

P. M. I. B.

Wednesday, January 20, 2021

10:00 a.m.

DESIGNATION

POOLED MONEY INVESTMENT ACCOUNT

PORTFOLIO SUMMARY REPORT

January 20, 2021

(Dollars in Billions)

	12/31/20	11/30/20	Change From Prior Month	12/31/19
Total Portfolio				
Amount	\$107.375	\$103.000	\$4.375	\$88.927
Effective Yield	0.487%	0.563%	-0.076%	2.028%
Quarter-to-date Yield	0.579%	0.598%	-0.019%	2.113%
Year-to-date Yield	0.691%	0.720%	-0.029%	2.230%
Average Life (in days)	165	171	(6)	226
PMIA Loans (Government Code §16312 and §16313)				
Approved	\$0.691	\$0.690	\$0.001	\$0.606
Disbursed	\$0.481	\$0.463	\$0.018	\$0.412
Local Agency Investment Fund				
Deposits	\$33.967	\$32.238	\$1.729	\$26.712
Number of Participants	2,380	2,375	5	2,362

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

1859

In accordance with sections 16480 through 16480.8 of the Government Code (GC), the Pooled Money Investment Board, at its meeting on January 20, 2021, has determined and designated the amount of money available for deposit and investment as of January 13, 2021, under said sections. In accordance with sections 16480.1 and 16480.2 of the GC, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

1. In accordance with Treasurer's Office policy, for deposit in demand bank accounts as:

a. Compensating Balance for Services \$ 1,626,700,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

b. Interest Bearing Accounts for Cash Flow Variability: \$ 1,000,000,000
 JP Morgan Chase Bank \$ 500,000,000
 Bank of the West \$ 500,000,000

The interest bearing bank account designation represents targeted balances to be averaged over one month's period to smooth cash flow variability. The balances may fluctuate above and below these amounts as needed to manage cash flow variances and to meet daily liquidity needs. Interest earnings for these accounts will be calculated according to the terms of the agreement between the Treasurer's Office and the account's depository bank, paid monthly and recorded as a deposit into the state's main demand deposit account, and allocated quarterly by the State Controller's Office as directed by GC 16475 and GC 16480.6.

2. In accordance with law, for investment in securities authorized by section 16430, GC, or in term interest-bearing deposits in banks and savings and loan associations as follows:

	From	To	Transactions	In Securities (GC section 16430)	Time Deposits in Various Financial Institutions (GC sections 16503a and 16602)	Estimated Total
(1)	1/18/2021	1/22/2021	\$ 2,000,100,000	\$ 7,494,700,000	\$ 4,326,500,000	\$ 11,821,200,000
(2)	1/25/2021	1/29/2021	\$ (2,350,700,000)	\$ 5,144,000,000	\$ 4,326,500,000	\$ 9,470,500,000
(3)	2/1/2021	2/5/2021	\$ 1,343,500,000	\$ 6,487,500,000	\$ 4,326,500,000	\$ 10,814,000,000
(4)	2/8/2021	2/12/2021	\$ (2,685,500,000)	\$ 3,802,000,000	\$ 4,326,500,000	\$ 8,128,500,000
(5)	2/15/2021	2/19/2021	\$ (1,617,500,000)	\$ 2,184,500,000	\$ 4,326,500,000	\$ 6,511,000,000

From any of the amounts specifically designated above, not more than 30 percent in the aggregate may be invested in prime commercial paper under section 16430(e), GC.

Additional amounts available in treasury trust account and in the Treasury from time to time, in excess of the amounts and for the same types of investments as specifically designated above.

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance \$ 1,626,700,000.

Dated: January 20, 2021

POOLED MONEY INVESTMENT BOARD:

Chair

Member

Member

POOLED MONEY INVESTMENT ACCOUNT

INTEREST BEARING DEMAND DEPOSIT ACCOUNTS REPORT

January 20, 2021

	12/30/20	11/30/20
JPMorgan Chase Bank		
Average Daily Balance	\$393,387,097	\$384,000,000
Effective Rate	0.24%	0.24%
Bank of the West		
Average Daily Balance	\$917,741,935	\$834,000,000
Effective Rate	0.29%*	0.29%*

***This Effective Rate applies to daily balances maintained in the account up to \$500,000,000.00. Any balances maintained in the account above \$500,000,000.00 will earn 0.05% less than the published Effective Rate.**

**SURPLUS MONEY DECLARATION AND
REDUCTION**

POOLED MONEY INVESTMENT BOARD
 (Surplus Money Investment Fund)
 Period December 1 through December 31, 2020

DECLARATION OF SURPLUS MONEY

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds and now on deposit in the State Treasury to the credit of each of such funds, in addition to any money in said funds heretofore designated as surplus money, is not necessary for immediate use for carrying out the purposes for which each of such special funds was created, and is hereby designated as surplus money for transfer to the Surplus Money Investment Fund:

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
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TOTAL \$	12,670,831,000.00*

REDUCTION OF SURPLUS MONEY

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds, which constitutes a portion of the money heretofore designated as surplus money and which has heretofore been transferred to and now remains in the Surplus Money Investment Fund from each of such designated funds, is needed by each of such special funds to carry out the purposes for which it was created, and that the amount of money heretofore designated as surplus money with respect to each such special fund is hereby reduced by the amount of money needed by such fund, as hereinafter specified, for transfer back to each such fund.

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
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TOTAL \$	13,665,642,000.00*

POOLED MONEY INVESTMENT BOARD

Member

Chair

Member

Dated: January 20, 2021

*Note: Amounts are typically transferred in \$1,000.00 increments. However, transfers or reductions may sometimes occur in other increments resulting in necessary adjustments which can occur within the same month, or the succeeding month. Consequently, the total transfer and reduction amounts will not necessarily reflect even \$1,000.00 increments.

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
0005000	SAFENEIGH PKs, CLNTR,CLNAIR/	2,000	506,000
0006000	DISABILITY ACCESS ACCOUNT	2,003,000	645,000
0007000	BREAST CANCER RESEARCH ACCOUNT	2,435,000	669,000
0009000	BREAST CANCER CONTROL ACCOUNT	3,789,000	3,616,000
0014000	HAZARDOUS WASTE CONTROL ACCT	2,150,000	10,643,000
0017000	FINGERPRINT FEES ACCOUNT	5,789,000	6,187,000
0018000	SITE REMEDIATION ACCOUNT	0	1,116,000
0023000	FARMWORKER REMEDIAL ACCOUNT	2,000	17,000
0028000	UNIFIED PROGRAM ACCOUNT	272,000	412,000
0032000	FIREARM SAFETY ACCOUNT	92,000	18,000
0033000	STATE ENERGY CONSER ASST ACCT	3,860,000	2,409,000
0035000	SURFACE MINING & RECLAMATION	0	1,085,000
0041000	AERONAUTICS ACCOUNT	284,000	289,000
0042000	STATE HIGHWAY ACCOUNT	488,147,000	482,519,000
0044000	MOTOR VEHICLE ACCOUNT	395,518,000	413,614,000
0046000	PUBLIC TRANSPORTATION ACCT	62,658,000	23,649,000
0048000	TRANSPORTATION REV ACCOUNT	521,712,000	520,562,000
0052000	LOCAL AIRPORT LOAN ACCOUNT	234,000	0
0061000	MOTOR VEHICLE FUEL ACCOUNT	690,807,000	703,566,000
0064000	MOTOR VEHICLE LIC FEE ACCT	65,559,000	52,007,000
0065000	ILLEGAL DRUG LAB CLEANUP ACCT	0	50,000
0066000	SALE OF TOBACCO TO MINORS CTRL	37,000	197,000
0067000	STATE CORPORATIONS FUND	22,000	21,000
0069000	BARBERING/COSMETOLOGY CONT FD	894,000	2,322,000
0074000	MEDICAL WASTE MANAGEMENT FUND	104,000	196,000
0075000	RADIATION CONTROL FUND	2,178,000	1,915,000
0076000	TISSUE BANK LICENSE FUND	43,000	0
0078000	GRAPHIC DESIGN LICENSE PLATE F	119,000	0
0080000	CHILDHOOD LEAD POISONG PVTN FD	0	1,058,000
0082000	EXPORT DOCUMENT PROGRAM FUND	24,000	0
0083000	VETERANS SERVICE OFFICE FUND	69,000	44,000
0093000	CONSTRUCTN MANGEMNT EDUC ACCT	13,000	8,000
0094000	RETAIL SALES TAX	5,584,000	5,326,000
0098000	CLINICAL LAB IMPROVEMENT FUND	1,459,000	1,186,000
0099000	HEALTH STATISTICS SPEC FUND	1,995,000	1,874,000
0100000	CALIF USED OIL RECYCLING FUND	90,000	538,000
0106000	PESTICIDE REGULATION FUND	3,304,000	4,224,000
0108000	ACUPUNCTURE FUND	176,000	539,000
0111000	DEPT OF FOOD & AGRICULT. ACCT	3,711,000	21,672,000
0115000	AIR POLLUTION CONTROL FUND	16,627,000	7,368,000
0119000	SCH FACILITIES FUND 1998	444,000	889,000
0121000	HOSPITAL BUILDING FUND	5,373,000	7,129,000
0122000	EMERGENCY FOOD FOR FAMILIES FD	0	18,000
0124000	CA. AGRI. EXPORT PROMOTION ACCT-	0	11,000
0129000	WATER DEVICE CERT SPEC ACC	10,000	0
0133000	CALIF BEVERAGE CONTAINER RECYL	116,086,000	82,235,000
0140000	ENVIRONMENTL LICENSE PLATE FD	3,383,000	6,644,000
0141000	SOIL CONSERVATION FUND	122,000	797,000

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STATE CONTROLLERS OFFICE
 MONTHLY SUMMARY
 CHANGES TO SURPLUS MONEY INVESTMENT FUND
 FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
0142000	SEXUAL HABITUAL OFFENDER, DOJ	200,000	125,000
0143000	CA HEALTH DATA & PLANNING FD	326,000	501,000
0152000	ST BD OF CHIROPRACTIC EXAMINERS	329,000	444,000
0158000	TRAVEL SELLER	42,000	56,000
0159000	ST TRIAL COURT IMPROV & MODERN	2,851,000	4,641,000
0163000	CONTINUING CARE PROVIDER FEE	205,000	97,000
0166000	CERTIFICATION ACCT-CONS AFF FD	0	165,000
0168000	STRUCTURAL PEST CONTROL	14,000	0
0169000	CALIF DEBT LIMIT ALLOC COMM	21,000	169,000
0171000	CALIF DEBT & INVEST ADV COMM	983,000	209,000
0172000	DEV DISABILITIES PROGRAM DEV	64,000	0
0175000	DISPENSING OPTICIANS FUND	42,000	57,000
0177000	FOOD SAFETY FUND	604,000	795,000
0179000	ENVIRONMENTAL LAB IMPROV FUND	263,000	3,000
0181000	REGISTERED NURSE EDUCATION	191,000	3,000
0183000	ENVIRON ENHANC MIT DEMO PGM FD	0	476,000
0184000	EMPLOY DEV DEPT BENEFIT AUDIT	612,000	2,000,000
0185000	EMPLOYMT DEV DEPT CONTINGENT	50,258,000	17,289,000
0191000	FAIR AND EXPOSITION FUND	2,000	1,309,000
0193000	WASTE DISCHARGE PERMIT FUND	23,880,000	887,000
0194000	EMERG MED SRVS TRNG PGM APROV	15,000	19,000
0198000	CA FIRE & ARSON TRAINING FD	484,000	14,000
0203000	GENETIC DISEASE TESTING FUND	8,666,000	7,157,000
0207000	FISH & WILDLIFE POLLUTION ACCT	5,000	5,000
0209000	CA HAZRD LIQUID PIPLNE SAFTY	3,444,000	19,000
0211000	CA MTRFOWL HAB PRESERVATION	0	3,000
0213000	NATIVE SPECIES CONSV & ENHAN	19,000	0
0214000	RESTITUTION FUND	3,357,000	7,767,000
0217000	INSURANCE FUND	15,247,000	15,872,000
0223000	WORKERS' COMP ADMIN REVOLV FD	60,679,000	32,572,000
0226000	CA TIRE RECYCLING MGMT FUND	631,000	8,124,000
0228000	SEC OF STATE'S BUSINESS FEE FD	6,802,000	9,000
0230000	CIGARETTE & TOBACCO - ALLOCATE	79,748,000	19,301,000
0231000	CIGARET.& TOB./HEALTH EDUCAT.	10,989,000	12,190,000
0232000	CIGARET.& TOB./HOSPITAL SERV.	6,800,000	0
0233000	CIGARET.& TOB./PHYSICIAN SERV.	1,943,000	0
0234000	CIGARET.& TOB./RESEARCH ACCT.	2,772,000	1,027,000
0235000	CIGARET.& TOB./PUBLIC RESOURCE	971,000	124,000
0236000	CIGARET. &TOB./UNALLOCATED	10,645,000	9,345,000
0238000	VETS CEMETERY PERPETUAL MAINT	13,000	0
0239000	PRIVATE SECURITY SRVS FUND	992,000	2,184,000
0240000	LOCAL AGY DEPOSIT SECURITY FD	0	33,000
0245000	MOBILEHOME PARK & SPEC.OCC.RF	2,228,000	45,000
0247000	DRINKING WATER OPERATOR CERT	84,000	0
0256000	SEXUAL PREDATOR PUBLIC INFO	0	7,000
0259000	SUPPLEMENTAL CONTRIB PROG FUND	19,000	19,000
0261000	OFF HIGHWAY LICENSE FEE	114,000	0
0263000	OFF-HIGHWAY VEHICLE TRUST	4,844,000	539,000

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CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
0264000	OSTEOPATHIC MED BD CONTINGENT	304,000	244,000
0269000	GLASS PROCESSING FEE ACCOUNT	154,000	6,407,000
0270000	TECHNICAL ASSISTANCE FUND	2,379,000	3,629,000
0271000	CERTIFICATION FUND	167,000	175,000
0272000	INFANT BOTULISM TRTMT & PREV	563,000	511,000
0275000	HAZ & IDLE-DESERTED ABATMT FD	0	6,000
0276000	PENALTY ACCT-CA.BEVERAGE RECYC	33,000	0
0277000	BIMETAL PROCESSING FEE ACCT	335,000	17,000
0278000	PET PROCESSING FEE ACCT	627,000	7,434,000
0279000	CHILD HEALTH & SAFETY FUND	257,000	379,000
0280000	PHYSICIAN ASSISTANT FD	125,000	237,000
0281000	RECYCLING MARKET DEV REV LOAN	167,000	45,000
0289000	HLTH INS COUNSELING/ADVOCACY	7,000	259,000
0290000	PILOT COMMISSIONERS' SPEC FD	218,000	169,000
0293000	MOTOR CARRIER'S SAFETY IMPROV	214,000	0
0294000	REMOVAL/REMEDIAL ACTION ACCT	0	23,000
0295000	PODIATRIC MEDICINE FUND	25,000	141,000
0298000	FINANCIAL INSTITUTIONS FUND	26,000	1,000
0299000	CREDIT UNION FUND	0	1,062,000
0300000	PROFESSIONAL FORESTER REGIS	1,000	0
0305000	PRIVATE POSTSECONDARY ED	1,538,000	1,732,000
0306000	SAFE DRINKING WATER ACCOUNT	129,000	2,000
0310000	PSYCHOLOGY FUND	244,000	787,000
0312000	EMERG MED SVCS PERSONNEL FUND	294,000	119,000
0314000	DIESEL EMISSION REDUCTION FUND	2,000	0
0317000	REAL ESTATE FUND	5,293,000	4,788,000
0318000	COLLINS-DUGAN CCC REIMBR ACCT	4,211,000	165,000
0319000	RESPIRATORY CARE FUND	254,000	331,000
0320000	OIL SPILL PREVENTION & ADMIN	5,304,000	13,627,000
0321000	OIL SPILL RESPONSE TRUST FUND	9,000	128,000
0322000	ENVIRONMENTAL ENHANCEMENT FUND	0	242,000
0325000	ELECTRONIC & APPL REPAIR FUND	155,000	318,000
0326000	ATHLETIC COMMISSION FUND	129,000	264,000
0328000	PUB SCHL PLN, DESIGN,CONST REV	10,732,000	3,435,000
0329000	VEHICLE LICENSE COLLECTN ACCT	6,245,000	0
0331000	SALES TAX ACCOUNT	313,117,000	232,772,000
0332000	VEHICLE LICENSE FEE ACCOUNT	198,858,000	174,564,000
0335000	REGISTERED ENV HLTH SPECLST FD	73,000	12,000
0336000	MINE RECLAMATION ACCOUNT	23,000	971,000
0338000	STRONG-MOTION INSTR/SEIMC MAPG	461,000	3,493,000
0347000	SCHOOL LAND BANK FUND	0	666,000
0351000	MENTAL HEALTH SUBACCOUNT-	93,379,000	93,379,000
0365000	HISTORIC PROPERTY MAINT FUND	52,000	28,000
0366000	INDIAN GAMING REV SHARING TRST	1,076,000	0
0367000	INDIAN GAMING SPEC DISTRIB FD	4,876,000	2,417,000
0376000	SPEECH-LANGUAGE PATH/AUDIOLOGY	162,000	234,000
0378000	ATTORNEY GEN FALSE CLAIMS ACT	5,836,000	0
0381000	PUB INTEREST R D & D	0	20,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
0382000	RENEWABLE RESOURCE TRUST FUND	292,000	664,000
0386000	SOLID WASTE DISP CLEANUP TRUST	2,000	50,000
0387000	INTEGRATED WASTE MGMT ACCOUNT	2,304,000	3,582,000
0392000	ST PARKS AND RECREATION ACCT	4,898,000	42,370,000
0396000	SELF-INSURANCE PLANS FUND	1,200,000	34,000
0399000	STRUCTL PEST CNTRL EDU&ENFORC	96,000	45,000
0400000	REAL ESTATE APPRAISERS REG FD	436,000	440,000
0407000	TEACHER CREDENTIALS	786,000	1,580,000
0408000	TEST DEVELOPMENT & ADMIN ACCT	0	534,000
0410000	TRANSCRIPT REIMBURSEMENT FUND	0	3,000
0419000	WATER RECYCLING SUBACCOUNT	0	63,000
0421000	VEHICLE INSPECTION & REPAIR FD	6,139,000	9,472,000
0436000	UNDERGRD STOR TANK TESTER ACCT	1,000	0
0439000	UNDERGRD STOR TANK CLEANUP FD	20,015,000	34,149,000
0447000	WILDLIFE RESTORATION FUND	1,008,000	918,000
0448000	OCCUPANCY COMPLIANCE MONITOR	690,000	844,000
0449000	WINTER RECREATION FUND	0	7,000
0452000	ELEVATOR SAFETY ACCOUNT	1,905,000	3,000
0453000	PRESSURE VESSEL ACCOUNT	224,000	4,000
0457000	TAX CREDIT ALLOC FEE ACCOUNT	1,406,000	776,000
0458000	SITE OPERATN & MAINTENANCE ACC	0	46,000
0460000	DEALERS RECORD OF SALES SP ACT	3,615,000	1,831,000
0461000	PUC TRANSPORTATION REIMB ACCT	41,000	3,316,000
0462000	PUC UTILITIES REIMBMENT ACCT	12,081,000	6,950,000
0464000	HIGH COST FUND A ADM COMM FD	1,434,000	2,323,000
0465000	ENERGY RESOURCES PROGRAMS A/C	1,000	11,003,000
0470000	HIGH-COST FUND-B ADM COMM FD	0	480,000
0471000	UNIV LIFELINE TELEP SVC TRST	15,452,000	11,971,000
0478000	VECTORBORNE DISEASE ACCOUNT	1,000	0
0481000	GARMNT MANUFACTURERS SPECL A/C	1,000	250,000
0483000	DEAF & DESABLED TELECOMM PGM	1,613,000	11,552,000
0492000	STATE ATHLETIC COMM NEURO EXAM	2,000	5,000
0493000	TELECONNECT FD - ADM COMM FD	4,797,000	1,423,000
0497000	LOCAL GOV'T GEOTHER.RES.REVOL.	105,000	0
0501000	CALIFORNIA HOUSING FINANCE	74,425,000	44,537,000
0502000	CALIF WATER RESOURCES DEV BOND	55,083,000	27,830,000
0506000	CENTRAL VALLEY WATER PROJ CONS	20,183,000	130,186,000
0507000	CENTRAL VALLEY WATER PROJ REV	56,577,000	217,906,000
0512000	ST COMPENSATION INSURANCE FD	344,403,000	334,271,000
0514000	EMPLOYMENT TRAINING FUND	674,000	9,579,000
0516000	HARBORS & WATERCRAFT REVOL FD	3,456,000	2,474,000
0518000	HLTH FAC CONST LOAN INSURANCE	73,000	0
0526000	CA SCHOOL FINANCE AUTHORITY FD	0	84,000
0530000	MOBILEHM PK REHAB & PURCHASE F	387,000	102,000
0538000	SAN FRANCISCO STATE BUILDING	0	19,355,000
0539000	OAKLAND STATE BLDG AUTHORITY	0	9,489,000
0557000	TOXIC SUBSTANCES CONTROL ACCT	79,335,000	7,694,000
0558000	FARM & RANCH SOLID WASTE CLEAN	0	22,000

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
0562000	STATE LOTTERY FUND	267,769,000	471,297,000
0564000	SCHOLARSHARE ADM FUND	205,000	117,000
0566000	DOJ CHILD ABUSE FUND	13,000	33,000
0567000	GAMBLING CONTROL FUND	920,000	1,600,000
0569000	GAMBLING CONTROL FINES & PENLT	14,000	0
0576000	ST UNIV DORMITORY CONSTRUCTN	20,125,000	118,139,000
0582000	HIGH POLLUTER REPAIR/REMOVAL	2,165,000	4,611,000
0585000	COUNTIES CHILDREN & FAMILIES	23,279,000	23,279,000
0587000	FAMILY LAW TRUST FUND	169,000	91,000
0588000	UNEMPLOYMENT COMP DISABL FUND	181,286,000	414,844,000
0592000	VETS FARM/HOME BUILDING-1943	32,317,000	268,149,000
0600000	VENDING STAND FUND	0	52,000
0601000	AGRICULTURE BUILDING FUND	52,000	18,000
0604000	ARMORY FUND	12,000	0
0617000	STATE WTR. POLLUTION CONTROL	139,053,000	129,273,000
0623000	CA CHILDREN/FAMILIES TRUST FD	135,971,000	40,545,000
0629000	SAFE DRINKING WTR ST REVLY FD	21,915,000	30,842,000
0631000	MASS MEDIA COMM ACCT, CHILD/FM	1,746,000	1,307,000
0634000	EDUCATION ACCT, CHILD/FAM FRST	1,455,000	2,789,000
0636000	CHILD CARE ACCT, CHILD/FAM FRS	873,000	2,388,000
0637000	RESEARCH DEVEL ACCT	873,000	1,691,000
0638000	ADMINISTRATION ACCT	291,000	111,000
0639000	UNALLOCATED ACCOUNT	582,000	4,367,000
0641000	DOMESTIC VIOLENCE RESTRAINING	45,000	0
0648000	MOBILEHOME/MFG HOME REV FUND	15,960,000	9,682,000
0649000	CA INFRASTR & ECON DEVL BANK	41,000	1,238,000
0652000	OLD AGE/SURVIVORS INSUR REVOLV	59,000	60,000
0653000	SEISMIC RETROFIT BOND 1996	0	33,000
0660000	PUBLIC BUILDINGS CONSTRUCTN	34,978,000	187,427,000
0668000	PUBLIC BLDG CONSTRUCT SUBACCT	0	122,736,000
0678000	PRISON INDUSTRIES REVOLV FD	20,765,000	16,309,000
0679000	STATE WATER QUALITY CONTROL	3,538,000	780,000
0687000	DONATED FOOD REVOLVING FUND	265,000	877,000
0691000	WATER RESOURCES REVOLVING	86,564,000	95,401,000
0698000	HOME PURCHASE ASSISTANCE FUND	12,297,000	5,772,000
0702000	P&V- CONSUMER AFFAIRS FUND	32,739,000	11,657,000
0704000	P&V- ACCOUNTANCY FUND	2,296,000	1,608,000
0706000	ARCHITECTS BOARD FUND, CA	137,000	476,000
0707000	CALIF SAFE DRINKING WATER FUND	0	37,000
0714000	ROBERTI AFFORDABLE HOUSING FD	0	307,000
0717000	CEMETERY AND FUNERAL FUND	108,000	462,000
0735000	P&V- CONTRACTORS' LICENSE FD	5,354,000	6,299,000
0741000	P&V- DENTISTRY FUND	1,211,000	1,445,000
0749000	REFUNDING ESCROW FUND	0	172,185,000
0752000	HOME FURN & THERMAL INSULATION	280,000	456,000
0755000	LICENSED MIDWIFERY FUND	6,000	4,000
0756000	PASSENGER RAIL&CLEAN AIR BD FD	0	6,000
0757000	LANDSCAPE ARCHITECTS FUND	33,000	73,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
0758000	MEDICAL BD OF CALIF	6,138,000	9,905,000
0759000	P&V- PHYSICAL THERAPY FUND	394,000	707,000
0761000	P&V- REGISTERED NURSING FUND	4,830,000	6,112,000
0763000	P&V- OPTOMETRY FUND	134,000	203,000
0767000	P&V- PHARMACY BD CONTINGENT	2,393,000	2,818,000
0768000	EARTHQK PUB BLDG REHAB FUND 90	0	23,000
0769000	PRIVATE INVESTIGATOR FUND	0	2,000
0770000	PROF ENGINEER LAND SURV GEO FD	521,000	923,000
0771000	COURT REPORTERS FUND	97,000	119,000
0773000	P&V- BEHAVIORAL SCI EXAMINERS	643,000	1,249,000
0775000	P&V- STRUCTURAL PEST CONTROL	613,000	595,000
0777000	VETERINARY MEDICAL BD CONTG FD	438,000	525,000
0779000	VOCATNL NURSING/PSYCH TECH FD	1,090,000	2,055,000
0803000	STATE CHILDREN'S TRUST FUND	26,000	17,000
0813000	SELF-HELP HOUSING FUND	495,000	539,000
0814000	CA STATE LOTTERY EDUCATION	42,000	5,000
0815000	JUDGES RETIREMENT FUND	15,303,000	19,150,000
0820000	LEGISLATORS RETIREMENT FUND	608,000	670,000
0821000	FLEXELECT BENEFIT FUND	3,482,000	2,188,000
0822000	PUBLIC EMPLOYEES HEALTH CARE	282,878,000	337,284,000
0829000	HEALTH PROFESSIONS EDUC FUND	7,600,000	4,000
0830000	PUBLIC EMPLOYEES' RETIREMENT	2,736,394,000	3,037,860,000
0833000	ANNUITANTS' HEALTH CARE COV FD	68,244,000	75,795,000
0834000	MEDI-CAL INPATIENT PMT ADJ FD	8,130,000	1,590,000
0835000	TEACHERS RETIREMENT	135,451,000	69,466,000
0840000	CA MOTORCYCLIST SAFETY FD	107,000	225,000
0849000	REPLACEMENT BENEFIT CUST. FD	4,107,000	2,879,000
0853000	PETROLEUM VIOLATION ESCROW ACC	367,000	148,000
0865000	MENTAL HEALTH MANAGED CARE DP	7,718,000	0
0872000	STATE HOSPITAL ACCOUNT,	13,549,000	14,152,000
0884000	JUDGE RETIREMENT SYSTEM II FD	12,696,000	6,453,000
0886000	SENIORS SPECIAL FD,CALIFORNIA	26,000	28,000
0904000	CA HEALTH FACILITIES FIN AUTH	2,263,000	198,000
0908000	SCHOOL EMPLOYEES FUND	331,000	1,638,000
0910000	CONDEMNATION DEPOSITS FUND	14,147,000	7,989,000
0911000	EDUC FACILITIES AUTHORITY	0	44,000
0915000	DEFERRED COMPENSATION PLAN FD	1,543,000	351,000
0917000	INMATES' WELFARE FUND	4,743,000	6,957,000
0918000	SMALL BUSINESS EXPANSION	12,483,000	197,000
0920000	LITIGATION DEPOSIT FUND	83,990,000	31,340,000
0927000	J SERNA FARMWORKER HOUSNG GRNT	81,000	65,000
0928000	FOREST RESOURCES IMPROV FUND	0	263,000
0929000	HOUSING REHABILITATION LOAN	3,557,000	3,541,000
0930000	POLLUTN CNTL FINANCING AUTH	531,000	615,000
0932000	TRIAL COURT TRUST FUND	110,392,000	245,890,000
0933000	MANAGED CARE FUND	24,419,000	7,150,000
0938000	RENTAL HOUSING CONSTRUCTN FD	153,000	18,000
0942000	SPECIAL DEPOSIT FUND	89,162,000	19,187,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
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0943000	LAND BANK FUND	117,000	2,345,000
0948000	CAL ST UNIV & COLL TRUST FUND	535,179,000	555,647,000
0950000	PUB EMPLOY CONTINGENCY RESRV	762,203,000	721,744,000
0952000	STATE PARK CONTINGENT	2,901,000	1,709,000
0960000	STUDENT TUITION RECOVERY FUND	1,000	205,000
0965000	TIMBER TAX	101,000	10,000
0972000	MANUFACTURED HOME RECOVERY FD	36,000	6,000
0980000	PREDEVELOPMENT LOAN FUND	111,000	825,000
0985000	EMERGENCY HOUSING & ASST FUND	0	708,000
1008000	FIREARMS SAFETY/ENFRMNT SPCL	1,073,000	532,000
1030000	CONSUMER PRIVACY FUND	1,000	0
3002000	ELECTRICIAN CERTIF FUND	104,000	0
3004000	GARMENT INDUSTRY REGULATIONS	14,000	6,000
3010000	PIERCE'S DISEASE MGMT ACCOUNT	1,000	42,000
3015000	GAS CONSUMPTION SURCHARGE FUND	3,214,000	30,289,000
3016000	MISSING PERSONS DNA DATA BASE	280,000	216,000
3017000	OCCUPATIONAL THERAPY FUND	158,000	348,000
3018000	DRUG AND DEVICE SAFETY FUND	498,000	437,000
3022000	APPRENTICESHIP TRNG CONTRIB FD	1,493,000	1,000
3023000	WIC MANUFACTURER REBATE FUND	17,475,000	17,846,000
3025000	ABANDONED MINE RECLAMATION	526,000	4,000
3030000	WORKERS' OCCUP SFTY/HLTH ED FD	15,000	3,000
3037000	STATE COURT FACILITIES CONST F	3,724,000	4,303,000
3042000	VICTIMS OF CORP FRAUD COMP FD	4,193,000	0
3046000	OIL, GAS, AND GEOTHERMAL ADMIN	7,116,000	11,159,000
3053000	PUBLIC RGHTS LAW ENFRMNT SPEC	1,000	0
3056000	SAFE DRKNG MATR & TOXIC ENFORC	175,000	663,000
3057000	DAM SAFETY FUND	1,331,000	1,454,000
3058000	WATER RIGHTS FUND	21,433,000	261,000
3060000	APPELLATE COURT TRUST FUND	425,000	284,000
3062000	ENGY FAC LICENSE AND COMPL FD	425,000	5,000
3064000	MENTAL HLTH PRACTITIONER ED FD	79,000	0
3065000	ELEC WSTE RCVRY RCYLG ACCT	12,056,000	3,168,000
3066000	COURT FACILITIES TRUST FUND	7,077,000	9,881,000
3067000	CIGARETTE TOBACCO PRODS COMPL	1,180,000	8,000
3068000	VOCATIONAL NURSE EDUCATION FD	22,000	1,000
3069000	NATUROPATHIC DOCTOR'S FUND	39,000	28,000
3071000	CAR WASH WORKER RESTITUTION FD	4,000	21,000
3072000	CAR WASH WORKER FUND	4,000	0
3079000	CHILDRENS MED SERVICES REBATE	10,671,000	55,000
3080000	AIDS DRUG ASSIST PGM REBATE	5,019,000	9,508,000
3081000	CANNERY INSPECTION FUND	303,000	299,000
3084000	ST CERTIFIED UNIFIED PGM AGY	62,000	125,000
3085000	MENTAL HEALTH SERVICES FUND	174,221,000	108,212,000
3086000	DNA IDENTIFICATION FUND	1,971,000	4,013,000
3088000	REGISTRY OF CHARITABLE TRUSTS	883,000	438,000
3089000	PUC PUBLIC ADVOCATES OFFICE AC	0	5,553,000
3097000	PRIVATE HOSPITAL SUPPLEMENTAL	0	53,248,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
3098000	DPH LICENSING & CERT PGM FUND	25,250,000	17,684,000
3100000	DWR ELECTRIC POWER FUND	3,615,000	1,056,000
3101000	ANALYTICAL LABORATORY ACCOUNT	0	1,000
3103000	HATCHERY AND INLAND FISHERIES	1,154,000	3,371,000
3108000	PROFESSIONAL FIDUCIARY FUND	48,000	63,000
3109000	NATURAL GAS SUBACCT, PIRD&D	0	1,521,000
3114000	BIRTH DEFECTS MONITORING PGM	377,000	409,000
3117000	ALTERNATIVE & RENEWABLE FUEL	8,556,000	2,634,000
3119000	AIR QUALITY IMPROVEMENT FUND	3,630,000	466,000
3121000	OCCUPATIONAL SAFETY & HEALTH	12,272,000	147,000
3122000	ENHANCED FLEET MODERNIZATION	1,916,000	2,991,000
3133000	MANAGED CARE ADMIN FINES FUND	99,000	0
3137000	EMERGENCY MEDICAL TECH CERT	27,000	127,000
3138000	IMMEDIATE & CRITICAL NEEDS	11,728,000	13,644,000
3140000	STATE DENTAL HYGIENE FUND	104,000	226,000
3141000	CA ADVANCED SERVICES FUND	3,274,000	955,000
3142000	STATE DENTAL ASSISTANT FUND	1,000	14,000
3145000	UST ORPHAN SITE CLEANUP FUND	0	272,000
3147000	SMALL COMMUNITY GRANT FUND	384,000	74,000
3150000	STATE PUBLIC WORKS ENFORCEMENT	10,000	0
3152000	LABOR ENFORCEMENT & COMPLIANCE	9,410,000	116,000
3153000	HORSE RACING FUND	1,524,000	1,081,000
3158000	HOSPITAL QUALITY ASSURANCE REV	1,037,316,000	464,363,000
3160000	WASTEWATER OPERATOR CERT FUND	30,000	0
3165000	ENTERPRISE ZONE FUND	0	11,000
3167000	SKILLED NURSING FAC QUALITY &	78,000	110,000
3168000	EMER MED AIR TRAN CHILD COVER	228,000	2,356,000
3175000	CALIFORNIA HEALTH TRUST FUND	56,123,000	73,431,000
3205000	APPLIANCE EFFICIENCY ENFORCE	79,000	0
3209000	OFFICE PATIENT ADVOCATE TRUST	0	235,000
3211000	ELECTRIC PROG INVEST CHRGR FD	1,201,000	6,629,000
3228000	GREENHOUSE GAS REDUCTION FD	574,569,000	211,500,000
3237000	COST OF IMPLEMENTATION ACCT	9,000	2,112,000
3240000	SECONDHAND DEALER & PAMNBR FD	57,000	37,000
3244000	PDATA FUND	78,000	0
3252000	CURES FUND	155,000	0
3254000	BUSINESS PROGRAMS MODERN	186,000	21,000
3255000	HOME CARE FUND	401,000	466,000
3261000	VESSEL OPERATOR CERTIFICATION	17,000	0
3263000	COLLEGE ACCESS TAX CREDIT FUND	278,000	0
3268000	PROPERTY TAX POSTPONEMENT FUND	307,000	1,682,000
3285000	ELECTRONIC RECORDING AUTH FUND	47,000	9,000
3288000	CANNABIS CONTROL FUND	8,778,000	10,330,000
3290000	ROAD MAINT AND REHAB ACCT	156,034,000	96,479,000
3291000	TRADE CORRIDOR ENHANCE ACT	36,037,000	5,081,000
3297000	MAJOR LEAGUE SPORT EVENT RAFFL	0	62,000
3301000	LEAD-ACID BATTERY CLEANUP FUND	3,428,000	226,000
3303000	AMMUN SAFE AND ENFORCEMENT FD	208,000	236,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
3317000	BUILDING HOMES AND JOBS TRUST	197,000	18,357,000
3320000	JUSTICE TOBACCO TAX ACT	32,749,000	729,000
3357000	THE SUPPORTIVE HOUSING PROGRAM	25,114,000	19,253,000
6001000	SAFE DRNKNG CLN WTR WTRSHD PRO	84,000	345,000
6029000	CA CLEAN WATER/AIR SAFE NBRHD	31,000	4,549,000
6031000	WTR SEC,CLN DRNKG MR, CST BCH	7,000	3,758,000
6036000	ST SCHOOL FACILITIES FD 2002	132,000	57,000
6037000	HOUSING/EMER SHELTER TRUST FD	84,000	1,546,000
6041000	HIGH ED CAP OUTLAY BD FD, 2004	13,000	18,000
6043000	HIGH-SPEED PASSENGER TRAIN	9,000	33,927,000
6044000	SCHOOL FACILITIES FD, 2004 ST	219,000	189,000
6047000	STEM CELL RESEARCH AND CURES	298,000	12,118,000
6048000	2006 UNIV CAP OUTLAY BD FD	0	1,000
6051000	SAFE DRINKING WATER OF 2006	0	16,206,000
6052000	DISASTR & FLOOD BND FD OF 2006	1,000	17,064,000
6053000	HWY SFTY, TRAFFIC RED FD 2006	12,367,000	28,284,000
6057000	2006 STATE SCHOOL FAC FUND	393,000	899,000
6066000	HOUSING/EMERGENCY SHELTER FD	328,000	6,561,000
6079000	CHILDREN'S HOSPITAL BOND ACT	0	471,000
6082000	HOUSING FOR VETERANS FUND	0	7,251,000
6083000	WTR QLTY, SUPY & INFRSTCR IMPV	370,000	39,896,000
6084000	NO PLACE LIKE HOME FUND	0	518,000
6086000	2016 STATE SCHOOL FACILITIES	0	414,360,000
6087000	COMM COLL CAP OTLY BD, 2016 CA	0	4,785,000
6088000	DROUGHT WTR PRK CLMT CSTL OTDR	439,000	11,225,000
6089000	AFFORDABLE HOUSING BOND ACT FD	17,000	3,356,000
8001000	TEACHERS' HEALTH BENEFITS FD	2,282,000	2,321,000
8004000	CHILD SUPPORT COLLECT RCVRY FD	14,225,000	3,860,000
8014000	CA PHARM SCHOLRSP/LOAN PGM FD	1,000	0
8018000	SALTON SEA RESTORATION FUND	0	92,000
8026000	PETRO UNDERGROUND STOR TK FIN	289,000	7,000
8029000	COASTAL TRUST FUND	0	421,000
8031000	CHILD SUPPORT PAYMENT TRUST FD	74,772,000	117,523,000
8034000	MEDICALLY UNDERSERVED PHYSICNS	143,000	0
8041000	TEACHERS' DEFERRED COMP FUND	202,000	233,000
8047000	CALIFORNIA SEA OTTER FUND	0	20,000
8048000	ST. VET CEMET. FRT ORD ENDMNT	15,000	0
8062000	POOLED SELF-INSURANCE FUND	1,075,000	117,000
8075000	SCHOOL SUPPLIES FOR HOMELESS	0	6,000
8101000	CA ABLE ADMINISTRATIVE FUND	7,000	78,000
8109000	VETS HOME MORALE WEL REC SP FD	320,000	154,000
8111000	CALSAVERS RETIRE SAVINGS ADMIN	1,000	118,000
8127000	CA KIDS INVESTMENT DEVELOPMENT	0	17,000
8505000	CORONAVIRUS RELIEF FUND	0	220,404,000
9250000	BOXERS' PENSION FUND	2,000	19,000
9251000	CA EMPLOYER PENSION TRUST FUND	5,614,000	264,000
9331000	HIGH-SPEED RAIL PROPERTY FUND	245,000	25,000
9332000	CA ALTERNATIVE ENERGY AUTH FD	1,033,000	209,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20201201 TO 20201231

FUND	FUNDNAME	DECLARATION	REDUCTION
9333000	WATER RESOURCES CHARGE FUND	118,997,000	58,276,000
9728000	JUDICIAL BRANCH WORKERS' COMP	0	1,265,000
9730000	TECHNOLOGY SRVS REVOLVING FUND	29,011,000	30,641,000
9731000	LEGAL SERVICES REVOLVING FUND	18,637,000	9,349,000
9733000	COURT FACILITIES ARCHITECT REV	0	2,225,000
9739000	WATER POLL CONTROL RVLVG FUND	1,327,000	6,000
9740000	CENTRAL SERVICE COST RECOVERY	0	13,585,000
TOTAL		12,670,831,000	13,665,642,000

**SURPLUS MONEY INVESTMENT FUND
REQUESTS**

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 106
Sacramento, CA 95814

Date: January 20, 2021

To: Pooled Money Investment Board

From: Tracey Paine 
PMIB Administrator

Subject: Surplus Money Investment Fund Participation Request

The Department of Financial Protection and Innovation's request for participation in the Surplus Money Investment Fund is consistent with statutory requirements of Section 16470, et seq., of the Government Code. The State Controller's Office and the State Treasurer's Office recommend the approval of the following:

Financial Protection Fund
Fund Number: 3363

Financial Empowerment Fund
Fund Number: 3360

The effect of this action will credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

Chair

Member


Member

Dated: January 20, 2021

Memorandum

To: Tracey Paine
Administrator
Pooled Money Investment Board

Date: January 13, 2021

From: 
State Controller's Office
Samprit Shergill, Section Manager
Bureau of Cash Management
State Accounting and Reporting Division

Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST

The State Controller's Office recommends approval of the attached agency request for participation in the Surplus Money Investment Fund for the following:

<u>Fund Title</u>	<u>Fund Number</u>
Financial Protection Fund	3363
Financial Empowerment Fund	3360

If you have any questions, please contact Van T. Tran, State Controller's Office, State Accounting and Reporting Division at (916) 322-4354.

SS:vt

Attachments

cc: Jeffrey Wurm, State Treasurer's Office
Nicole Milliron, State Treasurer's Office
Gregory Bruss, Department of Finance

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 106
Sacramento, CA 95814

DATE: December 22, 2020

TO: Coleen Morrow, Chief
Bureau of Cash Management
Division of Accounting and Reporting
State Controller's Office

FROM: Tracey Paine 
PMIB Administrator

SUBJECT: Surplus Money Investment Fund Participation Request

Attached is a request from the Department of Financial Protection and Innovation for participation of the following fund in the Surplus Money Investment Fund:

Financial Protection Fund
Fund Number: 3363

Financial Empowerment Fund
Fund Number: 3360

Please research this request and provide a recommendation.

Attachment

cc: Greg Bruss, Department of Finance

**State of California
Department of Financial Protection and Innovation**

M E M O R A N D U M

**To: California State Treasurer
Pooled Money Investment Board (PMIB)**
915 Capitol Mail, Room 106
Sacramento, CA 95814

Date: December 14, 2020

Tracey Paine
PMIB Administrator
916-653-3147
Tracey.Paine@treasurer.ca.gov

**From: Department of Financial Protection and Innovation
Sacramento Accounting Office**
2101 Arena Boulevard
Sacramento, California 95834

Bo Chen
DFPI Accounting Manager
916-576-4921
Bo.Chen@dpfi.ca.gov


Subject: Request to participate in SMIF

Effective on 9/30/2020, Governor Gavin Newsom signed into law legislation changing the name of the Department of Business Oversight to the Department of Financial Protection and Innovation (DFPI). According to Chapter 264 and Statutes 2020 (AB 107), balances of the State Corporations Fund and the Financial Institutions Fund were abolished and transferred responsibilities to the Financial Protection Fund (3363).

DFPI requests that the Department of State Treasurer approve the requesting participation in SMIF for the following funds.

- Financial Protection Fund (3363)
- Financial Empowerment Fund (3360)

Thank you,

Signature:  Digitally signed by Bo Chen
Date: 2020.12.22 15:17:56
-08'00' Date: 12/22/2020

Bo Chen, Accounting Administrator II, Supervisor

LOAN REQUESTS

POOLED MONEY INVESTMENT BOARD
January 20, 2021
Staff Report – Agenda Items 6.a - b.

PMIA LOAN RECOMMENDATIONS

1. **Loan Renewal Requests.** Staff recommends approval of the two loan renewal requests (Items 6.a – 6.b) on the agenda in the amounts shown on column f of Exhibit A to this staff report. Items 6.a and 6.b reflect increases necessary to continue construction. In addition, all items will pay accrued interest and administrative costs.

Impact on the Pool. The above loan requests are in compliance with the current PMIA Loan Policy and, if approved, will result in an increase to the outstanding loans balance of \$2,672,000.

Exhibit A

POOLED MONEY INVESTMENT BOARD
 PMIA LOAN REQUESTS
 For the January 20, 2021 PMIB Meeting

Agenda Item	New or Renewal	Old Loan No.	New Loan No.	Fund No.	Department/Program	[a]	[b]	[c]	[d]	[e]	[f]	[g]	[h]
						New Loan Amount per Loan Application	Original Amount of Existing Loan	Impact on the Pool	Amount of loan paydown (since previous loan)	Current Loan Amount Outstanding (b - d)	Recommended Adjusted Loan Amount	Impact on the Pool (for recommended loan amount)	Interest Paid by
LOAN RENEWAL REQUESTS													
a	Renewal	1900019	2010015	0660 596	Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds San Benito County Jail Project	\$ 14,997,000.00	\$ 14,770,000.00	\$ 227,000.00	N/A	N/A	\$ 14,997,000.00	\$ 227,000.00	BF
b	Renewal	1900017	2010016	0660 583	Department of Forestry and Fire Protection SPWB Lease Revenue Bonds Pine Mountain Fire Station: Replace Facility	\$ 8,107,000.00	\$ 5,662,000.00	\$ 2,445,000.00	N/A	N/A	\$ 8,107,000.00	\$ 2,445,000.00	BF
						\$ 23,104,000.00	\$ 20,432,000.00	\$ 2,672,000.00	\$ -	\$ -	\$ 23,104,000.00	\$ 2,672,000.00	

Total amount of outstanding PMIA loans as of December 31, 2020	<u>Requested</u>	<u>Recommended</u>
Impact on the Pool from this meeting's actions	\$ 691,023,000.00	\$ 691,023,000.00
Outstanding PMIA loans after this meeting's actions	\$ 2,672,000.00	\$ 2,672,000.00
	\$ 693,695,000.00	\$ 693,695,000.00

State of California – State Treasurer’s Office

STO 1025 (Rev. 4/2015)

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board (“SPWB”) Bond Programs. Loan is due on or before 364 days from the date loan is funded.

LOAN REQUEST

New Loan Renewal

FOR STO USE ONLY
PMIB NO. 2010015

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION
(Completed by Requesting Department)

Department Name: Corrections and Rehabilitation		Total Project Appropriation: \$867,434,000.00	Amount Requested: \$15,748,000.00 \$14,997,000-CB
Appropriation(s) to be Funded: AB900, Ch. 7/07 5225-801-0660, 61.01.854 Jail Facilities, Phase II		Government Code Sections 15820.91-15820.917	Date Funds Needed: 1/22/2021
Department Contact: Michelle Weaver		Title: Associate Director	Phone: 916-255-2239
Department Director’s Name (please print): <i>Chris Liof</i>		Signature: <i>[Signature]</i>	Date: 12/1/2020
Name of SPWB Project: San Benito County Jail Project, 61.01.817		Project Contact: Michael Potter	Phone: 916-255-2158
SCO Fund # (for renewals only): 0660-596	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B		Estimated Project Completion Date: 12/31/2020

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 - To pay vendors directly?
 - To make advances to other state funds*?
 - *If Yes, specify :
 - To reimburse local government for vendor payments?
 - To make advances to State Architect Revolving Fund*?
 - *If Yes, specify: DGS-PMB Project No.
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes No
 - *If Yes, include a completed real estate “due diligence” memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
7/17/2017	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS
(Completed by Public Finance Division of the State Treasurer’s Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

- First \$14,770,000.00 to be used to pay/reduce existing PMIB loan # 1900019
- Reduce amount of loan to \$ _____

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division _____ Date: _____

PART III. RECOMMENDATION
(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for: approval rejection modification

Approved loan amount \$ _____ Loan to be made no sooner than _____

Signature: Executive Secretary of the Pooled Money Investment Board _____ Date: _____

COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED
(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY
PMIB NO. 2010015

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the “Board”) lends funds as specified below.

Total Project Appropriation: \$867,434,000.00 Proposed Funding Date: 1/22/2021
Loan Amount: \$15,748,000 ~~\$14,997,000 -CB~~

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds (“Bonds”) authorized by the following statutes (“Act”):

- Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900) State Building Construction Act Other, specify: _____

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 7/17/2017, _____, _____

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department’s support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department’s use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~ No litigation exists relating to this project -OR- Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General’s Office.

Department Name
Corrections and Rehabilitation
Signature: Department Director  Date
12/1/2020

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director
Koreen H van Ravenhorst Date
December 16, 2020

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY’S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation
 Project Name: San Benito County Jail Project
 Date: 11/23/2020

Loan Amount ⁽¹⁾: \$ 14,997,000

Estimated Project
 Completion Date: 12/31/2020

Estimated Phase Completion Dates:	<u>N/A</u>	Acquisition (A)	Performance Criteria & Concept Drawings (PCCD)
	<u>8/13/2014</u>	Prelim Plans (PP)	
	<u>2/27/2017</u>	Work Draw (WD)	Design-Build (D-B) ⁽²⁾
	<u>12/31/2020</u>	Construction (C) ⁽²⁾	
	<u>N/A</u>	Equipment (E)	

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	14,300,000.00		14,300,000	
Current Loan	-		14,300,000	
Prior Interest	434,501.86		14,734,502	-
Current Interest	218,030.39		14,952,532	-
Administrative ⁽³⁾	32,735.00	10,000.00	14,995,267	-
1 Jan-21		1,000.00	14,996,267	
2 Feb-21		-	14,996,267	
3 Mar-21		-	14,996,267	
4 Apr-21		-	14,996,267	
5 May-21		-	14,996,267	
6 Jun-21		-	14,996,267	
7 Jul-21		-	14,996,267	
8 Aug-21		-	14,996,267	
9 Sep-21		-	14,996,267	
10 Oct-21		-	14,996,267	
11 Nov-21		-	14,996,267	
12 Dec-21		-	14,997,000	

⁽¹⁾ Rounded up to nearest thousand

⁽²⁾ Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

⁽³⁾ \$10,000 figure is estimate of fees for STO, SCO and DOF for the next 12 months

LOAN REQUEST

New Loan Renewal

STO 1025 (Rev. 4/2015)

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board ("SPWB") Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY
PMIB NO. 2010016

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION
(Completed by Requesting Department)

Department Name: Forestry and Fire Protection		Total Project Appropriation: \$11,132,543.00	Amount Requested: \$8,107,000.00
Appropriation(s) to be Funded: Ch. 23/2019		Item: 3540-301-0660 (5) \$12,017,883 V.N.	Date Funds Needed: 1/22/2021
Department Contact: Belinda Swackhammer		Title: Capital Outlay Associate Analyst	Phone: (916) 322-1205
Department Director's Name (please print): Tony Favro		Signature: <i>Anthony P. Favro</i>	Date: 11/23/2020
Name of SPWB Project: Pine Mountain Fire Station: Relocate Facility		Project Contact: Jonathan Pike, CALFIRE	Phone: (916) 445-4626
SCO Fund # (for renewals only): 0660-583 V.N.	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B		Estimated Project Completion Date: 8/30/2021

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)
 - To pay vendors directly?
 - To make advances to other state funds*?
 - *If Yes, specify :
 - To reimburse local government for vendor payments?
 - To make advances to State Architect Revolving Fund*?
 - *If Yes, specify: DGS-PMB Project No. **DGS000000139143 V.N.**
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes No
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes No
 - *If Yes, include a completed real estate "due diligence" memo.

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
8/16/2019	<input checked="" type="checkbox"/> Loan <input checked="" type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer's Office)

This application is recommended for approval

This application is recommended for approval subject to the following conditions:

- First \$5,662,000 to be used to pay/reduce existing PMIB loan # 1900017
- Reduce amount of loan to \$ _____

Conditions (if applicable):

This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division _____ Date: _____

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for: approval rejection modification

Approved loan amount \$ _____ Loan to be made no sooner than _____

Signature: Executive Secretary of the Pooled Money Investment Board _____ Date: _____

COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED
(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STATE USE ONLY
 PMIB NO.
2010016

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$11,132,543.00 **\$12,017,883** Proposed Funding Date: 1/22/2021
 Loan Amount: \$8,107,000.00 **V.N.**

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

- Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900) State Building Construction Act Other, specify: _____

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 8/16/2019, _____, _____

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~ No litigation exists relating to this project -OR- Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name Forestry and Fire Protection	
Signature: Department Director <i>Arthur P. Fran</i>	Date 11/23/2020

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Executive Director or Deputy Director <i>Sally Luker</i>	Date 12/21/20
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POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION		
The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:		
MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		
Conditions (if applicable):		
Signature: Executive Secretary of the Pooled Money Investment Board		Date

PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: Department of Forestry and Fire Protection
 Project Name: Pine Mountain Fire Station: Replace Facility
 Date: 12/3/2020

Loan Amount ⁽¹⁾: \$ 8,107,000

Estimated Project
 Completion Date: 8/30/2021

Estimated Phase Completion Dates:	<u>4/13/2010</u>	Acquisition (A)		Performance Criteria & Concept Drawings (PCCD)
	<u>8/17/2015</u>	Prelim Plans (PP)	-	
	<u>2/28/2020</u>	Work Draw (WD)	-	Design-Build (D-B) ⁽²⁾
	<u>8/30/2021</u>	Construction (C) ⁽²⁾		
	-	Equipment (E)		

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	-
Current Loan	1,063,945.00		1,063,945	-
Prior Interest	-		1,063,945	-
Current Interest	62,258.11		1,126,203	-
Administrative ⁽³⁾	7,500.00	10,000.00	1,143,703	-
1 Jan-21		500,000.00	1,643,703	C
2 Feb-21		500,000.00	2,143,703	C
3 Mar-21		500,000.00	2,643,703	C
4 Apr-21		500,000.00	3,143,703	C
5 May-21		750,000.00	3,893,703	C
6 Jun-21		1,000,000.00	4,893,703	C
7 Jul-21		1,000,000.00	5,893,703	C
8 Aug-21		1,000,000.00	6,893,703	C
9 Sep-21		750,000.00	7,643,703	C
10 Oct-21		463,255.00	8,106,958	C
11 Nov-21		-	8,106,958	
12 Dec-21		-	8,107,000	

⁽¹⁾ Rounded up to nearest thousand

⁽²⁾ Any project requesting Construction or Design-Build funds for the first time refer to the current PMIA Loan Policy for specific requirements

⁽³⁾ \$10,000 figure is estimate of fees for STO, SCO and DOF for the next 12 months