

**CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY**

**APPLICATIONS RECEIVED IN 2001**

<b><u>APPL. NO.</u></b>	<b><u>DATE REC'D</u></b>	<b><u>APPLICANT NAME</u></b>	<b><u>AMOUNT</u></b>
681	02-26-01	Greenwaste Recovery, Inc.	\$8,305,000
682	04-02-01	Santa Clara Valley Disposal Company	\$7,100,000
683	04-02-01	California Waste Solutions, Inc.	\$7,605,000
684	04-04-01	George Plantenga and Agnes Plantenga (Western Sky Dairy)	\$5,000,000
685	04-23-01	Waste Management, Inc.	\$25,745,000
686	04-23-01	South Lake Refuse & Recycling	\$6,150,000
687	04-23-01	Mottra Corporation/Metropolitan Waste	\$7,640,000
688	05-18-01	Sunset Waste Paper, Inc.	\$5,500,000
689	10-26-01	Plastic Energy, LLC	\$16,568,000
690	12-21-01	Blue Line Transfer, Inc.	\$9,330,000
691	12-17-01	Republic Services, Inc.	\$50,000,000
692	12-26-01	Mission Trail Waste Systems, Inc.	\$8,000,000
693	12-28-01	Waste Management, Inc.	\$50,000,000
694	12-28-01	Burrtec Waste Industries, Inc.	\$9,900,000
695	12-28-01	C.R. & R., Incorporated	<u>\$5,000,000</u>
		<b>TOTAL:</b>	<b><u>\$221,843,000</u></b>

**CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY**

**INITIAL RESOLUTIONS (IR) ADOPTED IN 2001**

<b><u>IR NO.</u></b>	<b><u>DATE APPROVED</u></b>	<b><u>APPLICANT NAME</u></b>	<b><u>AMOUNT</u></b>
01-01	01-18-01	Brawley Beef, LLC.	\$7,500,000
01-02	01-18-01	Waste Management, Inc.	\$97,100,000
01-03	04-02-01	Greenwaste Recovery, Inc.	\$8,200,000
01-04	05-01-01	Santa Clara Valley Disposal Co.	\$7,560,000
01-05	05-10-01	California Waste Solutions, Inc.*	\$14,875,000
01-06	05-01-01	George Plantenga and Agnes Plantenga (Western Sky Dairy)	\$5,000,000
01-07	05-25-01	Waste Management, Inc.	\$25,745,000
01-08	05-25-01	South Lake Refuse & Recycling LLC.	\$6,150,000
01-09	05-25-01	Mottra Corp./Metropolitan Waste	\$7,640,000
01-10	06-28-01	Sunset Waste Paper, Inc.	\$5,500,000
01-11	11-27-01	Plastic Energy, LLC	<u>\$16,580,000</u>
		<b>TOTAL:</b>	<b><u>\$201,850,000</u></b>

\* California Waste Solutions, Inc. was amended to increase from \$7,605,000 to \$14,875,000 on December 18, 2001.

**CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY**

**FINAL RESOLUTIONS ADOPTED IN 2001**

<b>FR NO.</b>	<b>DATE APPROVED</b>	<b>APPLICANT NAME</b>	<b>AMOUNT</b>
355	04-02-01	Athens Services Project (Arakelian Enterprises, Inc., affiliate)	\$15,780,000
*356-O	01-18-01	Exxon Mobil Corporation (Exxon Capital Ventures, Inc., affiliate)	\$46,500,000
*356-D	04-02-01	Specialty Solid Waste & Recycling	\$10,920,000
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358	04-02-01	Blue Line Transfer, Inc.	\$8,000,000
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360	02-20-01	Cheese & Protein International, LLC	\$15,365,000
361	04-02-01	Mission Trail Waste Systems, Inc.	\$3,500,000
362	04-02-01	Republic Industries, Inc.	\$50,000,000
363	04-02-01	Federal Disposal Service (Shubin Services, Inc., affiliate)	\$3,450,000
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367	04-02-01	Pacific Gas & Electric Co.	\$100,000,000
368	05-28-01	Waste Management, Inc.	\$142,200,000
369	06-28-01	Bos Farms (Gary Bos, affiliate)	\$1,550,000
370	06-28-01	Chicago Grade Landfill, Inc.	\$1,825,000
371	06-28-01	Greenteam of San Jose	\$18,235,000
372	08-15-01	Western Sky Dairy (George Plantenga and Agnes Plantenga, affiliate)	\$5,000,000
373	08-15-01	Ratto Group of Companies (Solid Waste Systems, Inc., affiliate)	\$9,845,000
374	08-15-01	Santa Clara Valley Disposal Co.	\$7,560,000
375	08-15-01	Greenwaste Recovery, Inc.	\$8,305,000
376	09-25-01	Brawley Beef, LLC	\$6,815,000

\* Final Resolution Number was issued twice: O = Original, D = Duplicate.

\*\* Final Resolution Numbers 357, 359, 364, 365, and 366 were assigned but not approved by the Authority.

**CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY**

**FINAL RESOLUTIONS ADOPTED IN 2001**

<b><u>FR NO.</u></b>	<b><u>DATE APPROVED</u></b>	<b><u>APPLICANT NAME</u></b>	<b><u>AMOUNT</u></b>
377	09-25-01	Norcal Waste Systems, Inc.	\$79,400,000
378	12-18-01	Waste Management, Inc.	\$48,500,000
		<b>TOTAL:</b>	<b><u>\$582,750,000</u></b>

**CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY**

**BONDS SOLD IN 2001**

<b>CLOSING DATE</b>	<b>BOND SHORT NAME</b>	<b>AMOUNT OF ISSUE</b>	<b>INTEREST RATES</b>
03-16-01	ExxonMobil Series 2001 (R) (Refunding)	\$13,995,000	Beg. Variable @ 3.60%
04-11-01	Cheese & Protein Series 2001A (Tax Exempt) Series 2001B (Taxable)	\$10,000,000 \$ 5,365,000	Beg. Variable @ 3.65% Beg. Variable @ 5.10%
04-18-01	Federal Disposal Service Series 2001A	\$ 3,450,000	Beg. Variable @ 4.20%
04-24-01	Blue Line Transfer Series 2001A	\$ 8,000,000	Beg. Variable @ 4.05%
05-09-01	Athens Services Project Series 2001A	\$15,780,000	Beg. Variable @ 3.25%
05-16-01	Mission Trail Series 2001A	\$ 3,500,000	Beg. Variable @ 2.80%
05-17-01	Specialty Solid Waste Series 2001A	\$10,920,000	Beg. Variable @ 2.80%
05-23-01	Republic Industries Series 2001A	\$19,000,000	Beg. Variable @ 3.00%
07-17-01	Waste Management Series 2001A	\$19,000,000	Beg. Variable @ 2.65%
09-26-01	Chicago Grade Landfill Series 2001A	\$ 1,825,000	Beg. Variable @ 2.30%
10-03-01	Greenteam of San Jose Series 2001A	\$18,235,000	Beg. Variable @ 2.50%
10-04-01	Bos Farms Series 2001A	\$ 1,550,000	Beg. Variable @ 2.15%

**CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY**

**BONDS SOLD IN 2001**

<b>CLOSING DATE</b>	<b>BOND SHORT NAME</b>	<b>AMOUNT OF ISSUE</b>	<b>INTEREST RATES</b>
10-09-01	Greenwaste Recovery Series 2001A	\$ 8,305,000	Beg. Variable @ 2.10%
10-11-01	Western Sky Dairy Series 2001A	\$ 5,000,000	Beg. Variable @ 1.90%
10-24-01	Santa Clara Disposal Series 2001A	\$ 7,560,000	Beg. Variable @ 2.00%
11-14-01	Ratto Group of Companies Series 2001A	\$ 9,845,000	Beg. Variable @ 1.55%
11-20-01	Brawley Beef Series 2001A	\$ 6,815,000	Beg. Variable @ 1.60%
12-04-01	Norcal Waste Systems Series 2001A	\$31,215,000	Beg. Variable @ 1.25%
	Total Tax-Exempt (New)	\$180,000,000	
	Total Tax-Exempt Refunding	\$ 13,995,000	
	Total Taxable	\$ 5,365,000	
	<b>GRAND TOTAL:</b>	<b><u>\$199,360,000</u></b>	

**CALIFORNIA POLLUTION CONTROL FINANCING AUTHORITY**

**BONDS AUTHORIZED BUT NOT SOLD  
AS OF DECEMBER 31, 2001**

<b>FR NO.</b>	<b>BOND SHORT NAME</b>	<b>AUTHORIZED AMOUNT</b>
367	Pacific Gas and Electric Co.	\$100,000,000
378	Waste Management, Inc. (Refunding)	<u>\$ 48,500,000</u>
	<b>TOTAL:</b>	<b><u>\$148,500,000</u></b>

## SUMMARY

### ANNUAL REPORT TO THE LEGISLATURE FOR CALENDAR YEAR 2001

#### **APPLICATIONS RECEIVED**

Authority staff received 15 new applications for a total dollar amount of \$221,843,000.

#### **INITIAL RESOLUTIONS ADOPTED**

The Authority took initial action (induced) on 11 of the new applications for a total dollar amount of \$201,850,000.

#### **FINAL RESOLUTIONS ADOPTED**

The Authority took final action *to sell bonds* for 20 existing applications for a total dollar amount of \$582,750,000.

#### **BONDS SOLD**

The Authority sold 18 tax-exempt bond issues and 1 taxable bond series for a total amount of \$199,360,000. One Authority bond issue was for a refunding in the amount of \$13,995,000.

#### **BONDS AUTHORIZED BUT NOT SOLD**

Two applications (Waste Management, Inc. and Pacific Gas and Electric) were approved for sale but remained unsold totalling \$148,500,000.

#### **CONVERSIONS OF EXISTING TAXABLE BONDS TO TAX-EXEMPT STATUS**

None.