

P. M. I. B.

Wednesday, November 14, 2018

10:00 a.m.

PMIB Meeting
November 14, 2018

DESIGNATION

POOLED MONEY INVESTMENT ACCOUNT

PORTFOLIO SUMMARY REPORT

November 14, 2018

(Dollars in Billions)

	10/31/18	09/30/18	Change From Prior Month	10/31/17
Total Portfolio				
Amount	\$84.679	\$88.310	(\$3.631)	\$72.362
Effective Yield	2.185%	2.086%	0.099%	1.160%
Quarter-to-date Yield	2.144%	2.001%	0.143%	1.143%
Year-to-date Yield	2.036%	2.001%	0.035%	1.096%
Average Life (in days)	201	193	8	184
AB 55 Loans (Government Code §16312 and §16313)				
Approved	\$0.724	\$0.791	(\$0.067)	\$0.529
Disbursed	\$0.348	\$0.423	(\$0.075)	\$0.247
Local Agency Investment Fund				
Deposits	\$20.205	\$20.194	\$0.011	\$20.431
Number of Participants	2,397	2,401	(4)	2,438

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

In accordance with sections 16480 through 16480.8 of the Government Code, the Pooled Money Investment Board, at its meeting on November 14, 2018, has determined and designated the amount of money available for deposit and investment as of November 7, 2018, under said sections. In accordance with sections 16480.1 and 16480.2 of the Government Code, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

1. In accordance with Treasurer's Office policy, for deposit in demand bank accounts as
Compensating Balance for Services: 237,200,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

2. In accordance with law, for investment in securities authorized by section 16430, Government Code, or in term interest-bearing deposits in banks and savings and loan associations as follows:

	From	To	Transactions	In Securities (section 16430)*	Time Deposits in Various Financial Institutions (sections 16503a and 16602)*	Estimated Total
(1)	11/12/2108	11/16/2108	\$ 333,300,000	\$ (8,285,600,000)	\$ 4,932,200,000	\$ (3,353,400,000)
(2)	11/19/2108	11/23/2108	\$ 474,600,000	\$ (7,811,000,000)	\$ 4,932,200,000	\$ (2,878,800,000)
(3)	11/26/2108	11/30/2108	\$ (1,403,500,000)	\$ (9,214,500,000)	\$ 4,932,200,000	\$ (4,282,300,000)
(4)	12/3/2108	12/7/2108	\$ (1,074,500,000)	\$ (10,289,000,000)	\$ 4,932,200,000	\$ (5,356,800,000)
(5)	12/10/2108	12/14/2108	\$ 276,800,000	\$ (10,012,200,000)	\$ 4,932,200,000	\$ (5,080,000,000)

From any of the amounts specifically designated above, not more than 30 percent in the aggregate may be invested in prime commercial paper under section 16430(e), Government Code.

Additional amounts available in treasury trust account and in the Treasury from time to time, in excess of the amounts and for the same types of investments as specifically designated above.

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance of 237,200,000.

POOLED MONEY INVESTMENT BOARD:

Chairperson

Member

Dated: November 14, 2018

* Government Code

Member

PMIB Meeting
November 14, 2018

SMD

POOLED MONEY INVESTMENT BOARD
 (Surplus Money Investment Fund)
 Period October 1 through October 31, 2018

DECLARATION OF SURPLUS MONEY

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds and now on deposit in the State Treasury to the credit of each of such funds, in addition to any money in said funds heretofore designated as surplus money, is not necessary for immediate use for carrying out the purposes for which each of such special funds was created, and is hereby designated as surplus money for transfer to the Surplus Money Investment Fund:

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
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TOTAL \$	14,670,292,000.00*

REDUCTION OF SURPLUS MONEY

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds, which constitutes a portion of the money heretofore designated as surplus money and which has heretofore been transferred to and now remains in the Surplus Money Investment Fund from each of such designated funds, is needed by each of such special funds to carry out the purposes for which it was created, and that the amount of money heretofore designated as surplus money with respect to each such special fund is hereby reduced by the amount of money needed by such fund, as hereinafter specified, for transfer back to each such fund.

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
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TOTAL \$	14,416,117,000.00*

POOLED MONEY INVESTMENT BOARD

 Member

 Chairman

 Member

Dated: November 14, 2018

*Note: Amounts are typically transferred in \$1,000.00 increments. However, transfers or reductions may sometimes occur in other increments resulting in necessary adjustments which can occur within the same month, or the succeeding month. Consequently, the total transfer and reduction amounts will not necessarily reflect even \$1,000.00 increments.

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
0005000	SAFENEIGH PKS, CLNWTR,CLNAIR/	5,422,000	2,747,000
0006000	DISABILITY ACCESS ACCOUNT	9,914,000	103,000
0007000	BREAST CANCER RESEARCH ACCOUNT	97,000	218,000
0009000	BREAST CANCER CONTROL ACCOUNT	39,000	316,000
0012000	ATTY GEN ANTITRUST ACCOUNT	1,000	275,000
0014000	HAZARDOUS WASTE CONTROL ACCT	9,501,000	15,974,000
0017000	FINGERPRINT FEES ACCOUNT	10,453,000	15,721,000
0018000	SITE REMEDIATION ACCOUNT	127,000	693,000
0023000	FARMWORKER REMEDIAL ACCOUNT	100,000	0
0024000	GUIDE DOGS FOR BLIND FUND	0	1,000
0028000	UNIFIED PROGRAM ACCOUNT	13,000	1,218,000
0032000	FIREARM SAFETY ACCOUNT	74,000	55,000
0033000	STATE ENERGY CONSER ASST ACCT	115,000	1,723,000
0035000	SURFACE MINING & RECLAMATION	21,000	33,000
0041000	AERONAUTICS ACCOUNT	617,000	647,000
0042000	STATE HIGHWAY ACCOUNT	508,716,000	615,745,000
0044000	MOTOR VEHICLE ACCOUNT	366,729,000	436,075,000
0046000	PUBLIC TRANSPORTATION ACCT	223,969,000	30,595,000
0048000	TRANSPORTATION REV ACCOUNT	931,829,000	859,952,000
0052000	LOCAL AIRPORT LOAN ACCOUNT	148,000	0
0061000	MOTOR VEHICLE FUEL ACCOUNT	646,255,000	601,586,000
0064000	MOTOR VEHICLE LIC FEE ACCT	58,219,000	52,276,000
0065000	ILLEGAL DRUG LAB CLEANUP ACCT	5,000	237,000
0066000	SALE OF TOBACCO TO MINORS CTRL	327,000	2,000
0067000	STATE CORPORATIONS FUND	1,966,000	10,162,000
0069000	BARBERING/COSMETOLOGY CONT FD	2,699,000	1,191,000
0074000	MEDICAL WASTE MANAGEMENT FUND	49,000	100,000
0075000	RADIATION CONTROL FUND	564,000	2,457,000
0076000	TISSUE BANK LICENSE FUND	18,000	0
0078000	GRAPHIC DESIGN LICENSE PLATE F	65,000	922,000
0080000	CHILDHOOD LEAD POISONS PVTN FD	599,000	1,234,000
0082000	EXPORT DOCUMENT PROGRAM FUND	102,000	0
0083000	VETERANS SERVICE OFFICE FUND	97,000	0
0093000	CONSTRUCTN MANGEMNT EDUC ACCT	22,000	0
0094000	RETAIL SALES TAX	9,235,000	7,214,000
0098000	CLINICAL LAB IMPROVEMENT FUND	417,000	1,224,000
0099000	HEALTH STATISTICS SPEC FUND	1,219,000	2,000,000
0100000	CALIF USED OIL RECYCLING FUND	20,000	740,000
0106000	PESTICIDE REGULATION FUND	2,969,000	3,588,000
0108000	ACUPUNCTURE FUND	343,000	230,000
0111000	DEPT OF FOOD & AGRICULT. ACCT	4,033,000	1,407,000
0115000	AIR POLLUTION CONTROL FUND	12,623,000	19,145,000
0121000	HOSPITAL BUILDING FUND	624,000	8,924,000
0122000	EMERGENCY FOOD FOR FAMILIES FD	2,000	16,000
0129000	WATER DEVICE CERT SPEC ACC	6,000	0
0132000	WORKERS COMP MNGDCARE FUND	3,000	0
0133000	CALIF BEVERAGE CONTAINER RECYL	76,075,000	63,505,000
0140000	ENVIRONMENTL LICENSE PLATE FD	4,239,000	5,393,000

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MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
0141000	SOIL CONSERVATION FUND	3,007,000	58,000
0142000	SEXUAL HABITUAL OFFENDER, DOJ	307,000	447,000
0143000	CA HEALTH DATA & PLANNING FD	310,000	607,000
0152000	ST BD OF CHIROPRACTIC EXAMINERS	584,000	359,000
0158000	TRAVEL SELLER	53,000	160,000
0159000	ST TRIAL COURT IMPROV & MODERN	883,000	2,399,000
0163000	CONTINUING CARE PROVIDER FEE	15,000	250,000
0166000	CERTIFICATION ACCT-CONS AFF FD	5,000	114,000
0168000	STRUCTURAL PEST CONTROL	22,000	0
0169000	CALIF DEBT LIMIT ALLOC COMM	104,000	123,000
0171000	CALIF DEBT & INVEST ADV COMM	159,000	277,000
0172000	DEV DISABILITIES PROGRAM DEV	15,000	0
0175000	DISPENSING OPTICIANS FUND	129,000	28,000
0177000	FOOD SAFETY FUND	402,000	1,369,000
0179000	ENVIRONMENTAL LAB IMPROV FUND	5,000	0
0181000	REGISTERED NURSE EDUCATION	20,000	58,000
0183000	ENVIRON ENHANC MIT DEMO PGM FD	218,000	1,001,000
0184000	EMPLOY DEV DEPT BENEFIT AUDIT	118,000	2,100,000
0185000	EMPLOYMT DEV DEPT CONTINGENT	21,083,000	39,156,000
0191000	FAIR AND EXPOSITION FUND	17,000	593,000
0193000	WASTE DISCHARGE PERMIT FUND	287,000	432,000
0194000	EMERG MED SRVS TRNG PGM APROV	18,000	19,000
0198000	CA FIRE & ARSON TRAINING FD	4,000	0
0203000	GENETIC DISEASE TESTING FUND	3,217,000	4,210,000
0207000	FISH & WILDLIFE POLLUTION ACCT	4,000	0
0209000	CA HAZRD LIQUID PIPELINE SAFTY	61,000	0
0210000	OUTPATIENT SETTING FUND	2,000	0
0211000	CA WTRFOWL HAB PRESERVATION	10,000	0
0213000	NATIVE SPECIES CONSV & ENHAN	5,000	0
0214000	RESTITUTION FUND	1,257,000	9,997,000
0215000	INDUSTRIAL DEVELOPMENT FUND	0	4,000
0217000	INSURANCE FUND	14,386,000	15,486,000
0223000	WORKERS' COMP ADMIN REVOLV FD	12,903,000	32,257,000
0226000	CA TIRE RECYCLING MGMT FUND	13,046,000	1,357,000
0228000	SEC OF STATE'S BUSINESS FEE FD	7,872,000	8,648,000
0230000	CIGARETTE & TOBACCO - ALLOCATE	22,457,000	21,362,000
0231000	CIGARET.& TOB./HEALTH EDUCAT.	4,519,000	802,000
0232000	CIGARET.& TOB./HOSPITAL SERV.	7,604,000	24,445,000
0233000	CIGARET.& TOB./PHYSICIAN SERV.	2,191,000	7,499,000
0234000	CIGARET.& TOB./RESEARCH ACCT.	1,128,000	152,000
0235000	CIGARET.& TOB./PUBLIC RESOURCE	1,073,000	505,000
0236000	CIGARET. &TOB./UNALLOCATED	5,414,000	19,171,000
0238000	VETS CEMETERY PERPETUAL MAINT	10,000	1,000
0239000	PRIVATE SECURITY SERVS FUND	1,414,000	1,182,000
0240000	LOCAL AGY DEPOSIT SECURITY FD	56,000	13,000
0241000	LOC PUB PROSECUTR/DEFNDER TNG	4,000	0
0245000	MOBILEHOME PARK & SPEC.OCC.RF	20,000	1,275,000
0247000	DRINKING WATER OPERATOR CERT	19,000	0

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CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
0256000	SEXUAL PREDATOR PUBLIC INFO	10,000	3,000
0259000	SUPPLEMENTAL CONTRIB PROG FUND	18,000	18,000
0261000	OFF HIGHWAY LICENSE FEE	69,000	0
0263000	OFF-HIGHWAY VEHICLE TRUST	6,356,000	3,093,000
0264000	OSTEOPATHIC MED BD CONTINGENT	290,000	176,000
0266000	INLAND WETLANDS RESTORATION FD	15,000	0
0268000	PEACE OFFICERS TRAINING	40,000	440,000
0269000	GLASS PROCESSING FEE ACCOUNT	16,000	4,833,000
0270000	TECHNICAL ASSISTANCE FUND	2,297,000	8,521,000
0271000	CERTIFICATION FUND	179,000	400,000
0272000	INFANT BOTULISM TRTMT & PREV	193,000	348,000
0275000	HAZ & IDLE-DESERTED ABATMT FD	11,000	0
0276000	PENALTY ACCT-CA.BEVERAGE RECYC	57,000	0
0277000	BIMETAL PROCESSING FEE ACCT	199,000	8,000
0278000	PET PROCESSING FEE ACCT	246,000	5,021,000
0279000	CHILD HEALTH & SAFETY FUND	337,000	1,259,000
0280000	PHYSICIAN ASSISTANT FD	186,000	153,000
0281000	RECYCLING MARKET DEV REV LOAN	267,000	50,000
0288000	INTERNTL STUDENT EXCHANGE VST	1,000	0
0289000	HLTH INS COUNSELING/ADVOCACY	17,000	100,000
0290000	PILOT COMMISSIONERS' SPEC FD	674,000	187,000
0293000	MOTOR CARRIER'S SAFETY IMPROV	152,000	0
0294000	REMOVAL/REMEDIAL ACTION ACCT	584,000	142,000
0295000	PODIATRIC MEDICINE FUND	99,000	106,000
0298000	FINANCIAL INSTITUTIONS FUND	245,000	3,000
0299000	CREDIT UNION FUND	12,000	1,000
0300000	PROFESSIONAL FORESTER REGIS	1,000	0
0305000	PRIVATE POSTSECONDARY ED	1,847,000	1,440,000
0306000	SAFE DRINKING WATER ACCOUNT	40,000	0
0310000	PSYCHOLOGY FUND	409,000	441,000
0312000	EMERG MED SVCS PERSONNEL FUND	117,000	427,000
0314000	DIESEL EMISSION REDUCTION FUND	20,000	0
0317000	REAL ESTATE FUND	8,161,000	2,901,000
0318000	COLLINS-DUGAN CCC REIMBR ACCT	7,467,000	29,000
0319000	RESPIRATORY CARE FUND	350,000	297,000
0320000	OIL SPILL PREVENTION & ADMIN	4,470,000	8,299,000
0321000	OIL SPILL RESPONSE TRUST FUND	60,000	0
0322000	ENVIRONMENTAL ENHANCEMENT FUND	6,000	0
0325000	ELECTRONIC & APPL REPAIR FUND	307,000	410,000
0326000	ATHLETIC COMMISSION FUND	227,000	165,000
0328000	PUB SCHL PLN, DESIGN,CONST REV	89,570,000	822,000
0329000	VEHICLE LICENSE COLLECTN ACCT	4,839,000	8,658,000
0331000	SALES TAX ACCOUNT	154,327,000	266,497,000
0332000	VEHICLE LICENSE FEE ACCOUNT	176,264,000	170,567,000
0335000	REGISTERED ENV HLTH SPECLST FD	1,000	0
0336000	MINE RECLAMATION ACCOUNT	13,000	21,000
0338000	STRONG-MOTION INSTR/SEIMC MAPG	79,000	207,000
0347000	SCHOOL LAND BANK FUND	329,000	108,000

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MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
0351000	MENTAL HEALTH SUBACCOUNT-	101,879,000	102,062,000
0365000	HISTORIC PROPERTY MAINT FUND	69,000	0
0366000	INDIAN GAMING REV SHARING TRST	15,117,000	19,525,000
0367000	INDIAN GAMING SPEC DISTRIB FD	5,586,000	5,451,000
0376000	SPEECH-LANGUAGE PATH/AUDIOLOGY	235,000	158,000
0378000	ATTORNEY GEN FALSE CLAIMS ACT	18,000	3,219,000
0381000	PUB INTEREST R D & D	74,000	39,000
0382000	RENEWABLE RESOURCE TRUST FUND	515,000	1,606,000
0386000	SOLID WASTE DISP CLEANUP TRUST	85,000	66,000
0387000	INTEGRATED WASTE MGMT ACCOUNT	3,526,000	1,415,000
0392000	ST PARKS AND RECREATION ACCT	20,817,000	44,864,000
0396000	SELF-INSURANCE PLANS FUND	87,000	0
0399000	STRUCTL PEST CONTRL EDU&ENFORC	66,000	13,000
0400000	REAL ESTATE APPRAISERS REG FD	382,000	556,000
0402000	SAFE CLEAN WATER SUPPLY	87,000	5,359,000
0407000	TEACHER CREDENTIALS	2,360,000	2,467,000
0408000	TEST DEVELOPMENT & ADMIN ACCT	826,000	1,542,000
0410000	TRANSCRIPT REIMBURSEMENT FUND	0	3,000
0412000	TRANSPORTATION RATE	446,000	0
0419000	WATER RECYCLING SUBACCOUNT	192,000	35,000
0421000	VEHICLE INSPECTION & REPAIR FD	8,170,000	9,736,000
0424000	SEA WATER INTRUSION CONTROL	112,000	0
0429000	LOCAL JURISDICTION ENGY.ASSIST	2,000	0
0434000	AIR TOXICS INVENTORY & ASSESMT	2,000	275,000
0439000	UNDERGRD STOR TANK CLEANUP FD	22,474,000	51,450,000
0447000	WILDLIFE RESTORATION FUND	21,000	829,000
0448000	OCCUPANCY COMPLIANCE MONITOR	207,000	26,000
0449000	WINTER RECREATION FUND	34,000	77,000
0452000	ELEVATOR SAFETY ACCOUNT	4,568,000	0
0453000	PRESSURE VESSEL ACCOUNT	1,164,000	0
0457000	TAX CREDIT ALLOC FEE ACCOUNT	1,697,000	615,000
0458000	SITE OPERATN & MAINTENANCE ACC	251,000	245,000
0459000	TELEPHONE MED ADV SERV. FD	5,000	0
0460000	DEALERS RECORD OF SALES SP ACT	1,503,000	5,625,000
0461000	PUC TRANSPORTATION REIMB ACCT	3,687,000	11,000
0462000	PUC UTILITIES REIMBMENT ACCT	31,692,000	17,882,000
0464000	HIGH COST FUND A ADM COMM FD	5,193,000	6,193,000
0465000	ENERGY RESOURCES PROGRAMS A/C	11,000	10,968,000
0470000	HIGH-COST FUND-B ADM COMM FD	4,000	1,227,000
0471000	UNIV LIFELINE TELEP SVC TRST	71,401,000	24,676,000
0475000	UNDERGROUND STORAGE TANK FD	1,000	0
0478000	VECTORBORNE DISEASE ACCOUNT	1,000	0
0479000	ENRGY TECH RESCH DEV&DEMO ACCT	14,000	0
0481000	GARMNT MANUFACTURERS SPECL A/C	64,000	0
0483000	DEAF & DESABLED TELECOMM PGM	7,383,000	6,173,000
0492000	STATE ATHLETIC COMM NEURO EXAM	5,000	37,000
0493000	TELECONNECT FD - ADM COMM FD	15,906,000	2,707,000
0496000	DEVELOP.DISAB.SERVICE ACCT.	1,000	0

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
0497000	LOCAL GOV'T GEOTHER.RES.REVOL.	158,000	67,000
0501000	CALIFORNIA HOUSING FINANCE	63,074,000	174,809,000
0502000	CALIF WATER RESOURCES DEV BOND	101,133,000	92,326,000
0505000	AFFORDABLE STUDENT HOUS REVLV	382,000	0
0506000	CENTRAL VALLEY WATER PROJ CONS	201,609,000	179,629,000
0507000	CENTRAL VALLEY WATER PROJ REV	72,622,000	31,243,000
0512000	ST COMPENSATION INSURANCE FD	156,944,000	183,557,000
0514000	EMPLOYMENT TRAINING FUND	1,473,000	6,565,000
0516000	HARBORS & WATERCRAFT REVOL FD	8,895,000	4,886,000
0518000	HLTH FAC CONST LOAN INSURANCE	768,000	2,000
0524000	L.A. ST. BLDG. AUTHORITY FUND	6,000	3,169,000
0526000	CA SCHOOL FINANCE AUTHORITY FD	112,000	54,000
0528000	ALTERNATIVE ENERGY AUTH FD	960,000	514,000
0530000	MOBILEHM PK REHAB & PURCHASE F	233,000	90,000
0538000	SAN FRANCISCO STATE BUILDING	44,000	0
0539000	OAKLAND STATE BLDG AUTHORITY	22,000	0
0541000	SAN BERNARDINO ST BLDG AUTH	8,000	3,441,000
0557000	TOXIC SUBSTANCES CONTROL ACCT	2,562,000	5,241,000
0558000	FARM & RANCH SOLID WASTE CLEAN	11,850,000	11,842,000
0561000	RIVERSIDE CO PUB FIN AUTH FUND	5,000	868,000
0562000	STATE LOTTERY FUND	519,094,000	73,644,000
0564000	SCHOLARSHARE ADM FUND	18,000	204,000
0566000	DOJ CHILD ABUSE FUND	43,000	106,000
0567000	GAMBLING CONTROL FUND	1,327,000	3,840,000
0569000	GAMBLING CONTROL FINES & PENLT	49,000	59,000
0574000	HIGHER EDUC CAP OUTLAY BD FD	13,000	3,000
0576000	ST UNIV DORMITORY CONSTRUCTN	23,845,000	241,700,000
0578000	ST UNIV DORM INTEREST/REDEMPT	254,329,000	0
0582000	HIGH POLLUTER REPAIR/REMOVAL	2,441,000	2,076,000
0585000	COUNTIES CHILDREN & FAMILIES	27,509,000	27,352,000
0587000	FAMILY LAW TRUST FUND	313,000	2,000
0588000	UNEMPLOYMENT COMP DISABL FUND	262,662,000	405,777,000
0590000	VETERANS DEBENTURE REVENUE	44,000	44,000
0592000	VETS FARM/HOME BUILDING-1943	312,117,000	224,734,000
0600000	VENDING STAND FUND	488,000	181,000
0601000	AGRICULTURE BUILDING FUND	41,000	0
0604000	ARMORY FUND	59,000	0
0610000	ORIENTATION CNTR BLIND TRUST	2,000	0
0612000	SACTO CITY FINANCING AUTH FD	1,283,000	0
0617000	STATE WTR. POLLUTION CONTROL	36,422,000	170,558,000
0623000	CA CHILDREN/FAMILIES TRUST FD	36,495,000	34,190,000
0629000	SAFE DRINKING WTR ST REVLV FD	1,779,000	19,551,000
0631000	MASS MEDIA COMM ACCT, CHILD/FM	2,084,000	2,205,000
0634000	EDUCATION ACCT, CHILD/FAM FRST	2,024,000	776,000
0636000	CHILD CARE ACCT, CHILD/FAM FRS	1,703,000	298,000
0637000	RESEARCH DEVEL ACCT	1,258,000	241,000
0638000	ADMINISTRATION ACCT	372,000	58,000
0639000	UNALLOCATED ACCOUNT	724,000	1,790,000

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
0641000	DOMESTIC VIOLENCE RESTRAINING	63,000	0
0648000	MOBILEHOME/MFG HOME REV FUND	5,681,000	8,281,000
0649000	CA INFRASTRRT & ECON DEVL BANK	150,000	427,000
0653000	SEISMIC RETROFIT BOND 1996	14,000	9,000
0658000	UC MERCED	4,000	0
0660000	PUBLIC BUILDINGS CONSTRUCTN	207,129,000	176,151,000
0665000	REHAB REVOLV LOAN GUARANTEE	7,000	0
0668000	PUBLIC BLDG CONSTRUCT SUBACCT	60,201,000	38,546,000
0671000	RURAL HEALTH SERVICES ACCOUNT	3,000	0
0672000	CHILD HLTH/DISAB PREV TRMT ACC	5,000	0
0673000	PASSENGER EQUIPMT ACQUISITION	33,000	0
0678000	PRISON INDUSTRIES REVOLV FD	26,960,000	24,573,000
0679000	STATE WATER QUALITY CONTROL	520,000	94,000
0687000	DONATED FOOD REVOLVING FUND	350,000	150,000
0690000	EMPLOYMENT DVLP DEPT BLDG FUND	7,000	0
0691000	WATER RESOURCES REVOLVING	68,325,000	66,845,000
0698000	HOME PURCHASE ASSISTANCE FUND	0	10,409,000
0701000	VETERANS' HOME FUND	30,000	4,000
0702000	P&V- CONSUMER AFFAIRS FUND	3,660,000	13,700,000
0703000	CLEAN AIR & TRANSP IMPROV FUND	68,000	2,000
0704000	P&V- ACCOUNTANCY FUND	982,000	1,305,000
0706000	ARCHITECTS BOARD FUND, CA	177,000	335,000
0707000	CALIF SAFE DRINKING WATER FUND	3,000	0
0717000	CEMETERY AND FUNERAL FUND	287,000	381,000
0735000	P&V- CONTRACTORS' LICENSE FD	8,302,000	5,020,000
0740000	CLEAN WATER BOND-FUND 1984 STA	282,000	0
0741000	P&V- DENTISTRY FUND	1,838,000	1,040,000
0744000	1986 WTR CONS WTR QLTY BD	16,000	0
0748000	FISH/WILDLFE HABITAT ENHANCMT	0	43,000
0749000	REFUNDING ESCROW FUND	976,607,000	801,507,000
0751000	1990 PRISON CONSTRUCTION FUND	3,000	0
0752000	HOME FURN & THERMAL INSULATION	526,000	571,000
0755000	LICENSED MIDWIFERY FUND	9,000	0
0757000	LANDSCAPE ARCHITECTS FUND	90,000	85,000
0758000	MEDICAL BD OF CALIF	4,842,000	3,115,000
0759000	P&V- PHYSICAL THERAPY FUND	508,000	490,000
0761000	P&V- REGISTERED NURSING FUND	5,641,000	2,966,000
0763000	P&V- OPTOMETRY FUND	103,000	159,000
0767000	P&V- PHARMACY BD CONTINGENT	2,985,000	2,009,000
0768000	EARTHQK PUB BLDG REHAB FUND 90	3,000	0
0769000	PRIVATE INVESTIGATOR FUND	66,000	61,000
0770000	PROF ENGINEER LAND SURV GEO FD	705,000	709,000
0771000	COURT REPORTERS FUND	121,000	97,000
0773000	P&V- BEHAVIORAL SCI EXAMINERS	1,701,000	944,000
0775000	P&V- STRUCTURAL PEST CONTROL	480,000	430,000
0777000	VETERINARY MEDICAL BD CONTG FD	439,000	493,000
0779000	VOCATNL NURSING/PSYCH TECH FD	1,205,000	1,050,000
0786000	WILDLIFE,COAST&PARK CONS FD 88	13,000	0

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
0790000	WATER CONSERVATION FUND, 1988	17,000	0
0793000	CA SAFE DRINKING WATER FD 1988	21,000	0
0803000	STATE CHILDREN'S TRUST FUND	15,000	74,000
0813000	SELF-HELP HOUSING FUND	23,000	8,000
0814000	CA STATE LOTTERY EDUCATION	39,000	13,000
0815000	JUDGES RETIREMENT FUND	17,245,000	18,100,000
0820000	LEGISLATORS RETIREMENT FUND	603,000	609,000
0821000	FLEXELECT BENEFIT FUND	1,953,000	1,581,000
0822000	PUBLIC EMPLOYEES HEALTH CARE	232,715,000	282,335,000
0827000	MILK PRODUCERS SECURITY TRUST	247,000	0
0829000	HEALTH PROFESSIONS EDUC FUND	13,000	348,000
0830000	PUBLIC EMPLOYEES' RETIREMENT	2,399,022,000	2,428,550,000
0833000	ANNUITANTS' HEALTH CARE COV FD	80,001,000	83,401,000
0834000	MEDI-CAL INPATIENT PMT ADJ FD	29,434,000	0
0835000	TEACHERS RETIREMENT	665,471,000	707,660,000
0840000	CA MOTORCYCLIST SAFETY FD	186,000	0
0849000	REPLACEMENT BENEFIT CUST. FD	131,000	2,136,000
0853000	PETROLEUM VIOLATION ESCROW ACC	30,000	0
0865000	MENTAL HEALTH MANAGED CARE DP	6,794,000	7,213,000
0867000	CA FARMLAND CONSERVANCY PGM FD	2,000	0
0872000	STATE HOSPITAL ACCOUNT,	12,800,000	13,030,000
0884000	JUDGE RETIREMENT SYSTEM II FD	10,738,000	11,089,000
0885000	PERS DEFERRED COMPENSATION FD	1,629,000	1,396,000
0886000	SENIORS SPECIAL FD,CALIFORNIA	14,000	22,000
0904000	CA HEALTH FACILITIES FIN AUTH	264,000	1,989,000
0908000	SCHOOL EMPLOYEES FUND	4,544,000	50,000
0910000	CONDEMNATION DEPOSITS FUND	13,665,000	1,791,000
0911000	EDUC FACILITIES AUTHORITY	5,000	73,000
0914000	BAY FILL CLEAN-UP ABATMENT	7,000	0
0915000	DEFERRED COMPENSATION PLAN FD	1,958,000	309,000
0917000	INMATES' WELFARE FUND	6,646,000	3,871,000
0918000	SMALL BUSINESS EXPANSION	7,000	20,000
0920000	LITIGATION DEPOSIT FUND	27,977,000	592,000
0927000	J SERNA FARMWORKER HOUSNG GRNT	73,000	507,000
0928000	FOREST RESOURCES IMPROV FUND	16,000	0
0929000	HOUSING REHABILITATION LOAN	950,000	1,589,000
0930000	POLLUTN CONTL FINANCING AUTH	748,000	1,466,000
0932000	TRIAL COURT TRUST FUND	438,915,000	351,054,000
0933000	MANAGED CARE FUND	666,000	7,556,000
0938000	RENTAL HOUSING CONSTRUCTN FD	107,000	376,000
0942000	SPECIAL DEPOSIT FUND	15,708,000	36,063,000
0943000	LAND BANK FUND	2,497,000	207,000
0948000	CAL ST UNIV & COLL TRUST FUND	470,890,000	607,436,000
0950000	PUB EMPLOY CONTINGENCY RESRV	279,959,000	257,737,000
0952000	STATE PARK CONTINGENT	716,000	3,061,000
0960000	STUDENT TUITION RECOVERY FUND	124,000	57,000
0965000	TIMBER TAX	4,000	0
0972000	MANUFACTURED HOME RECOVERY FD	3,000	12,000

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
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0977000	RESIDENT-RUN HOUSING REVL FUND	1,000	0
0980000	PREDEVELOPMENT LOAN FUND	56,000	60,000
0985000	EMERGENCY HOUSING & ASST FUND	214,000	349,000
1008000	FIREARMS SAFETY/ENFRMNT SPCL	516,000	1,959,000
2501000	LOCAL TRANSPORTATION LOAN ACCT	19,000	0
2504000	ADVANCE MITIGATION ACCT, STF	72,000	0
3002000	ELECTRICIAN CERTIF FUND	513,000	0
3004000	GARMENT INDUSTRY REGULATIONS	436,000	0
3010000	PIERCE'S DISEASE MGMT ACCOUNT	92,000	53,000
3015000	GAS CONSUMPTION SURCHARGE FUND	624,000	129,447,000
3016000	MISSING PERSONS DNA DATA BASE	343,000	536,000
3017000	OCCUPATIONAL THERAPY FUND	223,000	200,000
3018000	DRUG AND DEVICE SAFETY FUND	183,000	554,000
3022000	APPRENTICESHIP TRNG CONTRIB FD	3,567,000	0
3023000	WIC MANUFACTURER REBATE FUND	20,010,000	39,119,000
3025000	ABANDONED MINE RECLAMATION	10,000	5,000
3030000	WORKERS' OCCUP SFTY/HLTH ED FD	11,000	0
3033000	CALIF.MEMORIAL SCHOLARSHIP FD	17,000	0
3035000	ENVIRONMENTAL QUALTY ASSESSMNT	1,000	0
3037000	STATE COURT FACILITIES CONST F	11,917,000	8,022,000
3039000	DENTALLY UNDERSERVED ACCOUNT	7,000	0
3042000	VICTIMS OF CORP FRAUD COMP FD	308,000	0
3046000	OIL, GAS, AND GEOTHERMAL ADMIN	28,000	12,255,000
3053000	PUBLIC RGHTS LAW ENFRMNT SPEC	13,000	2,291,000
3056000	SAFE DRKNG MATR & TOXIC ENFORC	43,000	566,000
3057000	DAM SAFETY FUND	209,000	1,617,000
3058000	WATER RIGHTS FUND	41,000	1,000
3060000	APPELLATE COURT TRUST FUND	472,000	129,000
3062000	ENGY FAC LICENSE AND COMPL FD	75,000	11,000
3064000	MENTAL HLTH PRACTITIONER ED FD	3,000	3,000
3065000	ELEC WSTE RCVRY RCVLG ACCT	5,644,000	4,816,000
3066000	COURT FACILITIES TRUST FUND	16,508,000	5,555,000
3067000	CIGARETTE TOBACCO PRODS COMPL	661,000	22,000
3068000	VOCATIONAL NURSE EDUCATION FD	5,000	10,000
3069000	NATUROPATHIC DOCTOR'S FUND	71,000	32,000
3071000	CAR WASH WORKER RESTITUTION FD	62,000	0
3072000	CAR WASH WORKER FUND	92,000	0
3074000	MEDICAL MARIJUANA PROGRAM FUND	1,000	1,000
3079000	CHILDRENS MED SERVICES REBATE	356,000	4,659,000
3080000	AIDS DRUG ASSIST PGM REBATE	1,779,000	3,502,000
3081000	CANNERY INSPECTION FUND	32,000	103,000
3084000	ST CERTIFIED UNIFIED PGM AGY	5,000	239,000
3085000	MENTAL HEALTH SERVICES FUND	104,070,000	152,528,000
3086000	DNA IDENTIFICATION FUND	8,749,000	9,169,000
3087000	UNFAIR COMPETITION LAW FUND	30,000	3,253,000
3088000	REGISTRY OF CHARITABLE TRUSTS	316,000	1,130,000
3089000	PUC UTIL COMM RATEPAYER ADV	35,000	94,000
3096000	NONDESIGNATED PUBLIC HOSP SUPL	14,000	525,000

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
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3097000	PRIVATE HOSPITAL SUPPLEMENTAL	181,000	0
3098000	DPH LICENSING & CERT PGM FUND	730,000	10,200,000
3100000	DWR ELECTRIC POWER FUND	173,958,000	70,117,000
3101000	ANALYTICAL LABORATORY ACCOUNT	19,000	0
3103000	HATCHERY AND INLAND FISHERIES	320,000	2,500,000
3104000	COASTAL WETLANDS FUND	2,000	0
3108000	PROFESSIONAL FIDUCIARY FUND	61,000	50,000
3109000	NATURAL GAS SUBACCT, PIRD&D	436,000	1,292,000
3114000	BIRTH DEFECTS MONITORING PGM	125,000	172,000
3117000	ALTERNATIVE & RENEWABLE FUEL	24,150,000	2,156,000
3119000	AIR QUALITY IMPROVEMENT FUND	3,723,000	14,817,000
3121000	OCCUPATIONAL SAFETY & HEALTH	739,000	16,000,000
3122000	ENHANCED FLEET MODERNIZATION	1,576,000	970,000
3133000	MANAGED CARE ADMIN FINES FUND	182,000	0
3134000	SCHOOL DISTRICT ACCOUNT	30,000	0
3137000	EMERGENCY MEDICAL TECH CERT	145,000	361,000
3138000	IMMEDIATE & CRITICAL NEEDS	18,054,000	43,751,000
3140000	STATE DENTAL HYGIENE FUND	225,000	155,000
3141000	CA ADVANCED SERVICES FUND	7,517,000	1,658,000
3142000	STATE DENTAL ASSISTANT FUND	318,000	163,000
3145000	UST ORPHAN SITE CLEANUP FUND	82,000	82,000
3147000	SMALL COMMUNITY GRANT FUND	1,135,000	2,004,000
3150000	STATE PUBLIC WORKS ENFORCEMENT	1,427,000	0
3152000	LABOR ENFORCEMENT & COMPLIANCE	808,000	14,000,000
3153000	HORSE RACING FUND	1,586,000	1,119,000
3156000	CHILDREN'S HEALTH & HUMAN SVCS	2,313,000	0
3157000	RECREATIONAL HEALTH FUND	2,000	0
3158000	HOSPITAL QUALITY ASSURANCE REV	494,930,000	1,436,909,000
3160000	WASTEWATER OPERATOR CERT FUND	16,000	0
3164000	RNW ENERG RESRC DVLP FEE TRUST	1,000	0
3165000	ENTERPRISE ZONE FUND	20,000	0
3167000	SKILLED NURSING FAC QUALITY &	110,000	101,000
3168000	EMER MED AIR TRAN CHILD COVER	558,000	1,407,000
3175000	CALIFORNIA HEALTH TRUST FUND	3,441,000	42,440,000
3201000	LOW INCOME HEALTH MEC EMG FUND	59,000	353,000
3205000	APPLIANCE EFFICIENCY ENFORCE	13,000	62,000
3209000	OFFICE PATIENT ADVOCATE TRUST	15,000	315,000
3210000	DAVIS-DOLWIG ACCOUNT	77,000	0
3211000	ELECTRIC PROG INVEST CHRG FD	4,265,000	10,609,000
3228000	GREENHOUSE GAS REDUCTION FD	27,198,000	120,689,000
3237000	COST OF IMPLEMENTATION ACCT	812,000	4,103,000
3238000	ST PARKS REV INCENTIVE SUBACCT	88,000	6,569,000
3240000	SECONDHAND DEALER & PAWNBR FD	65,000	91,000
3244000	PDATA FUND	27,000	16,000
3252000	CURES FUND	193,000	1,000
3254000	BUSINESS PROGRAMS MODERN	194,000	178,000
3255000	HOME CARE FUND	485,000	1,118,000
3261000	VESSEL OPERATOR CERTIFICATION	87,000	432,000

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
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FUND	FUNDNAME	DECLARATION	REDUCTION
3263000	COLLEGE ACCESS TAX CREDIT FUND	46,000	0
3268000	PROPERTY TAX POSTPONEMENT FUND	1,259,000	177,000
3285000	ELECTRONIC RECORDING AUTH FUND	3,000	0
3288000	CANNABIS CONTROL FUND	2,037,000	3,953,000
3290000	ROAD MAINT AND REHAB ACCT	263,837,000	156,609,000
3291000	TRADE CORRIDOR ENHANCE ACT	16,041,000	10,000
3292000	STATE PROJECT INFRASTRUCTURE FD	751,355,000	0
3297000	MAJOR LEAGUE SPORT EVENT RAFFL	23,000	73,000
3301000	LEAD-ACID BATTERY CLEANUP FUND	174,000	2,122,000
3303000	AMMUN SAFE AND ENFORCEMENT FD	101,000	493,000
3317000	BUILDING HOMES AND JOBS TRUST	16,767,000	0
6000000	PUBLIC LIBRARY CONSTR/RENOV FD	8,000	0
6001000	SAFE DRNKNG CLN WTR WTRSHD PRO	1,470,000	138,000
6028000	HI ED CAP OUTLAY BOND FD 2002	12,000	0
6029000	CA CLEAN WATER/AIR SAFE NBRHD	55,000	4,321,000
6031000	WTR SEC,CLN DRNKG WR, CST BCH	27,000	1,007,000
6032000	VOTING MODERNIZATION FUND	9,000	0
6036000	ST SCHOOL FACILITIES FD 2002	144,000	0
6037000	HOUSING/EMER SHELTER TRUST FD	123,000	183,000
6041000	HIGH ED CAP OUTLAY BD FD, 2004	62,000	0
6043000	HIGH-SPEED PASSENGER TRAIN	64,592,000	98,306,000
6044000	SCHOOL FACILITIES FD, 2004 ST	240,000	44,000
6046000	CHILDREN'S HOSPITAL FUND	47,000	0
6047000	STEM CELL RESEARCH AND CURES	8,858,000	15,350,000
6048000	2006 UNIV CAP OUTLAY BD FD	97,000	35,000
6049000	2006 CA COMM COLLEGE BD FD	443,000	0
6050000	TOBACCO ASSET SALES REVENUE FD	1,000	0
6051000	SAFE DRINKING WATER OF 2006	14,622,000	22,662,000
6052000	DISASTR & FLOOD BND FD OF 2006	2,502,000	10,935,000
6053000	HWY SFTY, TRAFFIC RED FD 2006	6,274,000	36,598,000
6057000	2006 STATE SCHOOL FAC FUND	15,360,000	7,000
6066000	HOUSING/EMERGENCY SHELTER FD	393,000	16,892,000
6079000	CHILDREN'S HOSPITAL BOND ACT	326,000	0
6082000	HOUSING FOR VETERANS FUND	18,004,000	300,000
6083000	WTR QLTY, SUPY & INFRSTR IMPV	3,597,000	27,898,000
6086000	2016 STATE SCHOOL FACILITIES	379,348,000	8,800,000
6087000	COMM COLL CAP OTLY BD, 2016 CA	104,000	0
6088000	DROUGHT WTR PRK CLMT CSTL OTDR	8,251,000	10,000
6801000	TRANS FINANCNG SUBACCT,SHA,STF	306,000	0
7505000	REVOLVING LOANS FUND	9,000	0
8001000	TEACHERS' HEALTH BENEFITS FD	2,023,000	2,303,000
8004000	CHILD SUPPORT COLLECT RCVRY FD	11,417,000	12,305,000
8013000	ENVIRONMENTAL ENFORCEMNT/TRNG	1,000	0
8014000	CA PHARM SCHOLRSP/LOAN PGM FD	1,000	0
8018000	SALTON SEA RESTORATION FUND	35,000	0
8026000	PETRO UNDERGROUND STOR TK FIN	6,315,000	515,000
8029000	COASTAL TRUST FUND	563,000	77,000
8031000	CHILD SUPPORT PAYMENT TRUST FD	50,389,000	45,880,000

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
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FROM: 20181001 TO 20181031

FUND	FUNDNAME	DECLARATION	REDUCTION
8034000	MEDICALLY UNDERSERVED PHYSICNS	34,000	60,000
8038000	DONATE LIFE CA TRUST, MVA, STF	161,000	0
8041000	TEACHERS' DEFERRED COMP FUND	185,000	73,000
8047000	CALIFORNIA SEA OTTER FUND	6,000	25,000
8048000	ST. VET CEMET. FRT ORD ENDMNT	11,000	0
8049000	VISION CARE PGM, STATE ANNU FD	15,000	1,628,000
8062000	POOLED SELF-INSURANCE FUND	623,000	51,000
8071000	NATIONAL MORTGAGE SP DEP FUND	9,000	0
8073000	CA HLTH ACCESS MODEL PRO ACCT	28,000	0
8075000	SCHOOL SUPPLIES FOR HOMELESS	10,000	157,000
8076000	PARKS PROTECTION	1,869,000	0
8083000	STRINGFELLOW RESIDUAL PROCEEDS	4,000	0
8101000	CA ABLE ADMINISTRATIVE FUND	7,000	64,000
8109000	VETS HOME MORALE WEL REC SP FD	19,000	0
8111000	SEC CHOICE RETIRE SAVE ADMN FD	71,000	137,000
8814000	RAPE KIT BACKLOG VOL TAX FUND	1,000	0
9250000	BOXERS' PENSION FUND	244,000	25,000
9328000	CA INFRASTRUCTURE GUARANTEE	119,000	0
9330000	CLEAN/RENEW ENERGY LOAN FUND	8,000	0
9331000	HIGH-SPEED RAIL PROPERTY FUND	298,000	11,000
9728000	JUDICIAL BRANCH WORKERS' COMP	276,000	486,000
9730000	TECHNOLOGY SRVS REVOLVING FUND	20,193,000	24,407,000
9731000	LEGAL SERVICES REVOLVING FUND	19,873,000	25,778,000
9733000	COURT FACILITIES ARCHITECT REV	820,000	6,452,000
9736000	TRANSIT-ORIENTED DVL IMPLM FD	8,000	0
9739000	WATER POLL CONTROL RVLVG FUND	1,038,000	0
9740000	CENTRAL SERVICE COST RECOVERY	430,000	19,068,000
9741000	ENERGY EFFICIENT STATE PROPRTY	644,000	0
TOTAL		14,670,292,000	14,416,117,000

PMIB Meeting
November 14, 2018

SMIF

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 110
Sacramento, CA 95814

Date: November 14, 2018

To: Pooled Money Investment Board
John Chiang, Chair
Betty Yee, Member
Keely Martin Bosler, Member

From: Tracey Paine, Administrator
Pooled Money Investment Board



Subject: Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the Secretary of State, to allow the following fund to participate in the Surplus Money Investment Fund:

Hava Elections Security Grants Fund
Fund Number: 0942348

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

John Chiang

Date

Betty Yee

Date


Keely Martin Bosler

Date

Memorandum

To: Tracey Paine
Administrator
Pooled Money Investment Board

Date: November 8, 2018


From: **State Controller's Office**
Hannah Tran, Section Manager
Bureau of Cash Management
State Accounting and Reporting Division

Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST

The State Controller's Office recommends approval of the attached agency request for participation in the Surplus Money Investment Fund for the following:

<u>SubFund Title</u>	<u>SubFund Number</u>
Hava Elections Security Grants Fund, Special Deposit Fund	0942348

If you have any questions, please contact Van T. Tran, State Controller's Office, State Accounting and Reporting Division at (916) 322-4505.

HT: vt


Attachments

cc: Bill Dowell, State Treasurer's Office
Jeffrey Wurm, State Treasurer's Office
Nicole Mah, State Treasurer's Office
Gregory Bruss, Department of Finance

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 110
Sacramento, CA 95814

DATE: October 25, 2018

TO: Coleen Morrow, Chief
Bureau of Cash Management
Division of Accounting and Reporting
State Controller's Office

FROM: Tracey Paine 
PMIB Administrator
Pooled Money Investment Board

SUBJECT: Surplus Money Investment Fund Participation Request

Attached is a request from the Secretary of State for participation of the following fund in the Surplus Money Investment Fund:

Hava Elections Security Grants Fund
Fund Number: 0942348

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Tracey Paine, State Treasurer's Office
Greg Bruss, Department of Finance
Coleen Morrow, State Controller's Office



ALEX PADILLA | SECRETARY OF STATE
STATE OF CALIFORNIA | MANAGEMENT SERVICES
1500 11th Street, 4th floor | Sacramento, CA 95814 | www.sos.ca.gov

October 15, 2018

**To: State Treasurer's Office
Pooled Money Investment Board
915 Capitol Mall, C -15
Sacramento, CA 95814**

Re: Investment of 2018 HAVA ELECTIONS SECURITY GRANTS FUND #0942348

On October 12, 2018, State Controller's Office established the 2018 HAVA ELECTIONS SECURITY GRANTS FUND #0942348.

Chapter 29/18, the 2018/19 Budget Act, established a supporting appropriation. We will soon transfer money into this fund.

We are requesting that the cash in this fund be part of the Surplus Money Investment Fund Program.

Please call Vinny Tran at (916) 695-1239 if you have any questions.

Your prompt attention to this request is appreciated.

A handwritten signature in black ink, appearing to read "G. Singh".

**Gurpreet Singh, Accounting Administrative 1 Manager
Management Services Division (MSD)
Gurpreet.Singh@sos.ca.gov**

MAIL CODE 0890
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SECRETARY OF STATE

REPORT NO: FC-145-10-D

FUND AGCY FY REF/
ITEM

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SET UP ACCT PER AUD10

STATE CONTROLLER'S OFFICE

DIVISION OF ACCOUNTING
SACRAMENTO, CALIFORNIA
NOTICE OF BUDGET SETUP

DOCUMENT NO

JE 0013253

DATE: 10/12/2018

DEBITS

CREDITS

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REASON FOR ABOVE ACTION
0H TO AUTHORIZE POSTING TO THE ABOVE ACCOUNTS.

PMIB Meeting
November 14, 2018

2019 MEETING DATES

Proposed 2019 PMIB Meeting Dates
November 14, 2018

For the PMIB members' consideration, the proposed 2019 PMIB meeting dates are as follows:

January 16, 2019

February 20, 2019

March 20, 2019

April 17, 2019

May 15, 2019

June 19, 2019

July 17, 2019

August 21, 2019

September 18, 2019

October 16, 2019

November 20, 2019

December 11, 2019

PMIB Meeting
November 14, 2018

AB55 LOANS

POOLED MONEY INVESTMENT BOARD
November 14, 2018
Staff Report – Agenda Item 7

AB 55 LOAN RECOMMENDATIONS

1. **Loan Renewal Requests.** Staff recommends approval of the four loan renewal requests (Items 7.a – 7.d) on the agenda in the amount shown on column f of Exhibit A to this staff report. Items 7.a through 7.d reflect increases necessary to complete construction as well as to pay accrued interest and administrative costs.

Impact on the Pool. The above loan requests are in compliance with the current AB55 Loan Policy and, if approved, will result in an increase to the outstanding loans balance by \$33,846,000.

Exhibit A

POOLED MONEY INVESTMENT BOARD
 LOAN REQUESTS (AB 55 LOANS)
 For the November 14, 2018 PMIB Meeting

Agenda Item	New or Renewal	Old Loan No.	New Loan No.	Fund No.	Department/Program	[a]	[b]	[c]	[d]	[e]	[f]	[g]	[h]
						New Loan Amount per Loan Application	Original Amount of Existing Loan	Impact on the Pool	Loan Paydown	STO Loan Recommendations	Interest Paid by		
						Amount of loan paydown (since previous loan)	Current Loan Amount Outstanding (b - d)	Recommended Adjusted Loan Amount	Impact on the Pool (for recommended loan amount)				
LOAN RENEWAL REQUESTS													
a	Renewal	1780011	1890006	0668545	Board of State and Community Corrections SPWB Lease Revenue Bonds Fresno County Adult Local Criminal Justice Facility	\$ 51,273,000.00	\$ 26,152,000.00	\$ 25,121,000.00	N/A	N/A	\$ 51,273,000.00	\$ 25,121,000.00	BF
b	Renewal	1780012	1890007	0660521	Department of Forestry and Fire Protection SPWB Lease Revenue Bonds Butte Fire Station/Unit Headquarters: Replace Facility	\$ 26,703,000.00	\$ 22,533,000.00	\$ 4,170,000.00	N/A	N/A	\$ 26,703,000.00	\$ 4,170,000.00	BF
c	Renewal	1780013	1890008	0660530	Department of Forestry and Fire Protection SPWB Lease Revenue Bonds Vina Helitack Base: Replace Facility	\$ 13,230,000.00	\$ 11,586,000.00	\$ 1,644,000.00	N/A	N/A	\$ 13,230,000.00	\$ 1,644,000.00	BF
d	Renewal	1780014	1890009	0660552	Department of Forestry and Fire Protection SPWB Lease Revenue Bonds Westwood Forest Fire Station: Replace Facility	\$ 5,011,000.00	\$ 2,100,000.00	\$ 2,911,000.00	N/A	N/A	\$ 5,011,000.00	\$ 2,911,000.00	BF
						\$ 96,217,000.00	\$ 62,371,000.00	\$ 33,846,000.00	\$ -	\$ -	\$ 96,217,000.00	\$ 33,846,000.00	
Total amount of outstanding PMIA loans as of October 31, 2018							<u>Requested</u>		<u>Recommended</u>				
Impact on the Pool from this meeting's actions							\$ 724,291,000.00		\$ 724,291,000.00				
Outstanding PMIA loans after this meeting's actions							<u>\$ 33,846,000.00</u>		<u>\$ 33,846,000.00</u>				
							\$ 758,137,000.00		\$ 758,137,000.00				

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY
PMIB NO. 1990006

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

Department Name: Board of State and Community Corrections		Total Project Appropriation: \$509,060,000.00	Amount Requested: \$ 51,273,000 P.O.
Appropriation(s) to be Funded: SB 1022, Ch. 42/12, 893 5227-801-0668, 0000721, 61.01.001 Adult Local Criminal Justice Facilities		Government Code, Title 2, Division 3 Part 10b, Sections 15820.92-15820.926	Date Funds Needed: 11/16/2018
Department Contact: Sharon Coleman	Title: AGPA, County Facilities Construc	Phone: 916-576-2413	
Department Director’s Name (please print): John Prince	Signature:	Date: 9/17/18	
Name of SPWB Project: Fresno County Jail Project	Project Contact: Sharon Coleman	Phone: 916-576-2413	
SCO Fund # (for renewals only): 0668 545	Phase of Project (select all that apply): <input type="checkbox"/> A <input checked="" type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E-or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: 6/15/2020	

Use of Loan Proceeds:

1. Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

To pay vendors directly? To reimburse local government for vendor payments?

To make advances to other state funds*? To make advances to State Architect Revolving Fund*?

*If Yes, specify: _____ *If Yes, specify: DGS-PMB Project No. _____

2. Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes No

3. Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes No

*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
10/13/2017	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ 26,152,000.00 to be used to pay/reduce existing PMIB loan # 1786011

Reduce amount of loan to \$ _____

Conditions (if applicable): _____

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division _____ Date: _____

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for: approval rejection modification

Approved loan amount \$ _____ Loan to be made no sooner than _____

Signature: Executive Secretary of the Pooled Money Investment Board _____ Date: _____

COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED
(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY
PMB NO.
1890006

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$509,060,000.00 Proposed Funding Date: 11/16/2018
Loan Amount: \$ 51,273,000 P.O.

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

Public Safety and Offender Rehabilitation State Building Construction Act Other, specify:
Services Act of 2007, as amended (AB 900) SB 1022

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 10/13/2017, _____, _____

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) Check one of the following ~ No litigation exists relating to this project -OR- Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name
Board of State and Community Corrections
Signature: Department Director _____ Date
9/17/18

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director _____ Date
10/9/18

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:

MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		

Conditions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board _____ Date

PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Board of State and Community Corrections
 Project Name: Fresno County Jail SB1022
 Date: 9/11/2018

Loan Amount ⁽¹⁾: \$ 51,273,000

Estimated Project
 Completion Date: 6/15/2020

Estimated Phase Completion Dates:	<u>N/A</u>	Acquisition (A)	
	<u>4/25/2016</u>	Prelim Plans (PP)	<u>N/A</u>
	<u>8/30/2017</u>	Work Draw (WD)	<u>N/A</u>
	<u>6/15/2020</u>	Construction (C) ⁽²⁾	<u>Design-Build (D-B) ⁽²⁾</u>
	<u>N/A</u>	Equipment (E)	

Performance Criteria
& Concept Drawings
(PCCD)

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)			-	
Current Loan	10,320,873.00		10,320,873	PP,WD,C
Prior Interest			10,320,873	
Current Interest	33,480.67		10,354,354	
Administrative ⁽³⁾	8,764.00	10,000.00	10,373,118	
1 Nov-18		4,934,000.00	15,307,118	C
2 Dec-18		1,985,000.00	17,292,118	C
3 Jan-19		2,534,000.00	19,826,118	C
4 Feb-19		2,767,000.00	22,593,118	C
5 Mar-19		3,082,000.00	25,675,118	C
6 Apr-19		3,265,000.00	28,940,118	C
7 May-19		3,448,000.00	32,388,118	C
8 Jun-19		3,539,000.00	35,927,118	C
9 Jul-19		3,631,000.00	39,558,118	C
10 Aug-19		3,813,000.00	43,371,118	C
11 Sep-19		3,905,000.00	47,276,118	C
12 Oct-19		3,996,000.00	51,273,000	C

⁽¹⁾ Rounded up to nearest thousand

⁽²⁾ Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

⁽³⁾ \$10,000 figure is estimate of fees for STO, SCO and DOF for the next 12 months

State of California – State Treasurer’s Office

STO 1025 (Rev. 4/2015)

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

LOAN REQUEST

New Loan Renewal

FOR STO USE ONLY
PMIB NO. 1890007

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION
(Completed by Requesting Department)

\$ 26,703,000 F

Department Name: Forestry and Fire Protection		Total Project Appropriation: \$34,537,000.00	Amount Requested: \$29,182,000.00 5
Appropriation(s) to be Funded: Ch. 001/09 Ch. 25/14		Item: 3540-301-0660 (5) Item: 3540-301-0660 (6)	Date Funds Needed: 11/16/2018
Department Contact: Belinda Swackhammer		Title: Capital Outlay Associate Analyst	Phone: (916) 322-1205
Department Director’s Name (please print): ANTHONY P. FAWCETT		Signature:	Date: 9/22/18
Name of SPWB Project: Butte FS/UH: Replace Facility		Project Contact: William Greenleaf	Phone: (916) 376-1730
SCO Fund # (for renewals only): 0660 - 521	Phase of Project (select all that apply): <input type="checkbox"/> A <input checked="" type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B		Estimated Project Completion Date: 11/1/2019

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

<input checked="" type="checkbox"/> To pay vendors directly?	<input type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*?	<input checked="" type="checkbox"/> To make advances to State Architect Revolving Fund*?

*If Yes, specify :
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes No

*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
11/13/2017	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS
(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

- First \$ 22,533,000 to be used to pay/reduce existing PMIB loan # 1780012
- Reduce amount of loan to \$ _____

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division _____ Date: _____

PART III. RECOMMENDATION
(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for: approval rejection modification

Approved loan amount \$ _____ Loan to be made no sooner than _____

Signature: Executive Secretary of the Pooled Money Investment Board _____ Date: _____

COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED
(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY
 PMIB NO.
 1890007

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$34,537,000.00 Proposed Funding Date: 11/16/2018
 Loan Amount: ~~\$29,182,000.00~~ \$24,703,000

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

- Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900) State Building Construction Act Other, specify: _____

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 11/13/2017, _____, _____

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department’s support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) Check one of the following - No litigation exists relating to this project -OR- Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name
Forestry and Fire Protection
 Signature: Department Director _____ Date 9/20/18

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director _____ Date 10-18-18

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Department of Forestry and Fire Protection
 Project Name: Butte Unit Fire Station/Unit HQ: Replace Facility
 Date: 9/21/2018

Loan Amount ⁽¹⁾: \$ 26,703,000

Estimated Project
 Completion Date: 11/1/2019

Estimated Phase Completion Dates:	<u>NA</u>	Acquisition (A)	
	<u>4/15/2015</u>	Prelim Plans (PP)	-
	<u>9/5/2017</u>	Work Draw (WD)	-
	<u>11/1/2019</u>	Construction (C) ⁽²⁾	
	-	Equipment (E)	

Performance Criteria
& Concept Drawings
(PCCD)
Design-Build (D-B) ⁽²⁾

	Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
	Prior Loan(s)	-		-	
	Current Loan	79,695.60		79,696	P,W,C
	Prior Interest			79,696	
	Current Interest			79,696	
	Administrative ⁽³⁾	8,764.00	10,000.00	98,460	
1	Nov-18		2,000,000.00	2,098,460	C
2	Dec-18		2,100,000.00	4,198,460	C
3	Jan-19		2,200,000.00	6,398,460	C
4	Feb-19		2,200,000.00	8,598,460	C
5	Mar-19		2,400,000.00	10,998,460	C
6	Apr-19		2,200,000.00	13,198,460	C
7	May-19		2,204,000.00	15,402,460	C
8	Jun-19		2,500,000.00	17,902,460	C
9	Jul-19		2,500,000.00	20,402,460	C
10	Aug-19		2,400,000.00	22,802,460	C
11	Sep-19		2,000,000.00	24,802,460	C
12	Oct-19		1,900,000.00	26,703,000	C

⁽¹⁾ Rounded up to nearest thousand

⁽²⁾ Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

⁽³⁾ \$10,000 figure is estimate of fees for STO, SCO and DOF for the next 12 months

State of California – State Treasurer’s Office

STO 1025 (Rev. 4/2015)

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

LOAN REQUEST

New Loan Renewal

FOR STO USE ONLY
PMIB NO. 1890008

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION
(Completed by Requesting Department)

Department Name: Forestry and Fire Protection		Total Project Appropriation: \$15,260,000.00	Amount Requested: \$13,271,000.00 \$13,230,000
Appropriation(s) to be Funded: Ch. 268/2008 Ch. 10/2015		Item: 3540-301-0660 (3) Item: 3540-301-0660 (5)	Date Funds Needed: 11/16/2018
Department Contact: Belinda Swackhammer		Title: Capital Outlay Associate Analyst	Phone: (916) 322-1205
Department Director’s Name (please print): ANTHONY P. FRANCO		Signature: 	Date: 9/26/18
Name of SPWB Project: Vina HB: Replace Facility		Project Contact: William Greenleaf	Phone: (916) 376-1730
SCO Fund # (for renewals only): 0660-530	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B		Estimated Project Completion Date: 10/19/2019

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

<input checked="" type="checkbox"/> To pay vendors directly?	<input type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*?	<input checked="" type="checkbox"/> To make advances to State Architect Revolving Fund*?

*If Yes, specify :
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes No

*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
10/10/2008	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
11/13/2017	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ **11,586,000** to be used to pay/reduce existing PMIB loan # **1780013**

Reduce amount of loan to \$ _____

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: **Director, Public Finance Division** Date: _____

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for: approval rejection modification

Approved loan amount \$ _____ Loan to be made no sooner than _____

Signature: Executive Secretary of the Pooled Money Investment Board Date: _____

COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED
(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY PMIB NO. 1890008

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$15,260,000.00	Proposed Funding Date: 11/16/2018
Loan Amount: \$13,271,000.00 RT \$13,230,000.00	

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

<input type="checkbox"/> Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)	<input checked="" type="checkbox"/> State Building Construction Act	<input type="checkbox"/> Other, specify: _____
--------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------	------------------------------------------------

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 10/10/2008, 11/13/2017, _____

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department’s support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* - No litigation exists relating to this project -OR- Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name Forestry and Fire Protection	
Signature: Department Director [Redacted]	Date 9/26/18

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director [Redacted]	Date 10-16-18
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POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Department of Forestry and Fire Protection
 Project Name: Vina Helitack Base: Replace Facility
 Date: 9/20/2018

Loan Amount ⁽¹⁾: \$ 13,230,000

Estimated Project
 Completion Date: 10/19/2019

Estimated Phase Completion Dates:	<u>NA</u>	Acquisition (A)		Performance Criteria & Concept Drawings (PCCD)
	<u>4/15/2015</u>	Prelim Plans (PP)	<u>-</u>	
	<u>9/5/2017</u>	Work Draw (WD)	<u>-</u>	Design-Build (D-B) ⁽²⁾
	<u>10/19/2019</u>	Construction (C) ⁽²⁾		
	<u>-</u>	Equipment (E)		

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	
Current Loan	2,258,089.49		2,258,089	W,C
Prior Interest			2,258,089	
Current Interest	2,452.24		2,260,542	
Administrative ⁽³⁾	8,764.00	10,000.00	2,279,306	
1 Nov-18		650,000.00	2,929,306	C
2 Dec-18		700,000.00	3,629,306	C
3 Jan-19		1,100,000.00	4,729,306	C
4 Feb-19		1,200,000.00	5,929,306	C
5 Mar-19		1,300,000.00	7,229,306	C
6 Apr-19		1,400,000.00	8,629,306	C
7 May-19		900,000.00	9,529,306	C
8 Jun-19		900,000.00	10,429,306	C
9 Jul-19		800,000.00	11,229,306	C
10 Aug-19		800,000.00	12,029,306	C
11 Sep-19		700,000.00	12,729,306	C
12 Oct-19		500,000.00	13,230,000	C

⁽¹⁾ Rounded up to nearest thousand
⁽²⁾ Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements
⁽³⁾ \$10,000 figure is estimate of fees for STO, SCO and DOF for the next 12 months

State of California – State Treasurer’s Office

STO 1025 (Rev. 4/2015)

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

LOAN REQUEST

New Loan Renewal

FOR STO USE ONLY
PMIB NO. 1890009

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

Department Name: Forestry and Fire Protection		Total Project Appropriation: \$9,140,000.00	Amount Requested: \$5,011,000 \$5,017,000.00
Appropriation(s) to be Funded: Ch. 171/2007 Ch. 25/2014		Item: 3540-301-0660 (4) Item: 3540-301-0660 (4)	Date Funds Needed: 11/16/2018
Department Contact: Belinda Swackhammer		Title: Capital Outlay Associate Analyst	Phone: (916) 322-1205
Department Director's Name (please print): ANTHONY P. FARRO		Signature:	Date: 9/26/18
Name of SPWB Project: Westwood Forest Fire Station: Replace Facility		Project Contact: Rebecca Beauchene	Phone: (916) 445-4627
SCO Fund # (for renewals only): 0660 - 552	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B		Estimated Project Completion Date: 6/9/2020

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

<input checked="" type="checkbox"/> To pay vendors directly?	<input type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*?	<input checked="" type="checkbox"/> To make advances to State Architect Revolving Fund*?

*If Yes, specify : _____
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes No
*If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
7/13/2007	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
11/13/2017	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

First \$ 2,100,000 to be used to pay/reduce existing PMIB loan # 1780014

Reduce amount of loan to \$ _____

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division _____ Date: _____

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for: approval rejection modification

Approved loan amount \$ _____ Loan to be made no sooner than _____

Signature: Executive Secretary of the Pooled Money Investment Board _____ Date: _____

COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED
(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STATE USE ONLY PMIB NO. 1890069

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$9,140,000.00	Proposed Funding Date: 11/16/2018
Loan Amount: \$5,017,000.00 \$5,011,000.00	

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

<input type="checkbox"/> Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)	<input checked="" type="checkbox"/> State Building Construction Act	<input type="checkbox"/> Other, specify: _____
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SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 7/13/2007, 11/13/2017, _____


The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* - No litigation exists relating to this project -OR- Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name Forestry and Fire Protection	
Signature: Department Director 	Date 9/26/18

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director 	Date 10/16/18
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POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Department of Forestry and Fire Protection
 Project Name: Westwood Forest Fire Station: Replace Facility
 Date: 9/18/2018

Loan Amount ⁽¹⁾: \$ 5,011,000

Estimated Project
 Completion Date: 6/9/2020

Estimated Phase Completion Dates:	<u>NA</u>	Acquisition (A)	
	<u>11/17/2014</u>	Prelim Plans (PP)	-
	<u>8/27/2018</u>	Work Draw (WD)	-
	<u>6/9/2020</u>	Construction (C) ⁽²⁾	
	-	Equipment (E)	

Performance Criteria
& Concept Drawings
(PCCD)
Design-Build (D-B) ⁽²⁾

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	
Current Loan	-		-	
Prior Interest				
Current Interest				
Administrative ⁽³⁾	8,764.00	10,000.00	18,764	
1 Nov-18		270,000.00	288,764	C
2 Dec-18		518,000.00	806,764	C
3 Jan-19		386,000.00	1,192,764	C
4 Feb-19		476,000.00	1,668,764	C
5 Mar-19		392,000.00	2,060,764	C
6 Apr-19		264,000.00	2,324,764	C
7 May-19		530,000.00	2,854,764	C
8 Jun-19		310,000.00	3,164,764	C
9 Jul-19		272,000.00	3,436,764	C
10 Aug-19		738,000.00	4,174,764	C
11 Sep-19		516,000.00	4,690,764	C
12 Oct-19		320,000.00	5,011,000	C

⁽¹⁾ Rounded up to nearest thousand

⁽²⁾ Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

⁽³⁾ \$10,000 figure is estimate of fees for STO, SCO and DOF for the next 12 months