

CDIAC

CALIFORNIA  
DEBT AND  
INVESTMENT  
ADVISORY  
COMMISSION

# DISCLOSURE POLICIES: WHAT EVERY ISSUER SHOULD CONSIDER

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OCTOBER 14, 2015

10:00 AM – 11:45 AM

# What do we intend to cover today?

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- Why are Disclosure Policies and Procedures Important?
- What should Disclosure Policies and Procedures Cover?
- What is the right way to develop good Disclosure Policies and Procedures?

# Why are Disclosure Policies and Procedures Important?

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- Are they required?
- If they are not required, why are we talking about them?
- What are the good reasons to have Disclosure Policies and Procedures?

# What should Disclosure Policies and Procedures Cover?

- What disclosures should be covered?
- What kinds of review should be required?
- What kind of documentation should be required?
- What kind of training and what frequency of training should be required?

# What is the right way to develop good Disclosure Policies and Procedures?

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- How do you know what kind of Disclosure Policies and Procedures work for you?
- What do you start with?
- What are the core components of Disclosure Policies and Procedures?

# QUESTIONS

# Upcoming Programs

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## Webinar

December 9, 2015 | 10:00 AM to 11:45 AM PT

### **Post-MCDC Initiative: What Did We Learn as Issuers?**

## Seminars

October 21, 2015 | San Francisco, CA

### **Electronic Trading: Market Efficiency and Why It Matters to Issuers**

CDIAC's 14th Annual Pre-Conference at *The Bond Buyer's* 25th Annual California Public Finance Conference

November 17, 2015 | Sacramento, CA

### **Rethinking Development Finance: Financing Development in a Post-Redevelopment World**

In collaboration with UC Davis Extension

For more information, go to <http://www.treasurer.ca.gov/cdiac/seminars.asp>