4.369 <u>NIC</u> 4.572 <u>TYPE:</u>

LOCAL OBLIGOR INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Admin Fee Charged By Authority	Bond Reserve Fund
17/18	\$4,505,000	\$293,905	\$0	\$37,390	\$293,905

B. DELINQUENCY

Authority Reserve Fund (%)	Rate(%)	Del Taxes Reported YES/NO	Taxes Due
40.810	0.170	Y	\$328,021

C. TEETER PLAN

Taxes Unpaid	Teeter Plan Participant
\$549	N

D. AUTHORITY

Issuing Authority	CDIAC Nbr	Principal Amt
California Statewide Communities Development Authority	2016-2949	\$11,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-06-2016	\$2,655,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-2944 Special assessment bond Multiple Capital Improvements, Public Works AD No. 16-01 San Diego Co	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wilmington Trust	09-02-46 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.369 4.571

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,655,000	\$173,213	\$0	\$22,295	\$173,213

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
24.050	0	N	\$195,595

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2949	\$11,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-06-2016	\$1,340,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-2945 Special assessment bond Multiple Capital Improvements, Public Works AD No. 16-01 Solano Co	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wilmington Trust	09-02-46 Comb	<u>TIC</u> 4.370 <u>NIC</u> 4.572 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,340,000	\$87,424	\$0	\$10,905	\$87,424

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.140	0	N	\$95,667

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2949	\$11,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-06-2016	\$685,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-2946 Special assessment bond Multiple Capital Improvements, Public Works AD No. 16-03 Placer Co	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington RBC Capital Mkts LLC CSCDA Wilmington Trust	09-02-46 Comb	<u>TIC</u> 4.370 <u>NIC</u> 4.572 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$685,000	\$44,692	\$0	\$5,851	\$44,692

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
6.210	N	0	\$51,331

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2949	\$11,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-06-2016	\$715,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-2947 Special assessment bond Multiple Capital Improvements, Public Works AD No. 16-02 Placer Co	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wilmington Trust	09-02-46 Comb	<u>TIC</u> 4.375 <u>NIC</u> 4.577 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$715,000	\$46,643	\$0	\$6,044	\$46,643

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.480	0	\$53,025

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2949	\$11,040,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
10-06-2016	\$1,140,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2016-2948 Special assessment bond Multiple Capital Improvements, Public Works AD No. 16-01 Placer Co	NR	Neg	(BC) (PU)	Orrick Herrington CSCDA	09-02-46 Comb	<u>TIC</u> 4.369 <u>NIC</u> 4.572 <u>TYPE:</u>	

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,140,000	\$74,373	\$0	\$9,741	\$74,373

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.330	0	\$85,454

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2016-2949	\$11,040,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
04-19-2017	\$11,715,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-0069 Revenue bond Multiple Capital Improvements, Public Works ADs 16-02 Hercules, 17-01 San Diego, Elk Grove, W Sac, Rocklin & Brentwood SCIP Series A	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wilmington Trust RBC Capital Mkts LLC	09-02-46 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.396 4.876

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$11,715,000	\$772,750	\$0	\$772,750	\$0	\$772,750

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N	9/2/2046	\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$97,835
Total:	\$97,835

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
					<u>Admin Fees</u>			
SAB	California Statewide Communities Development Authority	\$3,415,000.00	10/29/2018	2017-0070	\$28,551.17	\$3,415,000.00		
SAB	California Statewide Communities Development Authority	\$1,080,000.00	10/29/2018	2017-0072	\$9,161.66	\$1,080,000.00		
SAB	California Statewide Communities Development Authority	\$1,435,000.00	10/29/2018	2017-0074	\$11,970.81	\$1,435,000.00		
SAB	California Statewide Communities Development Authority	\$2,405,000.00	10/29/2018	2017-0076	\$19,817.27	\$2,405,000.00		
SAB	California Statewide Communities Development Authority	\$730,000.00	10/29/2018	2017-0077	\$6,378.05	\$730,000.00		
SAB	California Statewide Communities Development Authority	\$1,995,000.00	10/29/2018	2017-0078	\$16,669.75	\$1,995,000.00		
SAB	California Statewide Communities Development Authority	\$655,000.00	10/29/2018	2017-0080	\$5,286.31	\$655,000.00		
<b>Total</b>		<b>\$11,715,000.00</b>				<b>\$11,715,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-19-2017	\$3,415,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-0070 Special assessment bond Multiple Capital Improvements, Public Works AD No 16-02 Hercules	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-46 Term	<u>TIC</u> 4.820 <u>NIC</u> 4.876 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,415,000	\$225,257	\$0	\$28,551	\$225,257

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
29.150	0	\$252,150

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0069	\$11,715,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-19-2017	\$1,080,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-0072 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-01 San Diego	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-46 Term	<u>TIC</u> 4.821 <u>NIC</u> 4.877 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,080,000	\$71,248	\$0	\$9,162	\$71,248

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.220	0	\$80,912

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0069	\$11,715,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-19-2017	\$1,435,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-0074 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-01 Elk Grove	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-46 Term	<u>TIC</u> 4.819 <u>NIC</u> 4.876 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,435,000	\$94,662	\$0	\$11,971	\$94,662

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
12.250	0	\$105,721

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0069	\$11,715,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-19-2017	\$2,405,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-0076 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-01 Rocklin	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-46 Term	<u>TIC</u> 4.819 <u>NIC</u> 4.876 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,405,000	\$158,646	\$0	\$19,817	\$158,646

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
20.530	0	\$175,017

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0069	\$11,715,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-19-2017	\$730,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-0077 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-02 West Sacramento	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-46 Term	<u>TIC</u> 4.821 <u>NIC</u> 4.877 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$730,000	\$48,142	\$0	\$6,378	\$48,142

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
6.230	Y	1.320	\$56,327

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$741	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0069	\$11,715,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-19-2017	\$1,995,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-0078 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-01 West Sacramento	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-46 Term	<u>TIC</u> 4.819 <u>NIC</u> 4.876 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,995,000	\$131,599	\$0	\$16,670	\$131,599

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
17.030	0	\$147,220

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0069	\$11,715,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
04-19-2017	\$655,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-0080 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-01 Brentwood	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-46 Term	<u>TIC</u> 4.819 <u>NIC</u> 4.876 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$655,000	\$43,197	\$0	\$5,286	\$43,197

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.590	0	\$46,686

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0069	\$11,715,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-26-2017	\$35,953,593	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-0079 Revenue bond Multiple Capital Improvements, Public Works SCIP Series R1 Refunding	NR	Neg PP	(BC) (PA) (PU) (TR)	Orrick Herrington RBC Capital Mkts LLC Western Alliance Bancorp Wilmington Trust	09-02-37 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.029 4.030

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$35,255,808	\$2,619,766	\$0	\$2,646,308	\$0	\$2,646,308

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Administration	\$93,760
County Government	\$8,342
Consultant	\$11,999
Disclosure	\$35,390
Trustee	\$14,500
<b>Total:</b>	<b>\$163,991</b>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$12,240,000.00	10/25/2018	2006-1329	\$40,985.44	\$9,765,000.00		
SAB	California Statewide Communities Development Authority	\$912,411.00	10/25/2018	2006-1330	\$3,062.94	\$729,255.64		
SAB	California Statewide Communities Development Authority	\$1,661,672.00	10/25/2018	2006-1331	\$2,710.72	\$643,111.60		
SAB	California Statewide Communities Development Authority	\$1,682,733.00	10/25/2018	2006-1332	\$5,664.36	\$1,344,944.22		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$2,518,184.00	10/25/2018	2006-1333	\$8,173.39	\$1,927,688.54		
SAB	California Statewide Communities Development Authority	\$6,193,404.00	10/26/2018	2007-0715	\$25,895.16	\$4,979,485.11		
SAB	California Statewide Communities Development Authority	\$689,153.00		2007-0716			6/30/2008	O FY 07/08
SAB	California Statewide Communities Development Authority	\$1,250,830.00	10/26/2018	2007-0717	\$5,229.83	\$988,694.38		
SAB	California Statewide Communities Development Authority	\$3,993,756.00	10/26/2018	2007-0718	\$16,168.05	\$3,120,644.69		
SAB	California Statewide Communities Development Authority	\$12,281,426.00	10/26/2018	2007-0719	\$51,349.71	\$9,903,988.29		
SAB	California Statewide Communities Development Authority	\$1,136,431.00	10/25/2018	2007-0720	\$4,751.52	\$916,440.82		
<b>Total</b>		<b>\$44,560,000.00</b>				<b>\$34,319,253.29</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-19-2006	\$12,240,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2006-1329 Special assessment bond Multiple Capital Improvements, Public Works Oaks Business Pk, Alameda Co AD No 06-01	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-36 Comb	<u>TIC</u> <u>NIC</u> 5.188 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,765,000	\$0	\$0	\$40,985	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$860,694

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-19-2006	\$912,411	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2006-1330 Special assessment bond Multiple Capital Improvements, Public Works Parkside Villas, Contra Costa Co AD No 06-01	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-36 Comb	<u>TIC</u> <u>NIC</u> 5.188 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$729,256	\$0	\$0	\$3,063	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$64,322

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-19-2006	\$1,661,672	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2006-1331 Special assessment bond Multiple Capital Improvements, Public Works Washington Lofts, Pleasant Grove Mkt P1 Phase II, Lonetree Prof Ctr, Placer Co AD No 06-01 Refunding	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-36 Comb	<u>TIC</u> <u>NIC</u> 5.188 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$643,112	\$0	\$0	\$2,711	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	4.670	\$56,305

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,629	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-19-2006	\$1,682,733	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2006-1332 Special assessment bond Multiple Capital Improvements, Public Works Sheldon Crossing & Oaks Shp Ctr, Harbour Pt, Hampton Inn & Stes, Sacramento Co AD No 06-01	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-36 Comb	<u>TIC</u> <u>NIC</u> 5.188 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,344,944	\$0	\$0	\$5,664	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$118,642

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-19-2006	\$2,518,184	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2006-1333 Special assessment bond Multiple Capital Improvements, Public Works Cummings Pk-Lofts/Townhomes & Pk Broadway, San Mateo Co AD No 06-01	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-36 Comb	<u>TIC</u> <u>NIC</u> 5.188 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,927,689	\$0	\$0	\$8,173	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.230	\$171,362

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$5,536	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2007	\$6,193,404	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-0715 Special assessment bond Multiple Capital Improvements, Public Works Sonoma County AD No 07-01	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-37 Comb	<u>TIC</u> <u>NIC</u> 5.057 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,979,485	\$0	\$0	\$25,895	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.710	\$430,664

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,066	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2007	\$1,250,830	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-0717 Special assessment bond Multiple Capital Improvements, Public Works Contra Costa County AD No 07-01 Refunding	NR	Neg	(BC) (TR) (UW)	Orrick Herrington Wells Fargo Bank NA CSCDA	09-02-37 Comb	<u>TIC</u> <u>NIC</u> 5.057 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$988,694	\$0	\$0	\$5,230	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$85,938

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2007	\$3,993,756	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-0718 Special assessment bond Multiple Capital Improvements, Public Works Placer County AD No 07-01	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-27 Comb	<u>TIC</u> <u>NIC</u> 5.057 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,120,645	\$0	\$0	\$16,168	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$268,911

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,785	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2007	\$12,281,426	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-0719 Special assessment bond Multiple Capital Improvements, Public Works El Dorado County AD No 07-01	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-37 Comb	<u>TIC</u> <u>NIC</u> 5.057 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$9,903,988	\$0	\$0	\$51,350	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$853,911

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-17-2007	\$1,136,431	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2007-0720 Special assessment bond Multiple Capital Improvements, Public Works Alameda County AD No 07-01	NR	Neg	(BC) (PU) (TR)	Orrick Herrington CSCDA Wells Fargo Bank NA	09-02-37 Comb	<u>TIC</u> <u>NIC</u> 5.057 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$916,441	\$0	\$0	\$4,752	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	3.080	\$79,030

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$2,432	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-0079	\$35,953,593.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
10-03-2017	\$19,915,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2327 Revenue bond Multiple Capital Improvements, Public Works SCIP Placer Co & Manteca, San Diego & Lincoln ADs Series B	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wilmington Trust RBC Capital Mkts LLC	09-02-47 Comb	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.362 4.477

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

#### B. ISSUANCE FEE

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>	<u>Issuance Cost</u>
17/18	\$19,915,000	\$1,261,431	\$0	\$1,261,431	\$0	\$1,261,431	\$1,455,496

#### C. TEETER PLAN

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>	<u>Type of Service</u>	<u>Service Amount</u>
N	9/2/2047	\$0	\$0		

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$895,000.00	10/30/2018	2017-2329	\$0.00	\$895,000.00		
SAB	California Statewide Communities Development Authority	\$2,375,000.00	10/30/2018	2017-2330	\$0.00	\$2,375,000.00		
SAB	California Statewide Communities Development Authority	\$3,245,000.00	10/30/2018	2017-2331	\$0.00	\$3,245,000.00		
SAB	California Statewide Communities Development Authority	\$7,660,000.00	10/30/2018	2017-2332	\$0.00	\$7,660,000.00		
SAB	California Statewide Communities Development Authority	\$1,240,000.00	10/30/2018	2017-2333	\$0.00	\$1,240,000.00		
SAB	California Statewide Communities Development Authority	\$1,765,000.00	10/30/2018	2017-2334	\$0.00	\$1,765,000.00		
SAB	California Statewide Communities Development Authority	\$1,490,000.00	10/30/2018	2017-2335	\$0.00	\$1,490,000.00		
SAB	California Statewide Communities Development Authority	\$1,245,000.00	10/30/2018	2017-2336	\$0.00	\$1,245,000.00		
<b>Total</b>		<b>\$19,915,000.00</b>				<b>\$19,915,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2017	\$895,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2329 Special assessment bond Multiple Capital Improvements, Public Works Manteca AD No 17-01	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Comb	<u>TIC</u> 4.364 <u>NIC</u> 4.481 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$895,000	\$56,689	\$19,156	\$0	\$56,689

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.490	0	N

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-2327	\$19,915,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2017	\$2,375,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2330 Special assessment bond Multiple Capital Improvements, Public Works Manteca AD No 17-02	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Comb	<u>TIC</u> 4.361 <u>NIC</u> 4.475 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,375,000	\$150,438	\$50,781	\$0	\$150,438

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
11.930	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-2327	\$19,915,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2017	\$3,245,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2331 Special assessment bond Multiple Capital Improvements, Public Works San Diego AD No 17-02	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Comb	<u>TIC</u> 4.363 <u>NIC</u> 4.477 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,245,000	\$205,538	\$69,484	\$0	\$205,538

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
16.290	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-2327	\$19,915,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2017	\$7,660,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2332 Special assessment bond Multiple Capital Improvements, Public Works San Diego AD No 17-03	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Comb	<u>TIC</u> 4.362 <u>NIC</u> 4.477 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,660,000	\$485,184	\$164,028	\$0	\$485,184

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
38.460	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-2327	\$19,915,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2017	\$1,240,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2333 Special assessment bond Multiple Capital Improvements, Public Works San Diego AD No 17-04	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Comb	<u>TIC</u> 4.365 <u>NIC</u> 4.480 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,240,000	\$78,537	\$26,584	\$0	\$78,537

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.230	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-2327	\$19,915,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2017	\$1,765,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2334 Special assessment bond Multiple Capital Improvements, Public Works Lincoln AD No 17-06	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Comb	<u>TIC</u> 4.361 <u>NIC</u> 4.475 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,765,000	\$111,801	\$37,791	\$0	\$111,801

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
8.860	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-2327	\$19,915,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2017	\$1,490,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2335 Special assessment bond Multiple Capital Improvements, Public Works Placer County AD No 17-07	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Comb	<u>TIC</u> 4.362 <u>NIC</u> 4.476 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,490,000	\$94,380	\$31,897	\$0	\$94,380

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
7.480	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-2327	\$19,915,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
10-03-2017	\$1,245,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2336 Special assessment bond Multiple Capital Improvements, Public Works Placer County AD No 17-02	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Comb	<u>TIC</u> 4.364 <u>NIC</u> 4.479 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,245,000	\$78,865	\$26,709	\$0	\$78,865

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
6.250	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-2327	\$19,915,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
12-07-2017	\$10,470,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-3045 Revenue bond Multiple Capital Improvements, Public Works Brentwood & Sac AD No 17-02, Manteca AD No 17-04, Santa Rosa AD No 16-02 & Sonoma Co AD No 16-03 Series C	NR	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Orrick Herrington RBC Capital Mkts LLC Wilmington Trust RBC Capital Mkts LLC	09-02-47 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.318 4.561

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$10,470,000	\$697,000	\$0	\$697,000	\$0	\$697,000

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$576,109

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
N	9/2/2047	\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repav Date</u>	<u>Retired Type</u>
SAB	California Statewide Communities Development Authority	\$2,000,000.00	10/30/2018	2017-2328	\$0.00	\$2,000,000.00		
SAB	California Statewide Communities Development Authority	\$675,000.00	10/30/2018	2017-3046	\$0.00	\$675,000.00		
SAB	California Statewide Communities Development Authority	\$505,000.00	10/30/2018	2017-3047	\$0.00	\$505,000.00		
SAB	California Statewide Communities Development Authority	\$715,000.00	10/30/2018	2017-3048	\$0.00	\$715,000.00		
SAB	California Statewide Communities Development Authority	\$4,005,000.00	10/30/2018	2017-3049	\$0.00	\$4,005,000.00		
SAB	California Statewide Communities Development Authority	\$2,570,000.00	10/30/2018	2017-3050	\$0.00	\$2,570,000.00		
<b>Total</b>		<b>\$10,470,000.00</b>				<b>\$10,470,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2017	\$2,000,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-2328 Special assessment bond Multiple Capital Improvements, Public Works Sonoma County AD No 16-03 Paseo Vista	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.320 <u>NIC</u> 4.562 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,000,000	\$133,127	\$50,000	\$0	\$133,127

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
19.100	0	N

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-3045	\$10,470,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2017	\$675,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-3046 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-04 Manteca Silva Estates	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington RBC Capital Mkts LLC CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.317 <u>NIC</u> 4.559 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$675,000	\$44,957	\$16,875	\$0	\$44,957

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.450	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-3045	\$10,470,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2017	\$505,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-3047 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-03 Sacramento City Calistoga	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington RBC Capital Mkts LLC CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.315 <u>NIC</u> 4.558 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$505,000	\$33,595	\$12,625	\$0	\$33,595

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.820	0	N

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-3045	\$10,470,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2017	\$715,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-3048 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-02 Sacramento County Elverta Park	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington RBC Capital Mkts LLC CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.318 <u>NIC</u> 4.561 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$715,000	\$47,605	\$17,875	\$0	\$47,605

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.830	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-3045	\$10,470,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2017	\$4,005,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-3049 Special assessment bond Multiple Capital Improvements, Public Works AD No 16-02 Santa Rosa Kawana Meadows	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington RBC Capital Mkts LLC CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.318 <u>NIC</u> 4.561 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,005,000	\$266,603	\$100,125	\$0	\$266,603

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
38.250	0	N

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-3045	\$10,470,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-07-2017	\$2,570,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2017-3050 Special assessment bond Multiple Capital Improvements, Public Works AD No 17-02 Brentwood Sellers Avenue	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.318 <u>NIC</u> 4.560 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,570,000	\$171,114	\$64,250	\$0	\$171,114

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
24.550	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2017-3045	\$10,470,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-08-2018	\$3,330,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0676 Certificates of participation/leases Street Construction and Improvements Claremont TRIP Series A	S:AA	Neg	(BC) (DC) (FA) (TR) (UW)	Orrick Herrington Stradling Yocca Urban Futures Wilmington Trust Stifel Nicolaus & Co Inc	06-01-33 Serial	<u>TIC</u> 3.007 <u>NIC</u> 3.200 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$3,330,000	\$0	\$0	\$0	\$311,464	\$311,464

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$256,966

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Trustee	\$2,500
Total:	\$2,500

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
COPL	Claremont	\$3,330,000.00					
<b>Total</b>		<b>\$3,330,000.00</b>			<b>\$0.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>		<u>Interest</u>	
05-16-2018	\$23,960,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0693 Revenue bond Multiple Capital Improvements, Public Works Statewide Comm Infrastructure Series A	NR	Neg	(BC) (DC) (TR) (UW)	Orrick Herrington Orrick Herrington Wilmington Trust RBC Capital Mkts LLC	09-02-47 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.587 4.727

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$23,960,000	\$1,574,750	\$267,706	\$1,574,750	\$0	\$1,574,750

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$1,982,966

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
9/2/2047	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u>		<u>Outstanding Balance</u>	<u>Retired / Receipt /</u>	<u>Retired</u>
					<u>Admin Fees</u>	<u>Repay Date</u>		<u>Type</u>	
SAB	California Statewide Communities Development Authority	\$1,740,000.00	10/30/2018	2018-0694	\$0.00		\$1,740,000.00		
SAB	California Statewide Communities Development Authority	\$1,586,000.00	10/30/2018	2018-0695	\$0.00		\$1,586,000.00		
SAB	California Statewide Communities Development Authority	\$2,122,000.00	10/30/2018	2018-0696	\$0.00		\$2,122,000.00		
SAB	California Statewide Communities Development Authority	\$1,297,000.00	10/30/2018	2018-0697	\$0.00		\$1,297,000.00		
SAB	California Statewide Communities Development Authority	\$2,294,000.00	10/30/2018	2018-0698	\$0.00		\$2,294,000.00		
SAB	California Statewide Communities Development Authority	\$1,160,000.00	10/30/2018	2018-0699	\$0.00		\$1,160,000.00		
SAB	California Statewide Communities Development Authority	\$2,061,000.00	10/30/2018	2018-0700	\$0.00		\$2,061,000.00		
SAB	California Statewide Communities Development Authority	\$410,000.00	10/30/2018	2018-0701	\$0.00		\$410,000.00		
SAB	California Statewide Communities Development Authority	\$1,328,000.00	10/30/2018	2018-0702	\$0.00		\$1,328,000.00		
SAB	California Statewide Communities Development Authority	\$870,000.00	10/30/2018	2018-0703	\$0.00		\$870,000.00		

2018 MARKS ROOS FISCAL STATUS REPORT

F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or</u> <u>Loan Amount</u>	<u>Reporting</u> <u>Date</u>	<u>CDIAC Nbr</u>	<u>Current Year</u> <u>Admin Fees</u>	<u>Outstanding</u> <u>Balance</u>	<u>Retired /</u> <u>Receipt /</u> <u>Repay Date</u>	<u>Retired</u> <u>Type</u>
SAB	California Statewide Communities Development Authority	\$2,526,000.00	10/30/2018	2018-0704	\$0.00	\$2,526,000.00		
SAB	California Statewide Communities Development Authority	\$780,000.00	10/30/2018	2018-0705	\$0.00	\$780,000.00		
SAB	California Statewide Communities Development Authority	\$2,412,000.00	10/30/2018	2018-0706	\$0.00	\$2,412,000.00		
SAB	California Statewide Communities Development Authority	\$550,000.00	10/30/2018	2018-0707	\$0.00	\$550,000.00		
SAB	California Statewide Communities Development Authority	\$1,360,000.00	10/30/2018	2018-0708	\$0.00	\$1,360,000.00		
SAB	California Statewide Communities Development Authority	\$1,464,000.00	10/30/2018	2018-0709	\$0.00	\$1,464,000.00		
<b>Total</b>		<b>\$23,960,000.00</b>				<b>\$23,960,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$1,740,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0694 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-01 Contra Costa County-Brentwood-Sellers Pointe (Phase II)	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,740,000	\$114,358	\$19,451	\$0	\$114,358

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
7.260	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$1,586,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0695 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-02 Contra Costa County-Brentwood-Mission Park	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,586,000	\$104,233	\$17,707	\$0	\$104,233

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.620	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$2,122,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0696 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-01 Riverside County-Murrieta 64	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,122,000	\$139,460	\$23,694	\$0	\$139,460

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
8.860	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$1,297,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0697 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-01 Sacramento County-Elverta (Phase II)	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,297,000	\$85,241	\$14,476	\$0	\$85,241

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.410	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$2,294,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0698 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-02 Sacramento County-Gum Ranch (Village I)	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,294,000	\$150,767	\$25,653	\$0	\$150,767

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
9.570	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$1,160,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0699 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-03 Sacramento County-Sacramento-Shasta 10	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,160,000	\$76,234	\$12,971	\$0	\$76,234

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
4.840	0	N

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$2,061,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0700 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-04 Sacramento County-Murieta Marketplace & Murieta Gardens I	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,061,000	\$135,460	\$23,035	\$0	\$135,460

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
8.600	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$410,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0701 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-05 Sacramento County-Folsom-Folsom 16	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.585 <u>NIC</u> 4.725 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$410,000	\$26,944	\$4,576	\$0	\$26,944

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
1.710	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$1,328,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0702 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-06 Sacramento County-Elk Grove-Sheldon Terrace (Phase I)	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.585 <u>NIC</u> 4.727 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,328,000	\$87,288	\$14,832	\$0	\$87,288

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.540	0	N	\$0

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$870,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0703 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-07 Sacramento County-Sacramento-Prospect Ridge	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.586 <u>NIC</u> 4.726 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$870,000	\$57,179	\$9,728	\$0	\$57,179

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.630	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$2,526,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0704 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-01 San Benito County-San Juan Bautista-Rancho Vista	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,526,000	\$166,026	\$28,233	\$0	\$166,026

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.540	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$780,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0705 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-01 San Joaquin County-Manteca-Evan Estates(#6)	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$780,000	\$51,258	\$15,191	\$0	\$51,258	3.260	0	N	\$0	\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$2,412,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0706 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-02 San Joaquin County-Manteca-Sundance (Unit 3)	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$2,412,000	\$158,530	\$26,936	\$0	\$158,530

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
10.070	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$550,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0707 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-03 San Joaquin County-Manteca-Dolcinea	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.585 <u>NIC</u> 4.725 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$550,000	\$36,141	\$6,138	\$0	\$36,141

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
2.300	N	0	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$1,360,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0708 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-01 Solano County-Fairfield-Gold Ridge	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.587 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,360,000	\$89,383	\$15,191	\$0	\$89,383

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
5.680	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-16-2018	\$1,464,000	California Statewide Communities Development Authority Multiple Counties CDIAC Number: 2018-0709 Special assessment bond Multiple Capital Improvements, Public Works AD No 18-01 Yolo County-West Sacramento-Capital Estates	NR	Neg	(BC) (DC) (PU) (TR)	Orrick Herrington Orrick Herrington CSCDA Wilmington Trust	09-02-47 Term	<u>TIC</u> 4.588 <u>NIC</u> 4.727 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,464,000	\$96,217	\$16,381	\$0	\$96,217

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
6.110	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
California Statewide Communities Development Authority	2018-0693	\$23,960,000.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role</u>	<u>Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-05-2015	\$28,775,000	Fairfield-Suisun Unified School District Public Financing Authority Multiple Counties CDIAC Number: 2015-2542 Revenue bond K-12 School Facility CFD Nos 1, 2, 4, 5 & 6 Refunding	S:AA/A     Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Kronick Moskovitz Sidley Austin LLP Govt Financial Strategies Build America U.S. Bank NA SAMCO Capital Mkts	08-15-25 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	2.105 0

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$23,787,527	\$2,877,500	\$0	\$15,962,365	\$0	\$15,962,365

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>	
LTOB	Fairfield-Suisun Unified School District CFD No 1	\$3,335,000.00	10/29/2018	2005-1895	\$0.00	\$0.00	8/15/2017	Matured	<b>FY 17/18</b>
LTOB	Fairfield-Suisun Unified School District CFD No 2	\$2,475,000.00		2005-1896			8/15/2016	Matured	<b>FY 16/17</b>
LTOB	Fairfield-Suisun Unified School District CFD No 4	\$1,455,000.00		2005-1897			8/15/2015	Matured	<b>FY 15/16</b>
LTOB	Fairfield-Suisun Unified School District CFD No 5	\$39,495,000.00	10/29/2018	2005-1898	\$0.00	\$22,280,057.66			
LTOB	Fairfield-Suisun Unified School District CFD No 6	\$2,990,000.00	10/29/2018	2005-1899	\$0.00	\$1,507,469.03			
		<b>Total</b>				<b>\$23,787,526.69</b>			

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-11-2006	\$3,335,000	Fairfield-Suisun Unified School District CFD No 1 Solano CDIAC Number: 2005-1895 Limited tax obligation bond K-12 School Facility Suisun City Schools Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Fairfield-Suisun USD PFA U.S. Bank NA	08-15-17 Term	<u>TIC</u> <u>NIC</u> 4.752 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$0	\$130,180	\$0	\$0	\$64

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
3.280	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fairfield-Suisun Unified School District Public Financing Authority	2015-2542	\$28,775,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-11-2006	\$39,495,000	Fairfield-Suisun Unified School District CFD No 5 Solano CDIAC Number: 2005-1898 Limited tax obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Fairfield-Suisun USD PFA U.S. Bank NA	08-15-25 Comb	<u>TIC</u> <u>NIC</u> 4.907 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$22,280,058	\$3,448,638	\$0	\$0	\$15,366,783

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
86.930	Y	0.620	\$4,665,842

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$28,775	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fairfield-Suisun Unified School District Public Financing Authority	2015-2542	\$28,775,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
01-11-2006	\$2,990,000	Fairfield-Suisun Unified School District CFD No 6 Solano CDIAC Number: 2005-1899 Limited tax obligation bond K-12 School Facility	NR	Neg	(BC) (PU) (TR)	Stradling Yocca Fairfield-Suisun USD PFA U.S. Bank NA	08-15-25 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	4.127

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$1,507,469	\$234,995	\$0	\$0	\$595,517

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
5.920	Y	0.590	\$236,430

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$1,400	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Fairfield-Suisun Unified School District Public Financing Authority	2015-2542	\$28,775,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>		<u>Type of Sale</u>		<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-1998	\$68,491,183	Golden West Schools Financing Authority Multiple Counties CDIAC Number: 1998-0157 Revenue bond K-12 School Facility Downey USD, Greenfield UnESD, Oxnard UnHSD, Victor Vly UnHSD & Western Placer USD Refunding	S:AAA M:Aaa  Ins	Neg	(BC) Jones Hall (FA) Dale Scott & Assoc (EN) MBIA Corp (TR) First Trust of CA (UW) Kinsell O'Neal	08-01-27 Serial	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.844

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$13,221,981	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Victor Valley Union High School District	\$30,158,731.00	12/13/2018	1997-1805	\$0.00	\$4,335,394.00		
GOB	Downey Unified School District	\$9,680,256.00	12/13/2018	1997-1806	\$0.00	\$3,935,256.00		
GOB	Western Placer Unified School District	\$15,052,284.00	12/13/2018	1998-0155	\$0.00	\$845,720.00		
GOB	Oxnard Union High School District	\$10,199,913.00	11/13/2018	1998-0156	\$0.00	\$3,785,000.00		
GOB	Greenfield Union School District	\$3,400,000.00		1998-0158			8/1/2016	Matured FY 16/17
<b>Total</b>		<b>\$68,491,184.00</b>				<b>\$12,901,370.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-1998	\$30,158,731	Victor Valley Union High School District San Bernardino CDIAC Number: 1997-1805 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Bowie Arneson Kadi Dale Scott & Assoc Golden W Schools FA State Street Bank Corp	08-01-20 Serial	<u>TIC</u> 5.883 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$4,335,394	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden West Schools Financing Authority	1998-0157	\$68,491,183.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-1998	\$9,680,256	Downey Unified School District Los Angeles CDIAC Number: 1997-1806 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Bowie Arneson Kadi Dale Scott & Assoc Golden W Schools FA State Street Bank Corp	02-01-27 Serial	<u>TIC</u> <u>NIC</u> 6.421 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,935,256	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden West Schools Financing Authority	1998-0157	\$68,491,183.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-1998	\$15,052,284	Western Placer Unified School District Placer CDIAC Number: 1998-0155 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (PU) (TR)	Jones Hall Golden W Schools FA State Street Bank Corp	08-01-18 Serial	<u>TIC</u> <u>NIC</u> 5.814 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$845,720	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden West Schools Financing Authority	1998-0157	\$68,491,183.00



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
01-29-1998	\$10,199,913	Oxnard Union High School District Ventura CDIAC Number: 1998-0156 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Jones Hall Dale Scott & Assoc Golden W Schools FA State Street Bank Corp	08-01-27 Serial	<u>TIC</u> <u>NIC</u> 5.813 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$3,785,000	\$0	\$811,530	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	N	\$0

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$0	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden West Schools Financing Authority	1998-0157	\$68,491,183.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-09-2005	\$21,765,000	Golden West Schools Financing Authority Multiple Counties CDIAC Number: 2005-1048 Revenue bond K-12 School Facility Rowland USD	S:AAA/A+ M:Aaa/A2  Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall FGIC U.S. Bank NA George K Baum	09-01-24 Serial	<u>TIC</u> <u>NIC</u> 4.344 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,120,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Rowland Unified School District	\$21,641,739.00	10/07/2018	2005-1049	\$0.00	\$8,658,978.96		
<b>Total</b>		<b>\$21,641,739.00</b>				<b>\$8,658,978.96</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-09-2005	\$21,641,739	Rowland Unified School District Multiple Counties CDIAC Number: 2005-1049 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (TR) (UW)	Jones Hall U.S. Bank NA Golden W Schools FA	09-01-24 Serial CAB	<u>TIC</u> <u>NIC</u> 4.344 <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$8,658,979	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.740	\$15,157,741

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$112,876	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden West Schools Financing Authority	2005-1048	\$21,765,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-09-2005	\$11,915,000	Golden West Schools Financing Authority Multiple Counties CDIAC Number: 2005-1194 Revenue bond K-12 School Facility Glendora USD	S:AAA/A+     Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall MBIA Corp U.S. Bank NA George K Baum	09-01-25 Serial	<u>TIC</u> <u>NIC</u> 4.188 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$9,735,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Glendora Unified School District	\$11,910,306.00	10/07/2018	2005-1193	\$0.00	\$5,175,261.32		
<b>Total</b>		<b>\$11,910,306.00</b>				<b>\$5,175,261.32</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-06-2005	\$11,910,306	Glendora Unified School District Los Angeles CDIAC Number: 2005-1193 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (TR) (UW)	Jones Hall U.S. Bank NA Golden W Schools FA	09-01-25 Term CAB	<u>TIC</u> <u>NIC</u> 5.290 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$5,175,261	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	0.800	\$3,598,485

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$30,943	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden West Schools Financing Authority	2005-1194	\$11,915,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
07-21-2005	\$1,580,000	Golden West Schools Financing Authority Multiple Counties CDIAC Number: 2005-1197 Revenue bond K-12 School Facility Santa Paula UnHSD	S:AAA/A    Ins	Comp	(BC) (FA) (EN) (TR) (UW)	Jones Hall Dale Scott & Co Inc Ambac U.S. Bank NA Stone & Youngberg	08-01-18 Serial	TIC NIC 3.732 TYPE:

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$150,000	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant
N

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
GOB	Santa Paula Union High School District	\$1,500,000.00	10/12/2018	2005-1198	\$0.00	\$150,000.00		
<b>Total</b>		<b>\$1,500,000.00</b>				<b>\$150,000.00</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
07-21-2005	\$1,500,000	Santa Paula Union High School District Ventura CDIAC Number: 2005-1198 General obligation bond K-12 School Facility Federally Taxable Refunding	NR	Comp	(BC) (FA) (TR) (UW)	Jones Hall Dale Scott & Co Inc U.S. Bank NA Golden W Schools FA	08-01-18 Serial	<u>TIC</u> <u>NIC</u> 3.732 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>	<u>Authority Reserve Fund (%)</u>	<u>B. DELINQUENCY</u>			<u>C. TEETER PLAN</u>	
							<u>Rate(%)</u>	<u>YES/NO</u>	<u>Taxes Due</u>	<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
17/18	\$150,000	\$0	\$0	\$0	\$0	0	0.760	Y	\$3,623,929	\$27,338	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden West Schools Financing Authority	2005-1197	\$1,580,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-16-2005	\$82,616,832	Golden West Schools Financing Authority Multiple Counties CDIAC Number: 2005-1216 Revenue bond K-12 School Facility Beverly Hills USD Series A & B	S:AAA M:Aaa  Ins	Neg	(BC) (DC) (EN) (TR) (UW)	Jones Hall Jones Hall FGIC U.S. Bank NA George K Baum	08-01-26 Comb CAB	<u>TIC</u> <u>NIC</u> 4.367 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$51,591,832	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
N

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Beverly Hills Unified School District	\$83,739,631.00	10/07/2018	2005-1214	\$0.00	\$28,862,013.54		
<b>Total</b>		<b>\$83,739,631.00</b>				<b>\$28,862,013.54</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
06-16-2005	\$83,739,631	Beverly Hills Unified School District Los Angeles CDIAC Number: 2005-1214 General obligation bond K-12 School Facility Consolidated Series A & B Refunding	NR	Neg	(BC) (DC) (TR) (UW)	Jones Hall Jones Hall U.S. Bank NA Golden W Schools FA	08-01-26 Serial CAB	<u>TIC</u> 5.380 <u>NIC</u> <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$28,862,013.54	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.800	\$25,767,498

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$470,286	N

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden West Schools Financing Authority	2005-1216	\$82,616,832.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-08-2005	\$37,325,000	Golden West Schools Financing Authority Multiple Counties CDIAC Number: 2005-1951 Revenue bond K-12 School Facility Placentia-Yorba Linda USD	S:AAA/A+ M:Aaa/Aa3  Ins	Neg	(BC) (EN) (TR) (UW)	Jones Hall Ambac The Bank of NY Trust Co George K Baum	08-01-24 Serial	<u>TIC</u> <u>NIC</u> 4.590 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$25,275,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Placentia-Yorba Linda Unified School District	\$35,728,410.00	10/25/2018	2005-1952	\$0.00	\$12,769,327.58		
<b>Total</b>		<b>\$35,728,410.00</b>				<b>\$12,769,327.58</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
12-08-2005	\$35,728,410	Placentia-Yorba Linda Unified School District Orange CDIAC Number: 2005-1952 General obligation bond K-12 School Facility Series A Refunding	NR	Neg	(BC) (TR) (UW)	Jones Hall The Bank of NY Trust Co Golden W Schools FA	08-01-24 Serial	<u>TIC</u> 5.874 <u>NIC</u> <u>TYPE:</u>

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,769,328	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	0.560	Y	\$94,287,996

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$535,406	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Golden West Schools Financing Authority	2005-1951	\$37,325,000.00

2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			Enhancement	Private Placement	Role Participant	Capital Appreciation Bond	Interest	
11-02-2006	\$10,740,000	Merced Community College District School Facilities Financing Authority Multiple Counties CDIAC Number: 2006-1127 Revenue bond College, University Facility ID No 2	S:AAA/A+ M:Aaa/A2  Ins	Neg	(BC) (EN) (TR) (UW)	Orrick Herrington MBIA Corp The Bank of NY Trust Co Stone & Youngberg	08-01-25 Serial	TIC NIC 4.271 TYPE:

YEARLY FISCAL STATUS REPORT INFORMATION

A. FUND BALANCES

Year	Principal Amt Outstanding	Minimum Bond Reserve	Capitalized Interest	Bond Reserve Cash	Bond Reserve Surety Bond	Total Bond Reserve Fund
17/18	\$7,960,000	\$0	\$0	\$0	\$0	\$0

B. ISSUANCE FEE

Issuance Cost
\$0

C. TEETER PLAN

Teeter Plan Participant
Y

D. INVESTMENT CONTRACT (Current Year Only)

Maturity Date	Commission Amount	Interest Earn
	\$0	\$0

E. PROFESSIONAL SERVICE FEE (Current Year Only)

Type of Service	Service Amount
Trustee	\$1,980
Total:	\$1,980

F. LOCAL OBLIGORS

Type	Issuer	Purchase or Loan Amount	Reporting Date	CDIAC Nbr	Current Year Admin Fees	Outstanding Balance	Retired / Receipt / Repay Date	Retired Type
GOB	Merced Community College District	\$10,434,847.00	10/30/2018	2006-1128	\$0.00	\$7,954,847.34		
<b>Total</b>		<b>\$10,434,847.00</b>				<b>\$7,954,847.34</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

Date	Amount(s)	Issuing Entity, County, Type of Debt, Purpose	Rating(s)	Type of Sale			Maturity Date, Type	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
11-02-2006	\$10,434,847	Merced Community College District Multiple Counties CDIAC Number: 2006-1128 General obligation bond College, University Facility Los Banos Campus Area SFID No 2 Refunding	NR	Neg	(BC) (FA) (PU) (TR)	Orrick Herrington Stone & Youngberg Merced CCD School FFA The Bank of NY Trust Co	08-01-25 Serial	<u>TIC</u> <u>NIC</u> 5.019 <u>TYPE:</u>

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$7,954,847	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Rate(%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	1.590	Y	\$241,117,559

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$3,823,932	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Merced Community College District School Facilities Financing Authority	2006-1127	\$10,740,000.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-28-2008	\$59,874,157	School Facilities Financing Authority Multiple Counties CDIAC Number: 2008-0427 Revenue bond K-12 School Facility Grant Joint UnHSD	M:Aaa/A2 F:AAA/A  Ins	Neg	(BC) (DC) (FA) (EN) (TR) (UW)	Orrick Herrington Orrick Herrington CA Financial Service FSA U.S. Bank NA UBS Securities	08-01-42 Comb CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	5.219

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$56,303,853	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>
Y

#### D. INVESTMENT CONTRACT (Current Year Only)

<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
	\$0	\$0

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Grant Joint Union High School District	\$51,404,756.00	10/22/2018	2008-0428	\$0.00	\$36,342,871.31		
<b>Total</b>		<b>\$51,404,756.00</b>				<b>\$36,342,871.31</b>		

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s) Enhancement</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
				<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-28-2008	\$51,404,756	Grant Joint Union High School District Multiple Counties CDIAC Number: 2008-0428 General obligation bond K-12 School Facility Refunding	NR	Neg	(BC) (DC) (FA) (PU) (TR)	Orrick Herrington Orrick Herrington CA Financial Service School Facilities FA U.S. Bank NA	08-01-42 Serial CAB	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	6.086

### LOCAL OBLIGOR INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$36,342,871	\$0	\$0	\$0	\$0

#### B. DELINQUENCY

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Rate(%)</u>	<u>Taxes Due</u>
0	Y	1.340	\$10,297,734

#### C. TEETER PLAN

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$137,901	Y

#### D. AUTHORITY

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
School Facilities Financing Authority	2008-0427	\$59,874,157.00

## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>	
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>	
05-01-2011	\$19,770,000	Tracy School Facilities Financing Authority Multiple Counties CDIAC Number: 2011-0431 Revenue bond K-12 School Facility Series A, Qualified School Construction Bonds Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Orrick Herrington KNN Public Finance JP Morgan Chase Bank The Bank of NY Mellon	05-01-26 Term	<u>TIC</u> <u>NIC</u> 0.015 <u>TYPE:</u>

### YEARLY FISCAL STATUS REPORT INFORMATION

#### A. FUND BALANCES

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Bond Reserve Cash</u>	<u>Bond Reserve Surety Bond</u>	<u>Total Bond Reserve Fund</u>
17/18	\$16,430,000	\$0	\$0	\$0	\$0	\$0

#### B. ISSUANCE FEE

<u>Issuance Cost</u>
\$0

#### C. TEETER PLAN

<u>Teeter Plan Participant</u>	<u>Maturity Date</u>	<u>Commission Amount</u>	<u>Interest Earn</u>
Y		\$0	\$0

#### D. INVESTMENT CONTRACT (Current Year Only)

#### E. PROFESSIONAL SERVICE FEE (Current Year Only)

<u>Type of Service</u>	<u>Service Amount</u>
Audit	\$3,000
Trustee	\$3,550
Total:	\$6,550

#### F. LOCAL OBLIGORS

<u>Type</u>	<u>Issuer</u>	<u>Purchase or Loan Amount</u>	<u>Reporting Date</u>	<u>CDIAC Nbr</u>	<u>Current Year Admin Fees</u>	<u>Outstanding Balance</u>	<u>Retired / Receipt / Repay Date</u>	<u>Retired Type</u>
GOB	Tracy Unified School District	\$16,000,000.00	10/30/2018	2011-0432	\$0.00	\$12,673,000.00		
<b>Total</b>		<b>\$16,000,000.00</b>				<b>\$12,673,000.00</b>		



## 2018 MARKS ROOS FISCAL STATUS REPORT

<u>Date</u>	<u>Amount(s)</u>	<u>Issuing Entity, County, Type of Debt, Purpose</u>	<u>Rating(s)</u>	<u>Type of Sale</u>			<u>Maturity Date, Type</u>		
			<u>Enhancement</u>	<u>Private Placement</u>	<u>Role Participant</u>	<u>Capital Appreciation Bond</u>	<u>Interest</u>		
05-01-2011	\$16,000,000	Tracy Unified School District San Joaquin CDIAC Number: 2011-0432 General obligation bond K-12 School Facility ID No 3 Series A Federally Taxable	NR	Neg PP	(BC) (FA) (PU) (TR)	Orrick Herrington KNN Public Finance Tracy Sch Facs FA The Bank of NY Mellon	05-01-26 Term	<u>TIC</u> <u>NIC</u> <u>TYPE:</u>	3.000

**LOCAL OBLIGOR INFORMATION**

**A. FUND BALANCES**

<u>Year</u>	<u>Principal Amt Outstanding</u>	<u>Minimum Bond Reserve</u>	<u>Capitalized Interest</u>	<u>Admin Fee Charged By Authority</u>	<u>Bond Reserve Fund</u>
17/18	\$12,673,000	\$0	\$0	\$0	\$0

**B. DELINQUENCY**

<u>Authority Reserve Fund (%)</u>	<u>Del Taxes Reported YES/NO</u>	<u>Taxes Due</u>
0	Y	\$1,064,346

**C. TEETER PLAN**

<u>Taxes Unpaid</u>	<u>Teeter Plan Participant</u>
\$9,643	Y

**D. AUTHORITY**

<u>Issuing Authority</u>	<u>CDIAC Nbr</u>	<u>Principal Amt</u>
Tracy School Facilities Financing Authority	2011-0431	\$19,770,000.00



## APPENDIX B

### **Draw on Reserve/Default/Replenishment Reports**

This report is based on unaudited information reported to the CDIAC on the Report of Final Sale and the Draw on Reserves/Defaults/Replenishments for Mello-Roos/Marks-Roos Issue Report submitted by sources considered to be reliable. CDIAC is not liable for errors that are contained in these reports.



**2017/2018 None Were Submitted**

**Draw on Reserve/Default/Replenishment Reports**



## APPENDIX C

### **Abbreviations**





A	Appraisal for Property	CCC	California Conservations Corps	CRA/CRDA	Community Redevelopment Agency
ABAG	Association of Bay Area Governments	CCCFA	California Community College Financing Authority	CREBs	Clean Renewable Energy Bonds
AD	Assessment District	CCD	Community College District	CSA	Community Service Area/County Service Areas
Add	Additional	CCLC	Community College League of California	CSBA	California School Boards Association
AFV	Animal Friends of Valley	CD	Conservation District	CSCDA	California Statewide Community Development Authority
Admin	Administration	CDA	Community Development Agency	CSD	Community Services District
Agmt	Agreement	CDB	California Development Bank	CSU	California State University
Agr	Agricultural	CDC	Community Development Commission	Ct/Ctr	Court/Center
Agy	Agency	CDCR	California Department of Corrections Rehabilitation	Cty	City
AMR	Automated Meter Reading	CDVA	California Department of Veterans Affairs	DCMWTP	David C McCollom Water Treatment Plant
Aprt	Airport	CFD	Community Facilities District	DGS	Department of General Services
Apts	Apartments	CH	Courthouse	DMH	Department of Mental Health
Assoc	Association/Associates	CHFFA	California Health Financing Facilities Authority	Dept	Departments
Asst	Assistant	CIBs	Current Interest Bonds	Dev	Development
Auth	Authority	CIC	Community Improvement Commission	Dist	District
Ave	Avenue	CIP/CIFP	Capital Improvement Program/Capital Improvement Financing Program	Distrib	Distribution
B/Bldgs	Building(s)	CL	Capital Lease	Dr	Drive
BAB	Build America Bonds (ARRA 2009)	CMFA	California Municipal Finance Authority	E	East/Eastern
BART	Bay Area Rapid Transit	Cntrl	Control	E	Equalized Tax Roll (Appraisal /Tax Roll)
BC	Bond Counsel	Cnyn	Canyon	EIP	Energy Independence Program
BCOE	Butte County Office of Education	Co	Company/County	ES/ESD	Elementary School/District
Bch	Beach	Comm	Community	EMWD	Eastern Municipal Water District
Bd	Board	Comp	Competitive	Econ	Economic
Bldv	Boulevard	Conf	Conference	Ed	Education
Bus	Business	Cons	Conservation	Enhancmt	Enhancement
CA	California	Const	Construction	Equip	Equipment
CABs	Capital Appreciation Bonds	COPs/COPL	Certificates of Participation/Lease	Ext	Exit
CAEATFA	CA Alternative Energy and Advanced Transportation FA	Corp	Corporation	FA	Financing Authority/Financial Advisor
CAL FIRE	California Department of Forestry and Fire Protection	Corr	Corridor	Facs	Facilities
CAP	Capital	CRA/ERAF	Educational Revenue Augmentation Fund	Fed	Federal

FGIC	Financial Guaranty Insurance Company	Ltd	Limited	Prof	Professional
Fin	Finance	LTOB	Limited Tax Obligation Bond	Protec	Protection
Fld	Flood	M	Moody	Pt	Point
FOB	Federal Office Building	MBIA	Municipal Bond Investors Assurance Corporation	PU	Purchaser
FNMA	Federal National Mortgage Association	Med	Medical	Pub	Public
FSA	Financial Security Assurance	Mfg	Manufacture/Manufacturing	PUD	Public Utility District
GNMA	Government National Mortgage Association	MFH	Multifamily Housing	RB/RBs	Revenue Bond(s)
GO/GOB	General Obligation Bond	MKRL	Marks-Roos Authority Loan	RC	Rancho Cucamonga
Govt	Government	Mkt	Market	Rd	Road
Gwy	Gateway	Mt	Mount	Rec	Recreation
Grp	Group	Mtg	Mortgage	Reg	Regional
HA	Housing Authority	Mtn	Mountain	Rev	Revenue
HCD	HealthCare District	MWD	Municipal Water District	RDA	Redevelopment Agency
Headqtrs	Head Quarters	MWWD	Metropolitan Wastewater Department	SA	Successor Agency
HERO	Historic Enhancement and Revitalization of Oxnard	MS	Middle School	SAB	Special Assessment Bond
HFA	Housing Finance Agencies Initiative	N	North/No	San	Sanitary
Hght	Heights	Natl	National	SBSFA	Santa Barbara Schools Financing Authority
HS/HSD	High School/District	NCREBs	New Clean Renewable Energy Bonds	SCCE	Santa Cruz County Office of Education
Hsg	Housing	Neg	Negotiated	Sch	School
I	One	NEQ	Northeast Quadrant	SCIP	Statewide Community Infrastructure Program
IA	Improvement Area	NIC	Net Interest Cost	SCLE	South Coast Local Education Agencies
ID/Imp	Improvement District/Improvement	N/S	North South	SD/UnSD	School District/Union School District
II	Two	No/Nos	Number/Numbers	SDALG	San Diego Area Local Government
Inc	Incorporated	NR	Not Rated	Sec	Securities
Indus/ID	Industrial Development	NW	Northwest	Ser/Sers	Series
Ins	Insurance	Oblig	Obligation	SF	San Francisco
Intl	International	Off	Office	SFA	School Financing Authority
Inv	Investment	OPEB	Other Post-Employment Benefit	SFH	Single Family Housing
IRD	Irrigation District	OTHB	Other Bond	SFI	Sanitation Facility Improvements
IV	Four	PACE	Property Assessed Clean Energy	Shp	Shop
JPA/Jt	Joint Powers Authority/Joint	PERB	Public Enterprise Revenue Bond	S/So	South
Jr	Junior	PFA	Public Financing Authority	SOMA	South of Market Area
JS/JSD	Joint School/Joint School District	PFFA	Public Facilities Financing Authority	Spec	Special
LA	Los Angeles	Pk/Pkwy	Park/Parkway	SPTRB	Special Tax Revenue Bond
LAC-CAL	Los Angeles County Capital Asset Leasing Corporation	PI	Place	SPURR	School Project for Utility Rate Reduction
LID	Local Improvement District	PLRB	Public lease revenue bond	Sq	Square
LOC	Letter of Credit	POB	Pension Obligation Bond	SMD	Sewer Maintenance Districts
LPFA	Lincoln Public Finance Authority	Pres	President	Sr	Senior

St	Street/Saint	W	West/Western
Stes	Suites	w/	With
STB	Special Tax Bond	WA	Washington
Sub	Subordinate	WD/WA	Water District/Agency
SV	Saddleback	WSIP	Water System Improvement Program
Svcs	Services	WSTW	Wastewater
TA	Tax Allocation	Wtr	Water
TAB	Tax Allocation Bond	Y	Yes
TD	Transit District		
TIC	True Interest Cost		
TOT	Transient Occupancy Tax		
TR	Trustee		
TRAN	Tax and Revenue Anticipation Notes		
Trans	Transportation		
TRIP	Total Road Improvement Program		
UC/Univ	University of California/University		
UCI	University of California, Irvine		
UCLA	University of California, Los Angeles		
UCSD	University of California, San Diego		
UD	Utility District		
USD	Unified School District		
USDA	United States Department of Agriculture		
UnESD	Union Elementary School		
UnHSD	Union High School District		
Util	Utility/Utilities		
UW	Underwriter		
V	Five		
Vly	Valley		
VRD	Variable Rate Demand		







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