

**P. M. I. B.**

**Wednesday, February 15, 2017**

**10:00 a.m.**

PMIB Meeting  
February 15, 2017

DESIGNATION

# POOLED MONEY INVESTMENT ACCOUNT

## PORTFOLIO SUMMARY REPORT

February 15, 2017

(Dollars in Billions)

	01/31/17	12/31/16	Change From Prior Month	01/31/16
<b>Total Portfolio</b>				
Amount	\$73.764	\$73.736	\$0.028	\$65.310
Effective Yield	0.764%	0.735%	0.029%	0.452%
Quarter-to-date Yield	0.751%	0.684%	0.067%	0.446%
Year-to-date Yield	0.662%	0.647%	0.015%	0.366%
Average Life (in days)	180	171	9	167
<b>AB 55 Loans (Government Code §16312 and §16313)</b>				
Approved	\$0.530	\$0.530	\$0.000	\$0.403
Disbursed	\$0.270	\$0.264	\$0.006	\$0.119
<b>Local Agency Investment Fund</b>				
Deposits	\$22.629	\$21.638	\$0.991	\$21.340
Number of Participants	2,451	2,461	(10)	2,470

**POOLED MONEY INVESTMENT ACCOUNT**

Forecast of Changes in Portfolio/Bank Balances  
for the Period February 13, 2017 through April 21, 2017  
(In Thousands of Dollars)

	02/13/17 to 02/17/17	02/20/17 to 02/24/17	02/27/17 to 03/03/17	03/06/17 to 03/10/17	03/13/17 to 03/17/17	03/20/17 to 03/24/17	03/27/17 to 03/31/17	04/03/17 to 04/07/17	04/10/17 to 04/14/17	04/17/17 to 04/21/17	Total
Purchase of Investments	\$ 0	\$ 2,406,900	\$ 0	\$ 0	\$ 1,188,400	\$ 1,804,200	\$ 0	\$ 0	\$ 203,200	\$ 7,973,700	\$ 13,576,400
Liquidation of Investments	423,800	0	4,690,500	360,400	0	0	3,013,100	2,293,700	0	0	10,781,500
Net Changes in Portfolio	\$ (423,800)	\$ 2,406,900	\$ (4,690,500)	\$ (360,400)	\$ 1,188,400	\$ 1,804,200	\$ (3,013,100)	\$ (2,293,700)	\$ 203,200	\$ 7,973,700	\$ 2,794,900
Analysis of Changes:											
Estimated Pooled Receipts (Page 2)	\$ 4,377,300	\$ 5,865,800	\$ 5,831,900	\$ 4,790,900	\$ 5,694,700	\$ 7,059,500	\$ 5,848,400	\$ 5,481,800	\$ 5,858,400	\$ 13,998,400	\$ 64,807,100
Estimated Pooled Disbursements (Page 3)	5,475,700	3,985,900	12,473,400	3,486,300	3,486,300	6,540,300	9,988,500	7,297,500	4,625,200	6,615,700	63,974,800
Available for Investment	\$ (1,098,400)	\$ 1,879,900	\$ (6,641,500)	\$ 1,304,600	\$ 2,208,400	\$ 519,200	\$ (4,140,100)	\$ (1,815,700)	\$ 1,233,200	\$ 7,382,700	\$ 832,300
Outstanding Warrants, Beginning	\$ 2,080,400	\$ 2,755,000	\$ 3,282,000	\$ 5,233,000	\$ 3,568,000	\$ 2,548,000	\$ 3,833,000	\$ 4,960,000	\$ 4,482,000	\$ 3,452,000	\$ 2,080,400
Outstanding Warrants, Ending	2,755,000	3,282,000	5,233,000	3,568,000	2,548,000	3,833,000	4,960,000	4,482,000	3,452,000	4,043,000	4,043,000
Available for Investment	\$ 674,600	\$ 527,000	\$ 1,951,000	\$ (1,665,000)	\$ (1,020,000)	\$ 1,285,000	\$ 1,127,000	\$ (478,000)	\$ (1,030,000)	\$ 591,000	\$ 1,962,600
Treasurer's Compensation Bank Balance, Beginning	\$ 950,700	\$ 950,700	\$ 950,700	\$ 950,700	\$ 950,700	\$ 950,700	\$ 950,700	\$ 950,700	\$ 950,700	\$ 950,700	\$ 950,700
Treasurer's Compensation Bank Balance, Ending	950,700	950,700	950,700	950,700	950,700	950,700	950,700	950,700	950,700	950,700	950,700
Available for Investment	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Net Available for Investment	\$ (423,800)	\$ 2,406,900	\$ (4,690,500)	\$ (360,400)	\$ 1,188,400	\$ 1,804,200	\$ (3,013,100)	\$ (2,293,700)	\$ 203,200	\$ 7,973,700	\$ 2,794,900

Compiled under the direction of the  
POOLED MONEY INVESTMENT BOARD

**Estimated Pooled Money Receipts**  
**for the Period February 13, 2017 through April 21, 2017**  
(In Thousands of Dollars)

	02/13/17 to 02/17/17	02/20/17 to 02/24/17	02/27/17 to 03/03/17	03/06/17 to 03/10/17	03/13/17 to 03/17/17	03/20/17 to 03/24/17	03/27/17 to 03/31/17	04/03/17 to 04/07/17	04/10/17 to 04/14/17	04/17/17 to 04/21/17	Total
Sales, Liquor, and Utility Surcharge	\$ 408,100	2,239,900	993,500	173,400	316,600	2,372,500	917,100	171,600	227,500	738,600	\$ 8,558,800
Motor Vehicle Fuel Tax	348,000	700	18,200	100	317,700	100	20,100	100	123,500	229,000	1,057,500
Personal Income, Bank & Corporation Taxes	1,290,700	1,111,900	1,721,300	1,527,900	2,885,300	1,602,000	1,054,000	2,384,700	3,049,500	9,871,100	26,498,400
Motor Vehicle License and Registration Fees	175,900	139,800	188,200	165,700	161,300	167,300	170,100	188,800	168,200	160,000	1,685,300
Highway Property Rentals, Tolls and Other Collections	3,000	44,000	9,500	7,400	12,600	5,800	4,800	28,800	5,400	17,500	138,800
Cigarette Tax Collection	800	10,200	32,000	2,200	800	9,800	38,700	3,800	800	1,400	100,500
Insurance Gross Premium Taxes	7,900	9,700	10,900	14,000	23,900	98,700	455,600	117,200	2,200	2,600	742,700
Earnings on Pooled Money Investments	7,000	7,000	7,000	7,000	7,000	7,000	7,000	7,000	7,000	7,000	70,000
Water Resources Collections	26,200	17,800	52,200	31,100	33,300	18,200	77,400	22,700	31,900	24,100	334,900
State Lottery	65,000	59,500	60,600	62,600	56,800	54,400	50,300	53,500	56,600	60,300	579,600
<b>Non-Revenue Receipts</b>											
Highway Reimbursements	50,000	122,100	31,700	28,000	132,400	35,300	276,100	23,900	57,500	27,400	784,400
Local Assistance Programs	994,300	1,398,400	1,760,700	875,000	887,900	1,453,300	1,673,200	1,028,200	1,156,700	1,451,500	12,679,200
Local Agency Investment Collections	460,200	286,100	402,800	239,900	337,900	286,000	523,300	475,300	505,900	917,600	4,435,000
Disability Insurance Collections	162,100	131,400	157,900	132,200	153,400	149,900	101,800	151,500	119,800	130,600	1,390,600
Escrow Fund Maturities/Proceeds from the Sale of State Bonds	25,800	400	8,000	1,193,600	0	400,000	2,900	2,200	0	14,200	1,647,100
Unsegregated	352,300	286,900	377,400	330,800	367,800	399,200	476,000	822,500	345,900	345,500	4,104,300
Total	\$ 4,377,300	\$ 5,865,800	\$ 5,831,900	\$ 4,790,900	\$ 5,694,700	\$ 7,059,500	\$ 5,848,400	\$ 5,481,800	\$ 5,858,400	\$ 13,998,400	\$ 64,807,100

**Estimated Pooled Money Disbursements**  
for the Period February 13, 2017 through April 21, 2017  
(In Thousands of Dollars)

	02/13/17 to 02/17/17	02/20/17 to 02/24/17	02/27/17 to 03/03/17	03/06/17 to 03/10/17	03/13/17 to 03/17/17	03/20/17 to 03/24/17	03/27/17 to 03/31/17	04/03/17 to 04/07/17	04/10/17 to 04/14/17	04/17/17 to 04/21/17	Total
Local Assistance:											
Public Schools and Community Colleges	\$ 100,000	100,000	3,891,200	100,000	128,200	2,050,200	4,092,100	100,000	289,100	100,000	\$ 10,950,800
Social Services	533,600	110,000	523,000	110,000	459,200	110,000	493,500	110,000	755,300	110,000	3,314,600
Health Care Services	803,100	2,102,900	1,578,300	961,000	799,800	1,270,300	2,261,700	1,286,800	798,900	2,605,100	14,467,900
Healthy Families	40,200	40,200	67,200	67,200	67,200	67,200	67,200	60,200	60,200	60,200	597,000
Other Local Assistance (DAD & DDS)	10,000	10,000	350,000	10,000	10,000	10,000	10,000	352,000	10,000	10,000	782,000
Local Sales Tax Apportionment (BOE)	1,433,200	0	0	0	0	1,411,200	0	0	0	935,300	3,779,700
Highway Users Tax Apportionment	0	0	127,800	0	0	0	141,300	0	0	0	269,100
Motor Vehicle License Fees	0	0	0	0	0	0	0	0	0	0	0
Sales Tax - 1/2% for Public Safety	0	0	372,200	0	0	0	241,200	0	0	0	613,400
Lottery Apportionment	0	0	0	0	0	0	360,000	0	0	0	360,000
Trial Courts	78,000	0	0	0	24,000	0	0	0	192,000	0	294,000
Homeowners Property Tax	0	0	0	0	0	0	0	0	0	0	0
State Transit Assistance	0	0	0	0	0	0	0	0	0	0	0
1991 Realignment Apportionment	0	0	434,800	0	0	0	376,700	0	0	0	811,500
2011 Realignment Apportionment	303,500	158,600	562,500	0	52,300	47,100	463,800	0	62,100	50,200	1,700,100
Other	0	0	31,000	0	0	0	500	0	0	0	31,500
Architecture Revolving Fund	4,200	4,800	3,700	1,800	7,200	3,800	4,500	4,600	4,100	3,600	42,300
Highways	106,000	86,000	106,000	356,000	106,000	106,000	106,000	456,000	106,000	106,000	1,640,000
University of California	1,000	1,000	257,600	1,000	1,000	1,000	257,600	1,000	1,000	1,000	523,200
Payroll Revolving Fund	141,300	27,500	1,548,900	59,200	141,300	27,500	37,000	1,548,900	27,500	141,300	3,700,400
Local Agency Investment Fund	275,300	588,500	406,800	365,600	361,300	374,500	509,200	346,700	290,000	194,700	3,712,600
Income Tax Refunds	1,290,500	399,100	552,200	1,007,200	975,000	693,100	0	1,009,900	894,800	1,050,900	7,872,700
Debt Service	0	0	1,208,800	76,300	0	0	0	1,200,500	0	0	2,485,600
Commercial Paper	0	0	0	0	0	0	0	0	0	0	0
Veterans' Farm & Home Building Fund	100	2,300	100	7,000	100	6,700	100	10,300	100	100	26,900
Disability Insurance Benefits	123,200	123,200	123,200	123,200	123,200	123,200	123,200	123,200	123,200	123,200	1,232,000
Lottery Prizes	94,900	96,800	100,900	94,900	94,900	96,800	94,900	100,900	94,900	96,800	966,700
State and Local Bond Construction	30,000	30,000	122,200	40,900	30,000	36,700	243,000	35,400	30,000	30,000	628,200
Water Resources - Electric Power	2,600	0	0	0	600	0	0	0	600	0	3,800
Public Employees' Retirement System	0	0	0	0	0	0	0	446,100	0	892,300	1,338,400
State Teachers' Retirement System	0	0	0	0	0	0	0	0	780,400	0	780,400
Revenue Anticipation Notes & Interest	0	0	0	0	0	0	0	0	0	0	0
Unsegregated	105,000	105,000	105,000	105,000	105,000	105,000	105,000	105,000	105,000	105,000	1,050,000
<b>Total</b>	<b>\$ 5,475,700</b>	<b>\$ 3,985,900</b>	<b>\$ 12,473,400</b>	<b>\$ 3,486,300</b>	<b>\$ 3,486,300</b>	<b>\$ 6,540,300</b>	<b>\$ 9,988,500</b>	<b>\$ 7,297,500</b>	<b>\$ 4,625,200</b>	<b>\$ 6,615,700</b>	<b>\$ 63,974,800</b>

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD  
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

In accordance with sections 16480 through 16480.8 of the Government Code, the Pooled Money Investment Board, at its meeting on February 15, 2017, has determined and designated the amount of money available for deposit and investment as of February 9, 2017, under said sections. In accordance with sections 16480.1 and 16480.2 of the Government Code, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

1. In accordance with Treasurer's Office policy, for deposit in demand bank accounts as  
Compensating Balance for Services: \$ 950,700,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

2. In accordance with law, for investment in securities authorized by section 16430, Government Code, or in term interest-bearing deposits in banks and savings and loan associations as follows:

	From	To	Transactions	In Securities (section 16430)*	Time Deposits in Various Financial Institutions (sections 16503a and 16602)*	Estimated Total
(1)	2/13/2017	2/17/2017	\$ (423,800)	\$ (5,354,823,800)	\$ 5,354,400,000	\$ (423,800)
(2)	2/20/2017	2/24/2017	\$ 2,406,900	\$ (5,352,416,900)	\$ 5,354,400,000	\$ 1,983,100
(3)	2/27/2017	3/3/2017	\$ (4,690,500)	\$ (5,357,107,400)	\$ 5,354,400,000	\$ (2,707,400)
(4)	3/6/2017	3/10/2017	\$ (360,400)	\$ (5,357,467,800)	\$ 5,354,400,000	\$ (3,067,800)
(5)	3/13/2017	3/17/2017	\$ 1,188,400	\$ (5,356,279,400)	\$ 5,354,400,000	\$ (1,879,400)
(6)	3/20/2017	3/24/2017	\$ 1,804,200	\$ (5,354,475,200)	\$ 5,354,400,000	\$ (75,200)
(7)	3/27/2017	3/31/2017	\$ (3,013,100)	\$ (5,357,488,300)	\$ 5,354,400,000	\$ (3,088,300)
(8)	4/3/2017	4/7/2017	\$ (2,293,700)	\$ (5,359,782,000)	\$ 5,354,400,000	\$ (5,382,000)
(9)	4/10/2017	4/14/2017	\$ 203,200	\$ (5,359,578,800)	\$ 5,354,400,000	\$ (5,178,800)
(10)	4/17/2017	4/21/2017	\$ 7,973,700	\$ (5,351,605,100)	\$ 5,354,400,000	\$ 2,794,900

From any of the amounts specifically designated above, not more than 30 percent in the aggregate may be invested in prime commercial paper under section 16430(e), Government Code.

Additional amounts available in treasury trust account and in the Treasury from time to time, in excess of the amounts and for the same types of investments as specifically designated above.

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance of \$ 950,700,000.

POOLED MONEY INVESTMENT BOARD:

\_\_\_\_\_  
Chairperson

\_\_\_\_\_  
Member

Dated: February 15, 2017

\* Government Code

\_\_\_\_\_  
Member

PMIB Meeting  
February 15, 2017

SMD



POOLED MONEY INVESTMENT BOARD  
(Surplus Money Investment Fund)  
Period January 1 through January 31, 2017

**DECLARATION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds and now on deposit in the State Treasury to the credit of each of such funds, in addition to any money in said funds heretofore designated as surplus money, is not necessary for immediate use for carrying out the purposes for which each of such special funds was created, and is hereby designated as surplus money for transfer to the Surplus Money Investment Fund:

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
<hr/>	
TOTAL	\$ 10,679,580,000.00*

**REDUCTION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds, which constitutes a portion of the money heretofore designated as surplus money and which has heretofore been transferred to and now remains in the Surplus Money Investment Fund from each of such designated funds, is needed by each of such special funds to carry out the purposes for which it was created, and that the amount of money heretofore designated as surplus money with respect to each such special fund is hereby reduced by the amount of money needed by such fund, as hereinafter specified, for transfer back to each such fund.

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
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TOTAL	\$ 10,646,938,000.00*

**POOLED MONEY INVESTMENT BOARD**

\_\_\_\_\_  
Member

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Member

Dated: February 15, 2017

\*Note: Amounts are typically transferred in \$1,000.00 increments. However, transfers or reductions may sometimes occur in other increments resulting in necessary adjustments which can occur within the same month, or the succeeding month. Consequently, the total transfer and reduction amounts will not necessarily reflect even \$1,000.00 increments.

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170101 TO 20170131

FUND	FUNDNAME	DECLARATION	REDUCTION
0005000	SAFENEIGH PKS, CLNMTR,CLNAIR/	19,000	215,000
0006000	DISABILITY ACCESS ACCOUNT	60,000	44,000
0007000	BREAST CANCER RESEARCH ACCOUNT	847,000	252,000
0009000	BREAST CANCER CONTROL ACCOUNT	826,000	534,000
0012000	ATTY GEN ANTITRUST ACCOUNT	0	39,000
0014000	HAZARDOUS WASTE CONTROL ACCT	4,442,000	4,459,000
0017000	FINGERPRINT FEES ACCOUNT	2,283,000	2,268,000
0018000	SITE REMEDIATION ACCOUNT	141,000	90,000
0023000	FARMWORKER REMEDIAL ACCOUNT	21,000	0
0024000	GUIDE DOGS FOR BLIND FUND	0	13,000
0028000	UNIFIED PROGRAM ACCOUNT	92,000	104,000
0032000	FIREARM SAFETY ACCOUNT	82,000	76,000
0033000	STATE ENERGY CONSER ASST ACCT	81,000	4,503,000
0035000	SURFACE MINING & RECLAMATION	23,000	0
0041000	AERONAUTICS ACCOUNT	1,121,000	1,700,000
0042000	STATE HIGHWAY ACCOUNT	346,813,000	346,840,000
0044000	MOTOR VEHICLE ACCOUNT	458,554,000	482,977,000
0046000	PUBLIC TRANSPORTATION ACCT	108,667,000	563,000
0048000	TRANSPORTATION REV ACCOUNT	280,040,000	458,586,000
0052000	LOCAL AIRPORT LOAN ACCOUNT	463,000	0
0055000	MASS TRANSIT REVOLVING ACCOUNT	985,000	800,000
0061000	MOTOR VEHICLE FUEL ACCOUNT	323,507,000	328,338,000
0064000	MOTOR VEHICLE LIC FEE ACCT	61,379,000	65,256,000
0065000	ILLEGAL DRUG LAB CLEANUP ACCT	57,000	0
0066000	SALE OF TOBACCO TO MINORS CTRL	1,000	163,000
0067000	STATE CORPORATIONS FUND	37,041,000	10,345,000
0069000	BARBERING/COSMETOLOGY CONT FD	4,067,000	3,994,000
0074000	MEDICAL WASTE MANAGEMENT FUND	61,000	231,000
0075000	RADIATION CONTROL FUND	3,510,000	3,400,000
0076000	TISSUE BANK LICENSE FUND	45,000	42,000
0078000	GRAPHIC DESIGN LICENSE PLATE F	137,000	0
0080000	CHILDHOOD LEAD POISONG PYTN FD	2,400,000	3,952,000
0082000	EXPORT DOCUMENT PROGRAM FUND	56,000	51,000
0083000	VETERANS SERVICE OFFICE FUND	92,000	0
0093000	CONSTRUCTN MANGEMNT EDUC ACCT	6,000	0
0094000	RETAIL SALES TAX	14,076,000	7,901,000
0098000	CLINICAL LAB IMPROVEMENT FUND	2,219,000	1,472,000
0099000	HEALTH STATISTICS SPEC FUND	3,442,000	3,676,000
0100000	CALIF USED OIL RECYCLING FUND	3,097,000	4,272,000
0106000	PESTICIDE REGULATION FUND	18,565,000	10,834,000
0108000	ACUPUNCTURE FUND	400,000	447,000
0111000	DEPT OF FOOD & AGRICULT. ACCT	49,983,000	971,000
0115000	AIR POLLUTION CONTROL FUND	31,602,000	26,662,000
0121000	HOSPITAL BUILDING FUND	12,814,000	9,865,000
0122000	EMERGENCY FOOD FOR FAMILIES FD	1,000	0
0129000	WATER DEVICE CERT SPEC ACC	3,000	14,000
0132000	WORKERS COMP MNGDCARE FUND	1,000	0
0133000	CALIF BEVERAGE CONTAINER RECYL	167,161,000	168,612,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170101 TO 20170131

FUND	FUNDNAME	DECLARATION	REDUCTION
0140000	ENVIRONMENTL LICENSE PLATE FD	9,285,000	7,084,000
0141000	SOIL CONSERVATION FUND	12,000	178,000
0142000	SEXUAL HABITUAL OFFENDER, DOJ	109,000	177,000
0143000	CA HEALTH DATA & PLANNING FD	2,699,000	5,012,000
0152000	ST BD OF CHIROPRACTIC EXAMINERS	329,000	331,000
0158000	TRAVEL SELLER	34,000	70,000
0159000	ST TRIAL COURT IMPROV & MODERN	7,353,000	10,478,000
0163000	CONTINUING CARE PROVIDER FEE	71,000	160,000
0166000	CERTIFICATION ACCT-CONS AFF FD	2,000	93,000
0168000	STRUCTURAL PEST CONTROL	10,000	0
0169000	CALIF DEBT LIMIT ALLOC COMM	217,000	104,000
0171000	CALIF DEBT & INVEST ADV COMM	173,000	37,000
0172000	DEV DISABILITIES PROGRAM DEV	7,000	31,000
0175000	DISPENSING OPTICIANS FUND	18,000	14,000
0177000	FOOD SAFETY FUND	1,667,000	1,456,000
0179000	ENVIRONMENTAL LAB IMPROV FUND	327,000	299,000
0181000	REGISTERED NURSE EDUCATION	171,000	170,000
0183000	ENVIRON ENHANC MIT DEMO PGM FD	1,094,000	2,095,000
0184000	EMPLOY DEV DEPT BENEFIT AUDIT	4,244,000	5,000,000
0185000	EMPLOYMT DEV DEPT CONTINGENT	49,268,000	65,015,000
0191000	FAIR AND EXPOSITION FUND	89,000	13,000
0193000	WASTE DISCHARGE PERMIT FUND	44,192,000	94,000
0194000	EMERG MED SRVS TRNG PGM APROV	22,000	2,000
0198000	CA FIRE & ARSON TRAINING FD	251,000	199,000
0203000	GENETIC DISEASE TESTING FUND	15,726,000	18,834,000
0205000	GEO PELS FUND	1,000	0
0207000	FISH & WILDLIFE POLLUTION ACCT	11,000	128,000
0209000	CA HAZRD LIQUID PIPLNE SAFTY	149,000	0
0210000	OUTPATIENT SETTING FUND	84,000	0
0211000	CA WTRFOWL HAB PRESERVATION	4,000	1,000
0213000	NATIVE SPECIES CONSV & ENHAN	11,000	0
0217000	INSURANCE FUND	46,403,000	56,864,000
0219000	LIFETIME LICENSE TRUST ACCOUNT	18,000	0
0223000	WORKERS' COMP ADMIN REVOLV FD	46,880,000	34,653,000
0226000	CA TIRE RECYCLING MGMT FUND	20,154,000	7,797,000
0228000	SEC OF STATE'S BUSINESS FEE FD	10,242,000	10,482,000
0230000	CIGARETTE & TOBACCO - ALLOCATE	39,673,000	24,332,000
0231000	CIGARET.& TOB./HEALTH EDUCAT.	12,051,000	11,803,000
0232000	CIGARET.& TOB./HOSPITAL SERV.	8,633,000	0
0233000	CIGARET.& TOB./PHYSICIAN SERV.	2,467,000	0
0234000	CIGARET.& TOB./RESEARCH ACCT.	1,253,000	487,000
0235000	CIGARET.& TOB./PUBLIC RESOURCE	1,223,000	438,000
0236000	CIGARET. &TOB./UNALLOCATED	6,124,000	1,543,000
0238000	VETS CEMETERY PERPETUAL MAINT	11,000	5,000
0239000	PRIVATE SECURITY SERVS FUND	1,901,000	2,123,000
0240000	LOCAL AGY DEPOSIT SECURITY FD	0	162,000
0241000	LOC PUB PROSECUTR/DEFNDER TNG	35,000	126,000
0245000	MOBILEHOME PARK & SPEC.OCC.RF	1,376,000	1,053,000

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FUND	FUNDNAME	DECLARATION	REDUCTION
0247000	DRINKING WATER OPERATOR CERT	266,000	252,000
0256000	SEXUAL PREDATOR PUBLIC INFO	15,000	10,000
0259000	SUPPLEMENTAL CONTRIB PROG FUND	18,000	18,000
0261000	OFF HIGHWAY LICENSE FEE	61,000	807,000
0263000	OFF-HIGHWAY VEHICLE TRUST	13,303,000	18,033,000
0264000	OSTEOPATHIC MED BD CONTINGENT	197,000	140,000
0266000	INLAND WETLANDS RESTORATION FD	2,000	0
0268000	PEACE OFFICERS TRAINING	2,206,000	1,511,000
0269000	GLASS PROCESSING FEE ACCOUNT	6,314,000	10,690,000
0270000	TECHNICAL ASSISTANCE FUND	2,359,000	7,476,000
0271000	CERTIFICATION FUND	136,000	559,000
0272000	INFANT BOTULISM TRTMT & PREV	1,059,000	896,000
0275000	HAZ & IDLE-DESERTED ABATMT FD	20,000	1,000
0276000	PENALTY ACCT-CA.BEVERAGE RECYC	24,000	0
0277000	BIMETAL PROCESSING FEE ACCT	165,000	13,000
0278000	PET PROCESSING FEE ACCT	986,000	3,982,000
0279000	CHILD HEALTH & SAFETY FUND	317,000	755,000
0280000	PHYSICIAN ASSISTANT FD	151,000	105,000
0281000	RECYCLING MARKET DEV REV LOAN	775,000	502,000
0288000	INTERNTL STUDENT EXCHANGE VST	1,000	0
0289000	HLTH INS COUNSELING/ADVOCACY	16,000	98,000
0290000	PILOT COMMISSIONERS' SPEC FD	156,000	105,000
0293000	MOTOR CARRIER'S SAFETY IMPROV	220,000	0
0294000	REMOVAL/REMEDIATION ACTION ACCT	0	138,000
0295000	PODIATRIC MEDICINE FUND	97,000	72,000
0298000	FINANCIAL INSTITUTIONS FUND	2,676,000	11,055,000
0299000	CREDIT UNION FUND	720,000	3,541,000
0300000	PROFESSIONAL FORESTER REGIS	1,000	11,000
0305000	PRIVATE POSTSECONDARY ED	1,483,000	2,042,000
0306000	SAFE DRINKING WATER ACCOUNT	2,390,000	2,535,000
0310000	PSYCHOLOGY FUND	347,000	362,000
0312000	EMERG MED SVCS PERSONNEL FUND	235,000	15,000
0314000	DIESEL EMISSION REDUCTION FUND	7,000	0
0317000	REAL ESTATE FUND	9,839,000	9,432,000
0318000	COLLINS-DUGAN CCC REIMBR ACCT	104,000	123,000
0319000	RESPIRATORY CARE FUND	252,000	236,000
0320000	OIL SPILL PREVENTION & ADMIN	7,541,000	9,994,000
0321000	OIL SPILL RESPONSE TRUST FUND	628,000	439,000
0322000	ENVIRONMENTAL ENHANCEMENT FUND	4,000	2,000
0325000	ELECTRONIC & APPL REPAIR FUND	180,000	182,000
0326000	ATHLETIC COMMISSION FUND	261,000	116,000
0328000	PUB SCHL PLN, DESIGN,CONST REV	6,827,000	3,587,000
0329000	VEHICLE LICENSE COLLECTN ACCT	8,480,000	33,366,000
0331000	SALES TAX ACCOUNT	141,107,000	140,965,000
0332000	VEHICLE LICENSE FEE ACCOUNT	220,739,000	220,740,000
0335000	REGISTERED ENV HLTH SPECLST FD	88,000	27,000
0336000	MINE RECLAMATION ACCOUNT	62,000	0
0338000	STRONG-MOTION INSTR/SEIMC MAPG	306,000	918,000

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FUND	FUNDNAME	DECLARATION	REDUCTION
0347000	SCHOOL LAND BANK FUND	15,000	0
0351000	MENTAL HEALTH SUBACCOUNT-	93,413,000	93,379,000
0365000	HISTORIC PROPERTY MAINT FUND	66,000	0
0366000	INDIAN GAMING REV SHARING TRST	1,404,000	4,000
0367000	INDIAN GAMING SPEC DISTRIB FD	3,144,000	4,352,000
0368000	ASBESTOS CONSULTANT CERT. ACCT	1,000	0
0376000	SPEECH-LANGUAGE PATH/AUDIOLOGY	175,000	157,000
0378000	ATTORNEY GEN FALSE CLAIMS ACT	9,000	1,105,000
0381000	PUB INTEREST R D & D	241,000	7,000
0382000	RENEWABLE RESOURCE TRUST FUND	7,250,000	8,667,000
0386000	SOLID WASTE DISP CLEANUP TRUST	25,000	496,000
0387000	INTEGRATED WASTE MGMT ACCOUNT	10,067,000	9,732,000
0392000	ST PARKS AND RECREATION ACCT	40,363,000	18,307,000
0396000	SELF-INSURANCE PLANS FUND	4,000	191,000
0399000	STRUCTL PEST CONTL EDU&ENFORC	31,000	8,000
0400000	REAL ESTATE APPRAISERS REG FD	291,000	406,000
0402000	SAFE CLEAN WATER SUPPLY	35,000	17,000
0407000	TEACHER CREDENTIALS	2,285,000	1,904,000
0408000	TEST DEVELOPMENT & ADMIN ACCT	711,000	265,000
0410000	TRANSCRIPT REIMBURSEMENT FUND	5,000	0
0412000	TRANSPORTATION RATE	370,000	286,000
0419000	WATER RECYCLING SUBACCOUNT	72,000	737,000
0421000	VEHICLE INSPECTION & REPAIR FD	20,994,000	18,562,000
0424000	SEA WATER INTRUSION CONTROL	39,000	0
0425000	VICTIM-WITNESS ASSISTANCE FD	2,327,000	1,930,000
0429000	LOCAL JURISDICTION ENGY.ASSIST	1,000	0
0434000	AIR TOXICS INVENTORY & ASSESMT	2,000	0
0436000	UNDERGRD STOR TANK TESTER ACCT	3,000	0
0439000	UNDERGRD STOR TANK CLEANUP FD	68,433,000	58,946,000
0447000	WILDLIFE RESTORATION FUND	4,477,000	4,638,000
0448000	OCCUPANCY COMPLIANCE MONITOR	216,000	774,000
0449000	WINTER RECREATION FUND	28,000	6,000
0452000	ELEVATOR SAFETY ACCOUNT	3,915,000	3,489,000
0453000	PRESSURE VESSEL ACCOUNT	188,000	160,000
0457000	TAX CREDIT ALLOC FEE ACCOUNT	733,000	1,628,000
0458000	SITE OPERATN & MAINTENANCE ACC	0	16,000
0459000	TELEPHONE MED ADV SERV. FD	2,000	11,000
0460000	DEALERS RECORD OF SALES SP ACT	254,000	182,000
0461000	PUC TRANSPORTATION REIMB ACCT	65,000	98,000
0462000	PUC UTILITIES REIMBMENT ACCT	13,779,000	1,464,000
0464000	HIGH COST FUND A ADM COMM FD	6,505,000	6,036,000
0465000	ENERGY RESOURCES PROGRAMS A/C	284,000	304,000
0470000	HIGH-COST FUND-B ADM COMM FD	5,290,000	7,379,000
0471000	UNIV LIFELINE TELEP SVC TRST	65,591,000	52,091,000
0478000	VECTORBORNE DISEASE ACCOUNT	14,000	13,000
0481000	GARMNT MANUFACTURERS SPECL A/C	26,000	0
0483000	DEAF & DESABLED TELECOMM PGM	7,075,000	6,002,000
0492000	STATE ATHLETIC COMM NEURO EXAM	1,000	0

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FUND	FUNDNAME	DECLARATION	REDUCTION
0493000	TELECONNECT FD - ADM COMM FD	23,465,000	26,255,000
0497000	LOCAL GOV'T GEOTHER.RES.REVOL.	33,000	0
0501000	CALIFORNIA HOUSING FINANCE	184,492,000	275,666,000
0502000	CALIF WATER RESOURCES DEV BOND	69,431,000	49,639,000
0505000	AFFORDABLE STUDENT HOUS REVLY	4,000	0
0506000	CENTRAL VALLEY WATER PROJ CONS	28,794,000	36,841,000
0507000	CENTRAL VALLEY WATER PROJ REV	21,250,000	4,511,000
0512000	ST COMPENSATION INSURANCE FD	254,102,000	272,956,000
0514000	EMPLOYMENT TRAINING FUND	1,279,000	5,619,000
0516000	HARBORS & WATERCRAFT REVOL FD	7,724,000	8,631,000
0518000	HLTH FAC CONST LOAN INSURANCE	339,000	1,230,000
0524000	L.A. ST. BLDG. AUTHORITY FUND	5,000	90,000
0526000	CA SCHOOL FINANCE AUTHORITY FD	31,000	40,000
0528000	ALTERNATIVE ENERGY AUTH FD	23,000	181,000
0530000	MOBILEHM PK REHAB & PURCHASE F	1,967,000	127,000
0538000	SAN FRANCISCO STATE BUILDING	22,000	0
0539000	OAKLAND STATE BLDG AUTHORITY	11,000	0
0541000	SAN BERNARDINO ST BLDG AUTH	7,000	0
0557000	TOXIC SUBSTANCES CONTROL ACCT	1,031,000	1,776,000
0561000	RIVERSIDE CO PUB FIN AUTH FUND	2,000	0
0562000	STATE LOTTERY FUND	187,565,000	59,861,000
0564000	SCHOLARSHARE ADM FUND	179,000	108,000
0565000	STATE COASTAL CONSERVANCY FD	40,000	0
0566000	DOJ CHILD ABUSE FUND	22,000	28,000
0567000	GAMBLING CONTROL FUND	136,000	113,000
0569000	GAMBLING CONTROL FINES & PENLT	10,000	18,000
0574000	HIGHER EDUC CAP OUTLAY BD FD	10,000	0
0576000	ST UNIV DORMITORY CONSTRUCTN	776,000	31,192,000
0578000	ST UNIV DORM INTEREST/REDEMPT	170,000	0
0582000	HIGH POLLUTER REPAIR/REMOVAL	7,078,000	6,750,000
0585000	COUNTIES CHILDREN & FAMILIES	34,133,000	14,479,000
0587000	FAMILY LAW TRUST FUND	216,000	0
0588000	UNEMPLOYMENT COMP DISABL FUND	142,544,000	141,124,000
0590000	VETERANS DEBENTURE REVENUE	2,683,000	4,196,000
0592000	VETS FARM/HOME BUILDING-1943	34,163,000	57,333,000
0600000	VENDING STAND FUND	219,000	118,000
0601000	AGRICULTURE BUILDING FUND	25,000	0
0604000	ARMORY FUND	14,000	0
0610000	ORIENTATION CNTR BLIND TRUST	1,000	0
0612000	SACTO CITY FINANCING AUTH FD	11,000	0
0617000	STATE WTR. POLLUTION CONTROL	21,740,000	49,885,000
0623000	CA CHILDREN/FAMILIES TRUST FD	73,015,000	42,592,000
0629000	SAFE DRINKING WTR ST REVLY FD	51,492,000	18,042,000
0631000	MASS MEDIA COMM ACCT, CHILD/FM	2,587,000	286,000
0634000	EDUCATION ACCT, CHILD/FAM FRST	2,257,000	3,000
0636000	CHILD CARE ACCT, CHILD/FAM FRF	1,346,000	17,000
0637000	RESEARCH DEVEL ACCT	1,359,000	430,000
0638000	ADMINISTRATION ACCT	458,000	3,117,000

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FUND	FUNDNAME	DECLARATION	REDUCTION
0639000	UNALLOCATED ACCOUNT	3,435,000	566,000
0641000	DOMESTIC VIOLENCE RESTRAINING	30,000	579,000
0648000	MOBILEHOME/MFG HOME REV FUND	7,093,000	8,000,000
0649000	CA INFRASTRRT & ECON DEVL BANK	73,000	1,278,000
0653000	SEISMIC RETROFIT BOND 1996	7,000	19,000
0658000	UC MERCED	4,000	0
0660000	PUBLIC BUILDINGS CONSTRUCTN	56,242,000	106,277,000
0665000	REHAB REVOLV LOAN GUARANTEE	3,000	0
0668000	PUBLIC BLDG CONSTRUCT SUBACCT	1,981,000	27,113,000
0671000	RURAL HEALTH SERVICES ACCOUNT	1,000	0
0672000	CHILD HLTH/DISAB PREV TRMT ACC	2,000	0
0673000	PASSENGER EQUIPMT ACQUISITION	12,000	0
0678000	PRISON INDUSTRIES REVOLV FD	14,802,000	17,386,000
0679000	STATE WATER QUALITY CONTROL	2,552,000	2,002,000
0687000	DONATED FOOD REVOLVING FUND	370,000	0
0690000	EMPLOYMENT DVLP DEPT BLDG FUND	2,000	0
0691000	WATER RESOURCES REVOLVING	63,040,000	58,138,000
0698000	HOME PURCHASE ASSISTANCE FUND	290,000	1,689,000
0701000	VETERANS' HOME FUND	12,000	443,000
0702000	P&V- CONSUMER AFFAIRS FUND	47,494,000	48,867,000
0703000	CLEAN AIR & TRANSP IMPROV FUND	27,000	0
0704000	P&V- ACCOUNTANCY FUND	1,817,000	2,048,000
0706000	ARCHITECTS BOARD FUND, CA	319,000	311,000
0707000	CALIF SAFE DRINKING WATER FUND	1,000	4,000
0714000	ROBERTI AFFORDABLE HOUSING FD	0	165,000
0717000	CEMETERY AND FUNERAL FUND	274,000	285,000
0735000	P&V- CONTRACTORS' LICENSE FD	10,058,000	10,146,000
0740000	CLEAN WATER BOND-FUND 1984 STA	102,000	0
0741000	P&V- DENTISTRY FUND	1,753,000	1,626,000
0744000	1986 WTR CONS WTR QLTY BD	9,000	57,000
0747000	PRISON CONSTRUCTION FUND 1988	0	3,000
0749000	REFUNDING ESCROW FUND	2,000	252,000
0751000	1990 PRISON CONSTRUCTION FUND	1,000	1,000
0752000	HOME FURN & THERMAL INSULATION	277,000	361,000
0755000	LICENSED MIDWIFERY FUND	6,000	3,000
0757000	LANDSCAPE ARCHITECTS FUND	31,000	59,000
0758000	MEDICAL BD OF CALIF	11,504,000	10,682,000
0759000	P&V- PHYSICAL THERAPY FUND	473,000	372,000
0761000	P&V- REGISTERED NURSING FUND	6,807,000	6,687,000
0763000	P&V- OPTOMETRY FUND	160,000	133,000
0767000	P&V- PHARMACY BD CONTINGENT	2,849,000	3,006,000
0768000	EARTHQK PUB BLDG REHAB FUND 90	1,000	0
0769000	PRIVATE INVESTIGATOR FUND	54,000	55,000
0770000	PROF ENGINEER LAND SURV GEO FD	1,443,000	1,769,000
0771000	COURT REPORTERS FUND	77,000	103,000
0773000	P&V- BEHAVIORAL SCI EXAMINERS	1,443,000	1,687,000
0775000	P&V- STRUCTURAL PEST CONTROL	233,000	357,000
0777000	VETERINARY MEDICAL BD CONTG FD	341,000	443,000

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FUND	FUNDNAME	DECLARATION	REDUCTION
0779000	VOCATNL NURSING/PSYCH TECH FD	2,215,000	2,002,000
0785000	HIGH EDUC CAP OUTLAY BD FD 88	0	1,000
0786000	WILDLIFE,COAST&PARK CONS FD 88	5,000	0
0788000	CA EARTHQK SAFETY/HOUS REHAB	0	22,000
0790000	WATER CONSERVATION FUND, 1988	6,000	0
0793000	CA SAFE DRINKING WATER FD 1988	8,000	18,000
0803000	STATE CHILDREN'S TRUST FUND	261,000	84,000
0813000	SELF-HELP HOUSING FUND	57,000	52,000
0814000	CA STATE LOTTERY EDUCATION	15,000	127,000
0815000	JUDGES RETIREMENT FUND	17,500,000	18,135,000
0820000	LEGISLATORS RETIREMENT FUND	627,000	537,000
0821000	FLEXELECT BENEFIT FUND	1,764,000	2,206,000
0822000	PUBLIC EMPLOYEES HEALTH CARE	274,700,000	323,019,000
0827000	MILK PRODUCERS SECURITY TRUST	87,000	0
0829000	HEALTH PROFESSIONS EDUC FUND	38,000	380,000
0830000	PUBLIC EMPLOYEES' RETIREMENT	2,215,235,000	1,986,683,000
0833000	ANNUITANTS' HEALTH CARE COV FD	32,638,000	45,944,000
0834000	MEDI-CAL INPATIENT PMT ADJ FD	5,608,000	291,526,000
0835000	TEACHERS RETIREMENT	359,243,000	337,680,000
0840000	CA MOTORCYCLIST SAFETY FD	135,000	0
0849000	REPLACEMENT BENEFIT CUST. FD	15,912,000	173,000
0853000	PETROLEUM VIOLATION ESCROW ACC	16,000	14,000
0865000	MENTAL HEALTH MANAGED CARE DP	3,699,000	11,652,000
0867000	CA FARMLAND CONSERVANCY PGM FD	1,000	0
0872000	STATE HOSPITAL ACCOUNT,	12,413,000	12,413,000
0884000	JUDGE RETIREMENT SYSTEM II FD	7,528,000	7,192,000
0885000	PERS DEFERRED COMPENSATION FD	2,797,000	2,647,000
0886000	SENIORS SPECIAL FD,CALIFORNIA	31,000	32,000
0904000	CA HEALTH FACILITIES FIN AUTH	604,000	166,000
0908000	SCHOOL EMPLOYEES FUND	2,602,000	0
0910000	CONDEMNATION DEPOSITS FUND	9,348,000	6,007,000
0911000	EDUC FACILITIES AUTHORITY	3,000	50,000
0914000	BAY FILL CLEAN-UP ABATMENT	8,000	0
0915000	DEFERRED COMPENSATION PLAN FD	1,167,000	1,229,000
0917000	INMATES' WELFARE FUND	5,621,000	4,936,000
0918000	SMALL BUSINESS EXPANSION	4,000	24,000
0920000	LITIGATION DEPOSIT FUND	91,508,000	77,799,000
0928000	FOREST RESOURCES IMPROV FUND	995,000	1,263,000
0929000	HOUSING REHABILITATION LOAN	2,850,000	775,000
0930000	POLLUTN CONTL FINANCING AUTH	187,000	408,000
0932000	TRIAL COURT TRUST FUND	194,026,000	244,954,000
0933000	MANAGED CARE FUND	4,696,000	10,002,000
0938000	RENTAL HOUSING CONSTRUCTN FD	48,000	12,000
0942000	SPECIAL DEPOSIT FUND	28,451,000	86,564,000
0943000	LAND BANK FUND	25,000	197,000
0948000	CAL ST UNIV & COLL TRUST FUND	401,419,000	408,238,000
0950000	PUB EMPLOY CONTINGENCY RESRV	344,553,000	300,998,000
0952000	STATE PARK CONTINGENT	548,000	271,000



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FUND	FUNDNAME	DECLARATION	REDUCTION
0960000	STUDENT TUITION RECOVERY FUND	871,000	805,000
0965000	TIMBER TAX	130,000	6,000
0972000	MANUFACTURED HOME RECOVERY FD	12,000	10,000
0980000	PREDEVELOPMENT LOAN FUND	15,000	36,000
0985000	EMERGENCY HOUSING & ASST FUND	83,000	5,000
1008000	FIREARMS SAFETY/ENFRMNT SPCL	1,652,000	800,000
2501000	LOCAL TRANSPORTATION LOAN ACCT	6,000	0
3002000	ELECTRICIAN CERTIF FUND	131,000	45,000
3004000	GARMENT INDUSTRY REGULATIONS	160,000	111,000
3010000	PIERCE'S DISEASE MGMT ACCOUNT	68,000	423,000
3015000	GAS CONSUMPTION SURCHARGE FUND	6,817,000	6,800,000
3016000	MISSING PERSONS DNA DATA BASE	277,000	202,000
3017000	OCCUPATIONAL THERAPY FUND	129,000	125,000
3018000	DRUG AND DEVICE SAFETY FUND	281,000	452,000
3022000	APPRENTICESHIP TRNG CONTRIB FD	1,881,000	816,000
3023000	WIC MANUFACTURER REBATE FUND	226,000	400,000
3025000	ABANDONED MINE RECLAMATION	7,000	48,000
3030000	WORKERS' OCCUP SFTY/HLTH ED FD	2,000	31,000
3033000	CALIF.MEMORIAL SCHOLARSHIP FD	13,000	0
3037000	STATE COURT FACILITIES CONST F	22,756,000	15,275,000
3039000	DENTALLY UNDERSERVED ACCOUNT	3,000	0
3042000	VICTIMS OF CORP FRAUD COMP FD	191,000	49,000
3046000	OIL, GAS, AND GEOTHERMAL ADMIN	7,320,000	9,021,000
3053000	PUBLIC RGHTS LAW ENFRMNT SPEC	19,000	631,000
3056000	SAFE DRKNG WATR & TOXIC ENFORC	632,000	563,000
3058000	WATER RIGHTS FUND	1,608,000	4,206,000
3059000	FISCAL RECOVERY FUND	289,000	0
3060000	APPELLATE COURT TRUST FUND	774,000	464,000
3062000	ENGY FAC LICENSE AND COMPL FD	28,000	0
3064000	MENTAL HLTH PRACTITIONER ED FD	33,000	85,000
3065000	ELEC WSTE RCVRY RCYLG ACCT	17,267,000	15,132,000
3066000	COURT FACILITIES TRUST FUND	23,110,000	17,217,000
3067000	CIGARETTE TOBACCO PRODS COMPL	821,000	2,000
3068000	VOCATIONAL NURSE EDUCATION FD	21,000	30,000
3069000	NATUROPATHIC DOCTOR'S FUND	43,000	24,000
3071000	CAR WASH WORKER RESTITUTION FD	37,000	1,000
3072000	CAR WASH WORKER FUND	47,000	29,000
3074000	MEDICAL MARIJUANA PROGRAM FUND	29,000	22,000
3079000	CHILDRENS MED SERVICES REBATE	2,873,000	1,300,000
3080000	AIDS DRUG ASSIST PGM REBATE	44,149,000	33,467,000
3081000	CANNERY INSPECTION FUND	193,000	128,000
3084000	ST CERTIFIED UNIFIED PGM AGY	962,000	1,000
3085000	MENTAL HEALTH SERVICES FUND	284,137,000	119,859,000
3086000	DNA IDENTIFICATION FUND	6,825,000	6,458,000
3087000	UNFAIR COMPETITION LAW FUND	3,550,000	5,600,000
3088000	REGISTRY OF CHARITABLE TRUSTS	419,000	323,000
3089000	PUC UTIL COMM RATEPAYER ADV	2,320,000	5,300,000
3096000	NONDESIGNATED PUBLIC HOSP SUPL	4,000	0

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170101 TO 20170131

FUND	FUNDNAME	DECLARATION	REDUCTION
3097000	PRIVATE HOSPITAL SUPPLEMENTAL	185,000	0
3098000	DPH LICENSING & CERT PGM FUND	17,196,000	12,915,000
3100000	DMR ELECTRIC POWER FUND	140,103,000	68,777,000
3101000	ANALYTICAL LABORATORY ACCOUNT	4,000	0
3102000	ACUTE ORPHAN WELL ACCOUNT	1,000	0
3103000	HATCHERY AND INLAND FISHERIES	1,770,000	4,007,000
3104000	COASTAL WETLANDS FUND	1,000	0
3108000	PROFESSIONAL FIDUCIARY FUND	42,000	33,000
3109000	NATURAL GAS SUBACCT, PIRD&D	2,543,000	2,850,000
3114000	BIRTH DEFECTS MONITORING PGM	80,000	427,000
3117000	ALTERNATIVE & RENEWABLE FUEL	17,986,000	12,090,000
3119000	AIR QUALITY IMPROVEMENT FUND	5,806,000	2,673,000
3121000	OCCUPATIONAL SAFETY & HEALTH	24,332,000	11,867,000
3122000	ENHANCED FLEET MODERNIZATION	5,375,000	8,509,000
3133000	MANAGED CARE ADMIN FINES FUND	38,000	0
3134000	SCHOOL DISTRICT ACCOUNT	15,000	0
3137000	EMERGENCY MEDICAL TECH CERT	315,000	5,000
3138000	IMMEDIATE & CRITICAL NEEDS	24,706,000	5,058,000
3140000	STATE DENTAL HYGIENE FUND	161,000	176,000
3141000	CA ADVANCED SERVICES FUND	5,843,000	3,981,000
3142000	STATE DENTAL ASSISTANT FUND	124,000	161,000
3145000	UST ORPHAN SITE CLEANUP FUND	35,000	77,000
3147000	SMALL COMMUNITY GRANT FUND	356,000	158,000
3150000	STATE PUBLIC WORKS ENFORCEMENT	353,000	712,000
3152000	LABOR ENFORCEMENT & COMPLIANCE	19,457,000	8,201,000
3153000	HORSE RACING FUND	1,036,000	742,000
3156000	CHILDREN'S HEALTH & HUMAN SVCS	4,353,000	3,300,000
3158000	HOSPITAL QUALITY ASSURANCE REV	1,135,677,000	1,122,942,000
3160000	WASTEWATER OPERATOR CERT FUND	70,000	179,000
3165000	ENTERPRISE ZONE FUND	9,000	3,000
3167000	SKILLED NURSING FAC QUALITY &	2,000	199,000
3168000	EMERGENCY MED AIR TRANSPORT	1,601,000	886,000
3175000	CALIFORNIA HEALTH TRUST FUND	13,490,000	37,755,000
3201000	LOW INCOME HEALTH MEC EMG FUND	21,000	0
3205000	APPLIANCE EFFICIENCY ENFORCE	2,000	0
3209000	OFFICE PATIENT ADVOCATE TRUST	938,000	58,000
3211000	ELECTRIC PROG INVEST CHRGE FD	2,727,000	2,889,000
3228000	GREENHOUSE GAS REDUCTION FD	98,186,000	105,305,000
3237000	COST OF IMPLEMENTATION ACCT	82,000	7,092,000
3240000	SECONDHAND DEALER & PAMNBR FD	74,000	45,000
3244000	PDATA FUND	200,000	7,000
3254000	BUSINESS PROGRAMS MODERN	153,000	0
3255000	HOME CARE FUND	383,000	1,021,000
3261000	VESSEL OPERATOR CERTIFICATION	3,697,000	0
3263000	COLLEGE ACCESS TAX CREDIT FUND	1,162,000	0
3268000	PROPERTY TAX POSTPONEMENT FUND	693,000	480,000
3285000	ELECTRONIC RECORDING AUTH FUND	77,000	19,000
6000000	PUBLIC LIBRARY CONSTR/RENOV FD	3,000	0

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170101 TO 20170131

FUND	FUNDNAME	DECLARATION	REDUCTION
6001000	SAFE DRNKNG CLN WTR WTRSHD PRO	339,000	689,000
6028000	HI ED CAP OUTLAY BOND FD 2002	3,000	48,000
6029000	CA CLEAN WATER/AIR SAFE NBRHD	175,000	2,576,000
6031000	WTR SEC,CLN DRNG NR, CST BCH	197,000	5,696,000
6032000	VOTING MODERNIZATION FUND	3,000	0
6036000	ST SCHOOL FACILITIES FD 2002	139,000	211,000
6037000	HOUSING/EMER SHELTER TRUST FD	142,000	444,000
6041000	HIGH ED CAP OUTLAY BD FD, 2004	28,000	0
6043000	HIGH-SPEED PASSENGER TRAIN	382,000	9,148,000
6044000	SCHOOL FACILITIES FD, 2004 ST	1,516,000	67,000
6046000	CHILDREN'S HOSPITAL FUND	19,000	2,000
6047000	STEM CELL RESEARCH AND CURES	7,893,000	15,265,000
6048000	2006 UNIV CAP OUTLAY BD FD	44,000	4,000
6049000	2006 CA COMM COLLEGE BD FD	206,000	851,000
6051000	SAFE DRINKING WATER OF 2006	15,556,000	45,053,000
6052000	DISASTR & FLOOD BND FD OF 2006	27,000	22,685,000
6053000	HWY SFTY, TRAFFIC RED FD 2006	18,576,000	48,779,000
6057000	2006 STATE SCHOOL FAC FUND	778,000	29,000
6066000	HOUSING/EMERGENCY SHELTER FD	20,170,000	16,626,000
6079000	CHILDREN'S HOSPITAL BOND ACT	17,000	4,000
6082000	HOUSING FOR VETERANS FUND	161,000	128,000
6083000	WTR QLTY, SUPY & INFRSTR IMPV	9,458,000	9,200,000
6801000	TRANS FINANCNG SUBACCT,SHA,STF	115,000	0
7505000	REVOLVING LOANS FUND	11,000	0
8001000	TEACHERS' HEALTH BENEFITS FD	2,377,000	2,432,000
8004000	CHILD SUPPORT COLLECT RCVRVY FD	23,728,000	22,795,000
8013000	ENVIRONMENTAL ENFORCEMNT/TRNG	1,000	0
8014000	CA PHARM SCHOLRSP/LOAN PGM FD	2,000	0
8018000	SALTON SEA RESTORATION FUND	450,000	163,000
8026000	PETRO UNDERGROUND STOR TK FIN	849,000	1,008,000
8029000	COASTAL TRUST FUND	104,000	1,017,000
8031000	CHILD SUPPORT PAYMENT TRUST FD	40,202,000	59,423,000
8034000	MEDICALLY UNDERSERVED PHYSICNS	176,000	48,000
8038000	DONATE LIFE CA TRUST, MVA, STF	68,000	0
8041000	TEACHERS' DEFERRED COMP FUND	138,000	453,000
8047000	CALIFORNIA SEA OTTER FUND	2,000	21,000
8048000	ST. VET CEMET. FRT ORD ENDMNT	7,000	0
8049000	VISION CARE PGM,STATE ANNU FD	1,310,000	2,599,000
8062000	POOLED SELF-INSURANCE FUND	359,000	709,000
8071000	NATIONAL MORTGAGE SP DEP FUND	3,933,000	3,900,000
8073000	CA HLTH ACCESS MODEL PRO ACCT	9,000	0
8075000	SCHOOL SUPPLIES FOR HOMELESS	1,000	5,000
8081000	SECURE CHOICE RETIRE SAVINGS	2,000	12,000
8083000	STRINGFELLOW RESIDUAL PROCEEDS	1,000	0
9250000	BOXERS' PENSION FUND	32,000	78,000
9328000	CA INFRASTRUCTURE GUARANTEE	42,000	0
9330000	CLEAN/RENEW ENERGY LOAN FUND	5,000	0
9728000	JUDICIAL BRANCH WORKERS' COMP	19,443,000	691,000

FM03H07C

STATE CONTROLLERS OFFICE  
 MONTHLY SUMMARY  
 CHANGES TO SURPLUS MONEY INVESTMENT FUND  
 FROM: 20170101 TO 20170131

FUND	FUNDNAME	DECLARATION	REDUCTION
9730000	TECHNOLOGY SRVS REVOLVING FUND	23,341,000	22,934,000
9731000	LEGAL SERVICES REVOLVING FUND	13,930,000	18,106,000
9733000	COURT FACILITIES ARCHITECT REV	290,000	9,248,000
9736000	TRANSIT-ORIENTED DVL IMPLM FD	100,000	0
9739000	WATER POLL CONTROL RVLVG FUND	103,000	663,000
9740000	CENTRAL SERVICE COST RECOVERY	205,000	25,465,000
9741000	ENERGY EFFICIENT STATE PROPRTY	44,000	0
TOTAL		10,679,580,000	10,646,938,000

PMIB Meeting  
February 15, 2017

SMIF

**POOLED MONEY INVESTMENT BOARD**  
**915 Capitol Mall, Room 110**  
**Sacramento, CA 95814**

Date: February 15, 2017

To: Pooled Money Investment Board  
John Chiang, Chair  
Betty Yee, Member  
Michael Cohen, Member

From: Jeff Wurm, Administrator  
Pooled Money Investment Board

Subject: Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the California Office of Health Information Integrity (CalOHII), to allow the following funds to participate in the Surplus Money Investment Fund:

Special Deposit Fund  
0942326 - 2016 -017 - 21 D (disbursement)  
0942326 - 2016 - R - 0299600 (revenue)

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

\_\_\_\_\_  
John Chiang

\_\_\_\_\_  
Date

\_\_\_\_\_  
Betty Yee

\_\_\_\_\_  
Date


\_\_\_\_\_  
Michael Cohen

\_\_\_\_\_  
Date

## Memorandum

**To:** Jeffrey Wurm  
Administrator  
Pooled Money Investment Board

**Date:** February 8, 2017

**From:**   
**State Controller's Office**  
Coleen Morrow, Chief  
Bureau of Cash Management  
State Accounting and Reporting Division

**Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST**

The State Controller's Office recommends approval of the attached agency request for participation in the Surplus Money Investment Fund for the following:

<u>Subfund Title</u>	<u>Subfund Number</u>
California Office of Health Information Integrity, Special Deposit Fund	0942326

If you have any questions, please contact Mi Hoang, State Controller's Office, State Accounting and Reporting Division at (916) 322-3567.

CM: mh

Attachments

cc: Bill Dowell, State Treasurer's Office  
Ella McDowell, State Treasurer's Office  
Gregory Bruss, Department of Finance

**POOLED MONEY INVESTMENT BOARD**  
915 Capitol Mall, Room 110  
Sacramento, CA 95814

**DATE:** January 25, 2017

**TO:** Coleen Morrow, Chief  
Bureau of Cash Management  
Division of Accounting and Reporting  
State Controller's Office



**FROM:** Jeff Wurm  
PMIB Administrator  
Pooled Money Investment Board

**SUBJECT:** Surplus Money Investment Fund Participation Request

Attached is a request from the California Office of Health Information Integrity (CalOHII) for participation of the following fund in the Surplus Money Investment Fund:

Special Deposit Fund  
0942326 - 2016 -017 - 21 D (disbursement)  
0942326 - 2016 - R - 0299600 (revenue)

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office  
Greg Bruss, Department of Finance  
Coleen Morrow, State Controller's Office



# State of California HEALTH AND HUMAN SERVICES AGENCY



DIANA S. DOOLEY  
SECRETARY

January 17, 2017

Jeff Wurm  
Pooled Money Investment Board  
State Treasurer's Office  
915 Capitol Mall, Room 106  
Sacramento, CA 95814

Aging

Child Support  
Services

Community Services  
and Development

Developmental  
Services

Emergency Medical  
Services Authority

Health Care Services

Managed Health Care

Managed Risk  
Medical Insurance Board

Public Health

Rehabilitation

Social Services

State Hospitals

Statewide Health  
Planning and  
Development

Dear Mr. Wurm

## Request to allow Special Deposit Fund Account to Participate in the Surplus Money Investment Fund

The California Office of Health Information Integrity (CalOHI) has received approval from the Department of Finance for a Special Deposit Fund (SDF) to deposit a grant award for \$755,375 from the California HealthCare Foundation (CHCF) to support the State Health Information Guidance project. The funds deposited in this account will be used to develop and disseminate guidance on sensitive patient health information.

The CHCF agreement requires that grant funds be deposited in an interest bearing account. We respectfully request that this new SDF be allowed to participate in the Surplus Money Investment Fund.

If you have any questions, please contact Elaine Scordakis at (916) 651-8066 or by email to [Elaine.scordakis@ohi.ca.gov](mailto:Elaine.scordakis@ohi.ca.gov).

Sincerely,

  
Sonia Herrera  
Chief, Administration & Fiscal Services

Cc: Elaine Scordakis

Encl: Copy Department of Finance Approval dated December 22, 2016  
Copy of AUD10—Request to the State Controller to Establish Special Deposit Fund  
Copy DF-681—Request for Approval of Gift

PMIB Meeting  
February 15, 2017

AB55 LOANS

**POOLED MONEY INVESTMENT BOARD**  
**February 15, 2017**  
**Staff Report – Agenda Item 6**

<b>AB 55 LOAN RECOMMENDATIONS</b>
-----------------------------------

1. **Loan Renewal Requests.** Staff recommends approval of the five loan renewal requests (Items 6.a – 6.e) on the agenda in the amounts shown on column f of Exhibit A to this staff report. Item 6.a reflects no change to the existing loan amount at the request of the department. Items 6.b & 6.d reflect increases necessary to continue construction. Item 6.c reflects increases necessary to continue design-build. Item 6.e reflects increases necessary to complete preliminary plans and start design work. The amounts for each item will pay accrued interest and administrative costs.

**Impact on the Pool.** The above loan requests are in compliance with the current AB55 Loan Policy and, if approved, will result in a net increase to the outstanding loans balance of \$5,343,000.

**Exhibit A**

POOLED MONEY INVESTMENT BOARD  
 LOAN REQUESTS (AB 55 LOANS)  
 For the February 15, 2017 PMIB Meeting

Agenda Item	New or Renewal	Old Loan No.	New Loan No.	Fund No.	Department/Program	[a]	[b]	[c]	[d]	[e]	[f]	[g]	[h]
						New Loan Amount per Loan Application	Original Amount of Existing Loan	Impact on the Pool	Amount of loan paydown (since previous loan)	Current Loan Amount Outstanding (b - d)	Recommended Adjusted Loan Amount	Impact on the Pool (for recommended loan amount)	Interest Paid by
<b>LOAN RENEWAL REQUESTS</b>													
a	Renewal	1560022	1670012	0668501	Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds Ironwood State Prison HVAC	\$ 5,100,000.00	\$ 5,100,000.00	\$ -	N/A	N/A	\$ 5,100,000.00	\$ -	BF
b	Renewal	1560021	1670013	0660584	Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds Tuolumne County Juvenile Project	\$ 16,044,000.00	\$ 16,017,000.00	\$ 27,000.00	N/A	N/A	\$ 16,044,000.00	\$ 27,000.00	BF
c	Renewal	1560023	1670014	0660572	Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds Santa Clara County Juvenile Project	\$ 8,254,000.00	\$ 4,305,000.00	\$ 3,949,000.00	N/A	N/A	\$ 8,254,000.00	\$ 3,949,000.00	BF
d	Renewal	1560024	1670015	0660589	Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds Yolo County Juvenile Project	\$ 4,561,000.00	\$ 3,302,000.00	\$ 1,259,000.00	N/A	N/A	\$ 4,561,000.00	\$ 1,259,000.00	BF
e	Renewal	1560025	1670016	0668502	Department of Forestry and Fire Protection SPWB Lease Revenue Bonds San Luis Obispo Unit Headquarters Replacement	\$ 2,016,000.00	\$ 1,908,000.00	\$ 108,000.00	N/A	N/A	\$ 2,016,000.00	\$ 108,000.00	BF
						<b>\$ 35,975,000.00</b>	<b>\$ 30,632,000.00</b>	<b>\$ 5,343,000.00</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 35,975,000.00</b>	<b>\$ 5,343,000.00</b>	

Total amount of outstanding PMIA loans as of January 30, 2017  
 Impact on the Pool from this meeting's actions  
 Outstanding PMIA loans after this meeting's actions

	Requested	Recommended
	\$ 529,751,000.00	\$ 529,751,000.00
	\$ 5,343,000.00	\$ 5,343,000.00
	\$ 535,094,000.00	\$ 535,094,000.00

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1670012

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$145,029,000.00</b>	Amount Requested: <u>5,100,000 P.1</u> <del>\$30,546,000.00</del> <u>5,046,000</u>
Appropriation(s) to be Funded: <b>Ch. 25/2014/5225-301-0668(1)</b>		Government Code Sections 15830 et seq.	Date Funds Needed: <b>2/17/2017</b>
Department Contact: <b>Michelle Weaver</b>		Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>
Department Director's Name (please print): <u>DEBORAH HAYSEN</u>		Sig:	Date: <u>7/2/29/16</u>
Name of SPWB Project: <b>Ironwood State Prison: HVAC</b>		Prd: <u>P.O.</u> <b>Greg wright</b>	Phone: <b>916-255-2196</b>
SCO Fund # (for renewals only): <u>0668-501</u>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>6/1/2020</b>	

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
  - To pay vendors directly?  To reimburse local government for vendor payments?
  - To make advances to other state funds\*?  To make advances to State Architect Revolving Fund\*?
  - \*If Yes, specify: \_\_\_\_\_ \*If Yes, specify: DGS-PMB Project No. \_\_\_\_\_
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No 
  - \*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<u>11/14/2014</u>	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

- First \$ 5,100,000.00 to be used to pay/reduce existing PMIB loan # 156022
- Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable): \_\_\_\_\_

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
 PMIB NO.  
167 00 12

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$145,029,000.00 Proposed Funding Date: 2/17/2017  
 Loan Amount: ~~\$30,546,000.00~~ 5,100,000 P.O.

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)       State Building Construction Act       Other, specify: \_\_\_\_\_

**SPWB AUTHORIZATION(S)**

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 11/14/2014, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department’s support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name  
Corrections and Rehabilitation  
 Signature \_\_\_\_\_ Date 12/29/16

The State certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director \_\_\_\_\_ Date 1.12.17

**EXECUTIVE SECRETARY’S CERTIFICATION**

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ \_\_\_\_\_ and the vote was as follows:

MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		

Conditions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date \_\_\_\_\_

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation

Project Name: Ironwood State Prison HVAC

Date: 12/23/2016

Loan Amount <sup>(1)</sup>: \$ 5,100,000

Estimated Project  
 Completion Date: 6/1/2020

Estimated Phase Completion Dates:	<u>N/A</u>	Acquisition (A)	Performance Criteria & Concept Drawings (PCCD)
	<u>11/15/2010</u>	Prelim Plans (PP)	<u>N/A</u>
	<u>1/16/2015</u>	Work Draw (WD)	<u>N/A</u>
	<u>6/1/2020</u>	Construction (C) <sup>(2)</sup>	Design-Build (D-B) <sup>(2)</sup>
	<u>N/A</u>	Equipment (E)	

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	4,653,535.00		4,653,535	C
Current Loan	357,002.00		5,010,537	C
Prior Interest	16,391.19		5,026,928	
Current Interest			5,026,928	
Administrative <sup>(3)</sup>	13,084.00	7,500.00	5,047,512	
1 Jan-17		52,000.00	5,099,512	C
2 Feb-17			5,099,512	C
3 Mar-17			5,099,512	C
4 Apr-17			5,099,512	C
5 May-17			5,099,512	C
6 Jun-17			5,099,512	C
7 Jul-17			5,099,512	C
8 Aug-17			5,099,512	C
9 Sep-17			5,099,512	C
10 Oct-17			5,099,512	C
11 Nov-17			5,099,512	C
12 Dec-17			5,100,000	C

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements.

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1670013

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

Department Name: **Corrections and Rehabilitation** Total Project Appropriation: **\$300,000,000.00** Amount Requested: **\$16,051,000.00** <sup>16,044,000</sup>

Appropriation(s) to be Funded: **SB 81, Ch. 175/07 (as amended) 5225-801-0660, 61.01.132 Local Youthful Offender Rehabilitative Facilities** Welfare and Institutions Code, Ch. 1.5, Article 3, Sections 1970-1978 Date Funds Needed: **2/17/2017**

Department Contact: **Michelle Weaver** Title: **Chief, Planning and Finance Section** Phone: **916-255-2239**

Department Director’s Name (please print): **DEBORAH HYSEN** Date: **12/28/16**

Name of SPWB Project: **Tuolumne County Juvenile Project** By: **Adrienne Howze** Phone: **916-255-2237**

SCO Fund # (for renewals only): **0660-584** Phase of Project (select all that apply):  A  PP  WD  C  E - or -  PCCD  D-B Estimated Project Completion Date: **3/31/2017**

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
  - To pay vendors directly?
  - To make advances to other state funds\*?
    - \*If Yes, specify :
  - To reimburse local government for vendor payments?
  - To make advances to State Architect Revolving Fund\*?
    - \*If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No 
  - \*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
2/13/2015	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer’s Office)

- This application is recommended for approval
- This application is recommended for approval subject to the following conditions:
- First \$ 16,017,000.00 to be used to pay/reduce existing PMIB loan # 1560021
  - Reduce amount of loan to \$ \_\_\_\_\_
- Conditions (if applicable):
- This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division Date:

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification  
Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board Date:

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**



PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY PMIB NO. <b>1670013</b>
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This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$300,000,000.00	Proposed Funding Date: 2/17/2017
Loan Amount: <del>\$16,051,000.00</del> <b>\$16,044,000</b>	

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

<input checked="" type="checkbox"/> Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)	<input type="checkbox"/> State Building Construction Act	<input type="checkbox"/> Other, specify: _____
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**SPWB AUTHORIZATION(S)**

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 2/13/2015, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name <b>Corrections and Rehabilitation</b>	
Signature: 	Date <b>12/28/16</b>

The State Public Works Board agrees by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board  or Deputy Director	Date <b>1-12-17</b>
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POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation  
 Project Name: Tuolumne Juvenile Project  
 Date: 12/22/2016

Loan Amount <sup>(1)</sup>: \$ 16,044,000

Estimated Project  
 Completion Date: 3/31/2017

Estimated Phase Completion Dates:	<u>N/A</u>	Acquisition (A)	
	<u>9/12/2014</u>	Prelim Plans (PP)	<u>N/A</u>
	<u>2/3/2015</u>	Work Draw (WD)	<u>N/A</u>
	<u>3/31/2017</u>	Construction (C) <sup>(2)</sup>	Design-Build (D-B) <sup>(2)</sup>
	<u>N/A</u>	Equipment (E)	

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	2,910,594.00		2,910,594	C
Current Loan	9,105,044.00		12,015,638	C
Prior Interest	3,359.19		12,018,997	
Current Interest	19,223.15		12,038,220	
Administrative <sup>(3)</sup>	13,084.00	7,500.00	12,058,804	
1 Mar-17		3,184,362.00	15,243,166	C
2 Apr-17		-	15,243,166	C
3 May-17		-	15,243,166	C
4 Jun-17		-	15,243,166	C
5 Jul-17		800,000.00	16,043,166	C
6 Aug-17		-	16,043,166	C
7 Sep-17		-	16,043,166	C
8 Oct-17		-	16,043,166	C
9 Nov-17		-	16,043,166	C
10 Dec-17		-	16,043,166	C
11 Jan-18		-	16,043,166	C
12 Feb-18		-	16,044,000	C

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1670014

**PART I. LOAN REQUEST/BOND PROGRAM INFORMATION**

(Completed by Requesting Department)

Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$300,000,000.00</b>	Amount Requested: <b>\$8,259,000.00</b> *8,254,000
Appropriation(s) to be Funded: <b>SB 81, Ch. 175/07 (as amended), 5225-801-0660, 61.01.132 Local Youthful Offender Rehabilitative Facilities</b>		<b>Welfare and Institutions Code, Ch. 1.5 Article 3, Sections 1970-1978</b>	Date Funds Needed: <b>2/17/2017</b>
Department Contact: <b>Michelle Weaver</b>		Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>
Department Director’s Name (please print): <b>DEBORAH HYSEN</b>			Date: <b>12/29/16</b>
Name of SPWB Project: <b>Santa Clara County Juvenile Project</b>		<b>Adrienne Howze</b>	Phone: <b>916-255-2237</b>
SCO Fund # (for renewals only): <b>0665572</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input checked="" type="checkbox"/> D-B		Estimated Project Completion Date: <b>1/17/2019</b>

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
  - To pay vendors directly?
  - To make advances to other state funds\*?
    - \*If Yes, specify :
  - To reimburse local government for vendor payments?
  - To make advances to State Architect Revolving Fund\*?
    - \*If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No 
  - \*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<b>2/12/2016</b>	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

**PART II. RECOMMENDATION AND CONDITIONS**

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

- First \$ 4,305,000.00 to be used to pay/reduce existing PMIB loan # 1560023
- Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

**PART III. RECOMMENDATION**

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
PMIB NO.  
1670014

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$300,000,000.00 Proposed Funding Date: 2/17/2017  
Loan Amount: ~~\$8,259,000.00~~ \$ 254,000

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

- Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)       State Building Construction Act       Other, specify: \_\_\_\_\_

**SPWB AUTHORIZATION(S)**

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 2/12/2016, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name  
Corrections and Rehabilitation  
Signature: \_\_\_\_\_ Date 12/28/16

The State certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: [Signature] Date 1.12.17

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Corrections and Rehabilitation  
 Project Name: Santa Clara Juvenile Project  
 Date: 12/16/2016

Loan Amount <sup>(1)</sup>: \$ 8,254,000

Estimated Project  
 Completion Date: 1/17/2019

Estimated Phase Completion Dates:	<u>NA</u>	Acquisition (A)		Performance Criteria & Concept Drawings
	<u>NA</u>	Prelim Plans (PP)	<u>12/12/2014</u>	(PCCD)
	<u>NA</u>	Work Draw (WD)	<u>1/17/2019</u>	Design-Build (D-B) <sup>(2)</sup>
	<u>NA</u>	Construction (C) <sup>(2)</sup>		
	<u>NA</u>	Equipment (E)		

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	
Current Loan	-		-	
Prior Interest				
Current Interest				
Administrative <sup>(3)</sup>	7,632.00	7,500.00	15,132	
1 Mar-17		1,834,000.00	1,849,132	D-B
2 Apr-17		289,000.00	2,138,132	D-B
3 May-17		372,000.00	2,510,132	D-B
4 Jun-17		455,000.00	2,965,132	D-B
5 Jul-17		538,000.00	3,503,132	D-B
6 Aug-17		620,000.00	4,123,132	D-B
7 Sep-17		685,000.00	4,808,132	D-B
8 Oct-17		689,000.00	5,497,132	D-B
9 Nov-17		689,000.00	6,186,132	D-B
10 Dec-17		689,000.00	6,875,132	D-B
11 Jan-18		689,000.00	7,564,132	D-B
12 Feb-18		689,000.00	8,254,000	D-B

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1570015

**PART I. LOAN REQUEST/BOND PROGRAM INFORMATION**  
(Completed by Requesting Department)

Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$300,000,000.00</b>	Amount Requested: <u>4,565,000.00</u> <b>\$4,565,000.00</b>
Appropriation(s) to be Funded: <b>SB 81, Ch. 175/07 (as amended) 5225-801-0660, 61.01.132 Local Youthful Offender Rehabilitative Facilities</b>		Welfare and Institutions Code, Ch. 1.5 Article 3, Sections 1970-1978	Date Funds Needed: <b>2/17/2017</b>
Department Contact: <b>Michelle Weaver</b>	Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>	
Department Director’s Name (please print): <b>DEBORAH HYSEN</b>		Date: <b>12/28/16</b>	
Name of SPWB Project: <b>Yolo County Juvenile Project</b>	Adrienne Howze	Phone: <b>916-255-2237</b>	
SCO Fund # (for renewals only): <b>0660-589</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>12/31/2017</b>	

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 

<input type="checkbox"/> To pay vendors directly?	<input checked="" type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*? *If Yes, specify :	<input type="checkbox"/> To make advances to State Architect Revolving Fund*? *If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No   
\*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
2/12/2016	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

**PART II. RECOMMENDATION AND CONDITIONS**  
(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ 3,302,000.00 to be used to pay/reduce existing PMIB loan # 1560024

Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

**PART III. RECOMMENDATION**  
(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
 PMIB NO.  
 1670015

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$300,000,000.00 Proposed Funding Date: 2/17/2017  
 Loan Amount: ~~\$4,565,000.00~~ \$4,561,000  
 23

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)       State Building Construction Act       Other, specify: \_\_\_\_\_

**SPWB AUTHORIZATION(S)**

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 2/12/2016, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department’s support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name  
 Corrections and  
 Signature: Depart \_\_\_\_\_ Date 12/28/16

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State \_\_\_\_\_ Date 1.12.17

**POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION**

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ \_\_\_\_\_ and the vote was as follows:

MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Department of Corrections and Rehabilitation  
 Project Name: Yolo County Juvenile Project  
 Date: 12/21/2016

**Loan Amount <sup>(1)</sup>: \$ 4,561,000**

Estimated Project  
 Completion Date: 12/31/2017

Estimated Phase Completion Dates:	<u>N/A</u>	Acquisition (A)	
	<u>12/12/2014</u>	Prelim Plans (PP)	<u>N/A</u>
	<u>12/9/2015</u>	Work Draw (WD)	<u>N/A</u>
	<u>12/31/2017</u>	Construction (C) <sup>(2)</sup>	
	<u>N/A</u>	Equipment (E)	

Performance Criteria & Concept Drawings (PCCD)  
 Design-Build (D-B) <sup>(2)</sup>

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	
Current Loan	-		-	
Prior Interest				
Current Interest				
Administrative <sup>(3)</sup>	7,632.00	7,500.00	15,132	
1 Mar-17		1,537,353.00	1,552,485	C
2 Apr-17		350,000.00	1,902,485	C
3 May-17		450,000.00	2,352,485	C
4 Jun-17		458,000.00	2,810,485	C
5 Jul-17		440,000.00	3,250,485	C
6 Aug-17		500,000.00	3,750,485	C
7 Sep-17		400,000.00	4,150,485	C
8 Oct-17		250,000.00	4,400,485	C
9 Nov-17		100,000.00	4,500,485	C
10 Dec-17		60,397.00	4,560,882	C
11 Jan-18		-	4,560,882	C
12 Feb-18		-	4,561,000	C

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months



LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1670016

**PART I. LOAN REQUEST/BOND PROGRAM INFORMATION**  
(Completed by Requesting Department)

**\$2,016,000** (AP)  
Amount Requested:  
~~\$2,017,000.00~~

Department Name: <b>Department of Forestry and Fire Protection</b>		Total Project Appropriation: <b>\$36,912,000.00</b>	Date Funds Needed: <b>2/17/2017</b>
Appropriation(s) to be Funded: <b>Chs. 10 and 11, Statute of 2015</b>		Item: <b>3540-301-0668 (1)</b>	
Department Contact: <b>Belinda Swackhammer</b>	Title: <b>Capital Outlay Associate Analyst</b>	Phone: <b>(916) 322-1205</b>	
Department Director’s Name (please print): <b>ANTHONY P. FAYO</b>	Sig <b>[Signature]</b>	Date: <b>12/30/16</b>	
Name of SPWB Project: <b>San Luis Obispo Unit Headquarters Replacement</b>	Pro. <b>Judy Haavisto, DGS PMB</b>	Phone: <b>(916) 376-1638</b>	
SCO Fund # (for renewals only):	Phase of Project (select all that apply): <input type="checkbox"/> A <input checked="" type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>6/18/2021</b>	

Use of Loan Proceeds:

1. Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

<input checked="" type="checkbox"/> To pay vendors directly?	<input type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*?	<input checked="" type="checkbox"/> To make advances to State Architect Revolving Fund*?

\*If Yes, specify : \_\_\_\_\_ \*If Yes, specify: DGS-PMB Project No. **134219**

2. Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No

3. Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No

\*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<b>1/15/2016</b>	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

**PART II. RECOMMENDATION AND CONDITIONS**  
(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ **1,900,000** to be used to pay/reduce existing PMIB loan # **1560025**

Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

**PART III. RECOMMENDATION**  
(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY PMIB NO. <u>1670016</u>
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This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$36,912,000.00	Proposed Funding Date: 2/17/2017
Loan Amount: <del>\$2,017,000.00</del> <u>\$2,016,000</u> <sup>AD</sup>	

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

<input type="checkbox"/> Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)	<input checked="" type="checkbox"/> State Building Construction Act	<input type="checkbox"/> Other, specify: _____
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**SPWB AUTHORIZATION(S)**

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 1/15/2016, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* -  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name <u>Department of Forestry and Fire Protection</u>	
Signature <u>[Signature]</u>	Date <u>12/30/16</u>

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature <u>[Signature]</u>	Date <u>1-10-17</u>
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POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
	MEMBER	
	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Department of Forestry and Fire Protection  
 Project Name: San Luis Obispo Unit Headquarters Replacement  
 Date: 12/22/2016

**Loan Amount <sup>(1)</sup>: \$ 2,016,000**

Estimated Project Completion Date: 6/18/2021

Estimated Phase Completion Dates:	<u>N/A</u>	Acquisition (A)		Performance Criteria & Concept Drawings (PCCD)
	<u>11/14/2017</u>	Prelim Plans (PP)	<u>N/A</u>	
	<u>2/1/2019</u>	Work Draw (WD)	<u>N/A</u>	Design-Build (D-B) <sup>(2)</sup>
	<u>6/18/2021</u>	Construction (C) <sup>(2)</sup>		
	<u>N/A</u>	Equipment (E)		

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)			-	P
Current Loan	4,296.00		4,296	
Prior Interest			4,296	
Current Interest			4,296	
Administrative <sup>(3)</sup>	7,632.00	7,500.00	19,428	
1		189,570.00	208,998	P
2		189,570.00	398,568	P
3		189,570.00	588,138	P
4		189,570.00	777,708	P
5		189,574.00	967,282	P
6		189,570.00	1,156,852	P
7		189,570.00	1,346,422	P
8		189,570.00	1,535,992	P
9		189,570.00	1,725,562	P
10		189,570.00	1,915,132	P
11		50,000.00	1,965,132	W
12		50,000.00	2,016,000	W

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months