

**P. M. I. B.**

**Wednesday, July 19, 2017**

**10:00 a.m.**

PMIB Meeting  
July 19, 2017

DESIGNATION

# POOLED MONEY INVESTMENT ACCOUNT

## PORTFOLIO SUMMARY REPORT

July 19, 2017

(Dollars in Billions)

	06/30/17	05/31/17	Change From Prior Month	06/30/16
<b>Total Portfolio</b>				
Amount	\$77.560	\$72.404	\$5.156	\$75.369
Effective Yield	1.026%	0.940%	0.086%	0.582%
Quarter-to-date Yield	0.929%	0.905%	0.024%	0.552%
Year-to-date Yield	0.754%	0.732%	0.022%	0.434%
Average Life (in days)	194	186	8	167
<b>AB 55 Loans (Government Code §16312 and §16313)</b>				
Approved	\$0.646	\$0.643	\$0.003	\$0.502
Disbursed	\$0.323	\$0.303	\$0.020	\$0.177
<b>Local Agency Investment Fund</b>				
Deposits	\$22.813	\$23.043	(\$0.230)	\$22.712
Number of Participants	2,439	2,447	(8)	2,464

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD  
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

In accordance with sections 16480 through 16480.8 of the Government Code, the Pooled Money Investment Board, at its meeting on July 19, 2017, has determined and designated the amount of money available for deposit and investment as of July 12, 2017, under said sections. In accordance with sections 16480.1 and 16480.2 of the Government Code, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

1. In accordance with Treasurer's Office policy, for deposit in demand bank accounts as  
Compensating Balance for Services: \$ 462,100,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

2. In accordance with law, for investment in securities authorized by section 16430, Government Code, or in term interest-bearing deposits in banks and savings and loan associations as follows:

	From	To	Transactions	In Securities (section 16430)*	Time Deposits in Various Financial Institutions (sections 16503a and 16602)*	Estimated Total
( 1 )	7/17/2017	7/21/2017	\$ 458,100,000	\$ (7,925,200,000)	\$ 5,603,200,000	\$ (2,322,000,000)
( 2 )	7/24/2017	7/28/2017	\$ (648,800,000)	\$ (8,574,000,000)	\$ 5,603,200,000	\$ (2,970,800,000)
( 3 )	7/31/2017	8/4/2017	\$ (815,000,000)	\$ (9,389,000,000)	\$ 5,603,200,000	\$ (3,785,800,000)
( 4 )	8/7/2017	8/11/2017	\$ (2,473,800,000)	\$ (11,862,800,000)	\$ 5,603,200,000	\$ (6,259,600,000)
( 5 )	8/14/2017	8/18/2017	\$ (2,048,700,000)	\$ (13,911,500,000)	\$ 5,603,200,000	\$ (8,308,300,000)

From any of the amounts specifically designated above, not more than 30 percent in the aggregate may be invested in prime commercial paper under section 16430(e), Government Code.

Additional amounts available in treasury trust account and in the Treasury from time to time, in excess of the amounts and for the same types of investments as specifically designated above.

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance of \$ 462,100,000.

POOLED MONEY INVESTMENT BOARD:

\_\_\_\_\_  
Chairperson

\_\_\_\_\_  
Member

\_\_\_\_\_  
Member

Dated: July 19, 2017

\* Government Code

PMIB Meeting  
July 19, 2017

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**POOLED MONEY INVESTMENT BOARD**  
 (Surplus Money Investment Fund)  
 Period June 1 through June 30, 2017

**DECLARATION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds and now on deposit in the State Treasury to the credit of each of such funds, in addition to any money in said funds heretofore designated as surplus money, is not necessary for immediate use for carrying out the purposes for which each of such special funds was created, and is hereby designated as surplus money for transfer to the Surplus Money Investment Fund:

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
<b>TOTAL \$ 12,294,820,000.00*</b>	

**REDUCTION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds, which constitutes a portion of the money heretofore designated as surplus money and which has heretofore been transferred to and now remains in the Surplus Money Investment Fund from each of such designated funds, is needed by each of such special funds to carry out the purposes for which it was created, and that the amount of money heretofore designated as surplus money with respect to each such special fund is hereby reduced by the amount of money needed by such fund, as hereinafter specified, for transfer back to each such fund.

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
<b>TOTAL \$ 12,396,736,095.00*</b>	

**POOLED MONEY INVESTMENT BOARD**

\_\_\_\_\_  
Member

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Member

Dated: July 19, 2017

\*Note: Amounts are typically transferred in \$1,000.00 increments. However, transfers or reductions may sometimes occur in other increments resulting in necessary adjustments which can occur within the same month, or the succeeding month. Consequently, the total transfer and reduction amounts will not necessarily reflect even \$1,000.00 increments.

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
0005000	SAFENEIGH PKS, CLNTR,CLNAIR/	816,000	318,000
0006000	DISABILITY ACCESS ACCOUNT	23,916,000	1,823,000
0007000	BREAST CANCER RESEARCH ACCOUNT	0	936,000
0009000	BREAST CANCER CONTROL ACCOUNT	0	569,000
0012000	ATTY GEN ANTITRUST ACCOUNT	504,000	0
0014000	HAZARDOUS WASTE CONTROL ACCT	24,690,000	15,245,000
0017000	FINGERPRINT FEES ACCOUNT	52,152,000	74,000
0018000	SITE REMEDIATION ACCOUNT	5,807,000	463,000
0023000	FARMWORKER REMEDIAL ACCOUNT	442,000	5,000
0024000	GUIDE DOGS FOR BLIND FUND	5,000	58,000
0028000	UNIFIED PROGRAM ACCOUNT	4,131,000	106,000
0032000	FIREARM SAFETY ACCOUNT	4,772,000	22,000
0033000	STATE ENERGY CONSER ASST ACCT	6,060,000	4,920,000
0035000	SURFACE MINING & RECLAMATION	2,318,000	264,000
0041000	AERONAUTICS ACCOUNT	957,000	935,000
0042000	STATE HIGHWAY ACCOUNT	338,741,000	232,827,000
0044000	MOTOR VEHICLE ACCOUNT	645,206,000	278,854,000
0046000	PUBLIC TRANSPORTATION ACCT	120,901,000	17,304,000
0048000	TRANSPORTATION REV ACCOUNT	457,175,000	908,461,000
0052000	LOCAL AIRPORT LOAN ACCOUNT	272,000	0
0055000	MASS TRANSIT REVOLVING ACCOUNT	900,000	0
0061000	MOTOR VEHICLE FUEL ACCOUNT	428,302,000	423,791,000
0064000	MOTOR VEHICLE LIC FEE ACCT	62,819,000	22,008,000
0065000	ILLEGAL DRUG LAB CLEANUP ACCT	2,801,000	3,000
0066000	SALE OF TOBACCO TO MINORS CTRL	428,000	139,000
0067000	STATE CORPORATIONS FUND	11,017,000	8,168,000
0069000	BARBERING/COSMETOLOGY CONT FD	3,329,000	1,506,000
0074000	MEDICAL WASTE MANAGEMENT FUND	151,000	268,000
0075000	RADIATION CONTROL FUND	5,336,000	595,000
0076000	TISSUE BANK LICENSE FUND	69,000	48,000
0078000	GRAPHIC DESIGN LICENSE PLATE F	116,000	154,000
0080000	CHILDHOOD LEAD POISONG PVTN FD	1,819,000	1,357,000
0082000	EXPORT DOCUMENT PROGRAM FUND	1,662,000	0
0083000	VETERANS SERVICE OFFICE FUND	71,000	302,000
0093000	CONSTRUCTN MANGEMNT EDUC ACCT	9,000	0
0094000	RETAIL SALES TAX	8,047,000	14,843,000
0098000	CLINICAL LAB IMPROVEMENT FUND	2,190,000	577,000
0099000	HEALTH STATISTICS SPEC FUND	4,226,000	946,000
0100000	CALIF USED OIL RECYCLING FUND	2,753,000	2,631,000
0106000	PESTICIDE REGULATION FUND	7,578,000	6,776,000
0108000	ACUPUNCTURE FUND	4,191,000	260,000
0111000	DEPT OF FOOD & AGRICULT. ACCT	5,132,000	20,809,000
0115000	AIR POLLUTION CONTROL FUND	23,121,000	26,068,000
0119000	SCH FACILITIES FUND 1998	319,000	0
0121000	HOSPITAL BUILDING FUND	49,781,000	7,224,000
0122000	EMERGENCY FOOD FOR FAMILIES FD	346,000	121,000
0124000	CA.AGRI.EXPORT PROMOTION ACCT-	5,000	0
0129000	WATER DEVICE CERT SPEC ACC	5,000	22,000

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MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
0132000	WORKERS COMP MNGDCARE FUND	2,000	2,000
0133000	CALIF BEVERAGE CONTAINER RECYL	206,614,000	43,198,000
0140000	ENVIRONMENTL LICENSE PLATE FD	8,922,000	3,654,000
0141000	SOIL CONSERVATION FUND	71,000	478,000
0142000	SEXUAL HABITUAL OFFENDER, DOJ	2,447,000	36,000
0143000	CA HEALTH DATA & PLANNING FD	9,777,000	2,818,000
0152000	ST BD OF CHIROPRACTIC EXAMINERS	138,000	366,000
0158000	TRAVEL SELLER	73,000	72,000
0159000	ST TRIAL COURT IMPROV & MODERN	8,784,000	5,523,000
0163000	CONTINUING CARE PROVIDER FEE	196,000	226,000
0166000	CERTIFICATION ACCT-CONS AFF FD	12,000	80,000
0168000	STRUCTURAL PEST CONTROL	10,000	0
0169000	CALIF DEBT LIMIT ALLOC COMM	260,000	82,000
0171000	CALIF DEBT & INVEST ADV COMM	440,000	312,000
0172000	DEV DISABILITIES PROGRAM DEV	786,000	2,098,000
0175000	DISPENSING OPTICIANS FUND	27,000	18,000
0177000	FOOD SAFETY FUND	1,558,000	882,000
0179000	ENVIRONMENTAL LAB IMPROV FUND	567,000	571,000
0181000	REGISTERED NURSE EDUCATION	176,000	91,000
0183000	ENVIRON ENHANC MIT DEMO PGM FD	1,277,000	1,162,000
0184000	EMPLOY DEV DEPT BENEFIT AUDIT	5,132,000	1,900,000
0185000	EMPLOYMT DEV DEPT CONTINGENT	43,586,000	18,765,000
0191000	FAIR AND EXPOSITION FUND	107,000	165,000
0193000	WASTE DISCHARGE PERMIT FUND	12,135,000	21,690,000
0194000	EMERG MED SRVS TRNG PGM APROV	13,000	90,000
0198000	CA FIRE & ARSON TRAINING FD	372,000	196,000
0203000	GENETIC DISEASE TESTING FUND	22,108,000	9,198,000
0207000	FISH & WILDLIFE POLLUTION ACT	34,000	132,000
0209000	CA HAZRD LIQUID PIPLNE SAFETY	0	2,126,000
0211000	CA WTRFOWL HAB PRESERVATION	0	3,000
0213000	NATIVE SPECIES CONSV & ENHAN	7,000	0
0217000	INSURANCE FUND	29,916,000	35,335,000
0219000	LIFETIME LICENSE TRUST ACCOUNT	589,000	0
0223000	WORKERS' COMP ADMIN REVOLV FD	44,082,000	40,893,000
0226000	CA TIRE RECYCLING MGMT FUND	5,294,000	5,413,000
0228000	SEC OF STATE'S BUSINESS FEE FD	18,436,000	4,744,000
0230000	CIGARETTE & TOBACCO - ALLOCATE	12,575,000	976,000
0231000	CIGARET.& TOB./HEALTH EDUCAT.	0	9,283,000
0234000	CIGARET.& TOB./RESEARCH ACCT.	0	795,000
0235000	CIGARET.& TOB./PUBLIC RESOURCE	0	3,107,000
0236000	CIGARET. &TOB./UNALLOCATED	100,000	3,099,000
0238000	VETS CEMETERY PERPETUAL MAINT	18,000	9,000
0239000	PRIVATE SECURITY SRVS FUND	1,470,000	1,026,000
0240000	LOCAL AGY DEPOSIT SECURITY FD	3,000	49,000
0241000	LOC PUB PROSECUTR/DEFNDER TNG	138,000	93,000
0245000	MOBILEHOME PARK & SPEC.OCC.RF	1,338,000	1,379,000
0247000	DRINKING WATER OPERATOR CERT	207,000	296,000
0256000	SEXUAL PREDATOR PUBLIC INFO	12,000	5,000



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MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
0259000	SUPPLEMENTAL CONTRIB PROG FUND	16,000	16,000
0261000	OFF HIGHWAY LICENSE FEE	253,000	0
0263000	OFF-HIGHWAY VEHICLE TRUST	101,292,000	34,610,000
0264000	OSTEOPATHIC MED BD CONTINGENT	435,000	1,000
0268000	PEACE OFFICERS TRAINING	5,554,000	2,252,000
0269000	GLASS PROCESSING FEE ACCOUNT	9,664,000	5,688,000
0270000	TECHNICAL ASSISTANCE FUND	2,282,000	2,000
0271000	CERTIFICATION FUND	128,000	186,000
0272000	INFANT BOTULISM TRTMT & PREV	2,403,000	1,513,000
0275000	HAZ & IDLE-DESERTED ABATMT FD	83,000	361,000
0276000	PENALTY ACCT-CA.BEVERAGE RECYC	64,000	366,000
0277000	BIMETAL PROCESSING FEE ACCT	154,000	10,000
0278000	PET PROCESSING FEE ACCT	16,072,000	2,334,000
0279000	CHILD HEALTH & SAFETY FUND	289,000	324,000
0280000	PHYSICIAN ASSISTANT FD	96,000	173,000
0281000	RECYCLING MARKET DEV REV LOAN	895,000	2,570,000
0288000	INTERNTL STUDENT EXCHANGE VST	1,000	0
0289000	HLTH INS COUNSELING/ADVOCACY	1,000	213,000
0290000	PILOT COMMISSIONERS' SPEC FD	368,000	177,000
0293000	MOTOR CARRIER'S SAFETY IMPROV	102,000	168,000
0294000	REMOVAL/REMEDIAL ACTION ACCT	0	14,000
0295000	PODIATRIC MEDICINE FUND	73,000	97,000
0298000	FINANCIAL INSTITUTIONS FUND	2,765,000	2,801,000
0299000	CREDIT UNION FUND	722,000	902,000
0300000	PROFESSIONAL FORESTER REGIS	0	23,000
0305000	PRIVATE POSTSECONDARY ED	1,448,000	1,202,000
0306000	SAFE DRINKING WATER ACCOUNT	13,761,000	20,138,000
0310000	PSYCHOLOGY FUND	198,000	307,000
0312000	EMERG MED SVCS PERSONNEL FUND	222,000	242,000
0314000	DIESEL EMISSION REDUCTION FUND	181,000	0
0317000	REAL ESTATE FUND	8,715,000	5,135,000
0318000	COLLINS-DUGAN CCC REIMBR ACCT	6,687,000	1,950,000
0319000	RESPIRATORY CARE FUND	116,000	311,000
0320000	OIL SPILL PREVENTION & ADMIN	7,418,000	7,122,000
0321000	OIL SPILL RESPONSE TRUST FUND	5,917,000	1,242,000
0322000	ENVIRONMENTAL ENHANCEMENT FUND	10,000	0
0325000	ELECTRONIC & APPL REPAIR FUND	172,000	159,000
0326000	ATHLETIC COMMISSION FUND	310,000	0
0328000	PUB SCHL PLN, DESIGN,CONST REV	14,687,000	12,071,000
0329000	VEHICLE LICENSE COLLECTN ACCT	8,935,000	0
0331000	SALES TAX ACCOUNT	462,104,000	229,355,000
0332000	VEHICLE LICENSE FEE ACCOUNT	209,633,000	67,798,000
0335000	REGISTERED ENV HLTH SPECLST FD	29,000	0
0336000	NINE RECLAMATION ACCOUNT	1,859,000	537,000
0338000	STRONG-MOTION INSTR/SEIMC MAPG	843,000	1,805,000
0347000	SCHOOL LAND BANK FUND	67,398,000	150,000
0351000	MENTAL HEALTH SUBACCOUNT-	93,379,000	93,379,000
0365000	HISTORIC PROPERTY MAINT FUND	119,000	129,000

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
0366000	INDIAN GAMING REV SHARING TRST	4,147,000	34,000
0367000	INDIAN GAMING SPEC DISTRIB FD	4,741,000	3,190,000
0376000	SPEECH-LANGUAGE PATH/AUDIOLOGY	62,000	170,000
0378000	ATTORNEY GEN FALSE CLAIMS ACT	0	1,855,000
0381000	PUB INTEREST R D & D	15,000	335,000
0382000	RENEWABLE RESOURCE TRUST FUND	7,820,000	4,743,000
0386000	SOLID WASTE DISP CLEANUP TRUST	0	525,000
0387000	INTEGRATED WASTE MGMT ACCOUNT	4,137,000	7,610,000
0392000	ST PARKS AND RECREATION ACCT	54,298,000	50,069,000
0396000	SELF-INSURANCE PLANS FUND	184,000	263,000
0399000	STRUCTL PEST CONTRL EDU&ENFORC	28,000	69,000
0400000	REAL ESTATE APPRAISERS REG FD	3,796,000	488,000
0402000	SAFE CLEAN WATER SUPPLY	1,892,000	2,771,000
0407000	TEACHER CREDENTIALS	3,166,000	1,789,000
0408000	TEST DEVELOPMENT & ADMIN ACCT	1,036,000	659,000
0410000	TRANSCRIPT REIMBURSEMENT FUND	0	116,000
0412000	TRANSPORTATION RATE	79,000	159,000
0419000	WATER RECYCLING SUBACCOUNT	100,000	1,332,000
0421000	VEHICLE INSPECTION & REPAIR FD	33,513,000	9,242,000
0425000	VICTIM-WITNESS ASSISTANCE FD	2,903,000	947,000
0429000	LOCAL JURISDICTION ENGY.ASSIST	200,000	0
0434000	AIR TOXICS INVENTORY & ASSESMT	639,000	600,000
0436000	UNDERGRD STOR TANK TESTER ACCT	5,000	0
0439000	UNDERGRD STOR TANK CLEANUP FD	95,959,000	45,233,000
0447000	WILDLIFE RESTORATION FUND	3,999,000	941,000
0448000	OCCUPANCY COMPLIANCE MONITOR	190,000	443,000
0449000	WINTER RECREATION FUND	16,000	60,000
0452000	ELEVATOR SAFETY ACCOUNT	3,720,000	2,196,000
0453000	PRESSURE VESSEL ACCOUNT	632,000	509,000
0456000	EXPEDITED SITE REMEDIATION	900,000	0
0457000	TAX CREDIT ALLOC FEE ACCOUNT	16,116,000	205,000
0458000	SITE OPERATN & MAINTENANCE ACC	3,100,000	0
0459000	TELEPHONE MED ADV SERV. FD	0	2,000
0460000	DEALERS RECORD OF SALES SP ACT	4,254,000	82,000
0461000	PUC TRANSPORTATION REIMB ACCT	20,036,000	1,537,000
0462000	PUC UTILITIES REIMBMENT ACCT	34,874,000	15,955,000
0464000	HIGH COST FUND A ADM COMM FD	3,281,000	101,000
0465000	ENERGY RESOURCES PROGRAMS A/C	41,979,000	10,379,000
0470000	HIGH-COST FUND-B ADM COMM FD	3,825,000	40,000
0471000	UNIV LIFELINE TELEP SVC TRST	45,525,000	44,269,000
0475000	UNDERGROUND STORAGE TANK FD	100,000	0
0478000	VECTORBORNE DISEASE ACCOUNT	184,000	88,000
0479000	ENRGY TECH RESCH DEV&DEMO ACCT	2,900,000	0
0481000	GARMNT MANUFACTURERS SPECL A/C	35,000	36,000
0483000	DEAF & DESABLED TELECOMM PGM	5,276,000	665,000
0492000	STATE ATHLETIC COMM NEURO EXAM	500,000	0
0493000	TELECONNECT FD - ADM COMM FD	15,620,000	32,000
0496000	DEVELOP.DISAB.SERVICE ACCT.	100,000	0

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
0497000	LOCAL GOV'T GEOTHER.RES.REVOL.	8,622,000	119,000
0501000	CALIFORNIA HOUSING FINANCE	371,392,000	136,987,000
0502000	CALIF WATER RESOURCES DEV BOND	48,488,000	51,540,000
0506000	CENTRAL VALLEY WATER PROJ CONS	83,827,000	143,100,000
0507000	CENTRAL VALLEY WATER PROJ REV	2,080,000	96,812,000
0512000	ST COMPENSATION INSURANCE FD	197,267,000	342,516,000
0514000	EMPLOYMENT TRAINING FUND	448,000	5,966,000
0516000	HARBORS & WATERCRAFT REVOL FD	63,331,000	7,396,000
0518000	HLTH FAC CONST LOAN INSURANCE	71,000	339,000
0524000	L.A. ST. BLDG. AUTHORITY FUND	0	3,000
0526000	CA SCHOOL FINANCE AUTHORITY FD	12,000	8,000
0528000	ALTERNATIVE ENERGY AUTH FD	173,000	971,000
0530000	MOBILEHM PK REHAB & PURCHASE F	433,000	11,000
0538000	SAN FRANCISCO STATE BUILDING	0	2,099,000
0539000	OAKLAND STATE BLDG AUTHORITY	0	1,254,000
0557000	TOXIC SUBSTANCES CONTROL ACCT	49,016,000	8,570,000
0558000	FARM & RANCH SOLID WASTE CLEAN	1,697,000	161,000
0562000	STATE LOTTERY FUND	486,992,000	442,441,000
0564000	SCHOLARSHARE ADM FUND	59,000	130,000
0566000	DOJ CHIL'D ABUSE FUND	1,485,000	98,000
0567000	GAMBLING CONTROL FUND	56,273,000	508,000
0569000	GAMBLING CONTROL FINES & PENLT	8,015,000	17,000
0574000	HIGHER EDUC CAP OUTLAY BD FD	0	1,364,000
0576000	ST UNIV DORMITORY CONSTRUCTN	311,000	69,524,000
0578000	ST UNIV DORM INTEREST/REDEMPT	504,000	0
0582000	HIGH POLLUTER REPAIR/REMOVAL	8,701,000	1,598,000
0585000	COUNTIES CHILDREN & FAMILIES	0	39,143,000
0587000	FAMILY LAW TRUST FUND	203,000	443,000
0588000	UNEMPLOYMENT COMP DISABL FUND	182,264,000	97,855,000
0592000	VETS FARM/HOME BUILDING-1943	33,833,000	114,648,000
0600000	VENDING STAND FUND	295,000	269,000
0601000	AGRICULTURE BUILDING FUND	110,000	562,000
0604000	ARMORY FUND	81,000	0
0610000	ORIENTATION CNTR BLIND TRUST	0	1,000
0617000	STATE WTR. POLLUTION CONTROL	129,399,000	181,257,000
0623000	CA CHILDREN/FAMILIES TRUST FD	17,339,000	1,846,000
0629000	SAFE DRINKING WTR ST REVLV FD	21,324,000	38,523,000
0631000	MASS MEDIA COMM ACCT, CHILD/FM	0	5,179,000
0634000	EDUCATION ACCT, CHILD/FAM FRST	0	10,000
0636000	CHILD CARE ACCT, CHILD/FAM FR	0	39,000
0637000	RESEARCH DEVEL ACCT	0	425,000
0638000	ADMINISTRATION ACCT	0	106,000
0639000	UNALLOCATED ACCOUNT	0	1,984,000
0641000	DOMESTIC VIOLENCE RESTRAINING	70,000	0
0648000	MOBILEHOME/MFG HOME REV FUND	10,475,000	8,520,000
0649000	CA INFRASTRY & ECON DEVL BANK	17,000	2,003,000
0653000	SEISMIC RETROFIT BOND 1996	0	11,000
0658000	UC MERCED	0	718,000

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
0660000	PUBLIC BUILDINGS CONSTRUCTN	40,589,000	209,886,000
0668000	PUBLIC BLDG CONSTRUCT SUBACCT	2,501,000	17,936,000
0678000	PRISON INDUSTRIES REVOLV FD	16,366,000	21,521,000
0679000	STATE WATER QUALITY CONTROL	3,224,000	5,543,000
0687000	DONATED FOOD REVOLVING FUND	225,000	0
0691000	WATER RESOURCES REVOLVING	63,934,000	69,724,000
0698000	HOME PURCHASE ASSISTANCE FUND	2,945,000	5,192,000
0701000	VETERANS' HOME FUND	0	7,000
0702000	P&V- CONSUMER AFFAIRS FUND	53,271,000	66,833,000
0704000	P&V- ACCOUNTANCY FUND	27,722,000	1,018,000
0706000	ARCHITECTS BOARD FUND, CA	977,000	3,000
0714000	ROBERTI AFFORDABLE HOUSING FD	117,000	160,000
0717000	CEMETERY AND FUNERAL FUND	62,000	287,000
0735000	P&V- CONTRACTORS' LICENSE FD	8,389,000	5,489,000
0740000	CLEAN WATER BOND-FUND 1984 STA	3,000	0
0741000	P&V- DENTISTRY FUND	1,406,000	1,015,000
0747000	PRISON CONSTRUCTION FUND 1988	0	53,000
0749000	REFUNDING ESCROW FUND	0	108,003,000
0750000	ST FUNERAL DIRECTORS & EMBALM	0	1,000
0751000	1990 PRISON CONSTRUCTION FUND	0	2,000
0752000	HOME FURN & THERMAL INSULATION	177,000	447,000
0755000	LICENSED MIDWIFERY FUND	4,000	2,000
0757000	LANDSCAPE ARCHITECTS FUND	21,000	83,000
0758000	MEDICAL BD OF CALIF	16,665,000	5,244,000
0759000	P&V- PHYSICAL THERAPY FUND	264,000	631,000
0761000	P&V- REGISTERED NURSING FUND	9,222,000	1,013,000
0763000	P&V- OPTOMETRY FUND	1,306,000	164,000
0767000	P&V- PHARMACY BD CONTINGENT	2,505,000	3,931,000
0768000	EARTHQK PUB BLDG REHAB FUND 90	0	3,000
0769000	PRIVATE INVESTIGATOR FUND	786,000	362,000
0770000	PROF ENGINEER LAND SURV GEO FD	5,032,000	1,010,000
0771000	COURT REPORTERS FUND	209,000	1,000
0773000	P&V- BEHAVIORAL SCI EXAMINERS	1,144,000	993,000
0775000	P&V- STRUCTURAL PEST CONTROL	281,000	413,000
0777000	VETERINARY MEDICAL BD CONTEG FD	319,000	894,000
0779000	VOCATNL NURSING/PSYCH TECH FD	1,622,000	1,169,000
0785000	HIGH EDUC CAP OUTLAY BD FD 88	0	1,000
0788000	CA EARTHQK SAFETY/HOUS REHAB	0	31,000
0793000	CA SAFE DRINKING WATER FD 1988	0	1,000
0803000	STATE CHILDREN'S TRUST FUND	211,000	40,000
0813000	SELF-HELP HOUSING FUND	0	10,000
0814000	CA STATE LOTTERY EDUCATION	137,000	168,000
0815000	JUDGES RETIREMENT FUND	17,690,000	17,674,000
0820000	LEGISLATORS RETIREMENT FUND	590,000	1,127,000
0821000	FLEXELECT BENEFIT FUND	1,924,000	2,013,000
0822000	PUBLIC EMPLOYEES HEALTH CARE	278,106,000	259,952,000
0829000	HEALTH PROFESSIONS EDUC FUND	29,000	109,000
0830000	PUBLIC EMPLOYEES' RETIREMENT	2,190,137,000	3,983,284,000

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
0833000	ANNUITANTS' HEALTH CARE COV FD	209,001,000	199,228,000
0834000	MEDI-CAL INPATIENT PMT ADJ FD	3,275,000	58,669,000
0835000	TEACHERS RETIREMENT	298,855,000	264,303,000
0840000	CA MOTORCYCLIST SAFETY FD	147,000	289,000
0849000	REPLACEMENT BENEFIT CUST. FD	308,000	1,938,000
0853000	PETROLEUM VIOLATION ESCROW ACC	0	1,000
0865000	MENTAL HEALTH MANAGED CARE DP	5,813,000	9,738,000
0872000	STATE HOSPITAL ACCOUNT,	16,506,000	18,662,000
0884000	JUDGE RETIREMENT SYSTEM II FD	7,543,000	1,861,000
0885000	PERS DEFERRED COMPENSATION FD	2,431,000	2,690,000
0886000	SENIORS SPECIAL FD,CALIFORNIA	102,000	54,000
0904000	CA HEALTH FACILITIES FIN AUTH	1,382,000	243,000
0908000	SCHOOL EMPLOYEES FUND	722,000	124,000
0910000	CONDEMNATION DEPOSITS FUND	48,720,000	22,001,000
0911000	EDUC FACILITIES AUTHORITY	95,000	76,000
0915000	DEFERRED COMPENSATION PLAN FD	1,338,000	1,331,000
0916000	CA HOUSING LOAN INSURANCE FUND	0	8,000
0917000	INMATES' WELFARE FUND	4,593,000	5,959,000
0918000	SMALL BUSINESS EXPANSION	21,000	38,000
0920000	LITIGATION DEPOSIT FUND	83,039,000	20,621,000
0927000	J SERNA FARMWORKER HOUSNG GRNT	1,018,000	399,000
0928000	FOREST RESOURCES IMPROV FUND	1,874,000	744,000
0929000	HOUSING REHABILITATION LOAN	1,790,000	773,000
0930000	POLLUTN CONTL FINANCING AUTH	1,552,000	5,604,000
0932000	TRIAL COURT TRUST FUND	89,016,000	165,802,000
0933000	MANAGED CARE FUND	4,360,000	6,148,000
0938000	RENTAL HOUSING CONSTRUCTN FD	4,000	69,000
0942000	SPECIAL DEPOSIT FUND	34,534,000	67,724,000
0943000	LAND BANK FUND	31,000	49,000
0948000	CAL ST UNIV & COLL TRUST FUND	375,326,000	525,941,000
0950000	PUB EMPLOY CONTINGENCY RESRV	370,887,000	325,725,000
0952000	STATE PARK CONTINGENT	1,616,000	1,774,000
0960000	STUDENT TUITION RECOVERY FUND	701,000	305,000
0965000	TIMBER TAX	23,000	6,000
0972000	MANUFACTURED HOME RECOVERY FD	25,000	15,000
0980000	PREDEVELOPMENT LOAN FUND	1,398,000	0
0985000	EMERGENCY HOUSING & ASST FUND	0	57,000
1008000	FIREARMS SAFETY/ENFRMNT SPCL	1,468,000	488,000
3002000	ELECTRICIAN CERTIF FUND	181,000	161,000
3004000	GARMENT INDUSTRY REGULATIONS	319,000	286,000
3010000	PIERCE'S DISEASE MGMT ACCOUNT	4,022,000	1,967,000
3015000	GAS CONSUMPTION SURCHARGE FUND	64,700,000	6,045,000
3016000	MISSING PERSONS DNA DATA BASE	240,000	263,000
3017000	OCCUPATIONAL THERAPY FUND	44,000	267,000
3018000	DRUG AND DEVICE SAFETY FUND	652,000	5,000
3022000	APPRENTICESHIP TRNG CONTRIB FD	2,114,000	1,017,000
3023000	WIC MANUFACTURER REBATE FUND	17,457,000	17,585,000
3025000	ABANDONED MINE RECLAMATION	0	269,000

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
3030000	WORKERS' OCCUP SFTY/HLTH ED FD	55,000	129,000
3033000	CALIF.MEMORIAL SCHOLARSHIP FD	11,000	0
3037000	STATE COURT FACILITIES CONST F	21,582,000	10,510,000
3042000	VICTIMS OF CORP FRAUD COMP FD	172,000	50,000
3046000	OIL, GAS, AND GEOTHERMAL ADMIN	6,243,000	10,479,000
3053000	PUBLIC RGHTS LAW ENFRMNT SPEC	0	594,000
3056000	SAFE DRKNG WATR & TOXIC ENFORC	559,000	303,000
3057000	DAM SAFETY FUND	7,131,000	1,138,000
3058000	WATER RIGHTS FUND	2,173,000	2,181,000
3060000	APPELLATE COURT TRUST FUND	1,030,000	25,000
3062000	ENGY FAC LICENSE AND COMPL FD	387,000	703,000
3064000	MENTAL HLTH PRACTITIONER ED FD	32,000	29,000
3065000	ELEC WSTE RCVRY RCYLG ACCT	13,499,000	5,569,000
3066000	COURT FACILITIES TRUST FUND	13,443,000	4,588,000
3067000	CIGARETTE TOBACCO PRODS COMPL	1,581,000	1,597,000
3068000	VOCATIONAL NURSE EDUCATION FD	21,000	15,000
3069000	NATUROPATHIC DOCTOR'S FUND	63,000	0
3071000	CAR WASH WORKER RESTITUTION FD	32,000	0
3072000	CAR WASH WORKER FUND	66,000	38,000
3074000	MEDICAL MARIJUANA PROGRAM FUND	0	20,000
3079000	CHILDRENS MED SERVICES REBATE	1,491,000	1,341,000
3080000	AIDS DRUG ASSIST PGM REBATE	40,120,000	32,508,000
3081000	CANNERY INSPECTION FUND	205,000	175,000
3084000	ST CERTIFIED UNIFIED PGM AGY	12,000	900,000
3085000	MENTAL HEALTH SERVICES FUND	192,053,000	114,144,000
3086000	DNA IDENTIFICATION FUND	2,097,000	2,530,000
3087000	UNFAIR COMPETITION LAW FUND	16,714,000	2,337,000
3088000	REGISTRY OF CHARITABLE TRUSTS	622,000	428,000
3089000	PUC UTIL COMM RATEPAYER ADV	2,300,000	2,704,000
3095000	FILM PROMOTION AND MARKETING	4,000	1,000
3097000	PRIVATE HOSPITAL SUPPLEMENTAL	881,000	37,058,000
3098000	DPH LICENSING & CERT PGM FUND	13,103,000	7,286,000
3100000	DWR ELECTRIC POWER FUND	140,805,000	72,231,000
3101000	ANALYTICAL LABORATORY ACCOUNT	377,000	294,000
3103000	HATCHERY AND INLAND FISHERIES	3,618,000	3,293,000
3108000	PROFESSIONAL FIDUCIARY FUND	19,000	28,000
3109000	NATURAL GAS SUBACCT, PIRD&D	8,118,000	3,113,000
3111000	RETAIL FOOD SAFETY AND DEFENSE	2,000	0
3114000	BIRTH DEFECTS MONITORING PGM	627,000	166,000
3117000	ALTERNATIVE & RENEWABLE FUEL	12,521,000	4,469,000
3119000	AIR QUALITY IMPROVEMENT FUND	5,584,000	1,300,000
3121000	OCCUPATIONAL SAFETY & HEALTH	4,489,000	8,224,000
3122000	ENHANCED FLEET MODERNIZATION	15,545,000	8,177,000
3133000	MANAGED CARE ADMIN FINES FUND	181,000	0
3134000	SCHOOL DISTRICT ACCOUNT	0	156,000
3137000	EMERGENCY MEDICAL TECH CERT	202,000	0
3138000	IMMEDIATE & CRITICAL NEEDS	16,991,000	7,745,000
3140000	STATE DENTAL HYGIENE FUND	85,000	132,000

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STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
3141000	CA ADVANCED SERVICES FUND	2,714,000	4,154,000
3142000	STATE DENTAL ASSISTANT FUND	73,000	185,000
3145000	UST ORPHAN SITE CLEANUP FUND	0	569,000
3147000	SMALL COMMUNITY GRANT FUND	141,000	103,000
3150000	STATE PUBLIC WORKS ENFORCEMENT	2,438,000	1,011,000
3152000	LABOR ENFORCEMENT & COMPLIANCE	4,277,000	7,884,000
3153000	HORSE RACING FUND	2,310,000	502,000
3156000	CHILDREN'S HEALTH & HUMAN SVCS	10,065,000	0
3158000	HOSPITAL QUALITY ASSURANCE REV	421,984,000	64,000
3160000	WASTEWATER OPERATOR CERT FUND	212,000	186,000
3165000	ENTERPRISE ZONE FUND	0	400,000
3167000	SKILLED NURSING FAC QUALITY &	30,000	150,000
3168000	EMERGENCY MED AIR TRANSPORT	1,511,000	0
3175000	CALIFORNIA HEALTH TRUST FUND	32,392,000	27,841,000
3205000	APPLIANCE EFFICIENCY ENFORCE	133,000	0
3209000	OFFICE PATIENT ADVOCATE TRUST	43,000	310,000
3210000	DAVIS-DOLWIG ACCOUNT	1,000,000	1,779,000
3211000	ELECTRIC PROG INVEST CHRGE FD	21,513,000	13,729,000
3228000	GREENHOUSE GAS REDUCTION FD	547,137,000	198,295,000
3237000	COST OF IMPLEMENTATION ACCT	0	10,127,000
3240000	SECONDHAND DEALER & PAWNR FD	52,000	83,000
3244000	PDATA FUND	28,000	48,000
3254000	BUSINESS PROGRAMS MODERN	172,000	294,000
3255000	HOME CARE FUND	1,327,000	474,000
3261000	VESSEL OPERATOR CERTIFICATION	0	35,000
3263000	COLLEGE ACCESS TAX CREDIT FUND	47,000	4,016,000
3268000	PROPERTY TAX POSTPONEMENT FUND	882,000	48,000
3285000	ELECTRONIC RECORDING AUTH FUND	0	23,000
6001000	SAFE DRNKNG CLN WTR WTRSHD PRO	0	1,602,000
6028000	HI ED CAP OUTLAY BOND FD 2002	7,000	5,000
6029000	CA CLEAN WATER/AIR SAFE NBRHD	0	2,721,000
6031000	WTR SEC,CLN DRNKNG WR, CST BCH	7,733,000	4,033,000
6032000	VOTING MODERNIZATION FUND	0	5,000
6036000	ST SCHOOL FACILITIES FD 2002	0	60,000
6037000	HOUSING/EMER SHELTER TRUST FD	1,500,000	836,000
6041000	HIGH ED CAP OUTLAY BD FD, 2004	0	96,095
6043000	HIGH-SPEED PASSENGER TRAIN	13,000	62,994,000
6044000	SCHOOL FACILITIES FD, 2004 ST	614,000	119,000
6046000	CHILDREN'S HOSPITAL FUND	0	95,000
6047000	STEM CELL RESEARCH AND CURES	29,658,000	21,448,000
6048000	2006 UNIV CAP OUTLAY BD FD	0	359,000
6049000	2006 CA COMM COLLEGE BD FD	0	1,565,000
6051000	SAFE DRINKING WATER OF 2006	18,870,000	30,056,000
6052000	DISASTR & FLOOD BND FD OF 2006	12,571,000	31,924,000
6053000	HWY SFTY, TRAFFIC RED FD 2006	288,000	116,182,000
6057000	2006 STATE SCHOOL FAC FUND	709,000	18,770,000
6066000	HOUSING/EMERGENCY SHELTER FD	49,880,000	21,180,000
6079000	CHILDREN'S HOSPITAL BOND ACT	0	5,426,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20170601 TO 20170630

FUND	FUNDNAME	DECLARATION	REDUCTION
6082000	HOUSING FOR VETERANS FUND	160,000	185,000
6083000	WTR QLTY, SUPY & INFRSTR IMPV	9,939,000	16,847,000
6801000	TRANS FINANCNG SUBACCT,SHA,STF	0	1,125,000
8001000	TEACHERS' HEALTH BENEFITS FD	2,411,000	2,417,000
8004000	CHILD SUPPORT COLLECT RCVRY FD	20,628,000	19,929,000
8014000	CA PHARM SCHOLRSP/LOAN PGM FD	2,000	0
8018000	SALTON SEA RESTORATION FUND	56,000	186,000
8026000	PETRO UNDERGROUND STOR TK FIN	579,000	383,000
8029000	COASTAL TRUST FUND	12,000	928,000
8031000	CHILD SUPPORT PAYMENT TRUST FD	38,364,000	68,437,000
8034000	MEDICALLY UNDERSERVED PHYSICNS	174,000	13,000
8038000	DONATE LIFE CA TRUST, MVA, STF	0	77,000
8041000	TEACHERS' DEFERRED COMP FUND	18,000	60,000
8047000	CALIFORNIA SEA OTTER FUND	228,000	32,000
8048000	ST. VET CEMET. FRT ORD ENDMNT	13,000	0
8049000	VISION CARE PGM,STATE ANNU FD	48,000	1,487,000
8062000	POOLED SELF-INSURANCE FUND	521,000	65,000
8071000	NATIONAL MORTGAGE SP DEP FUND	3,500,000	1,482,000
8075000	SCHOOL SUPPLIES FOR HOMELESS	307,000	8,000
8081000	SECURE CHOICE RETIRE SAVINGS	0	76,000
8109000	VETS HOME MORALE WEL REC SP FD	68,000	1,204,000
9250000	BOXERS' PENSION FUND	9,000	81,000
9330000	CLEAN/RENEW ENERGY LOAN FUND	129,000	12,000
9728000	JUDICIAL BRANCH WORKERS' COMP	19,916,000	751,000
9730000	TECHNOLOGY SRVS REVOLVING FUND	26,628,000	27,571,000
9731000	LEGAL SERVICES REVOLVING FUND	17,743,000	30,689,000
9733000	COURT FACILITIES ARCHITECT REV	10,024,000	4,866,000
9736000	TRANSIT-ORIENTED DVL IMLEM FD	3,000	0
9739000	WATER POLL CONTROL RVLVG FUND	125,000	0
9740000	CENTRAL SERVICE COST RECOVERY	2,000	7,684,000
9741000	ENERGY EFFICIENT STATE PROPRTY	1,149,000	0
TOTAL		12,294,820,000	12,396,736,095



PMIB Meeting  
July 19, 2017

SMIF

**POOLED MONEY INVESTMENT BOARD**  
**915 Capitol Mall, Room 110**  
**Sacramento, CA 95814**

Date: July 19, 2017

To: Pooled Money Investment Board  
John Chiang, Chair  
Betty Yee, Member  
Michael Cohen, Member

From: Jeff Wurm, Administrator  
Pooled Money Investment Board

Subject: Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the California Department of Justice, to allow the following funds to participate in the Surplus Money Investment Fund:

Major League Sporting Event Raffle Fund  
Department of Justice: 0820  
Fund Number: 3297  
Chapter: 23/16

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

\_\_\_\_\_  
John Chiang

\_\_\_\_\_  
Date

\_\_\_\_\_  
Betty Yee

\_\_\_\_\_  
Date

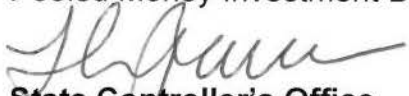
\_\_\_\_\_  
Michael Cohen

\_\_\_\_\_  
Date

## Memorandum

**To:** Jeffrey Wurm  
Administrator  
Pooled Money Investment Board

**Date:** July 11, 2017

  
**From:** **State Controller's Office**  
Hannah Tran, Section Manager  
Bureau of Cash Management  
State Accounting and Reporting Division

**Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST**

The State Controller's Office recommends approval of the attached agency request for participation in the Surplus Money Investment Fund for the following:

<u>Fund Title</u>	<u>Fund Number</u>
Major League Sporting Event Raffle Fund	3297

If you have any questions, please contact Catherine Todd, State Controller's Office, State Accounting and Reporting Division at (916) 322-6516.

HT: ct

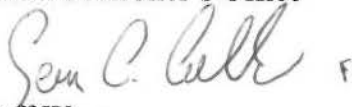
Attachments

cc: Bill Dowell, State Treasurer's Office  
Ella McDowell, State Treasurer's Office  
Gregory Bruss, Department of Finance  
Tranice Hickman

**POOLED MONEY INVESTMENT BOARD**  
**915 Capitol Mall, Room 110**  
**Sacramento, CA 95814**

**DATE:** June 16, 2017

**TO:** Coleen Morrow, Chief  
Bureau of Cash Management  
Division of Accounting and Reporting  
State Controller's Office

**FROM:**  for Jeff Wurm  
PMIB Administrator  
Pooled Money Investment Board

**SUBJECT:** Surplus Money Investment Fund Participation Request

Attached is a request from the California Department of Justice, for participation of the following fund in the Surplus Money Investment Fund:

Major League Sporting Event Raffle Fund  
Department of Justice: 0820  
Fund Number: 3297  
Chapter: 23/16

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office  
Greg Bruss, Department of Finance  
Coleen Morrow, State Controller's Office

State of California

**Memorandum**

**Department of Justice**  
1300 I STREET, Suite 820  
P.O. BOX 944255  
SACRAMENTO, CA 94244-2550

To : POOLED MONEY INVESTMENT BOARD  
Attn: Jeff Wurm  
915 Capitol Mall, Room 110  
Sacramento, CA 95814

Date : June 9, 2017  
TELEPHONE: (916) 210-7096  
FACSIMILE: (916) 323-0708

From :   
Sumi Thomison, Chief  
Accounting Office  
Office of the Attorney General - Sacramento

Subject : Request to Participate in Surplus Money Investment Fund

There has been a new fund established under the administration of Department of Justice. Therefore, pursuant to Government Code Section 16475 and SAM Section 8284.1, we request that the following account be included in the Surplus Money Investment Fund:

MAJOR LEAGUE SPORTING EVENT RAFFLE FUND

Department of Justice: 0820  
Fund Number: 3297  
Chapter: 23/16

Questions regarding this request may be directed to Eri Taniguchi at (916) 210-7034.

**POOLED MONEY INVESTMENT BOARD**  
**915 Capitol Mall, Room 110**  
**Sacramento, CA 95814**

Date: July 19, 2017

To: Pooled Money Investment Board  
John Chiang, Chair  
Betty Yee, Member  
Michael Cohen, Member

From: Jeff Wurm, Administrator  
Pooled Money Investment Board

Subject: Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the California Department of Justice, to allow the following funds to participate in the Surplus Money Investment Fund:

Ammunition Safety and Enforcement Special Fund  
Department of Justice: 0820  
Fund Number: 3303  
Chapter: 55/16

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

\_\_\_\_\_  
John Chiang

\_\_\_\_\_  
Date

\_\_\_\_\_  
Betty Yee

\_\_\_\_\_  
Date

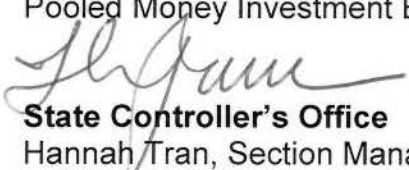
\_\_\_\_\_  
Michael Cohen

\_\_\_\_\_  
Date

# Memorandum

**To:** Jeffrey Wurm  
Administrator  
Pooled Money Investment Board

**Date:** July 11, 2017

  
**From:** **State Controller's Office**  
Hannah Tran, Section Manager  
Bureau of Cash Management  
State Accounting and Reporting Division

**Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST**

The State Controller's Office recommends approval of the attached agency request for participation in the Surplus Money Investment Fund for the following:

<u>Fund Title</u>	<u>Fund Number</u>
Ammunition Safety and Enforcement Special Fund	3303

If you have any questions, please contact Catherine Todd, State Controller's Office, State Accounting and Reporting Division at (916) 322-6516.

HT: ct


## Attachments

cc: Bill Dowell, State Treasurer's Office  
Ella McDowell, State Treasurer's Office  
Gregory Bruss, Department of Finance  
Tranice Hickman

**POOLED MONEY INVESTMENT BOARD**  
**915 Capitol Mall, Room 110**  
**Sacramento, CA 95814**

**DATE:** June 16, 2017

**TO:** Coleen Morrow, Chief  
Bureau of Cash Management  
Division of Accounting and Reporting  
State Controller's Office

**FROM:**  for Jeff Wurm  
PMIB Administrator  
Pooled Money Investment Board

**SUBJECT:** Surplus Money Investment Fund Participation Request

Attached is a request from the California Department of Justice, for participation of the following fund in the Surplus Money Investment Fund:

Ammunition Safety and Enforcement Special Fund  
Department of Justice: 0820  
Fund Number: 3303  
Chapter: 55/16

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office  
Greg Bruss, Department of Finance  
Coleen Morrow, State Controller's Office



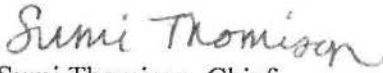
State of California

**Memorandum**

**Department of Justice**  
1300 I STREET, Suite 820  
P.O. BOX 944255  
SACRAMENTO, CA 94244-2550

To : POOLED MONEY INVESTMENT BOARD  
Attn: Jeff Wurm  
915 Capitol Mall, Room 110  
Sacramento, CA 95814

Date : June 9, 2017  
TELEPHONE: (916) 210-7096  
FACSIMILE: (916) 323-0708

From :   
Sumi Thomison, Chief  
Accounting Office  
Office of the Attorney General - Sacramento

Subject : Request to Participate in Surplus Money Investment Fund

There has been a new fund established under the administration of Department of Justice. Therefore, pursuant to Government Code Section 16475 and SAM Section 8284.1, we request that the following account be included in the Surplus Money Investment Fund:

AMMUNITION SAFETY AND ENFORCEMENT SPECIAL FUND

Department of Justice: 0820  
Fund Number: 3303  
Chapter: 55/16

Questions regarding this request may be directed to Eri Taniguchi at (916) 210-7034.

**POOLED MONEY INVESTMENT BOARD**  
**915 Capitol Mall, Room 110**  
**Sacramento, CA 95814**

Date: July 19, 2017

To: Pooled Money Investment Board  
John Chiang, Chair  
Betty Yee, Member  
Michael Cohen, Member

From: Jeff Wurm, Administrator  
Pooled Money Investment Board

Subject: Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the California State Lands Commission, to allow the following funds to participate in the Surplus Money Investment Fund:

South Ellwood Field Plug and Abandonment Program  
Fund No.: 0942336

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

\_\_\_\_\_  
John Chiang

\_\_\_\_\_  
Date

\_\_\_\_\_  
Betty Yee

\_\_\_\_\_  
Date

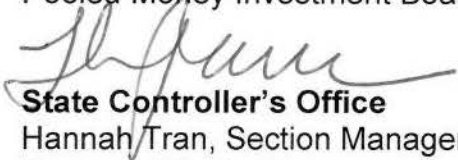
\_\_\_\_\_  
Michael Cohen

\_\_\_\_\_  
Date

## Memorandum

**To:** Jeffrey Wurm  
Administrator  
Pooled Money Investment Board

**Date:** July 11, 2017

  
**From:** **State Controller's Office**  
Hannah Tran, Section Manager  
Bureau of Cash Management  
State Accounting and Reporting Division

**Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST**

The State Controller's Office recommends approval of the attached agency request for participation in the Surplus Money Investment Fund for the following:

<u>Fund Title</u>	<u>Fund Number</u>
South Ellwood Field Plug and Abandonment Program	0942336

If you have any questions, please contact Catherine Todd, State Controller's Office, State Accounting and Reporting Division at (916) 322-6516.

HT: ct


Attachments

cc: Bill Dowell, State Treasurer's Office  
Ella McDowell, State Treasurer's Office  
Gregory Bruss, Department of Finance  
Tranice Hickman

**POOLED MONEY INVESTMENT BOARD**  
**915 Capitol Mall, Room 110**  
**Sacramento, CA 95814**

**DATE:** May 30, 2017

**TO:** Coleen Morrow, Chief  
Bureau of Cash Management  
Division of Accounting and Reporting  
State Controller's Office

**FROM:** Jeff Wurm   
PMIB Administrator  
Pooled Money Investment Board

**SUBJECT:** Surplus Money Investment Fund Participation Request

Attached is a request from the California State Lands Commission, for participation of the following fund in the Surplus Money Investment Fund:

South Ellwood Field Plug and Abandonment Program  
Fund 0942

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office  
Greg Bruss, Department of Finance  
Coleen Morrow, State Controller's Office

State of California

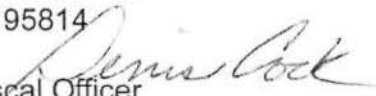
California State Lands Commission  
Administrative Services Division  
100 Howe Avenue, Suite 100-S  
Sacramento, CA 95825  
(916) 574-1900

## MEMORANDUM

To: State Treasurer's Office  
Investments Division  
Attn: Jeffrey Wurm  
915 Capital Mall, Room 106  
Sacramento, CA 95814

Date: May 19, 2017

File: Budget/Fund 0942

From: Denise Cook, Fiscal Officer  
  
Administrative Services Division  
California State Lands Commission

Subject: **Establishment of Surplus Money Investment (SMIF) for Special Deposit  
Fund 0942**

The State Lands Commission requests the following account be included in the Surplus Money Investment Fund pursuant to Government Code Section 16475 and SAM Section 8284.1:

Fund 0942 - South Ellwood Field Plug and Abandonment Program

If you have any questions please contact me at (916) 574-2494 or [denise.cook@slc.ca.gov](mailto:denise.cook@slc.ca.gov).

Thank you.

PMIB Meeting  
July 19, 2017

AB55 LOANS

**POOLED MONEY INVESTMENT BOARD**  
**July 19, 2017**  
**Staff Report – Agenda Item 6**

<b>AB 55 LOAN RECOMMENDATIONS</b>
-----------------------------------

1. **Loan Renewal Requests.** Staff recommends approval of the three loan renewal requests (Items 6.a – 6.c) on the agenda in the amounts shown on column f of Exhibit A to this staff report. Item 6.a – 6.c reflect increases necessary to complete construction. The amounts for each item will also pay accrued interest and administrative costs.

**Impact on the Pool.** The above loan requests are in compliance with the current AB55 Loan Policy and, if approved, will result in a net increase to the outstanding loans balance of \$30,364,000.

**Exhibit A**

POOLED MONEY INVESTMENT BOARD  
 LOAN REQUESTS (AB 55 LOANS)  
 For the July 19, 2017 PMIB Meeting

Agenda Item	New or Renewal	Old Loan No.	New Loan No.	Fund No.	Department/Program	[a]	[b]	[c]	[d]	[e]	[f]	[g]	[h]
						New Loan Amount per Loan Application	Original Amount of Existing Loan	Impact on the Pool	Loan Paydown	STO Loan Recommendations	Interest Paid by		
						Amount of loan paydown (since previous loan)	Current Loan Amount Outstanding (b - d)	Recommended Adjusted Loan Amount	Impact on the Pool (for recommended loan amount)				
<b>LOAN RENEWAL REQUESTS</b>													
a	Renewal	1670004	1780001	0660506	<b>Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds</b> Santa Barbara County Jail Project	\$ 47,830,000.00	\$ 27,750,000.00	\$ 20,080,000.00	N/A	N/A	\$ 47,830,000.00	\$ 20,080,000.00	BF
b	Renewal	1670005	1780002	0660511	<b>Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds</b> Humboldt County Juvenile Project	\$ 9,192,000.00	\$ 8,555,000.00	\$ 637,000.00	N/A	N/A	\$ 9,192,000.00	\$ 637,000.00	BF
c	Renewal	1670002	1780003	0660691	<b>Department of Forestry and Fire Protection SPWB Lease Revenue Bonds</b> South Operations Area HQ: Relocate Facility	\$ 28,124,000.00	\$ 18,477,000.00	\$ 9,647,000.00	N/A	N/A	\$ 28,124,000.00	\$ 9,647,000.00	BF
						<b>\$ 85,146,000.00</b>	<b>\$ 54,782,000.00</b>	<b>\$ 30,364,000.00</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 85,146,000.00</b>	<b>\$ 30,364,000.00</b>	

Total amount of outstanding PMIA loans as of June 30, 2017  
 Impact on the Pool from this meeting's actions  
 Outstanding PMIA loans after this meeting's actions

	Requested	Recommended
	\$ 645,650,000.00	\$ 645,650,000.00
	\$ 30,364,000.00	\$ 30,364,000.00
	\$ 676,014,000.00	\$ 676,014,000.00



LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
 PMIB NO. 178001

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION (Completed by Requesting Department)			
Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$870,074,000.00</b>	Amount Requested: <b>\$47,830,000</b> <i>KIP</i> <del>\$67,830,000.00</del> <i>2</i>
Appropriation(s) to be Funded: <b>AB 900, Ch. 7/07 5225-801-0660, 61.01.854 Jail Facilities, Phase II</b>		Government Code Sections <b>15820.91-15820.917</b>	Date Funds Needed: <b>7/21/2017</b>
Department Contact: <b>Michelle Weaver</b>		Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>
Department Director’s Name (please print): <b>Dean L. Borg</b>		Signature:	Date: <b>6/1/17</b>
Name of SPWB Project: <b>Santa Barbara County Jail Project</b>		Project Contact: <b>Adrienne Howze</b>	Phone: <b>916-255-2237</b>
SCO Fund # (for renewals only): <b>0660-506</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>10/5/2018</b>	

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 

<input type="checkbox"/> To pay vendors directly?	<input checked="" type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*? *If Yes, specify :	<input type="checkbox"/> To make advances to State Architect Revolving Fund*? *If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No   
 \*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<b>2/11/2013</b>	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

**PART II. RECOMMENDATION AND CONDITIONS**  
 (Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ 27,750,000.00 to be used to pay/reduce existing PMIB loan # 1670004

Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division \_\_\_\_\_ Date: \_\_\_\_\_

**PART III. RECOMMENDATION**  
 (Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board \_\_\_\_\_ Date: \_\_\_\_\_

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY PMIB NO. <u>1780001</u>
--

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$870,074,000.00	Proposed Funding Date: 7/21/2017
Loan Amount: <del>\$67,830,000.00</del> <u>\$47,830,000</u> <i>KIP</i>	

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

<input checked="" type="checkbox"/> Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)	<input type="checkbox"/> State Building Construction Act	<input type="checkbox"/> Other, specify: _____
---	--	--

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 2/11/2013, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name <b>Corrections and Rehabilitation</b>	
Signature: Department Director	Date <u>6/1/17</u>

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

P.O.

Signature: State Public Works Board Executive Director or Deputy Director	Date <u>6-15-17</u>
---	------------------------

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation

Project Name: Santa Barbara County AB 900 Phase II Jail Project

Date: 5/18/2017

**Loan Amount <sup>(1)</sup>: \$ 47,830,000**

Estimated Project  
Completion Date: 10/5/2018

Estimated Phase Completion Dates:	<u>N/A</u>	Acquisition (A)	
	<u>8/13/2014</u>	Prelim Plans (PP)	<u>N/A</u>
	<u>10/13/2015</u>	Work Draw (WD)	<u>N/A</u>
	<u>10/5/2018</u>	Construction (C) <sup>(2)</sup>	
	<u>N/A</u>	Equipment (E)	

Performance Criteria  
& Concept Drawings  
(PCCD)  
Design-Build (D-B) <sup>(2)</sup>

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	
Current Loan	9,875,852.00		9,875,852	PP,WD,C
Prior Interest			9,875,852	
Current Interest	57,771.16		9,933,623	
Administrative <sup>(3)</sup>	7,088.00	7,500.00	9,948,211	
1 Aug-17		5,000,000.00	14,948,211	C
2 Sep-17		5,000,000.00	19,948,211	C
3 Oct-17		4,500,000.00	24,448,211	C
4 Nov-17		2,500,000.00	26,948,211	C
5 Dec-17		2,000,000.00	28,948,211	C
6 Jan-18		2,500,000.00	31,448,211	C
7 Feb-18		958,000.00	32,406,211	C
8 Mar-18		423,000.00	32,829,211	C
9 Apr-18		2,000,000.00	34,829,211	C
10 May-18		4,000,000.00	38,829,211	C
11 Jun-18		4,000,000.00	42,829,211	C
12 Jul-18		5,000,000.00	47,830,000	C

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months

State of California – State Treasurer’s Office

STO 1025 (Rev. 4/2015)

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

LOAN REQUEST

New Loan  Renewal

FOR STO USE ONLY

PMIB NO. 179002

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

#9,192,000 SC  
 \$11,017,000.00  
 XVR

Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$300,000,000.00</b>	Amount Requested: <b>\$11,017,000.00</b>
Appropriation(s) to be Funded: <b>SB 81, Ch. 175/07 (as amended), 5225-801-0660, 61.01.132 Local Youthful Offender Rehabilitative Facilities</b>		<b>Welfare and Institutions code, Ch. 1.5 Article 3, Sections 1970-1978</b>	Date Funds Needed: <b>7/21/2017</b>
Department Contact: <b>Michelle Weaver</b>	Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>	
Department Director’s Name (please print): <b>Dean L. Borg</b>	Signature: _____	Date: <b>6/1/17</b>	
Name of SPWB Project: <b>Humboldt County Juvenile Project</b>	Project Contact: <b>Adrienne Howze</b>	Phone: <b>916-255-2237</b>	
SCO Fund # (for renewals only): <b>0660-511</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>8/16/2018</b>	

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 

<input type="checkbox"/> To pay vendors directly?	<input checked="" type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*? *If Yes, specify :	<input type="checkbox"/> To make advances to State Architect Revolving Fund*? *If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes  No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes  No   
\*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<b>7/8/2016</b>	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer’s Office)

- This application is recommended for approval
- This application is recommended for approval subject to the following conditions:  
 First \$ 8,555,000.00 to be used to pay/reduce existing PMIB loan # 167005  
 Reduce amount of loan to \$ \_\_\_\_\_
- Conditions (if applicable):
- This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division

Date:

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for:  approval  rejection  modification

Approved loan amount \$ \_\_\_\_\_ Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board

Date:

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED**  
**(See attached Instructions for detailed information)**



PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
 PMIB NO.  
178002

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: <b>\$300,000,000.00</b>	Proposed Funding Date: <b>7/21/2017</b>
Loan Amount: <b>\$11,017,000.00</b>	<b>\$9,192,000</b> <i>KR</i>

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

<input checked="" type="checkbox"/> Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)	<input type="checkbox"/> State Building Construction Act	<input type="checkbox"/> Other, specify: _____
---	--	--

**SPWB AUTHORIZATION(S)**

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 7/8/2016, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department’s support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name <b>Corrections and Rehabilitation</b>	
Signature: Department Director	Date <u>6/1/17</u>

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Director	Date <u>6-15-17</u>
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POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

For STO Use Only  
 PMIB No. 1780062

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation  
 Project Name: Humboldt County Juvenile Project  
 Date: 5/16/2017

Loan Amount <sup>(1)</sup>: \$ 9,192,000

Estimated Project  
 Completion Date: 8/16/2018

Estimated Phase Completion Dates:	<u>N/A</u>	Acquisition (A)		Performance Criteria & Concept Drawings (PCCD)
	<u>12/12/2014</u>	Prelim Plans (PP)	<u>N/A</u>	
	<u>6/14/2016</u>	Work Draw (WD)	<u>N/A</u>	Design-Build (D-B) <sup>(2)</sup>
	<u>8/16/2018</u>	Construction (C) <sup>(2)</sup>		
	<u>N/A</u>	Equipment (E)		

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	-
Current Loan			-	-
Prior Interest				
Current Interest	11,729.02		11,729	
Administrative <sup>(3)</sup>	7,088.00	7,500.00	26,317	
1 Aug-17		132,000.00	158,317	C
2 Sep-17		661,000.00	819,317	C
3 Oct-17		659,000.00	1,478,317	C
4 Nov-17		538,000.00	2,016,317	C
5 Dec-17		1,000,000.00	3,016,317	C
6 Jan-18		900,000.00	3,916,317	C
7 Feb-18		1,000,000.00	4,916,317	C
8 Mar-18		1,000,000.00	5,916,317	C
9 Apr-18		1,000,000.00	6,916,317	C
10 May-18		900,000.00	7,816,317	C
11 Jun-18		1,000,000.00	8,816,317	C
12 Jul-18		375,000.00	9,192,000	C

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months





PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
PMIB NO.  
178 0003

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$48,274,000.00	Proposed Funding Date: 7/21/2017
Loan Amount: <del>\$28,120,000.00</del> 28,124,000 (AD)	

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

<input type="checkbox"/> Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)	<input checked="" type="checkbox"/> State Building Construction Act	<input type="checkbox"/> Other, specify: _____
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SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 8/18/2006, 7/13/2007, 12/12/2008

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~  No litigation exists relating to this project -OR-  Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name <b>Forestry and Fire Protection</b>	
Signature: Department Director _____	Date 5/27/17

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director _____	Date 6/5/17
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POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board _____		Date



## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Forestry and Fire Protection  
 Project Name: South Operations Area HQ: Relocate Facility  
 Date: 5/12/2017

Loan Amount <sup>(1)</sup>: \$ 28,124,000

Estimated Project  
 Completion Date: 9/10/2018

Estimated Phase Completion Dates:		Acquisition (A)		Performance Criteria & Concept Drawings (PCCD)
<u>11/8/2013</u>	<u>11/9/2002</u>	<u>11/9/2002</u>	<u>N/A</u>	<u>(PCCD)</u>
<u>2/23/2016</u>	<u>9/10/2018</u>	<u>2/23/2016</u>	<u>N/A</u>	<u>Design-Build (D-B) <sup>(2)</sup></u>
<u>9/10/2018</u>	<u>N/A</u>	<u>9/10/2018</u>	<u>N/A</u>	<u>Construction (C) <sup>(2)</sup></u>
<u>N/A</u>	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>	<u>Equipment (E)</u>

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	1,355,648.62		1,355,649	W
Current Loan	3,604,131.48		4,959,780	W, C
<del>Prior Interest</del>	<del>108,517.89</del>		<del>5,068,298</del>	
<del>Current Interest</del>	<del>32,542.62</del>		<del>5,100,841</del>	
<del>Administrative <sup>(3)</sup></del>	<del>53,880.00</del>	<del>7,500.00</del>	<del>5,162,221</del>	
1 May-17		1,468,198.00	6,630,419	C
2 Jun-17		1,614,260.00	8,244,679	C
3 Jul-17		1,686,952.00	9,931,631	C
4 Aug-17		1,736,274.00	11,667,905	C
5 Sep-17		2,271,384.00	13,939,289	C
6 Oct-17		2,290,202.00	16,229,491	C
7 Nov-17		2,304,808.00	18,534,299	C
8 Dec-17		2,265,202.00	20,799,501	C
9 Jan-18		2,221,384.00	23,020,885	C
10 Feb-18		2,151,274.00	25,172,159	C
11 Mar-18		1,536,952.00	26,709,111	C
12 Apr-18		1,414,260.00	28,124,000	C

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months